

# **Application of Machine Learning tools in heavy-ion collisions at the Large Hadron Collider**



**GPU Day 2021  
11 Nov 2021**



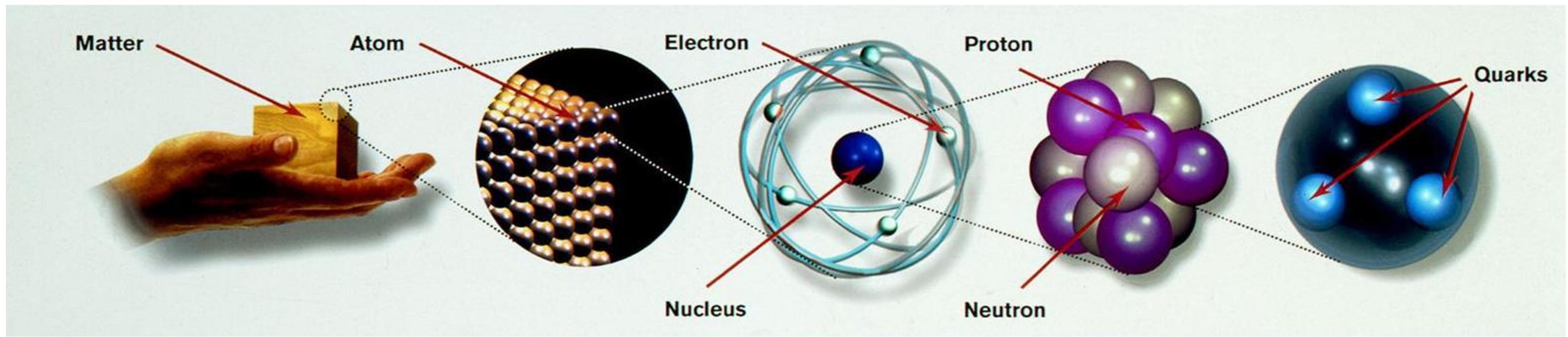
**Neelkamal Mallick**  
**Indian Institute of Technology Indore, India**  
**[Neelkamal.Mallick@cern.ch](mailto:Neelkamal.Mallick@cern.ch)**

# Outline

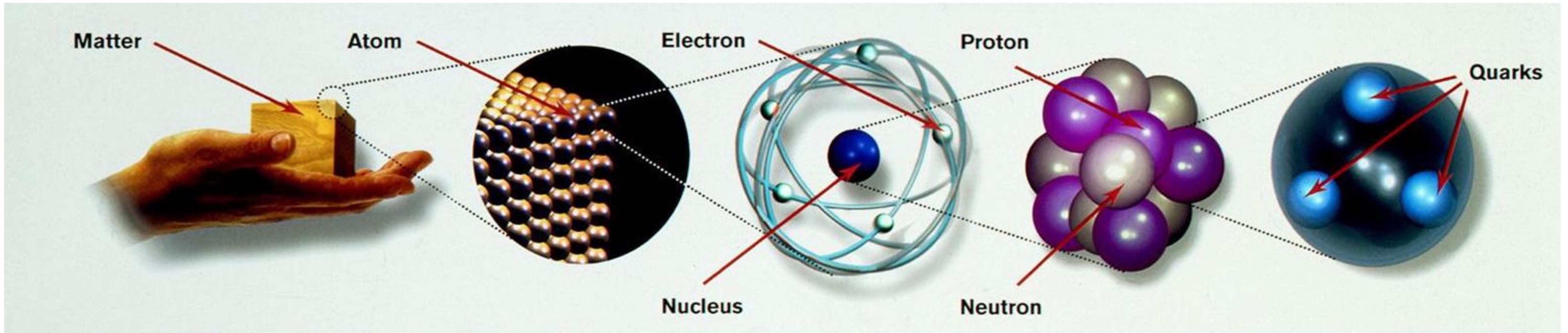
- Heavy-ion collisions
- Boosted Decision Trees
  - A. Estimation of impact parameter
  - B. Estimation of Transverse Spherocity
- Deep Neural Networks
- C. Estimation of Elliptic flow ( $v_2$ )
- Summary and outlook

# Heavy-ion collisions

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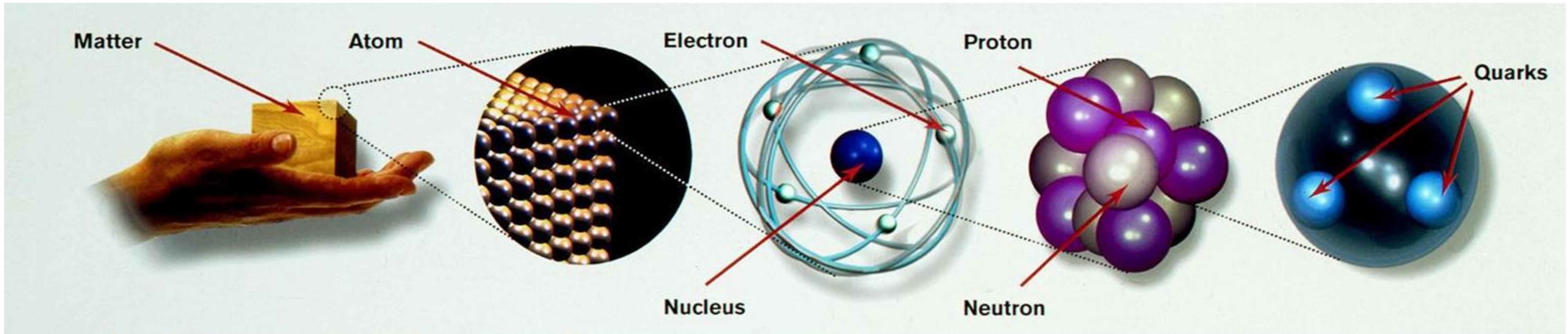


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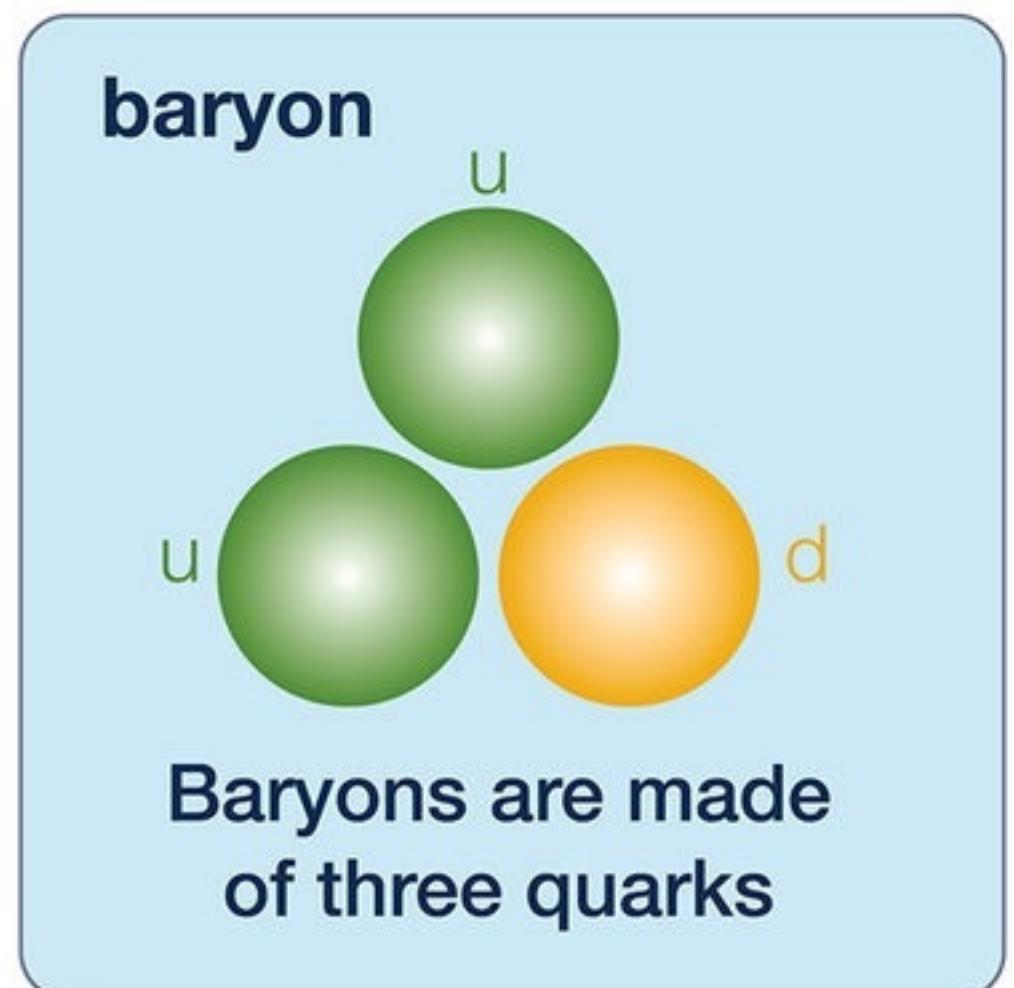
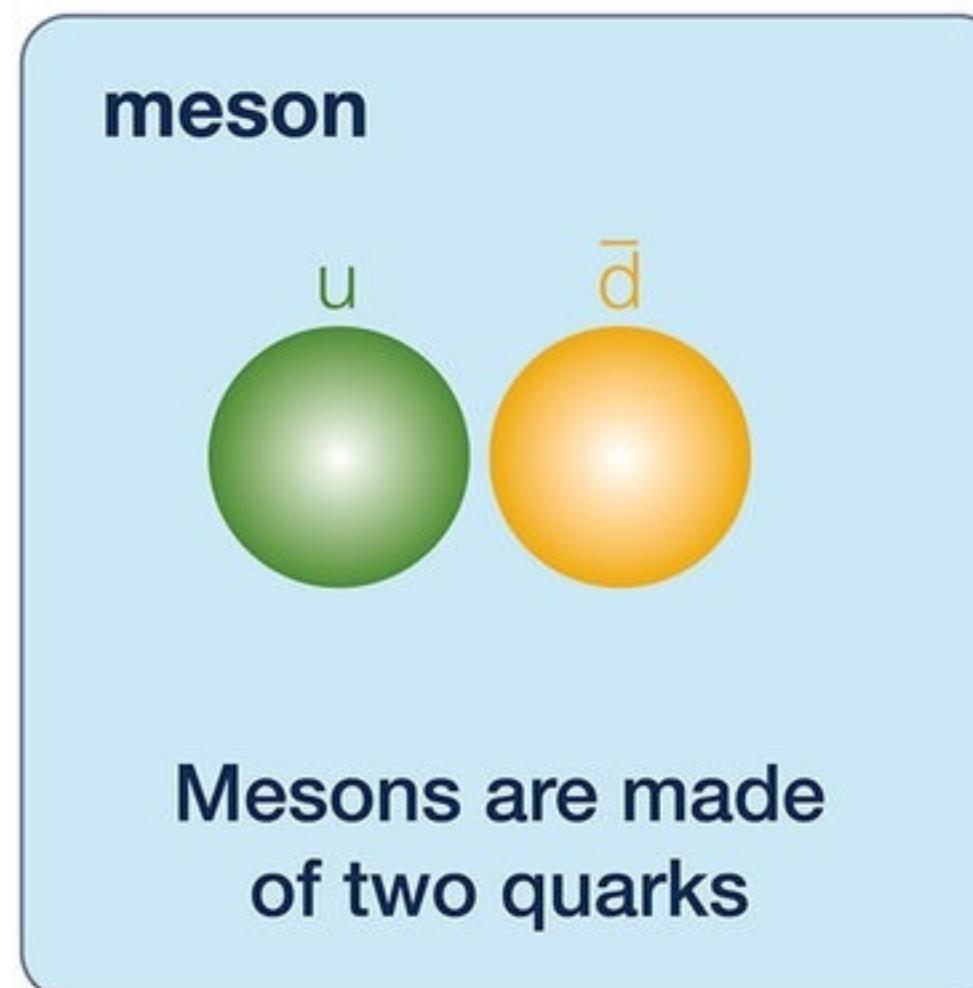


- Quarks: the fundamental bits of matter
- Gluons: carrier of strong force
- Theory of strong force: Quantum Chromodynamics (QCD)
- Quark confinement: free quarks and gluons can not exist
- Mesons ( $q\bar{q}$ ) and Baryons ( $qqq$ ): quarks confined inside hadrons
- Heavy-ions: Pb, Au, Xe nucleus

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Large Hadron Collider, CERN, Switzerland

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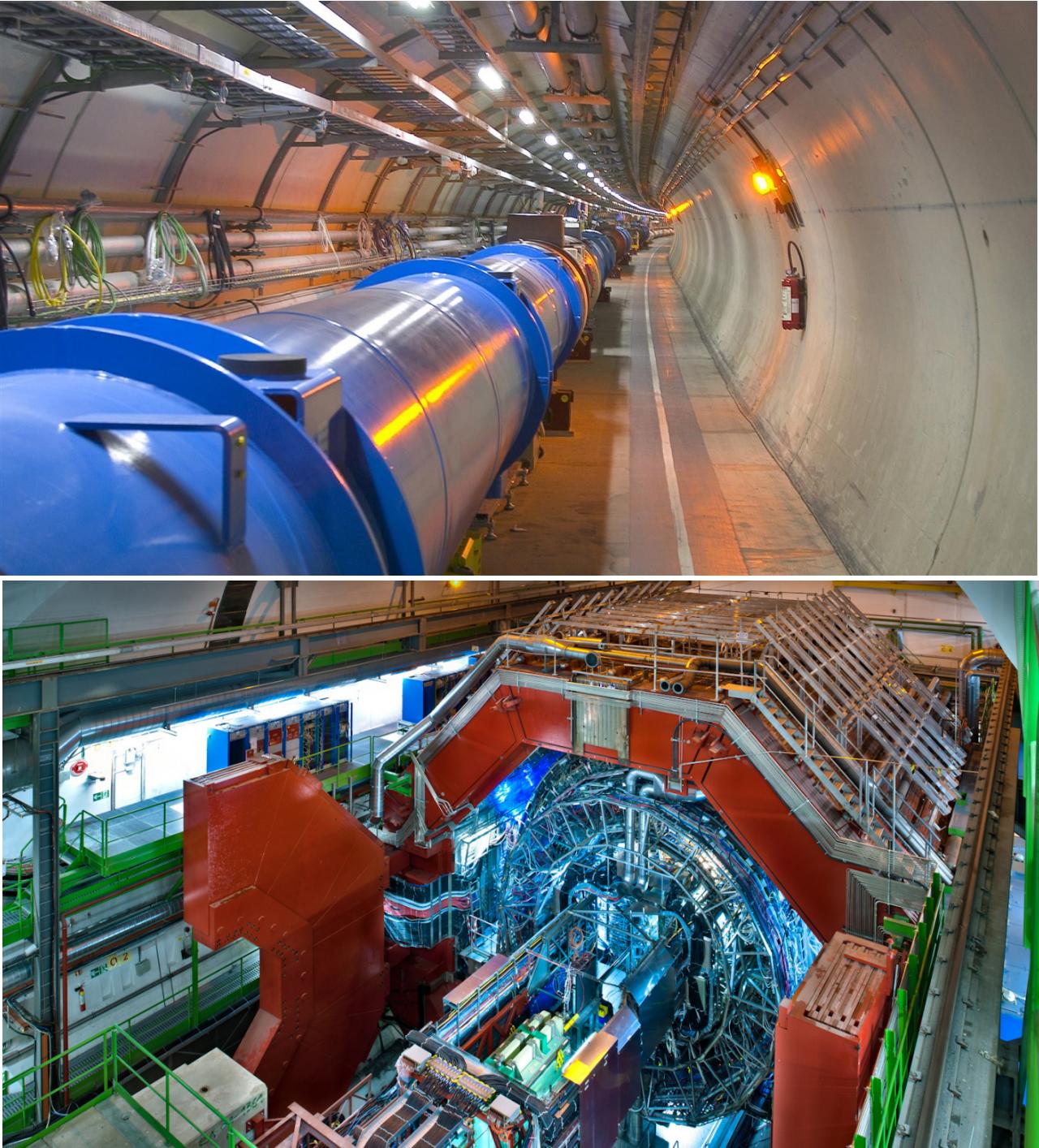


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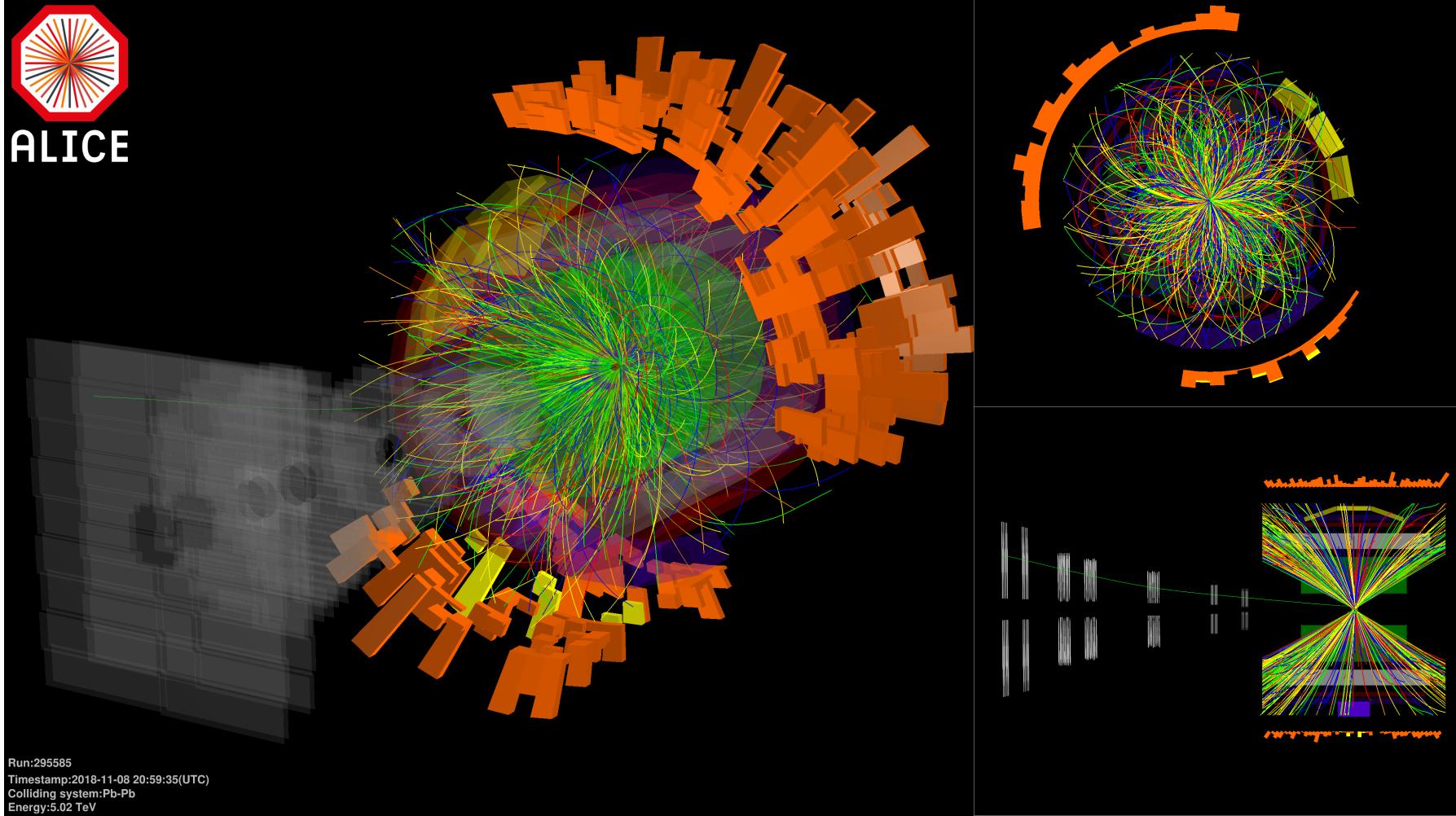
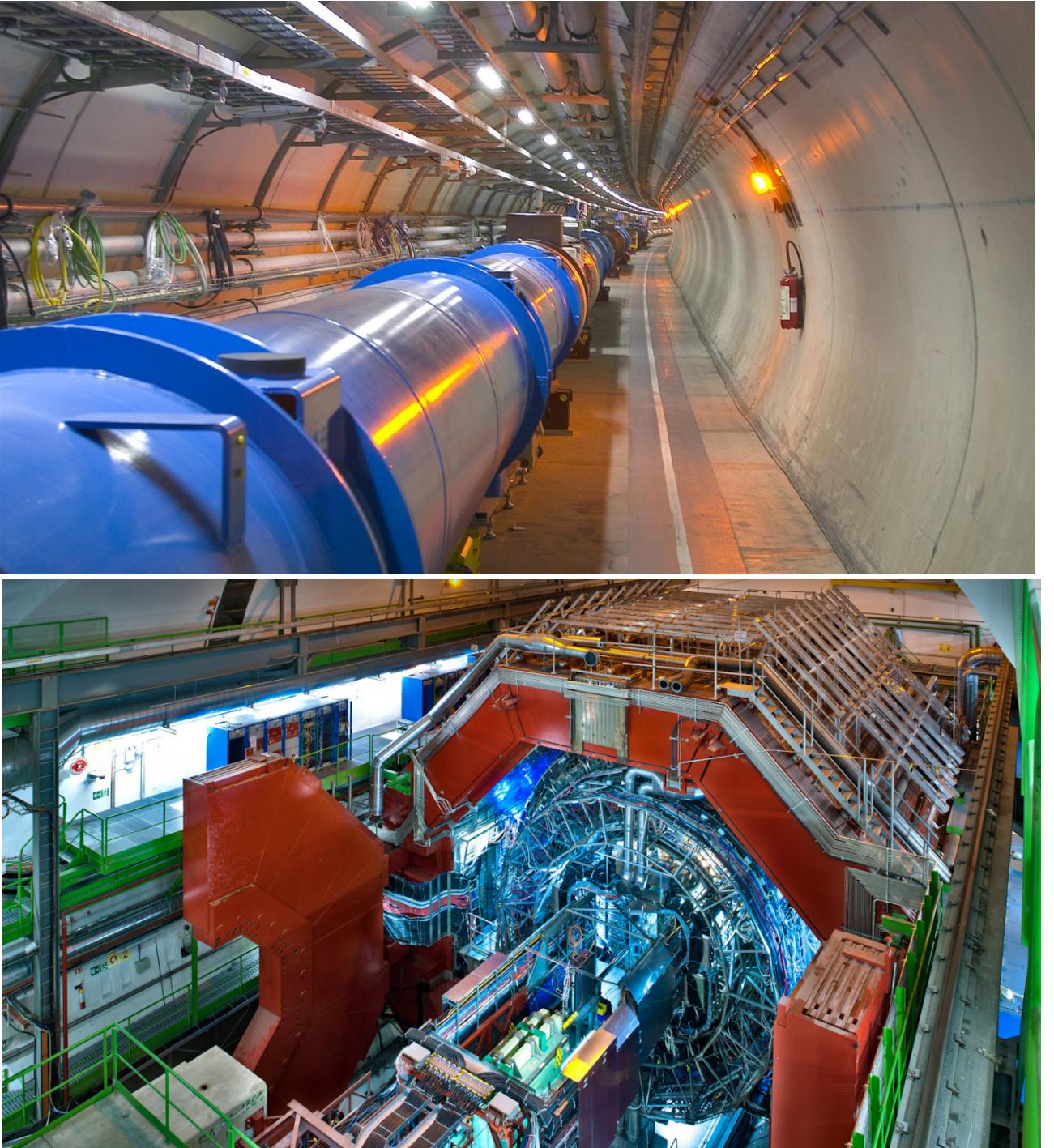


ALICE Detector

# Heavy-ion collisions



Large Hadron Collider, CERN, Switzerland



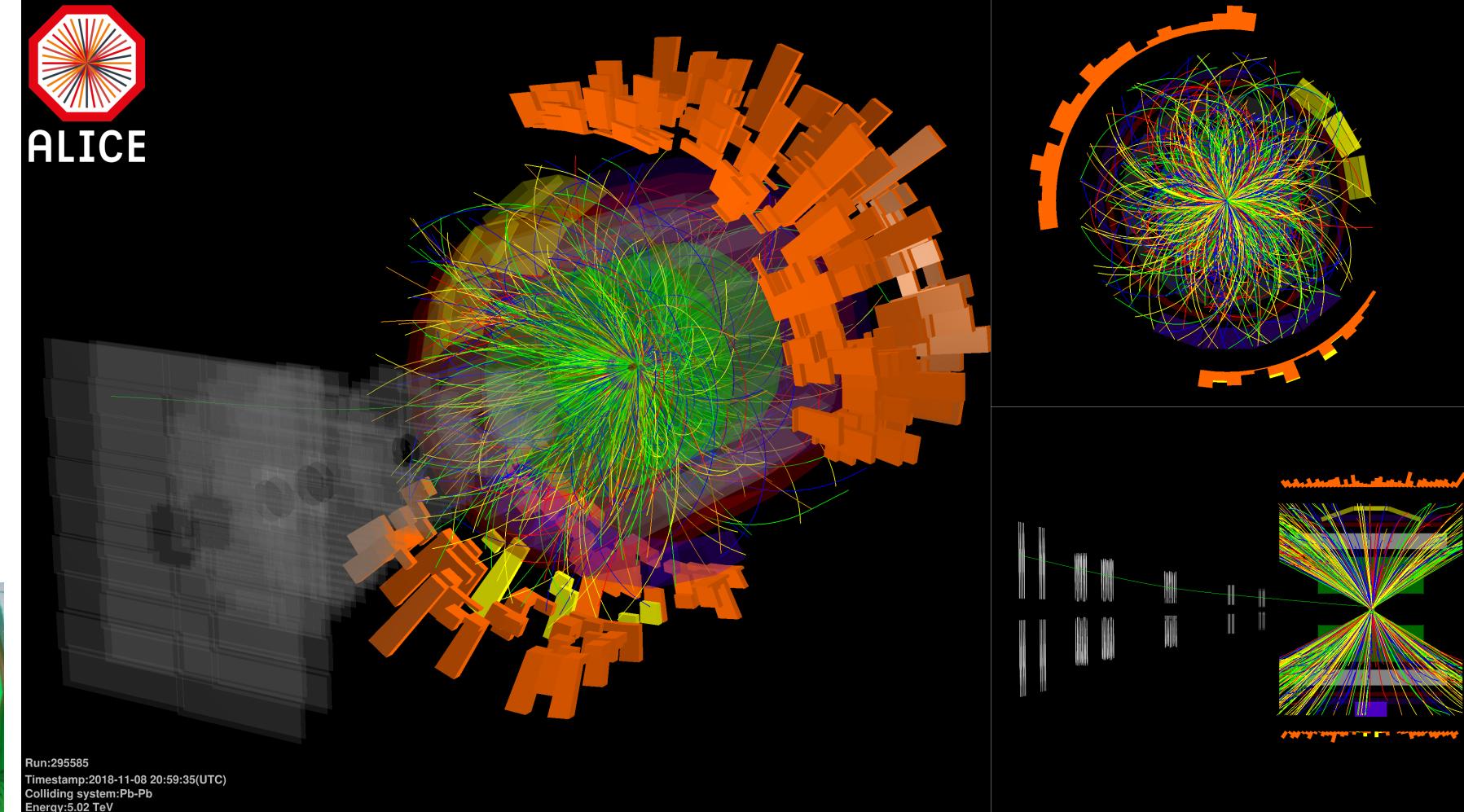
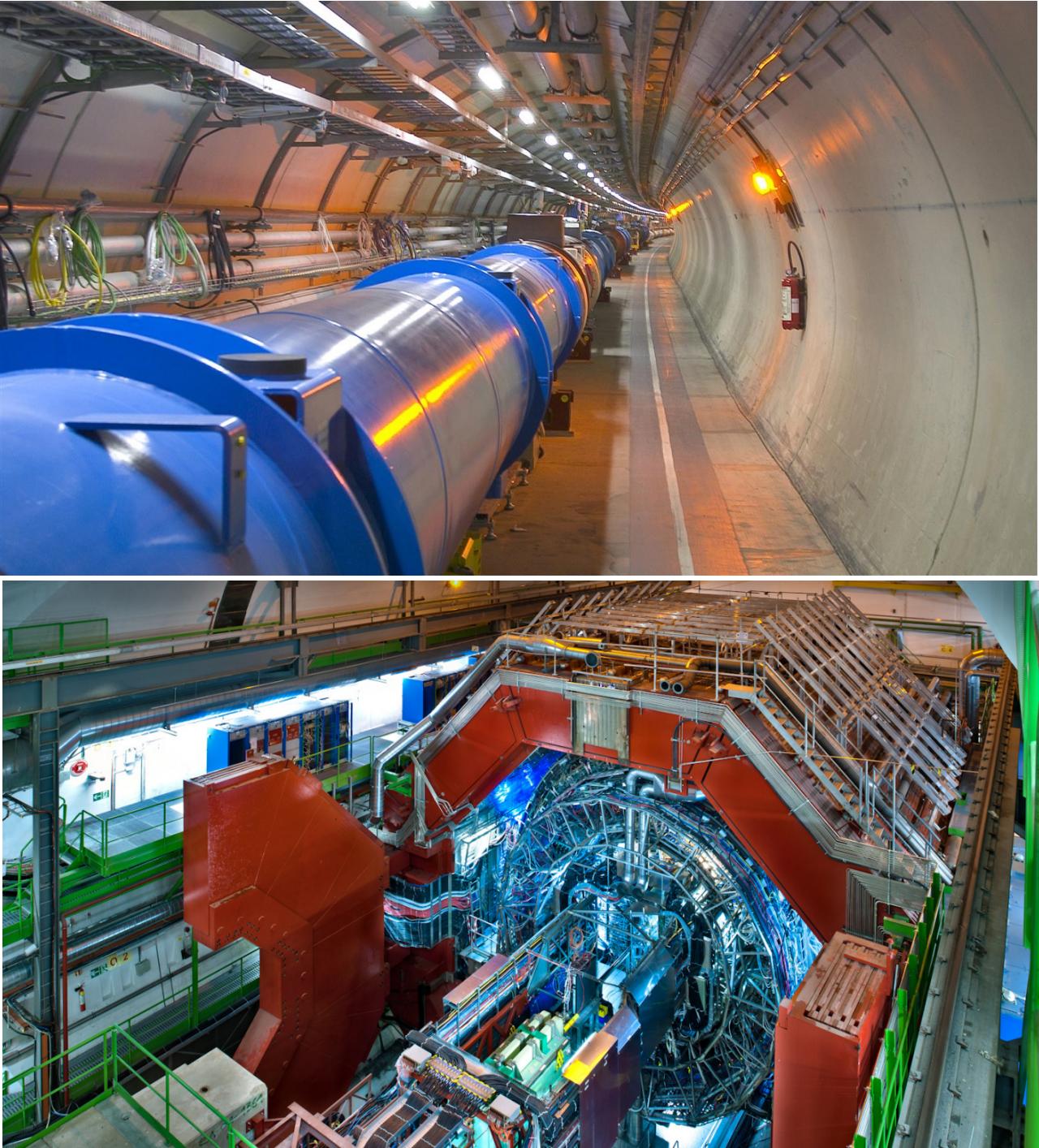
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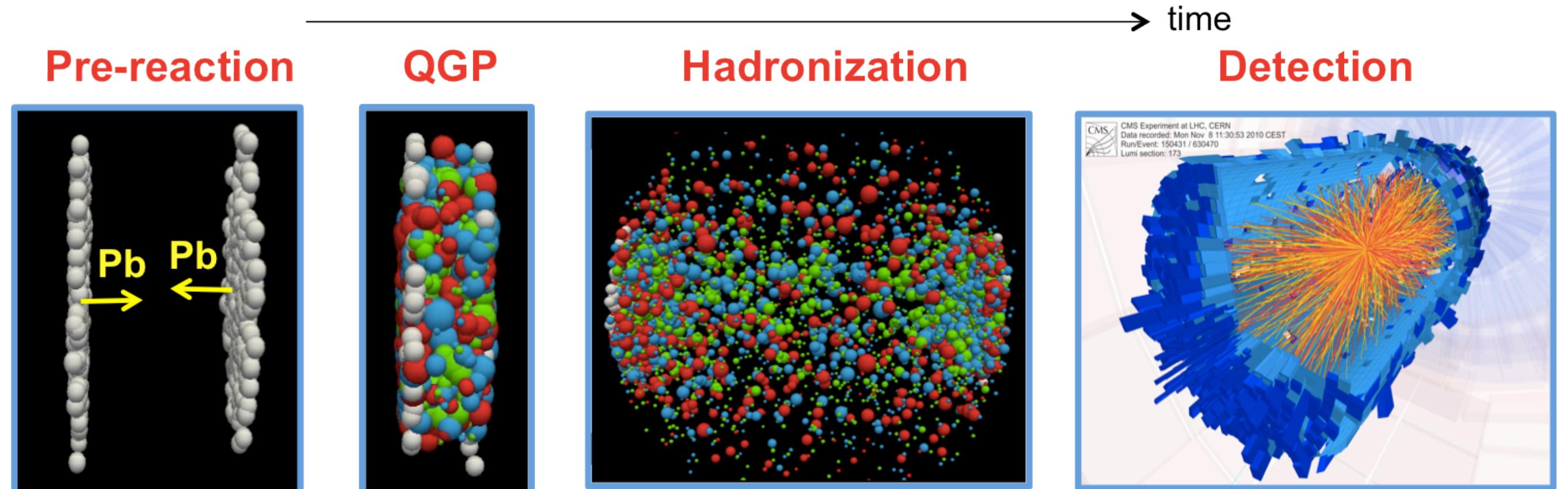


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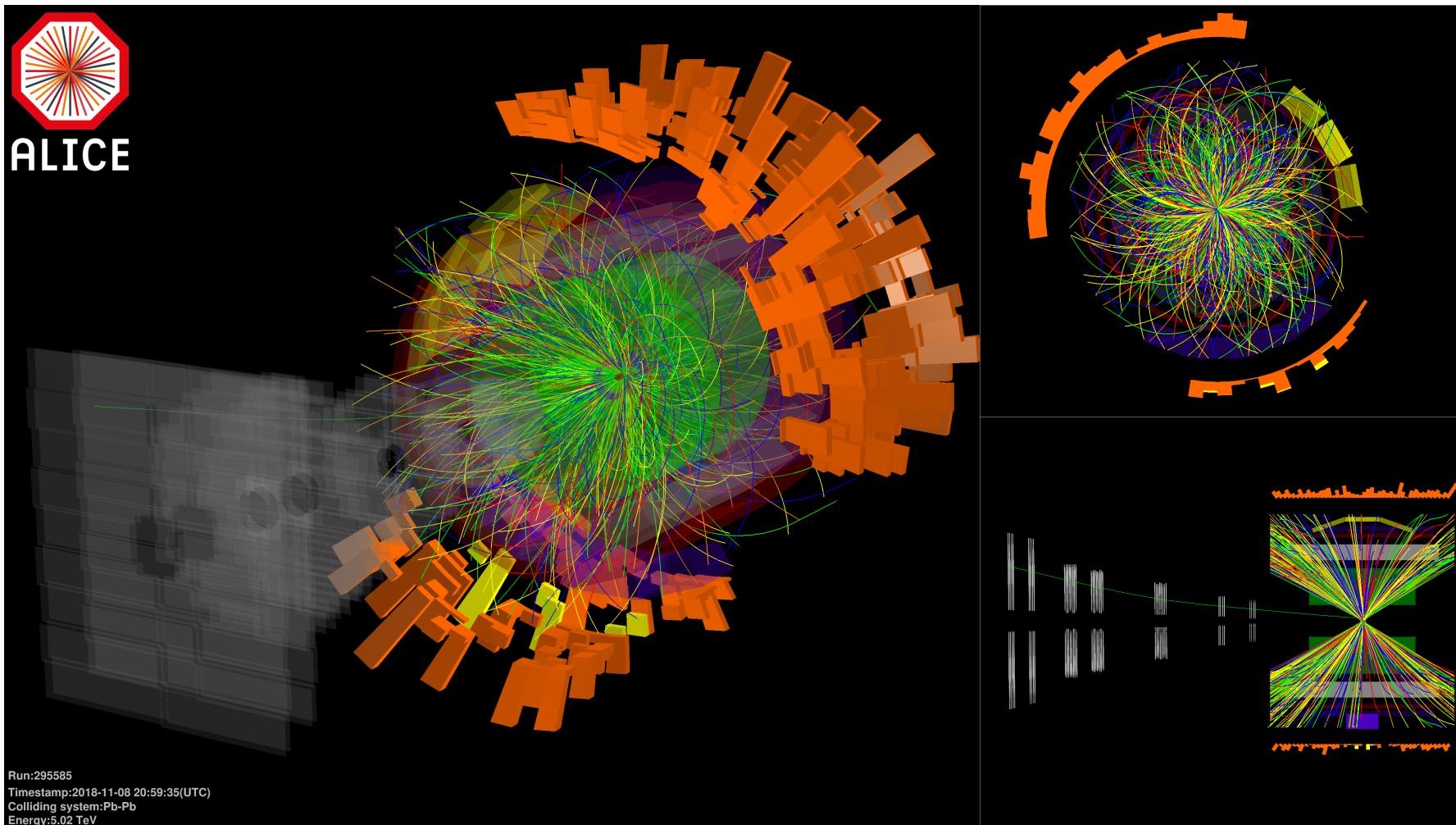
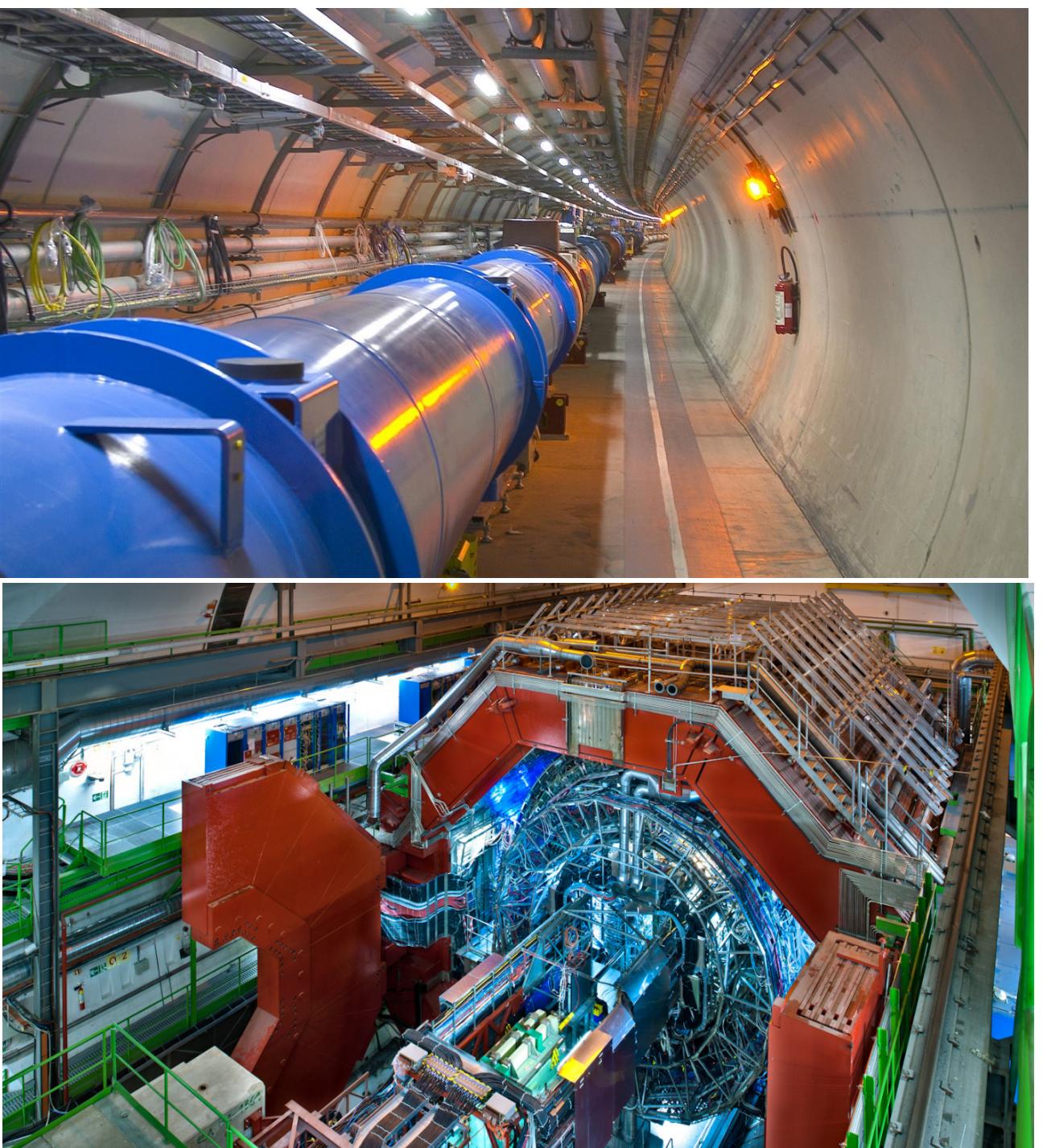
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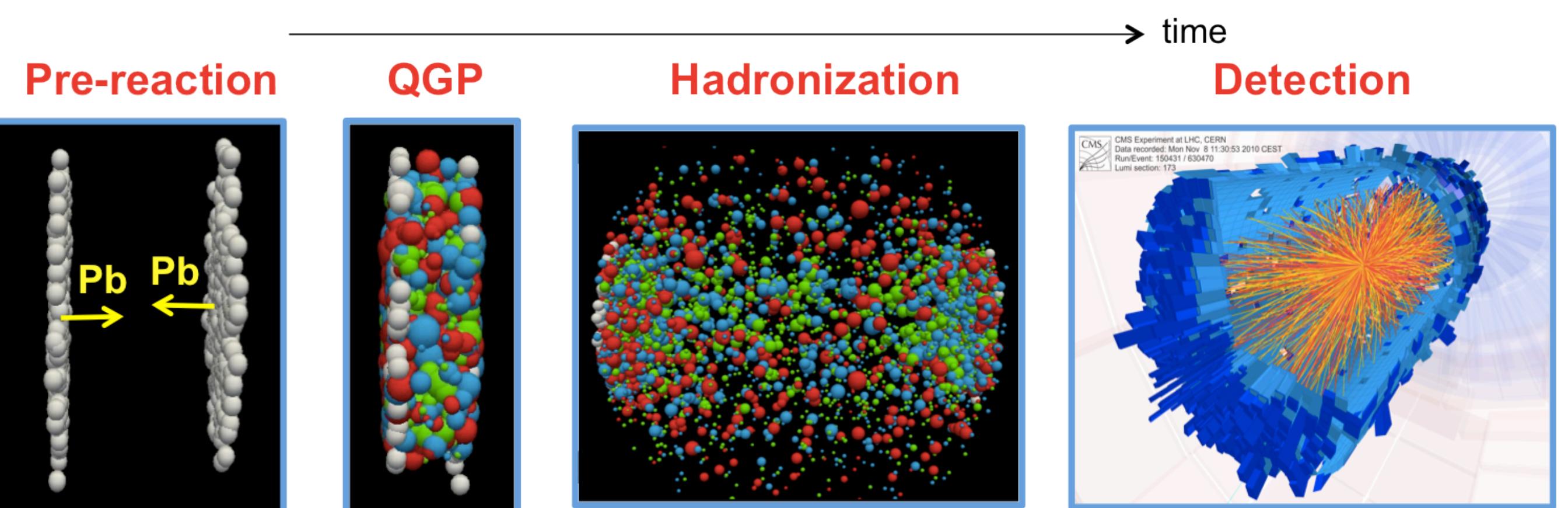
Large Hadron Collider, CERN, Switzerland



<https://cds.cern.ch/record/2108293>

ALICE Detector

- Lorentz contracted discs
- Energy deposition:  $0.5 \text{ GeV/fm}^3$
- Quark-gluon plasma



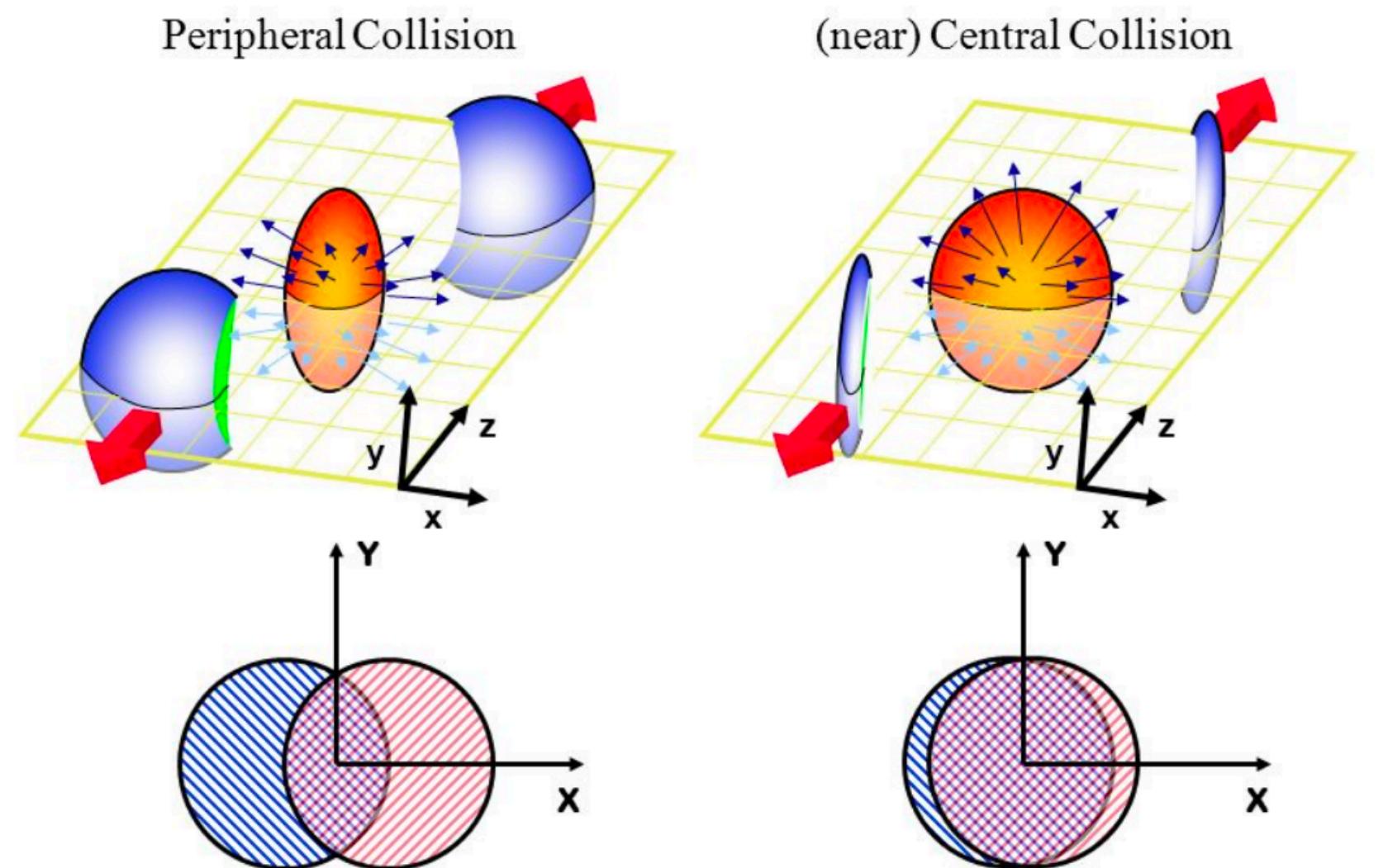
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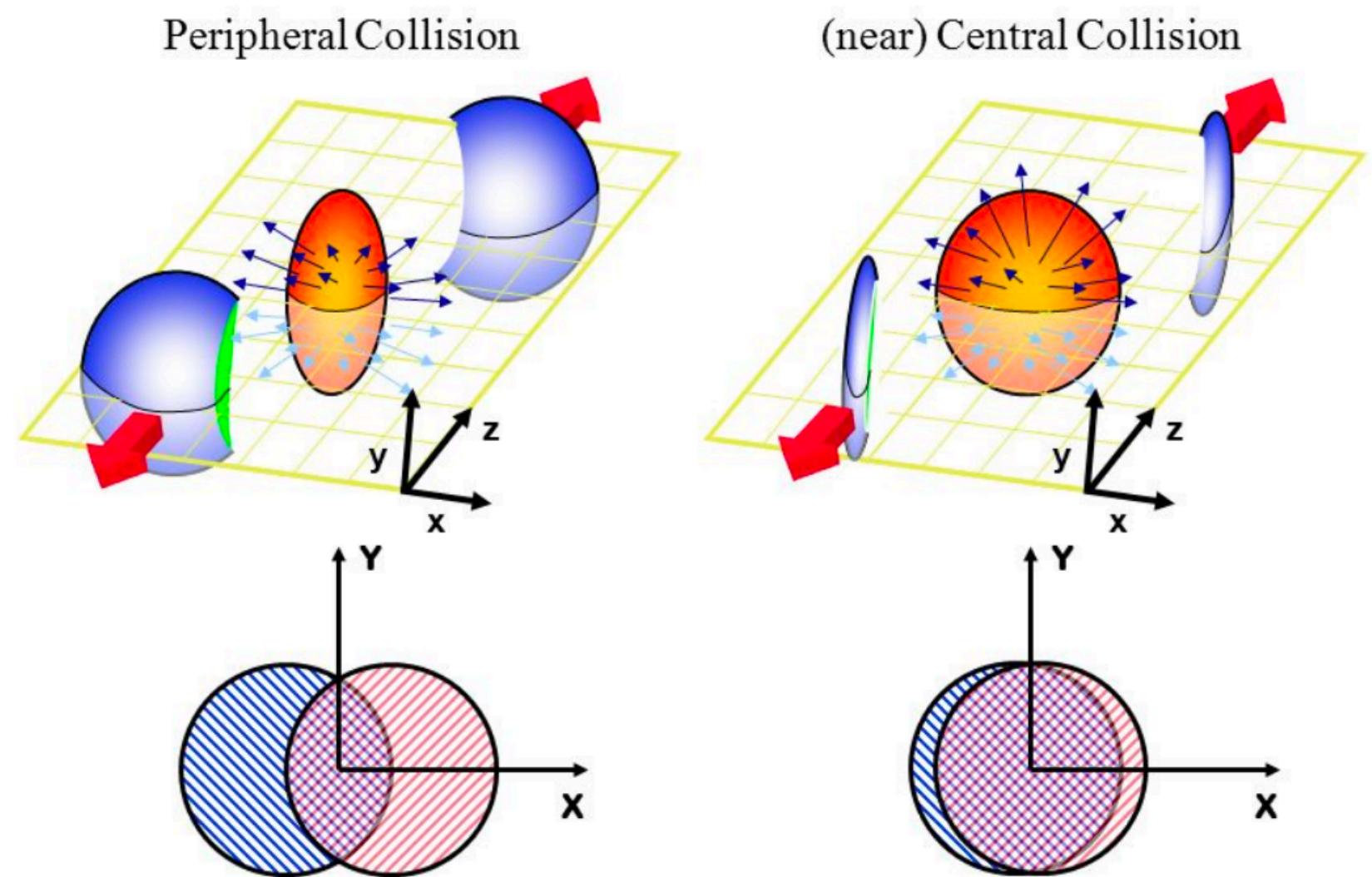
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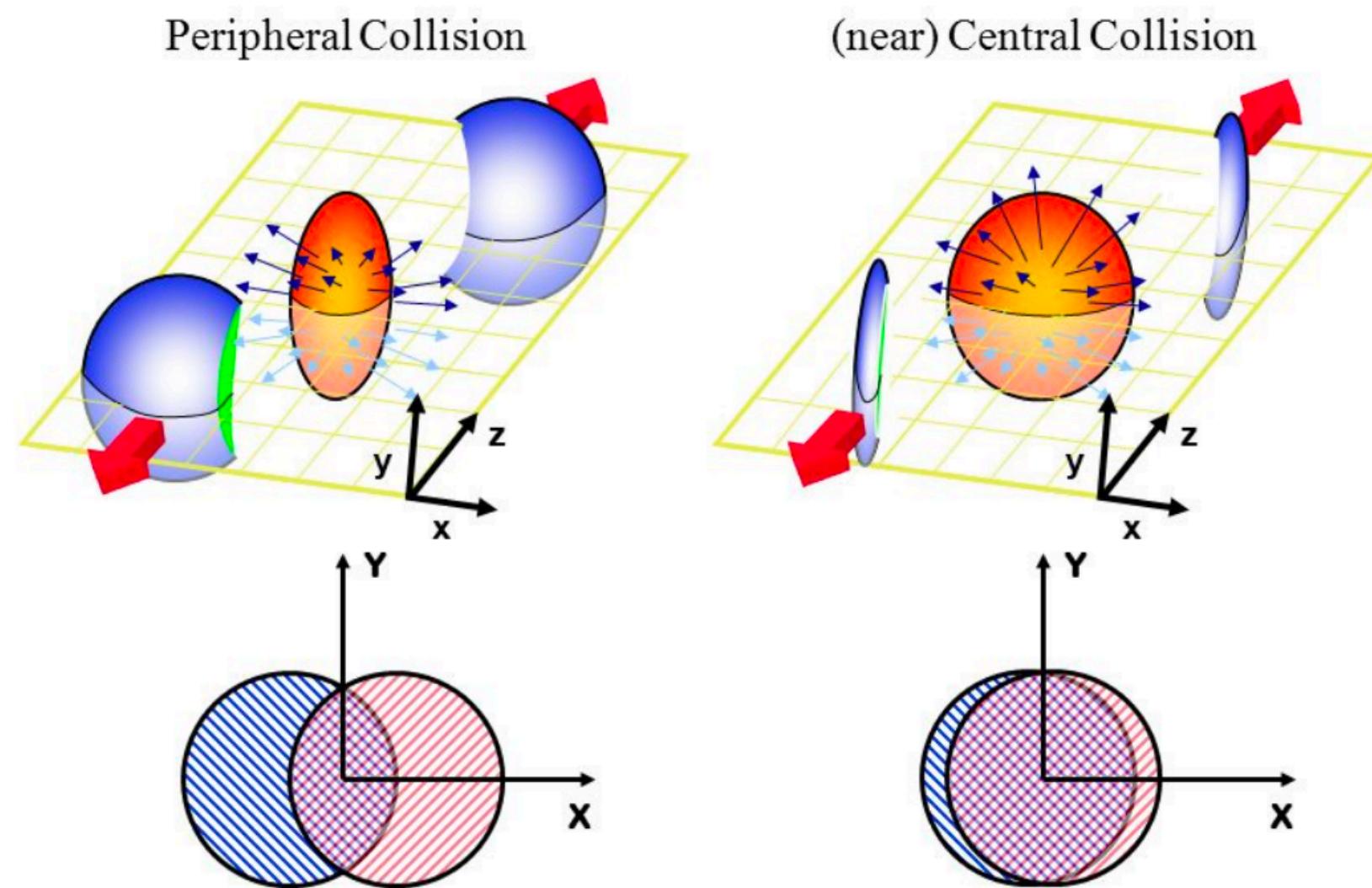


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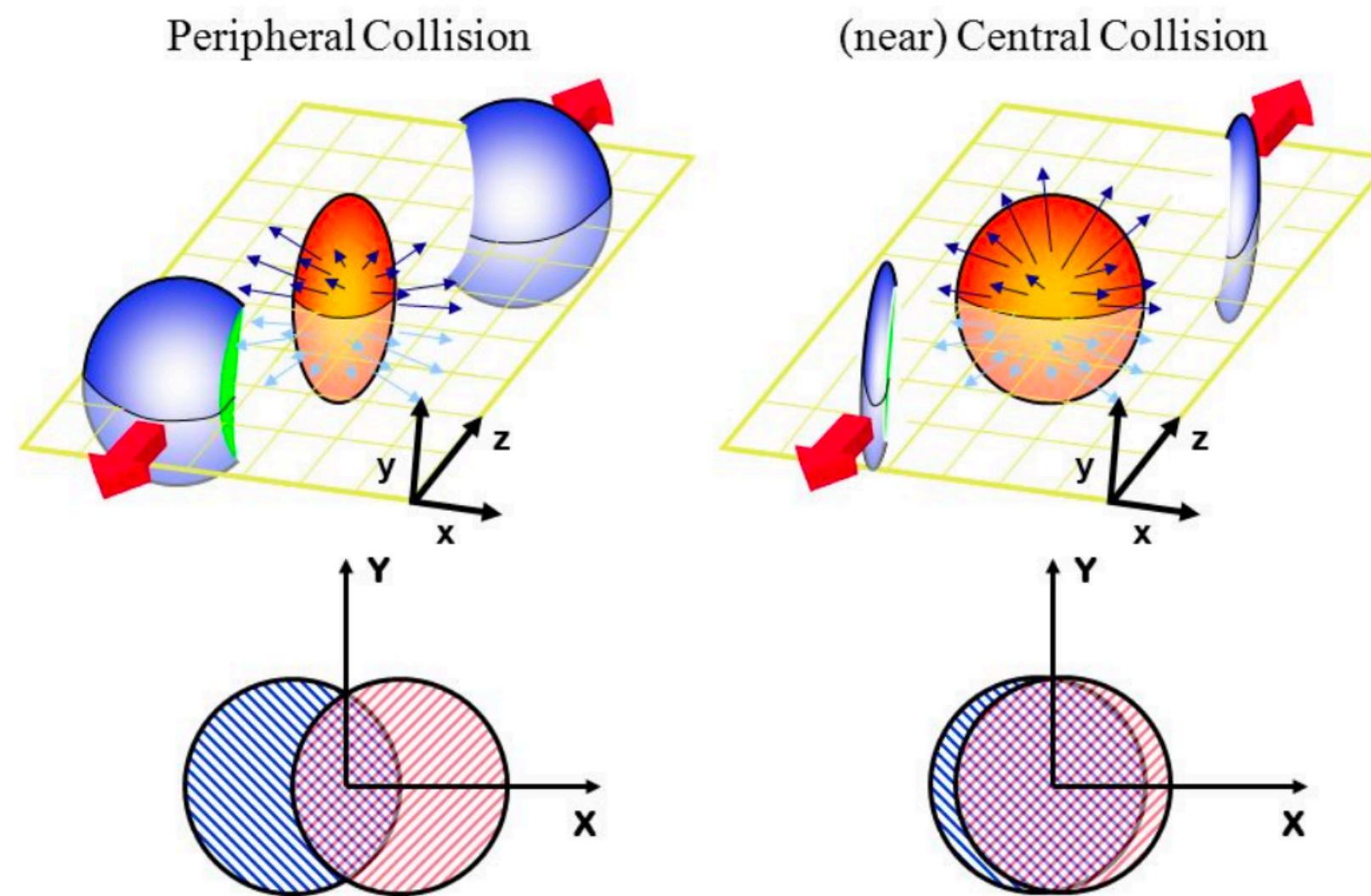
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# Transverse Spherocity ( $S_0$ )

- Transverse Spherocity distinguishes hard and soft processes
- In pp collisions,
  1. **Jetty**: Back-to-back structure, indication of hard-QCD
  2. **Isotropic**: soft-QCD process
- Dominance of isotropic events in high multiplicity pp collisions
- $\langle p_T \rangle$  is higher for jetty events
- $S_0$  has multiplicity and centrality dependence

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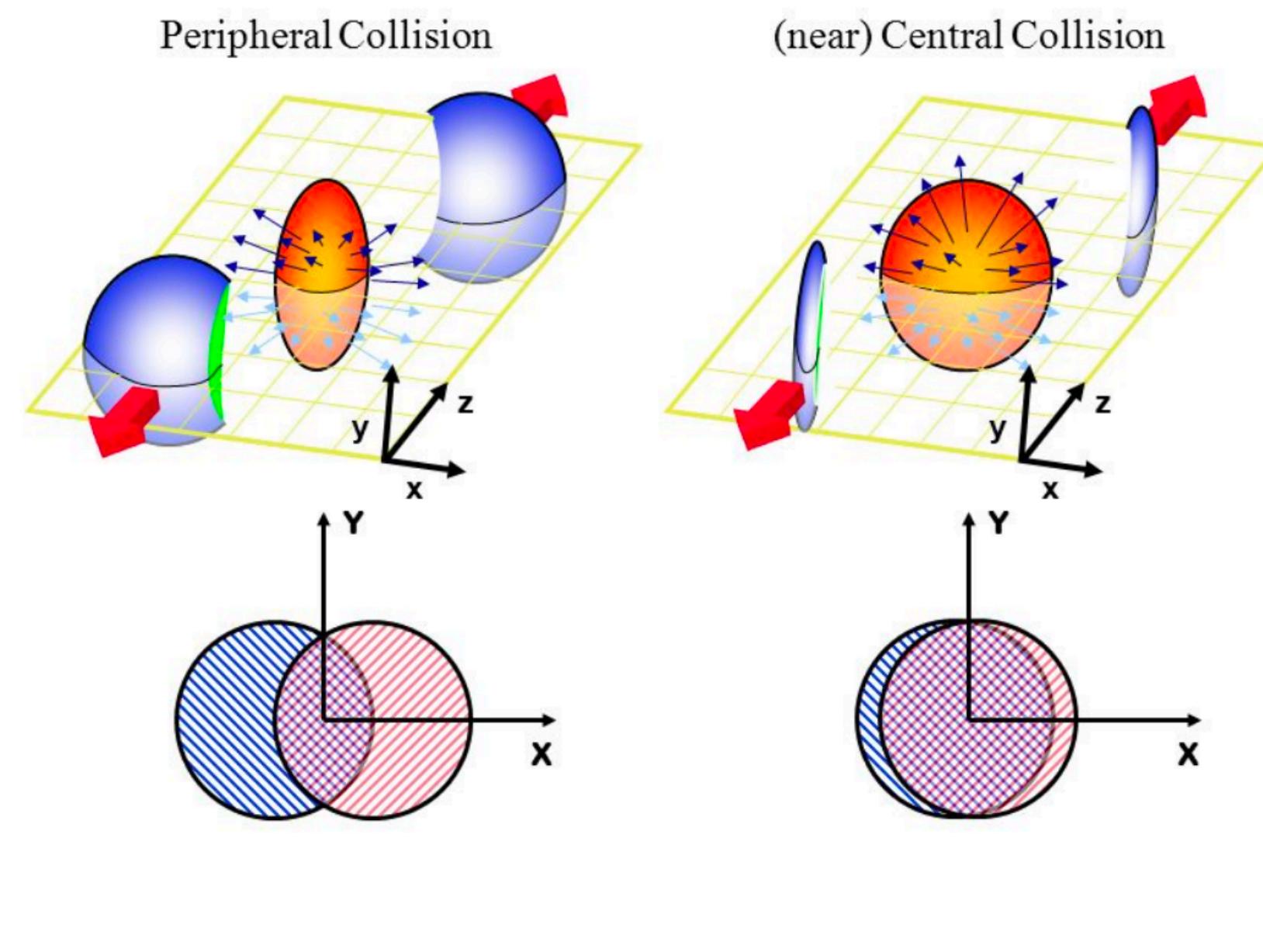
$$S_0 = \frac{\pi^2}{4} \times \min_{\hat{n} = (n_x, n_y, 0)} \left( \frac{\sum_i |\vec{p}_{T_i} \times \hat{n}|}{\sum_i \vec{p}_{T_i}} \right)^2$$

$$p_T = \sqrt{p_x^2 + p_y^2}$$

A. Khuntia et al., J. Phys. G48, 035102

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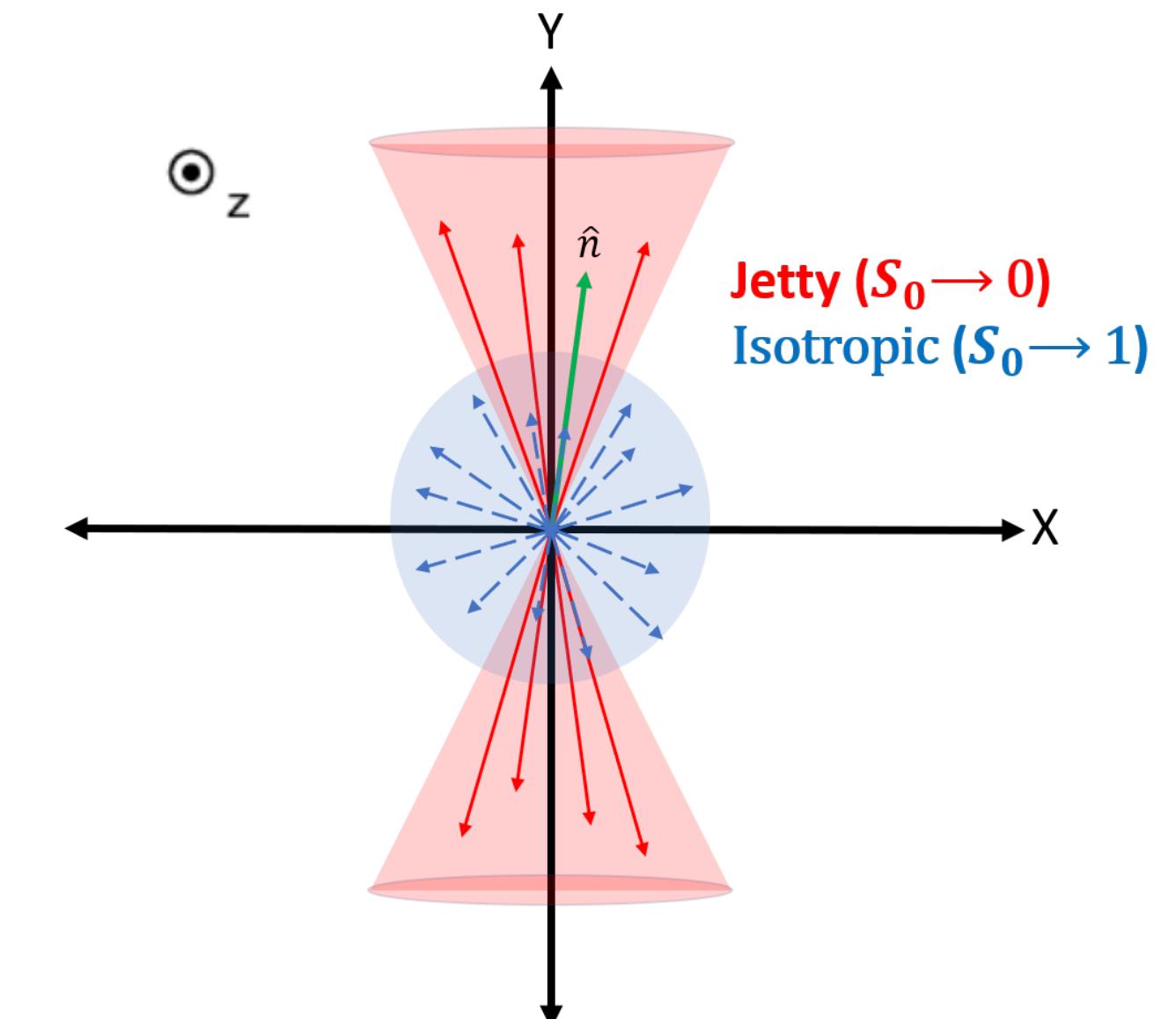
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Schematic picture showing possible **jetty** and **isotropic** event formations

# Estimation of Impact parameter and spherocity

N. Mallick, S. Tripathy, A. N. Mishra, S. Deb, and R. Sahoo, [Phys. Rev. D103, 094031 \(2021\)](#)

# Estimation of Impact parameter and spherocity

- Pearson's correlation coefficient

$$\rho = \frac{\text{cov}(x, y)}{\sigma_x \sigma_y}$$

- Defines the degree of correlation
- Input Variables:  $\langle dN_{ch}/d\eta \rangle$ ,  $\langle N_{ch}^{TS} \rangle$  and  $\langle p_T \rangle$   
Output variable:  $b$  and  $S_0$
- Good correlation is seen among chosen input and output variables
- The algorithm tries to understand the correlation and exploit the features to arrive on a conclusion (a number)

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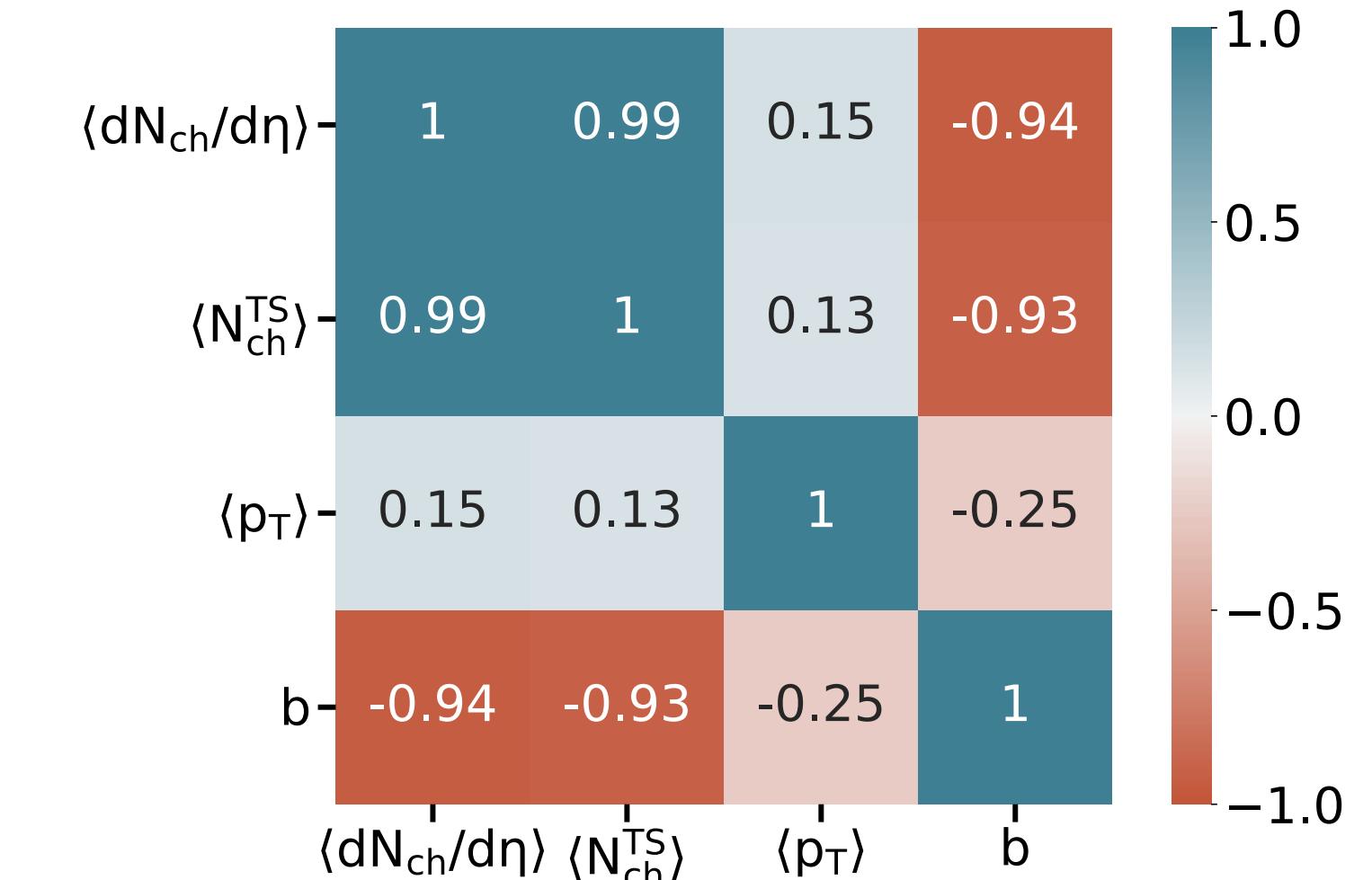
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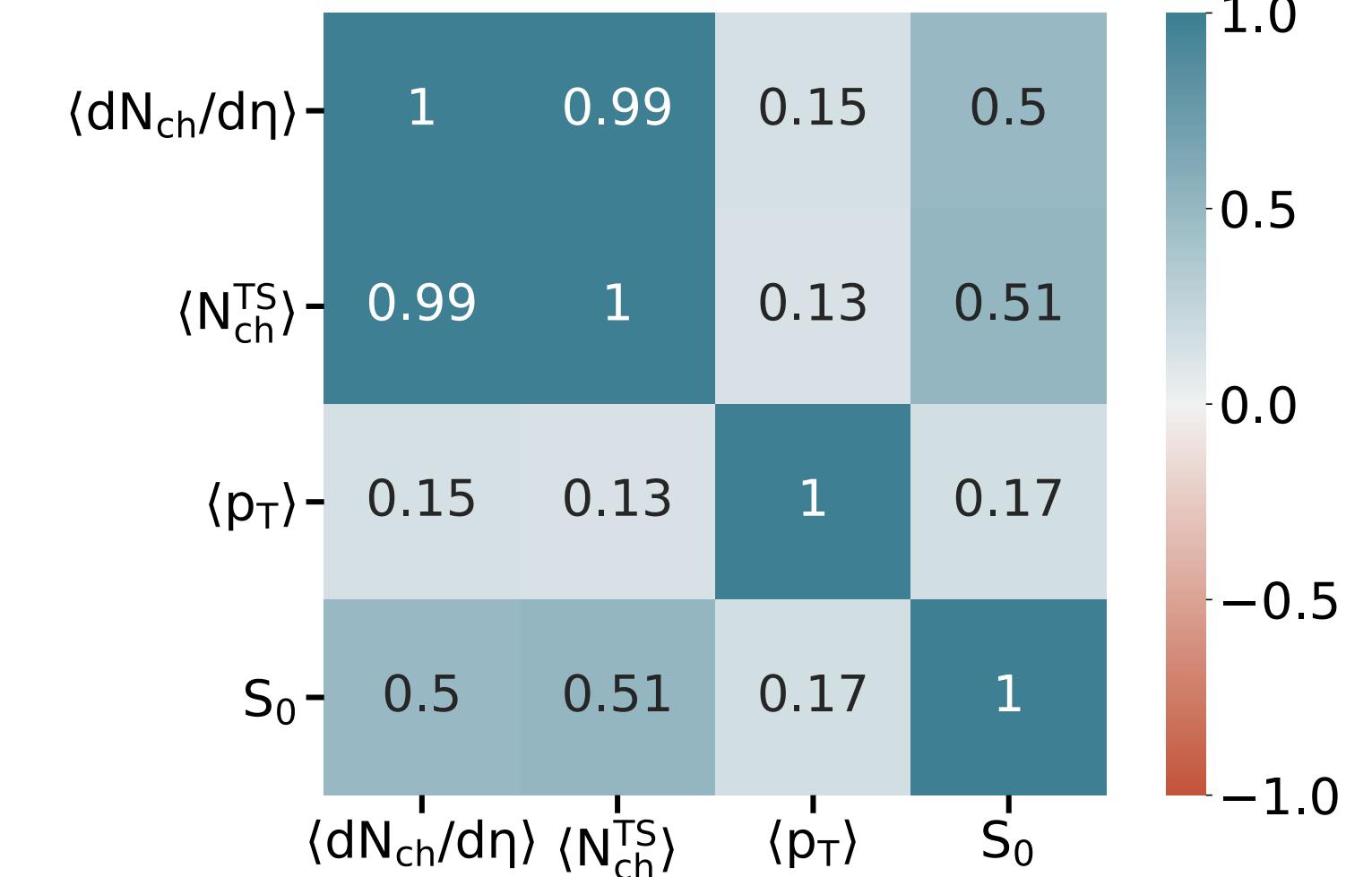
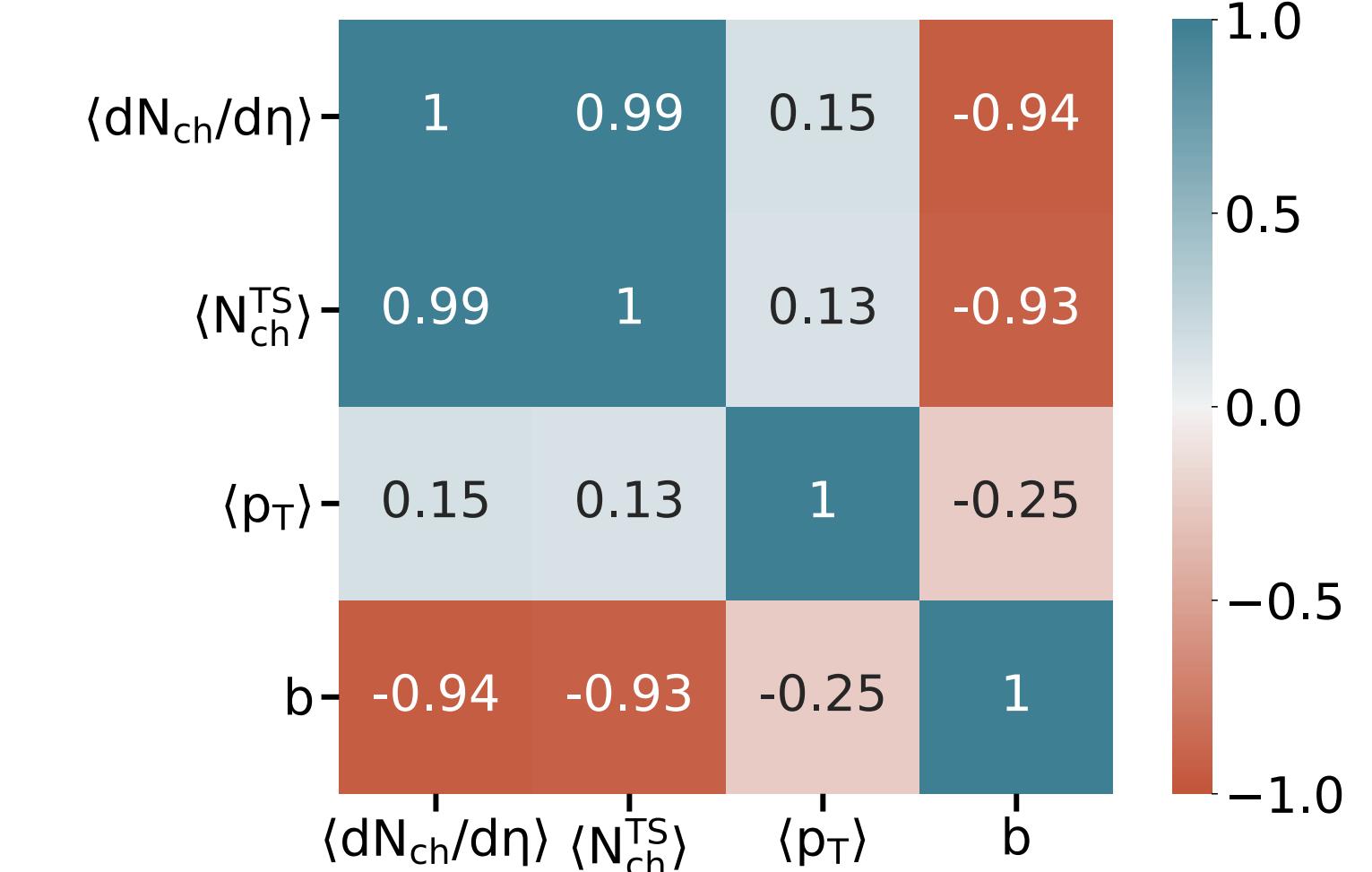
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## Parameters in the GBDT-ML Model

- Loss Function: Least Square Loss
- Small learning rate = 0.1
- Number of trees = 100
- Training Size: 60,000 events (min. bias)

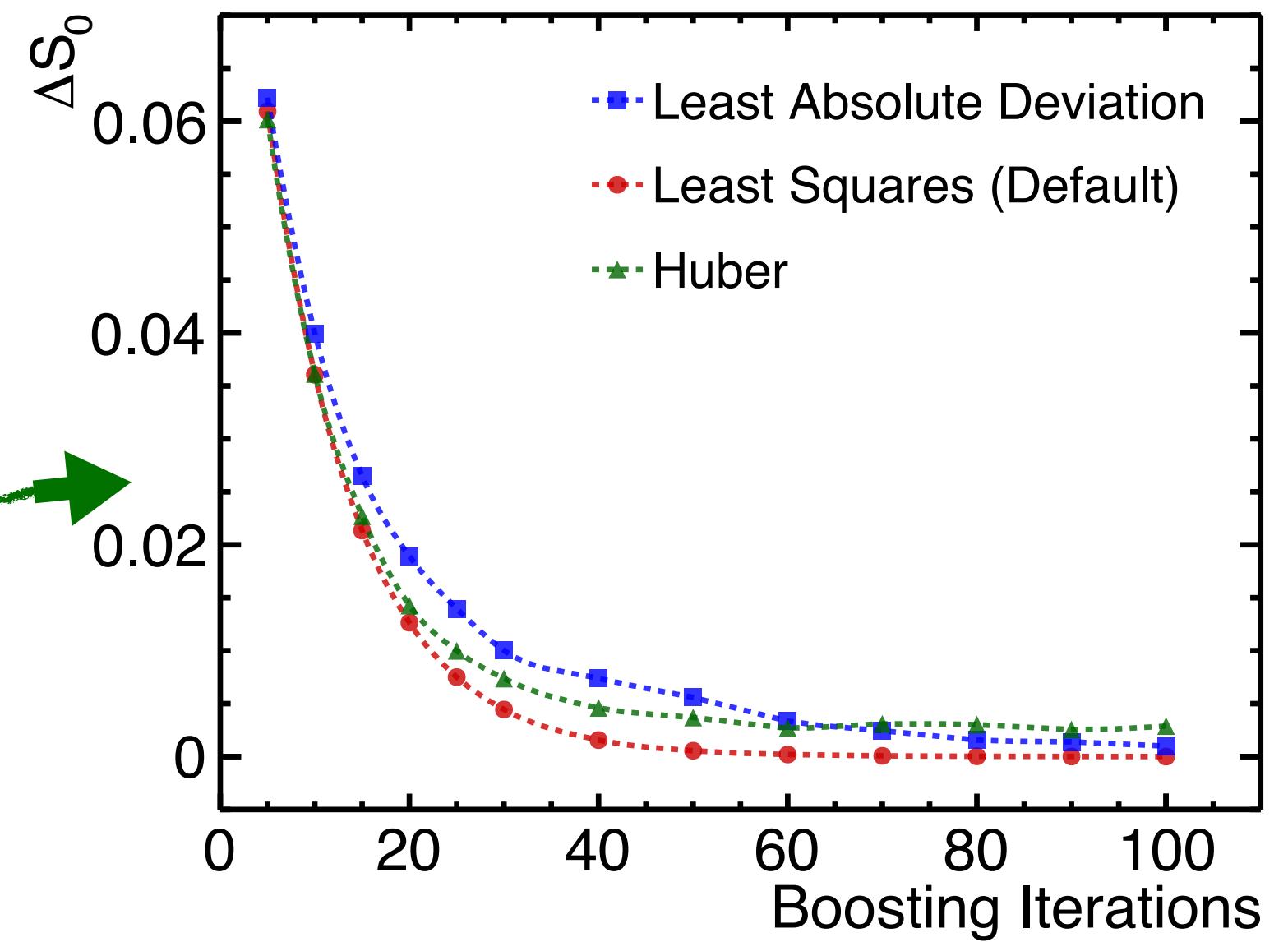
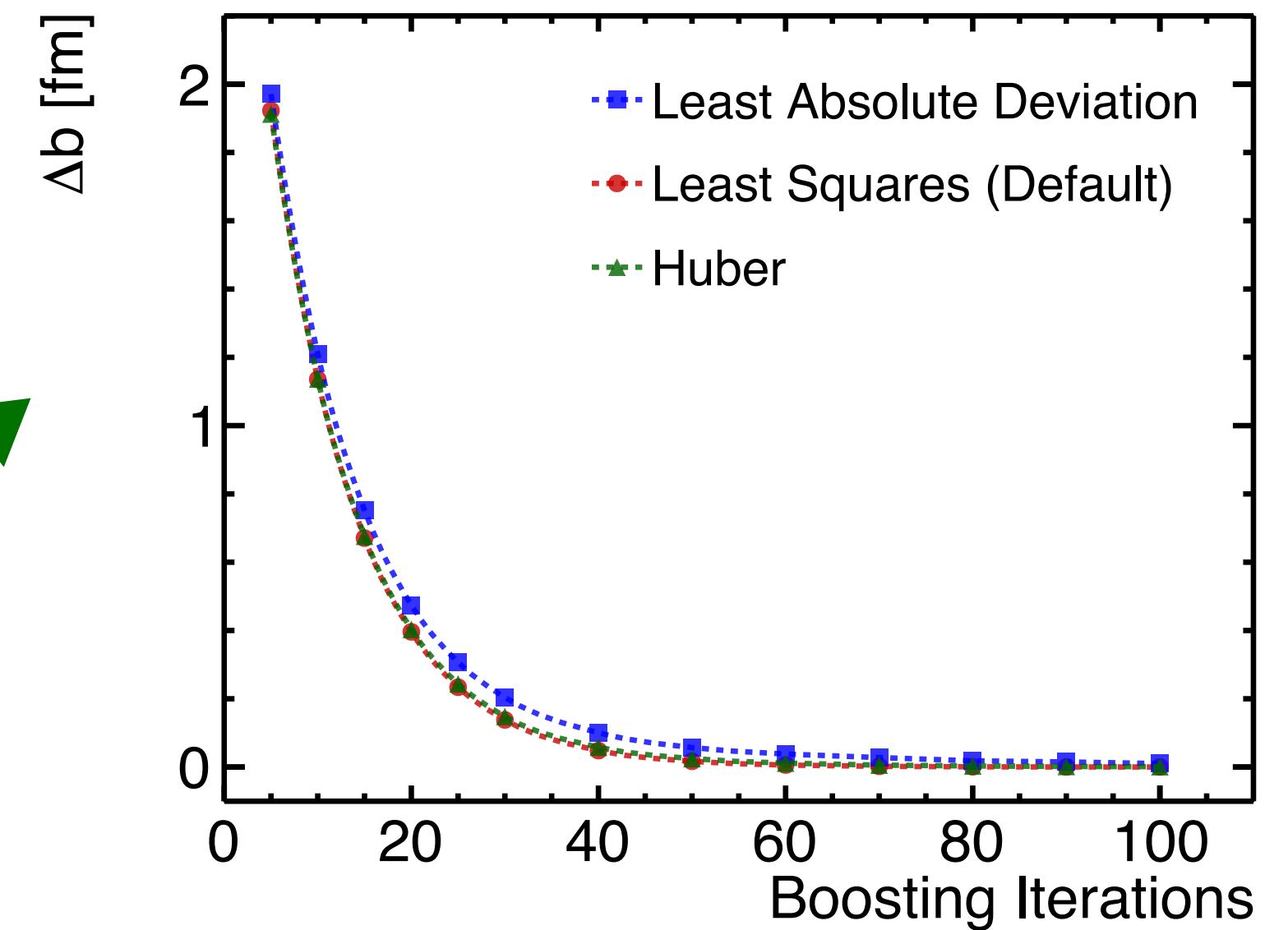
$$\Delta S_0 = \frac{1}{N_{\text{events}}} \sum_{n=1}^{N_{\text{events}}} |S_{0_n}^{\text{true}} - S_{0_n}^{\text{pred.}}|$$

Size of training data	2K	10K	20K	40K	50K	60K
$\Delta b$ [fm] (Impact parameter)	0.71	0.62	0.58	0.53	0.52	0.52
$\Delta S_0$ (Spherocity)	0.079	0.068	0.062	0.058	0.056	0.055

J. H. Friedman, Ann. Stat. 29, 1189 (2001).

L. Breiman, J. H. Friedman, R. A. Olshen, and C. J. Stone, Classification and Regression Trees (Wadsworth & Brooks/Cole Advanced Books & Software, Monterey, CA, 1984), p. 358, <https://doi.org/10.1002/cyto.990080516>.

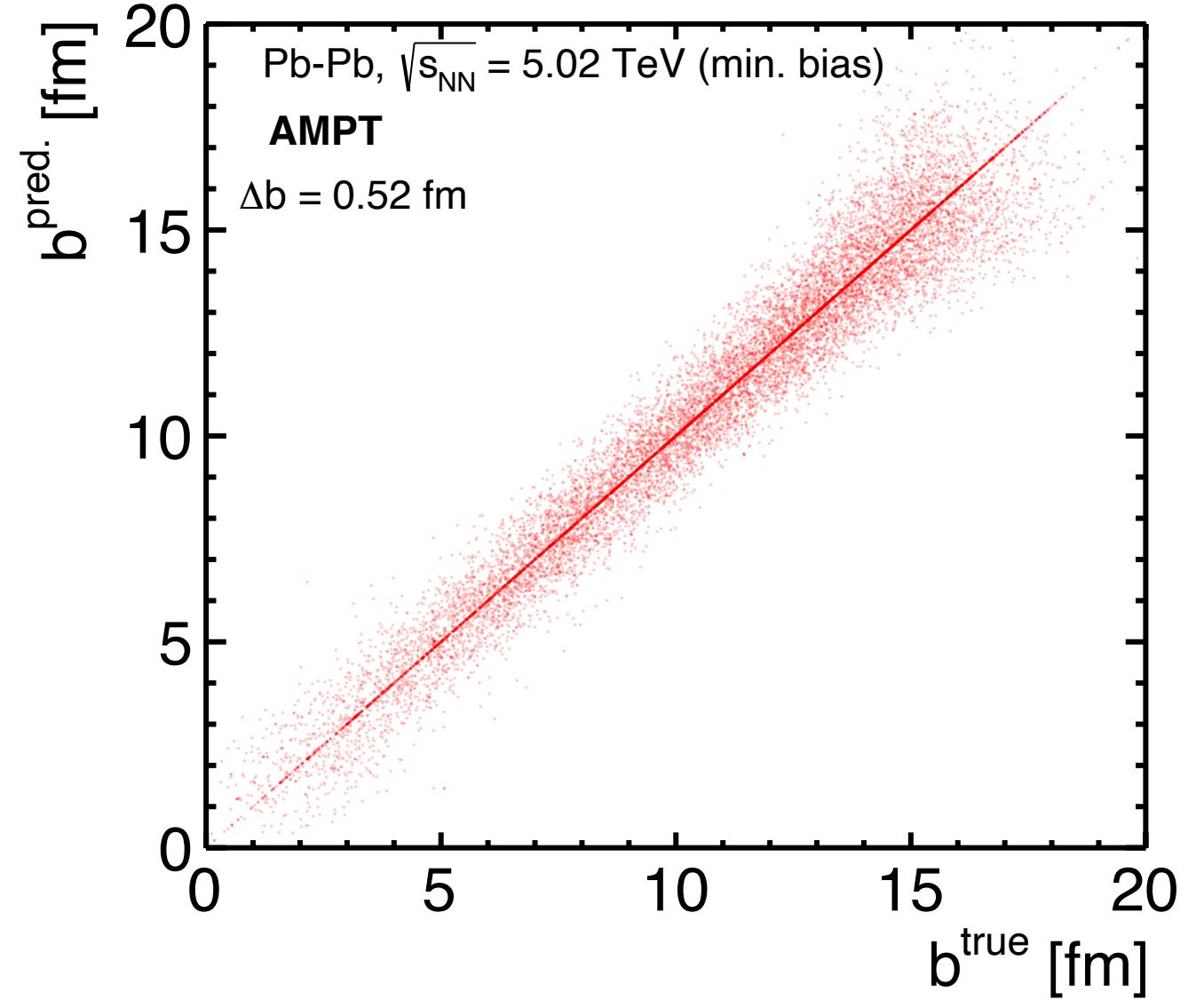
N. Mallick, S. Tripathy, A. N. Mishra, S. Deb, and R. Sahoo, Phys. Rev. D103, 094031 (2021)



# Results

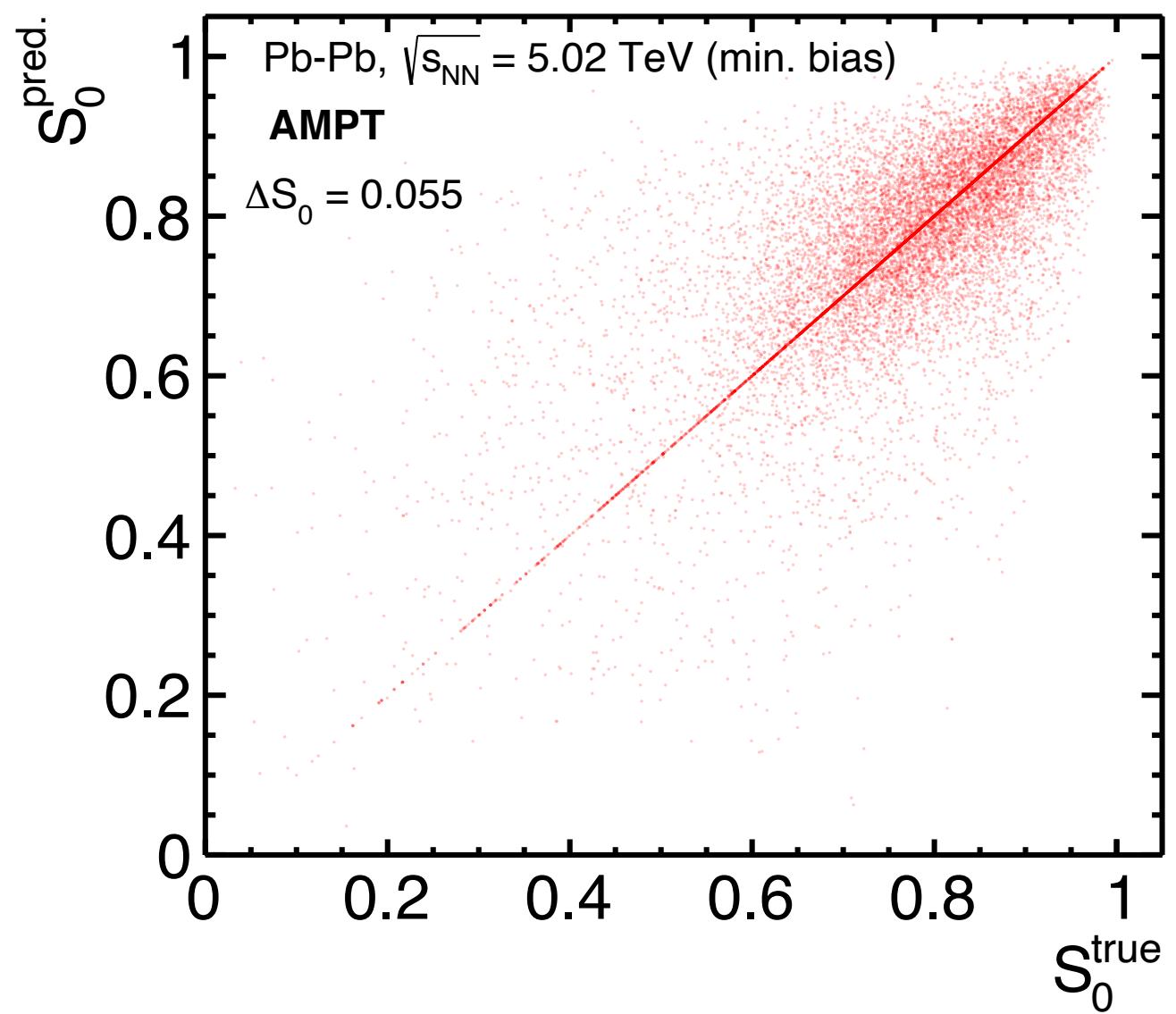
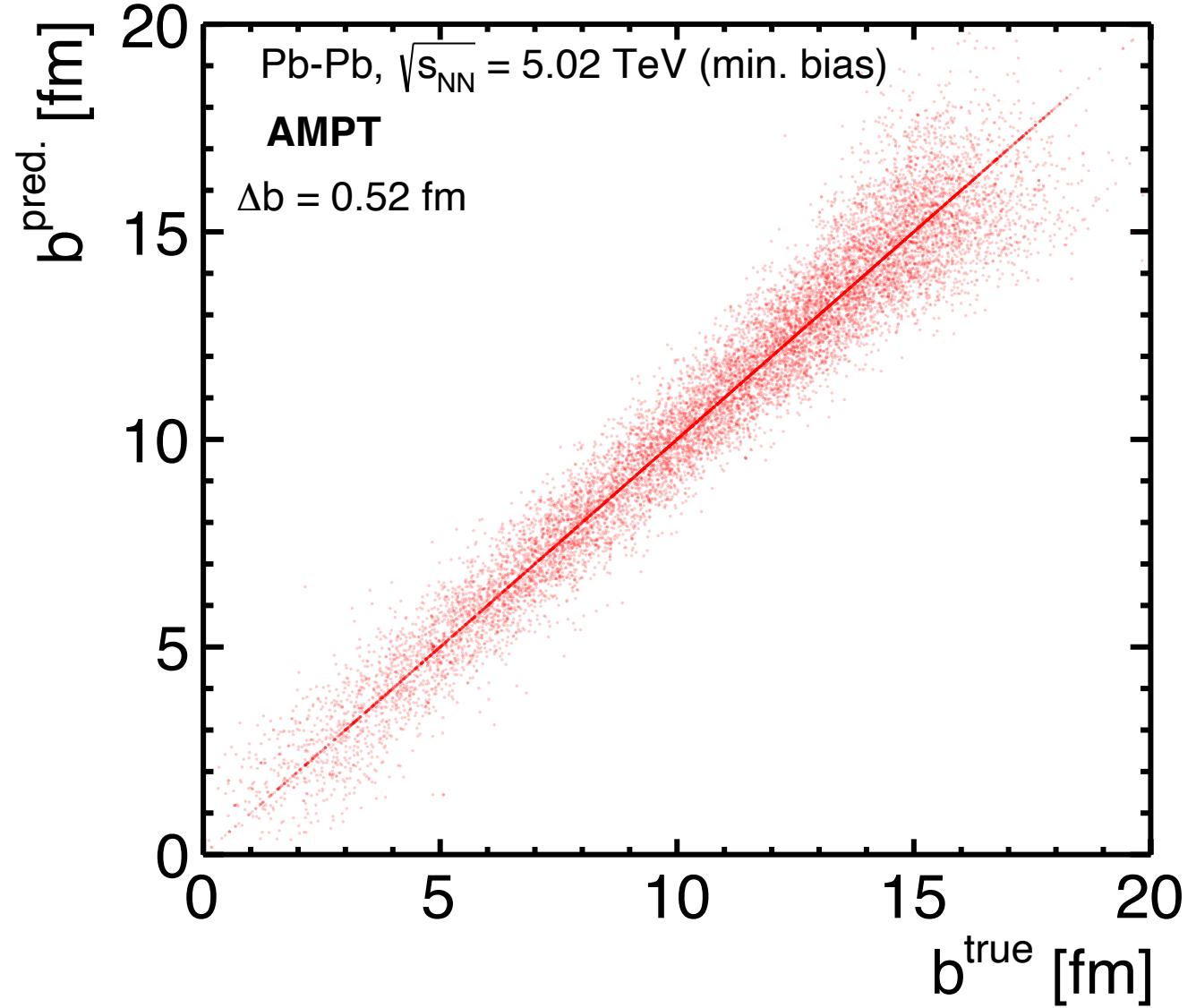
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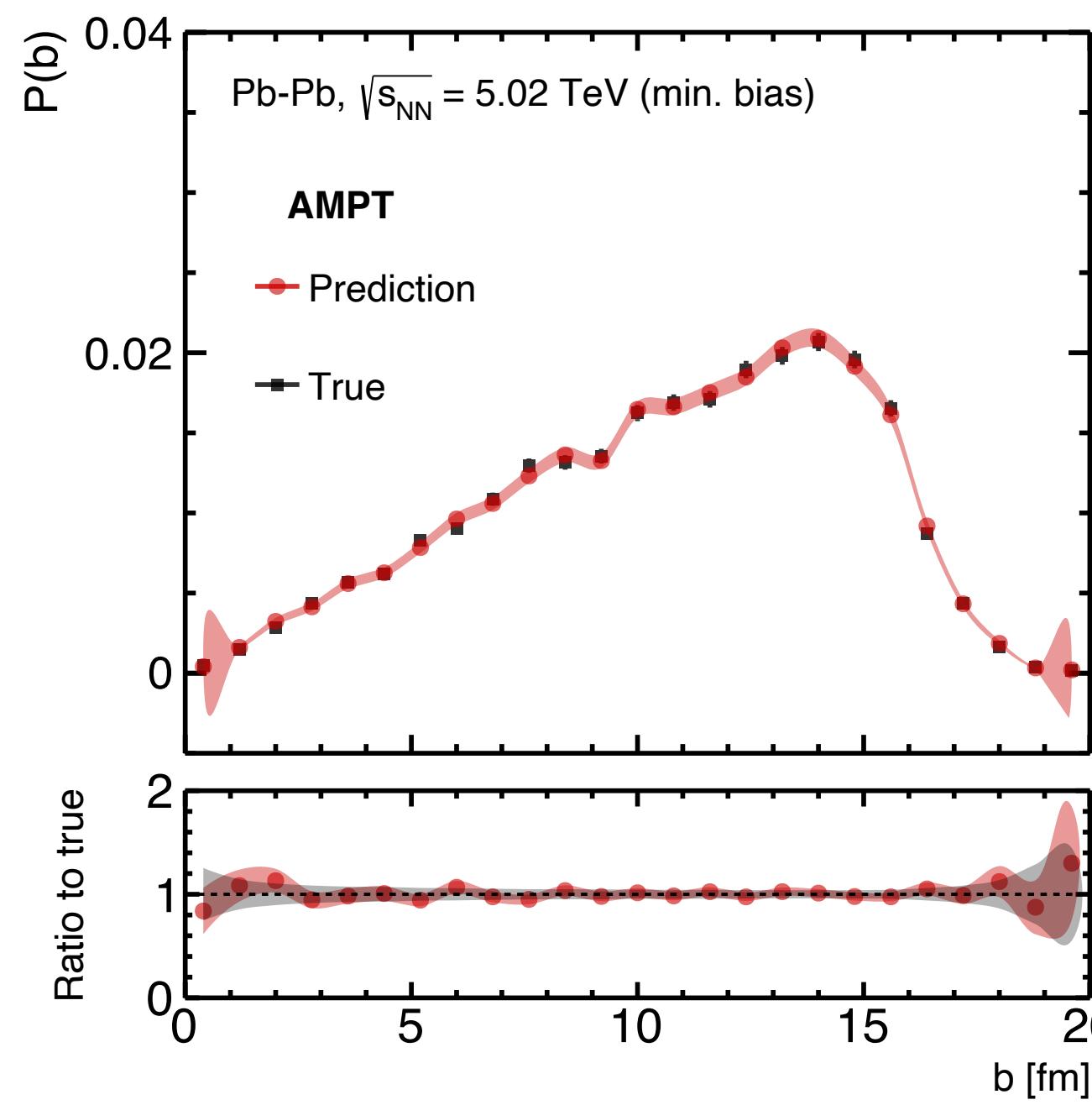
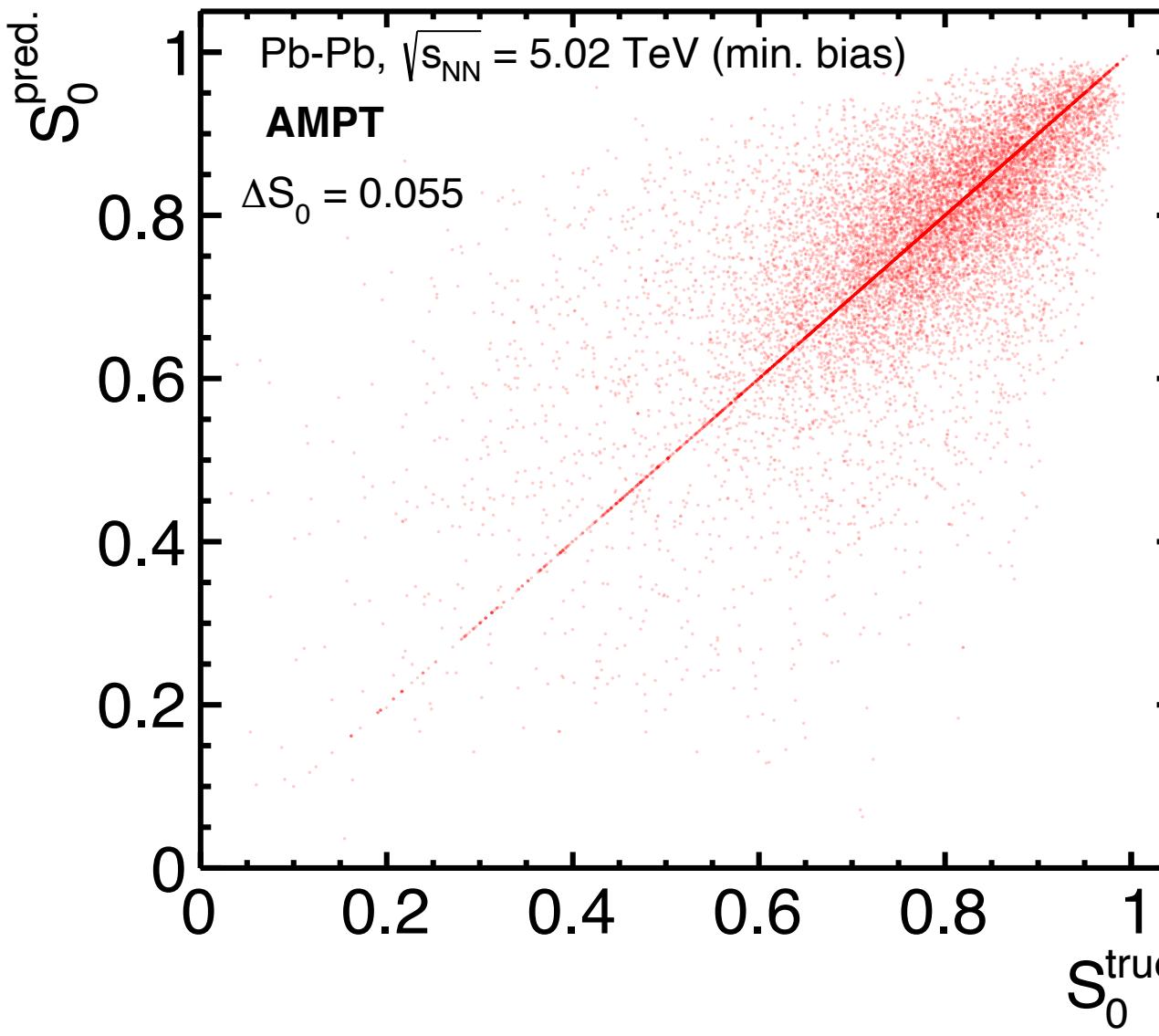
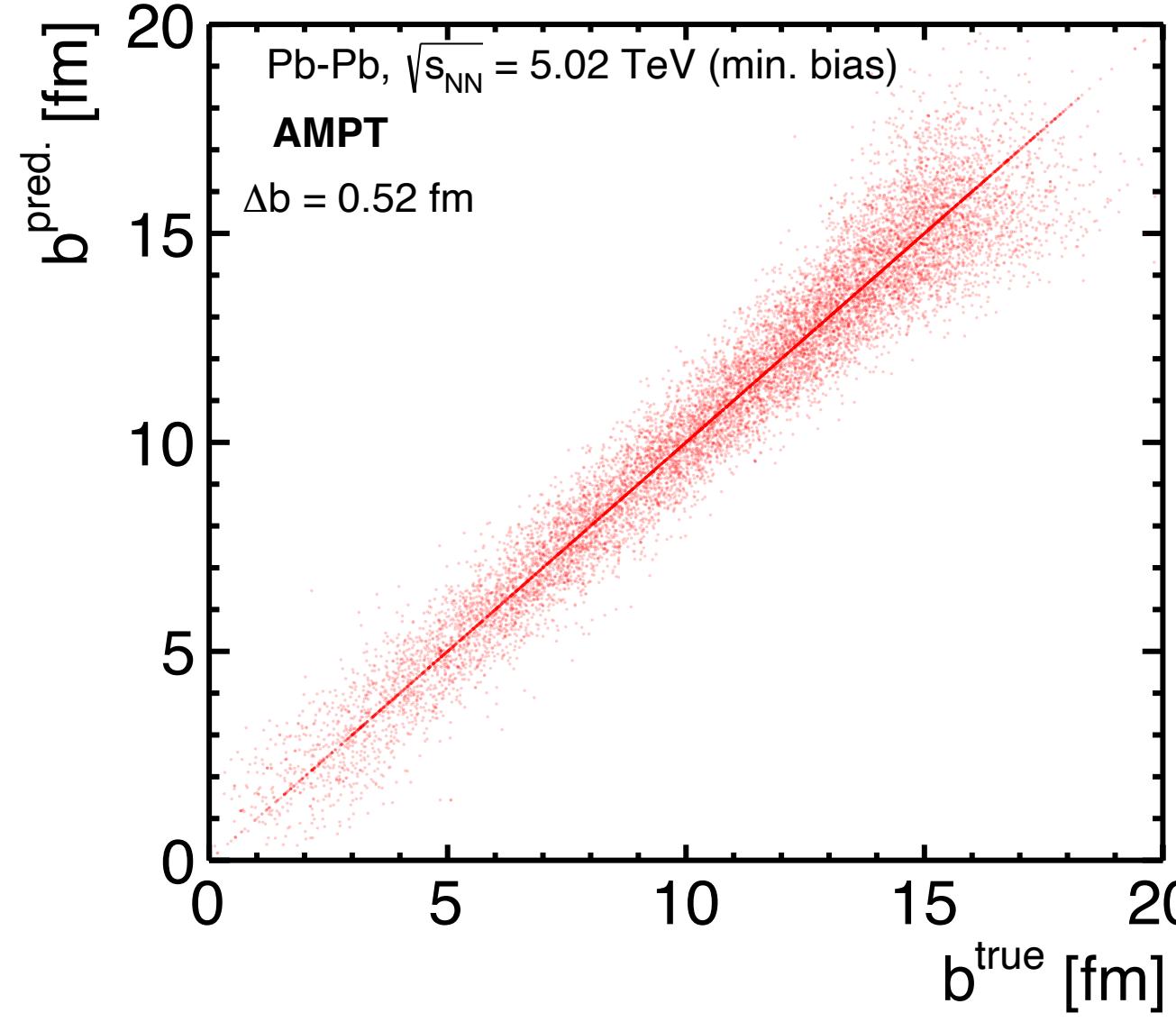
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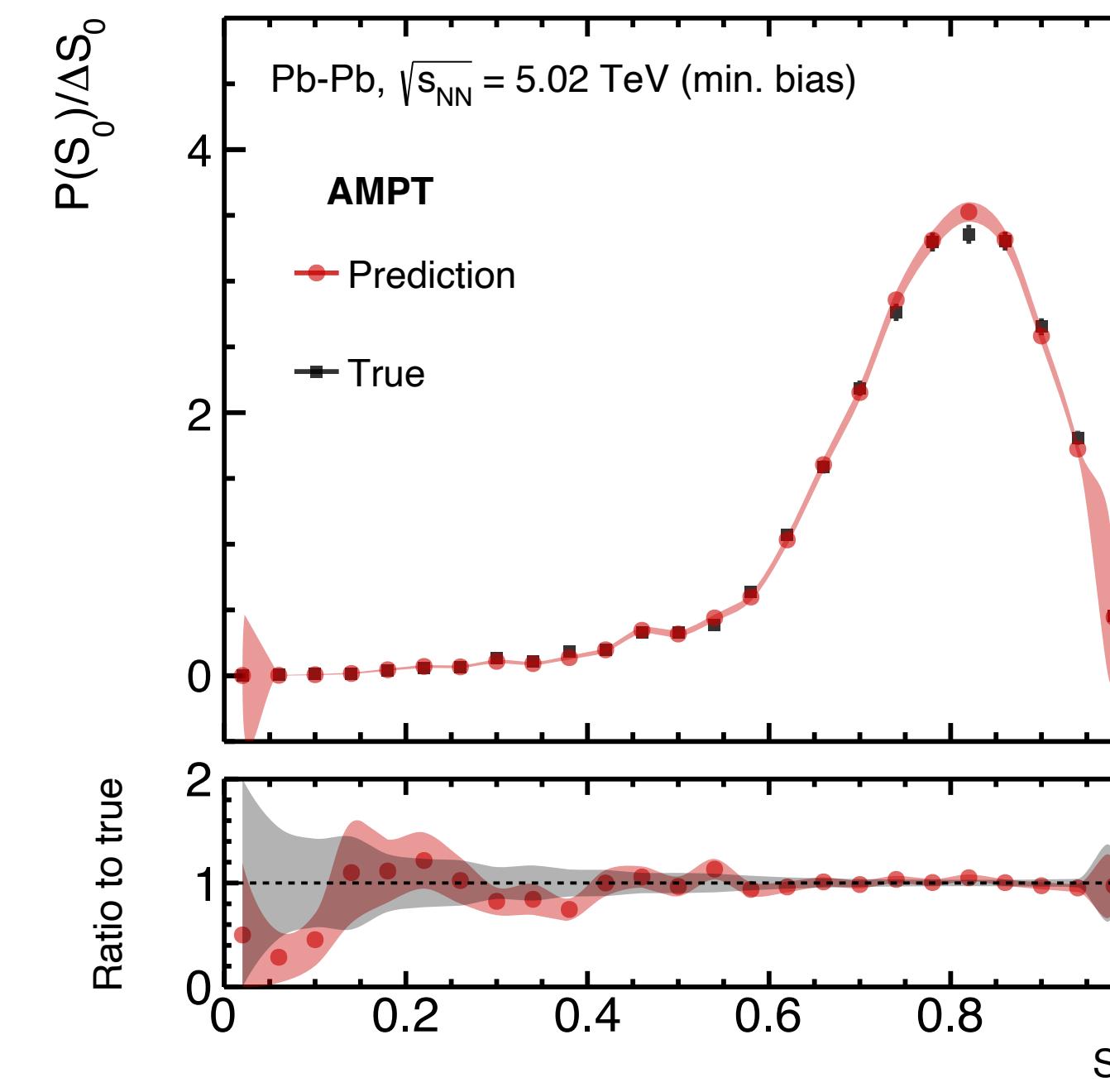
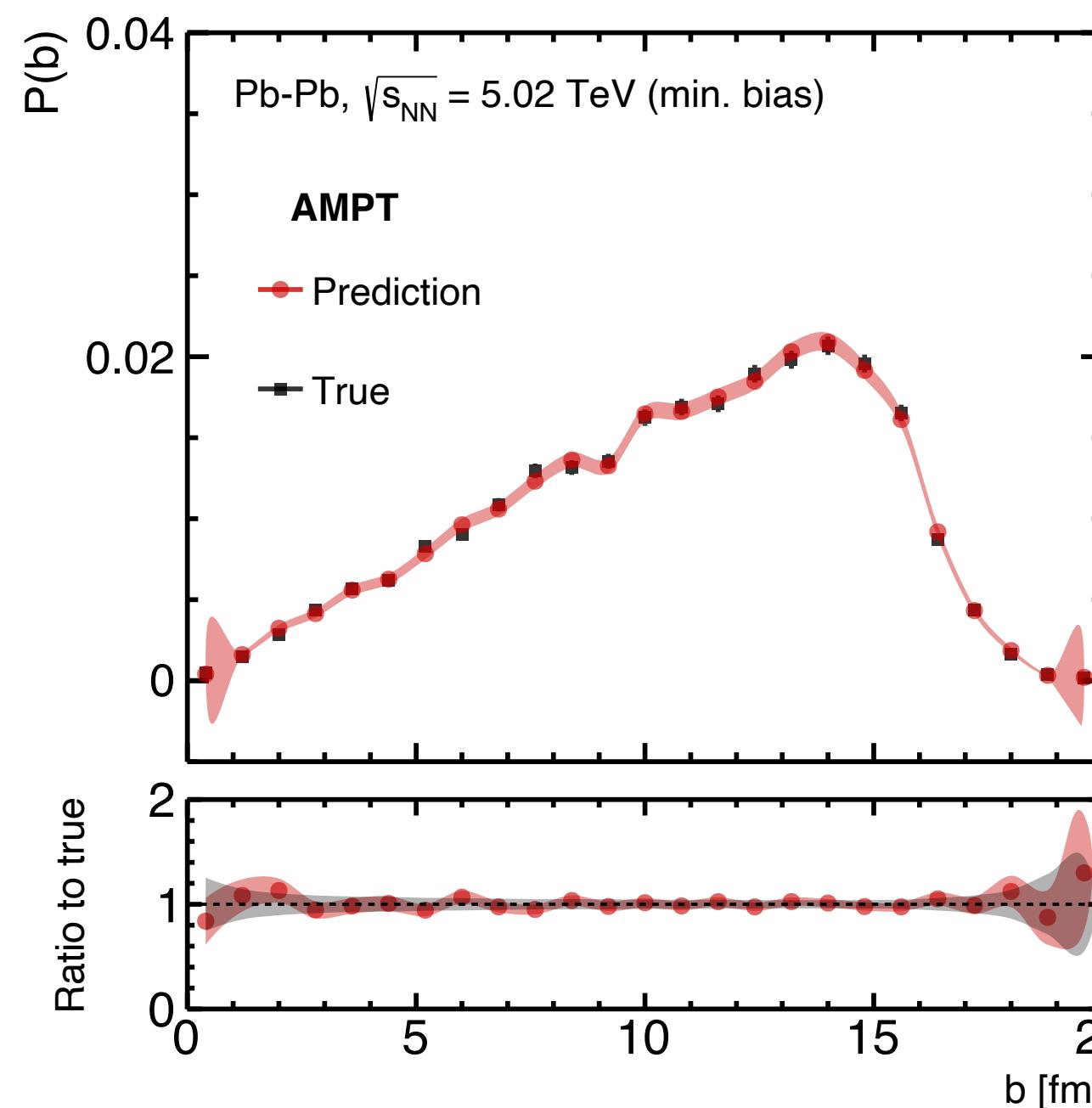
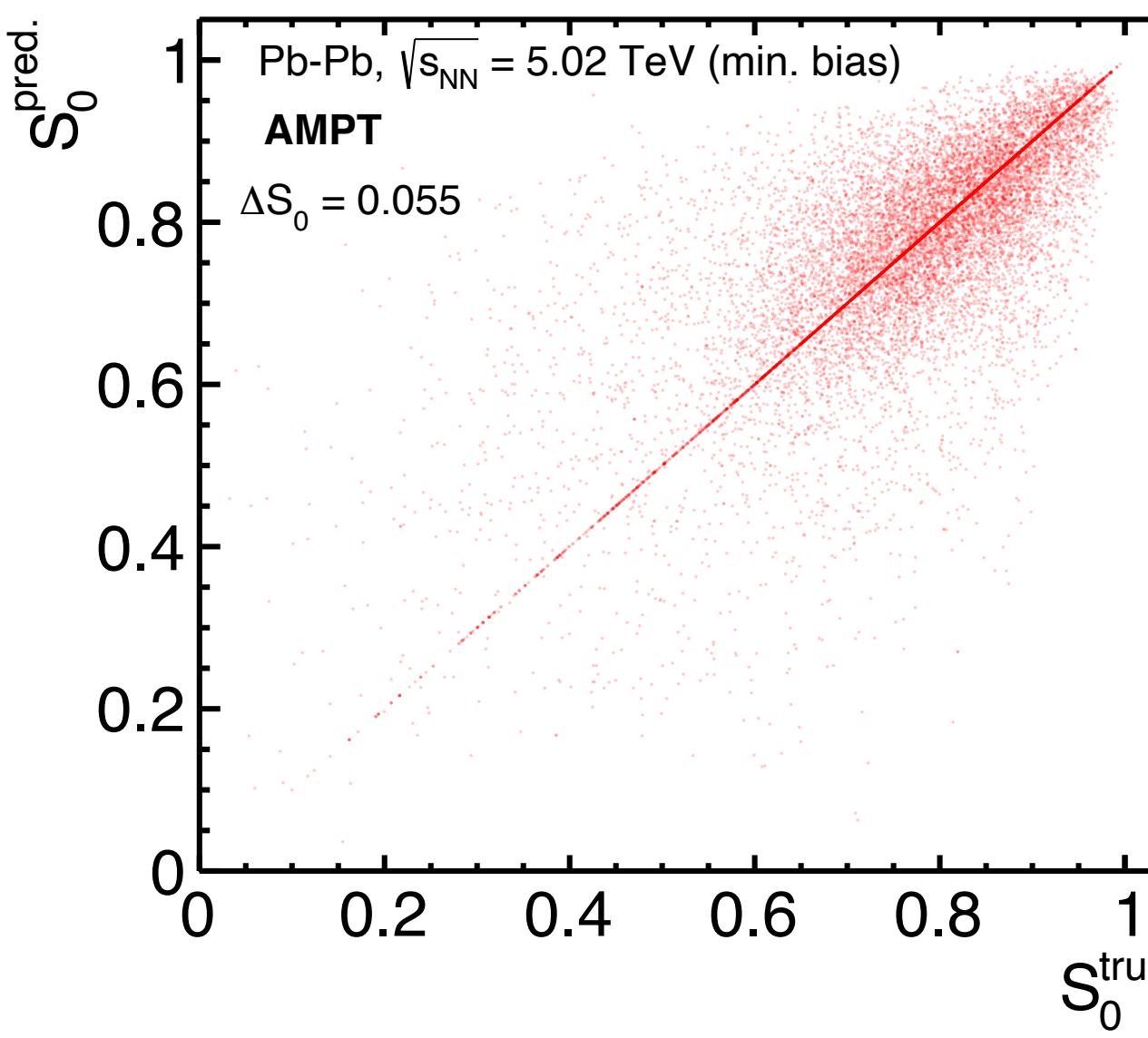
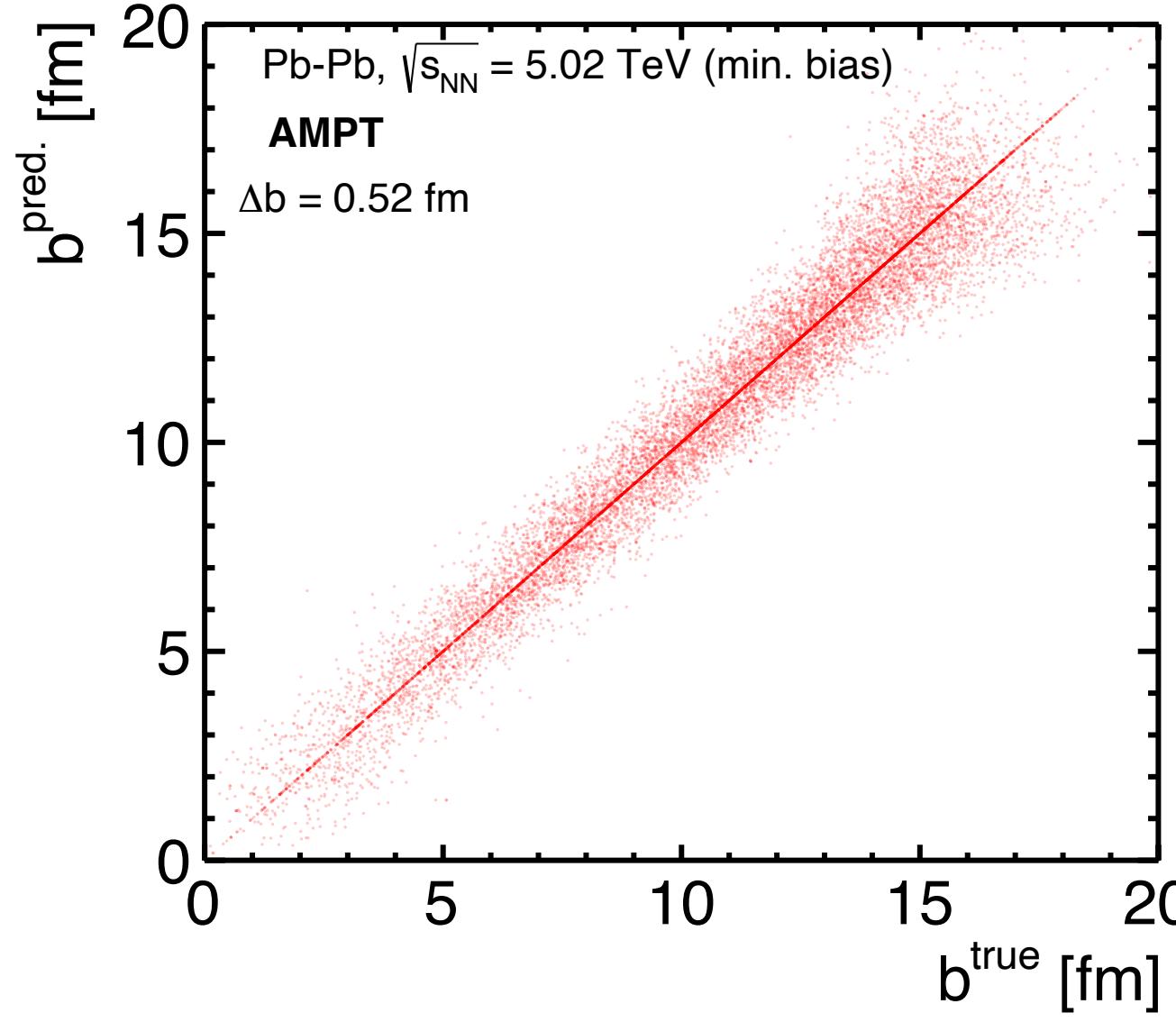
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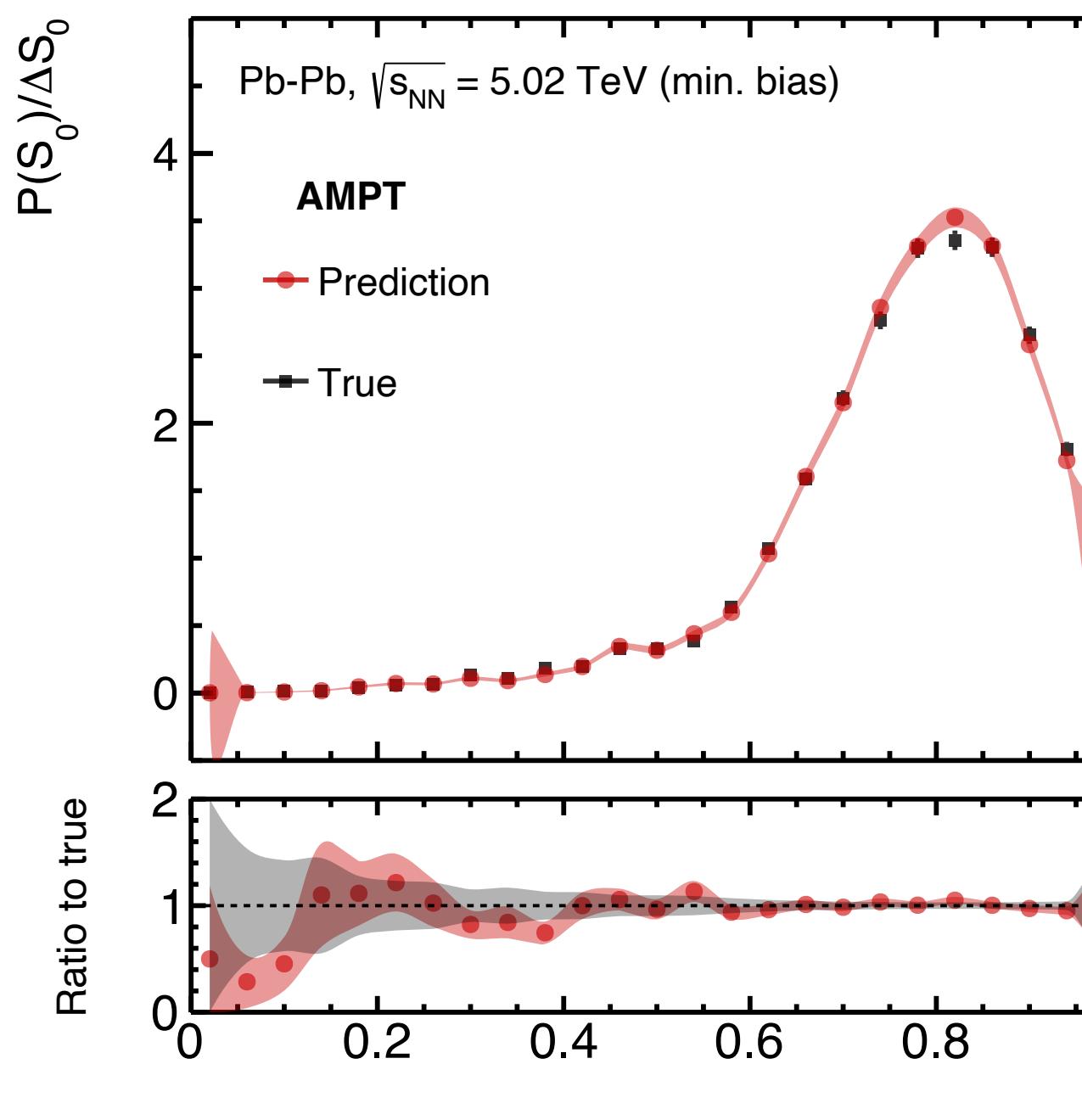
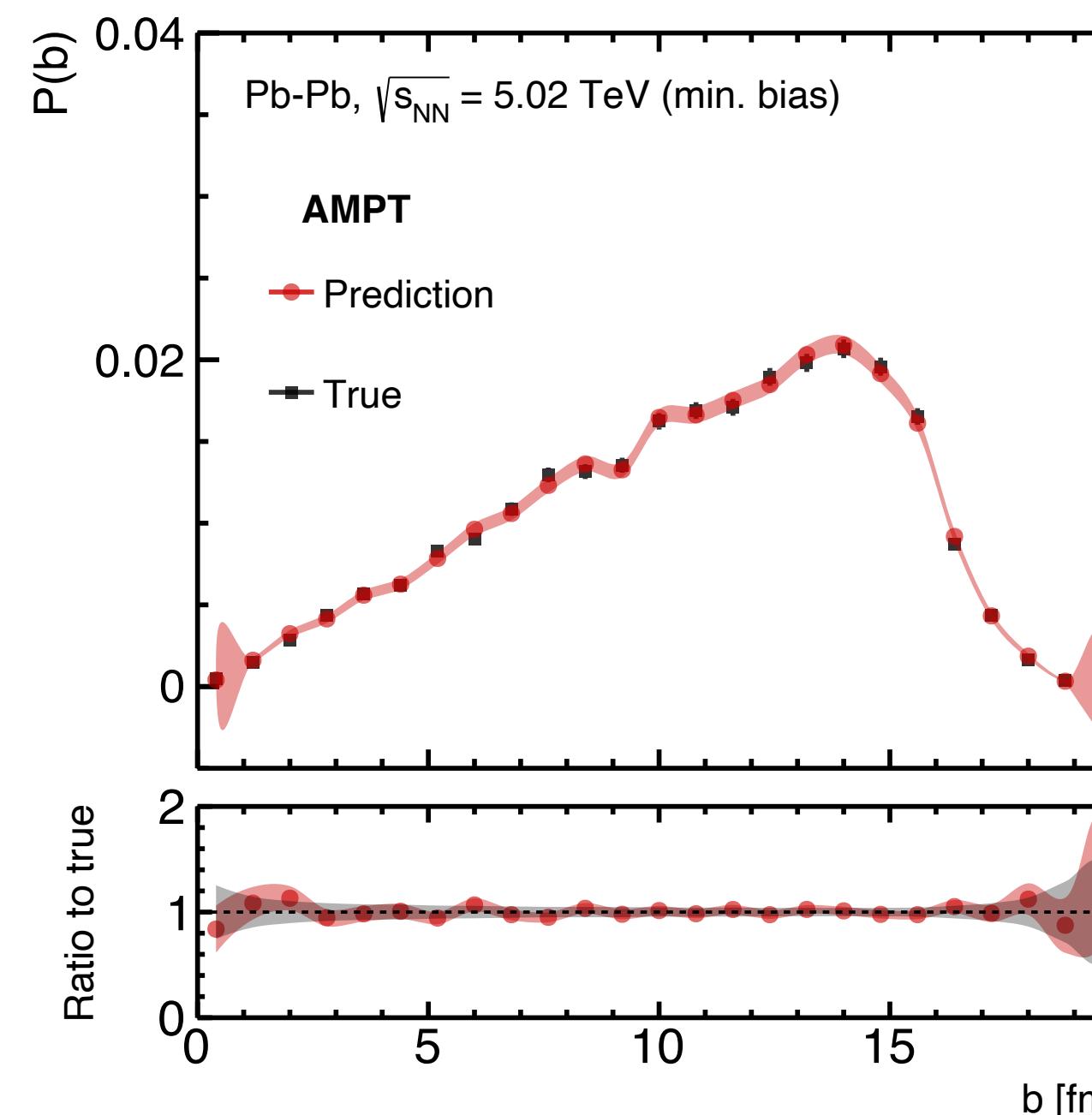
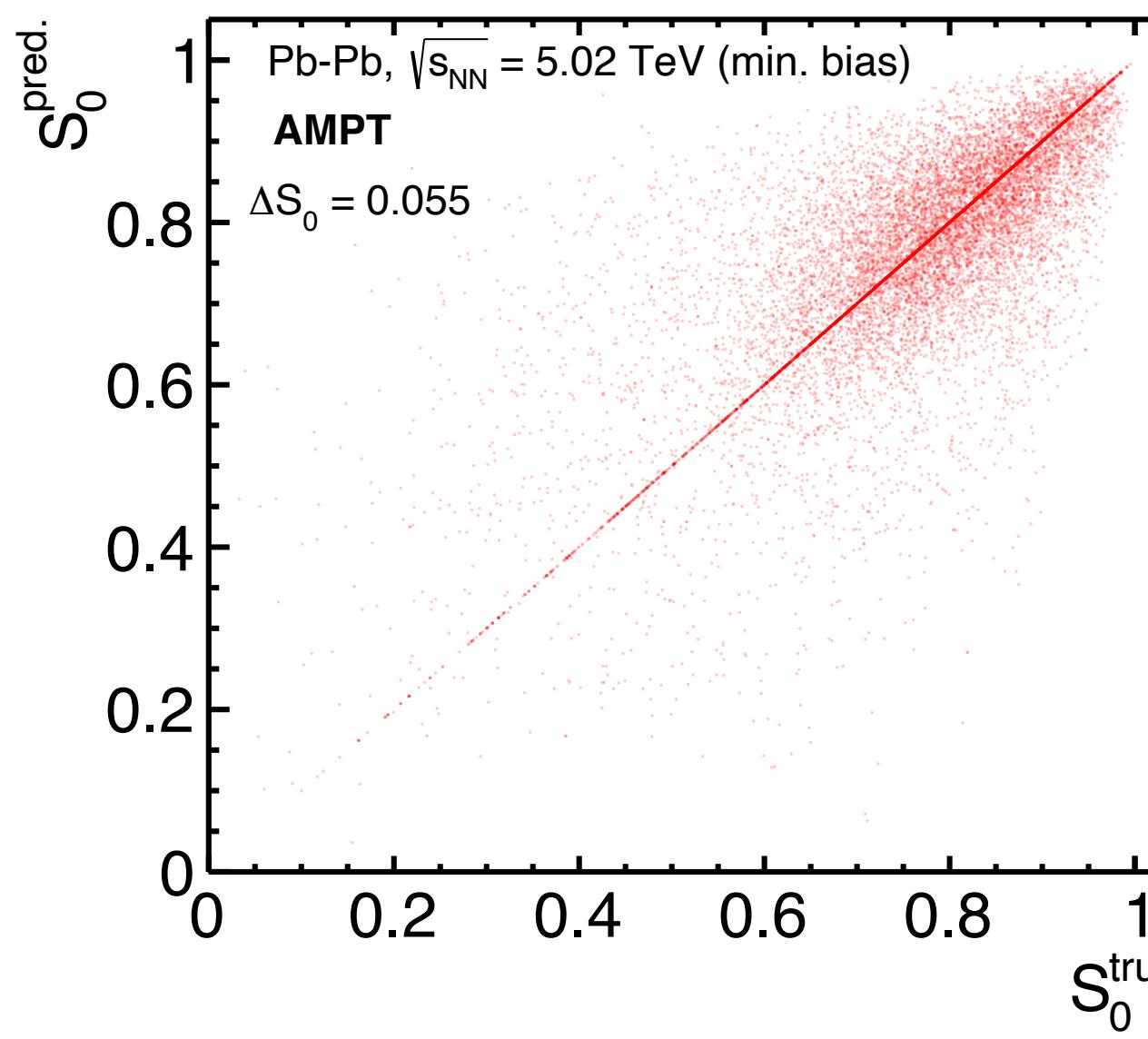
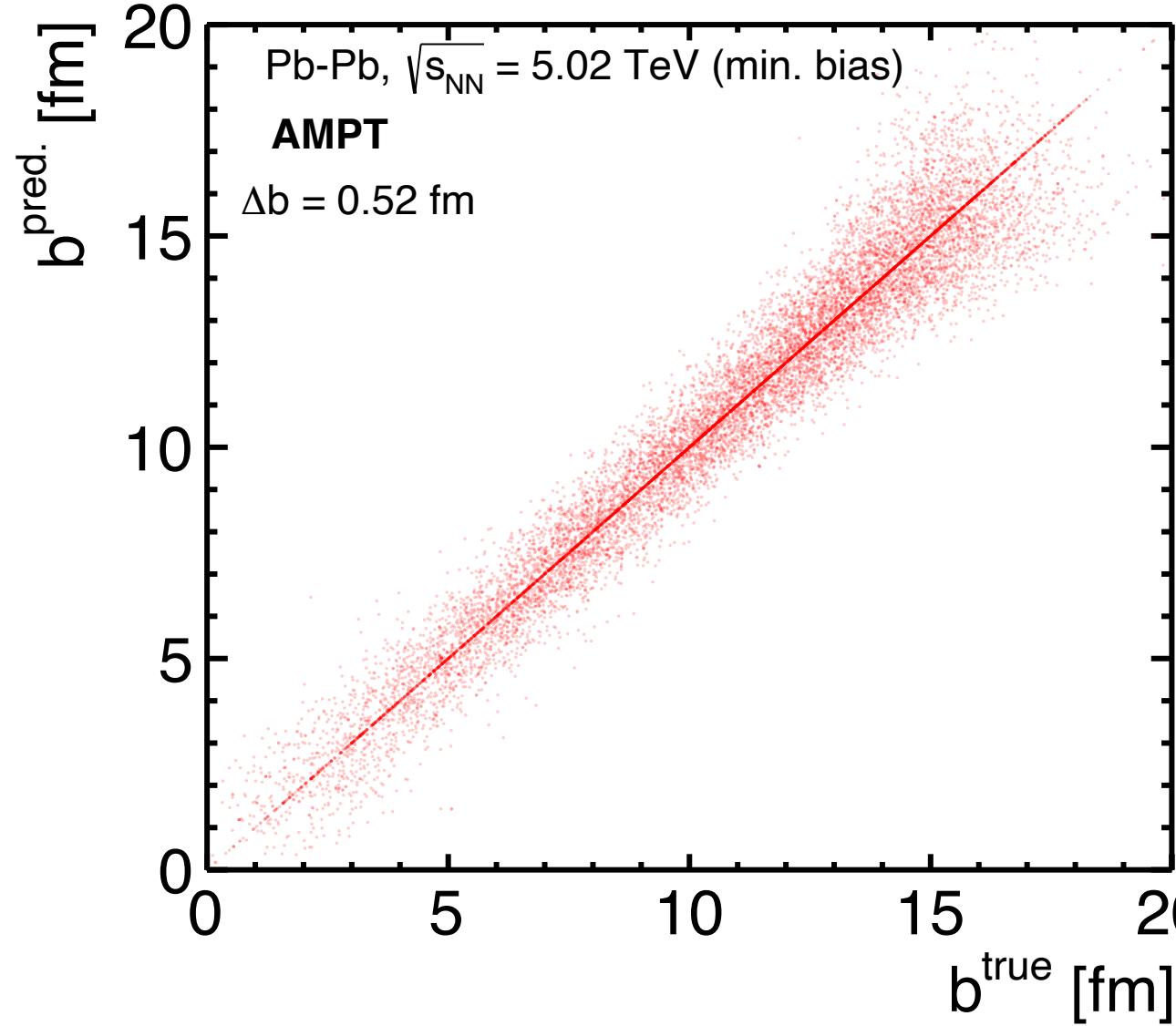
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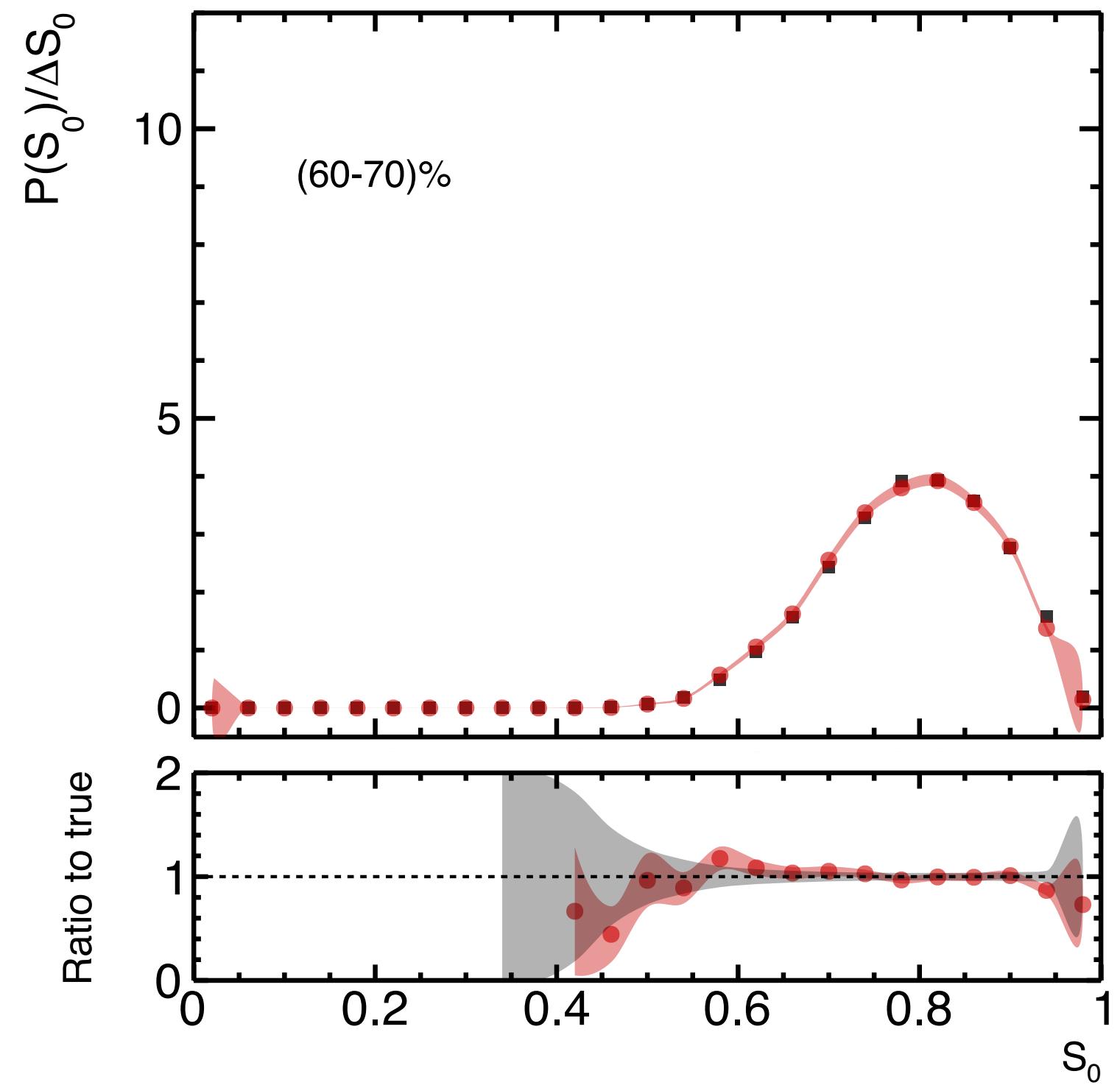
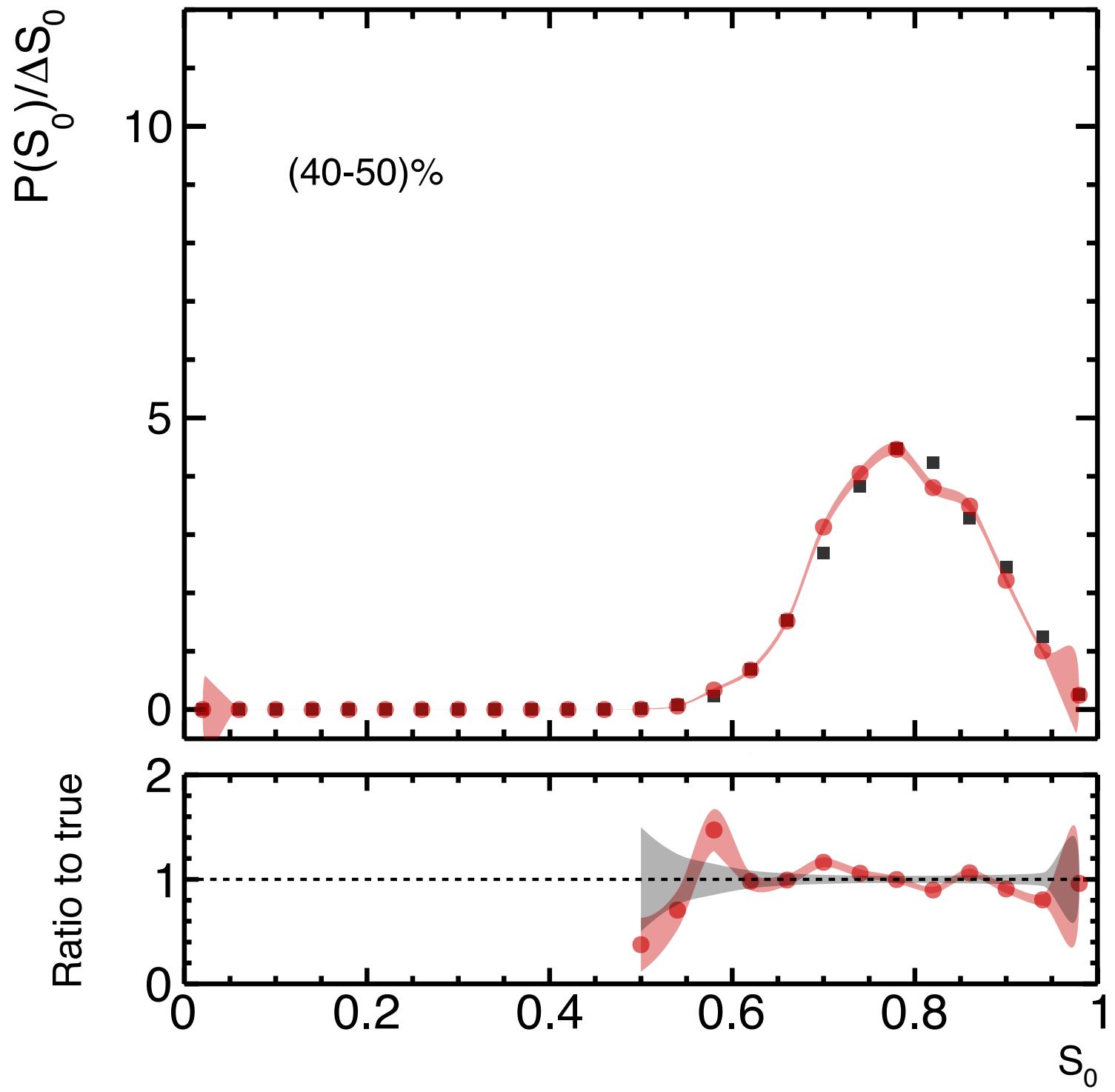
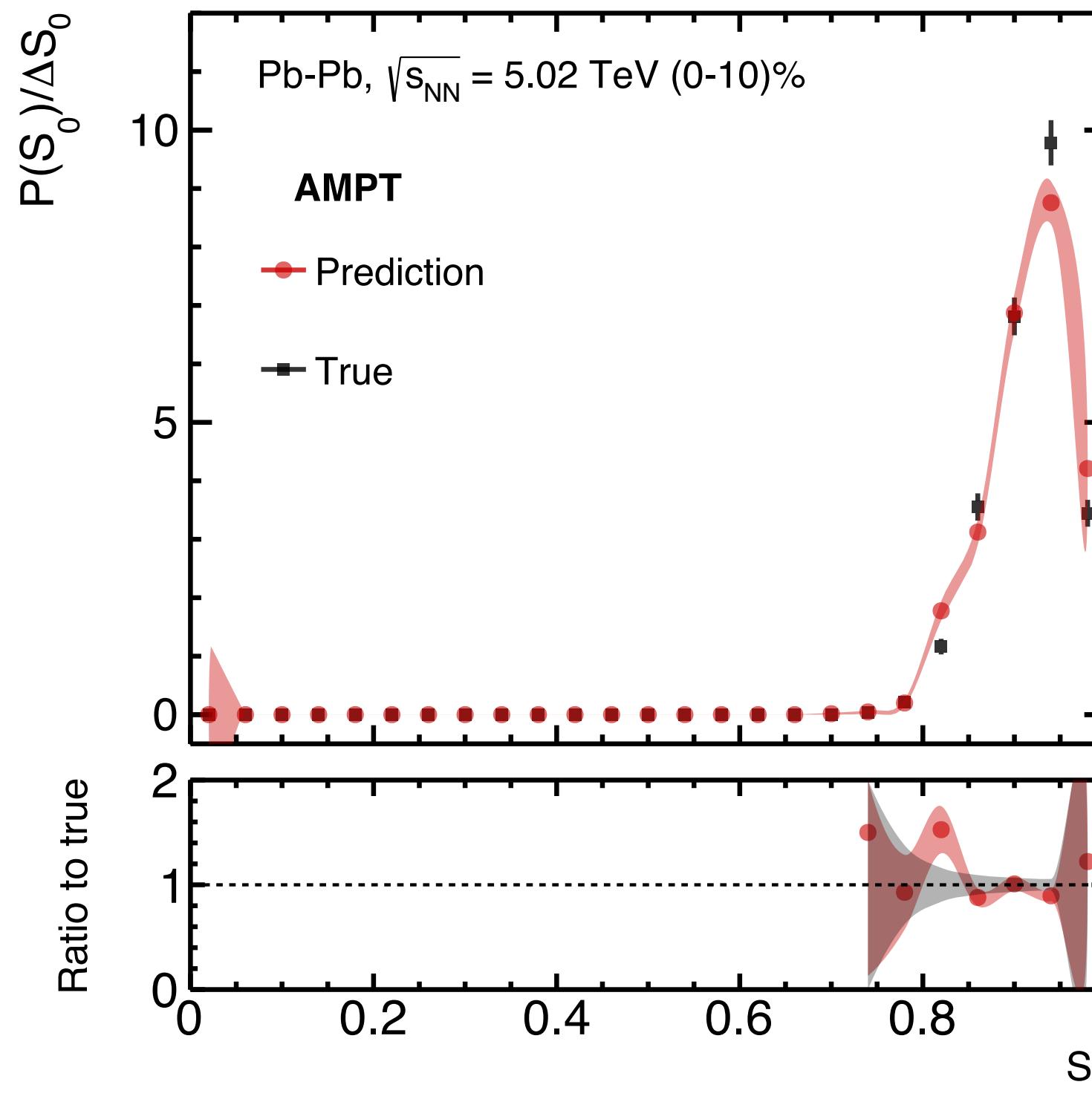
# Results



- The ML model trained with 5.02 TeV minimum bias simulated data
- Most of the points populate the straight line inclined at an angle  $45^\circ$  with the x-axis
- The predictions for both impact parameter and spherocity distributions are in good agreement with the simulated data

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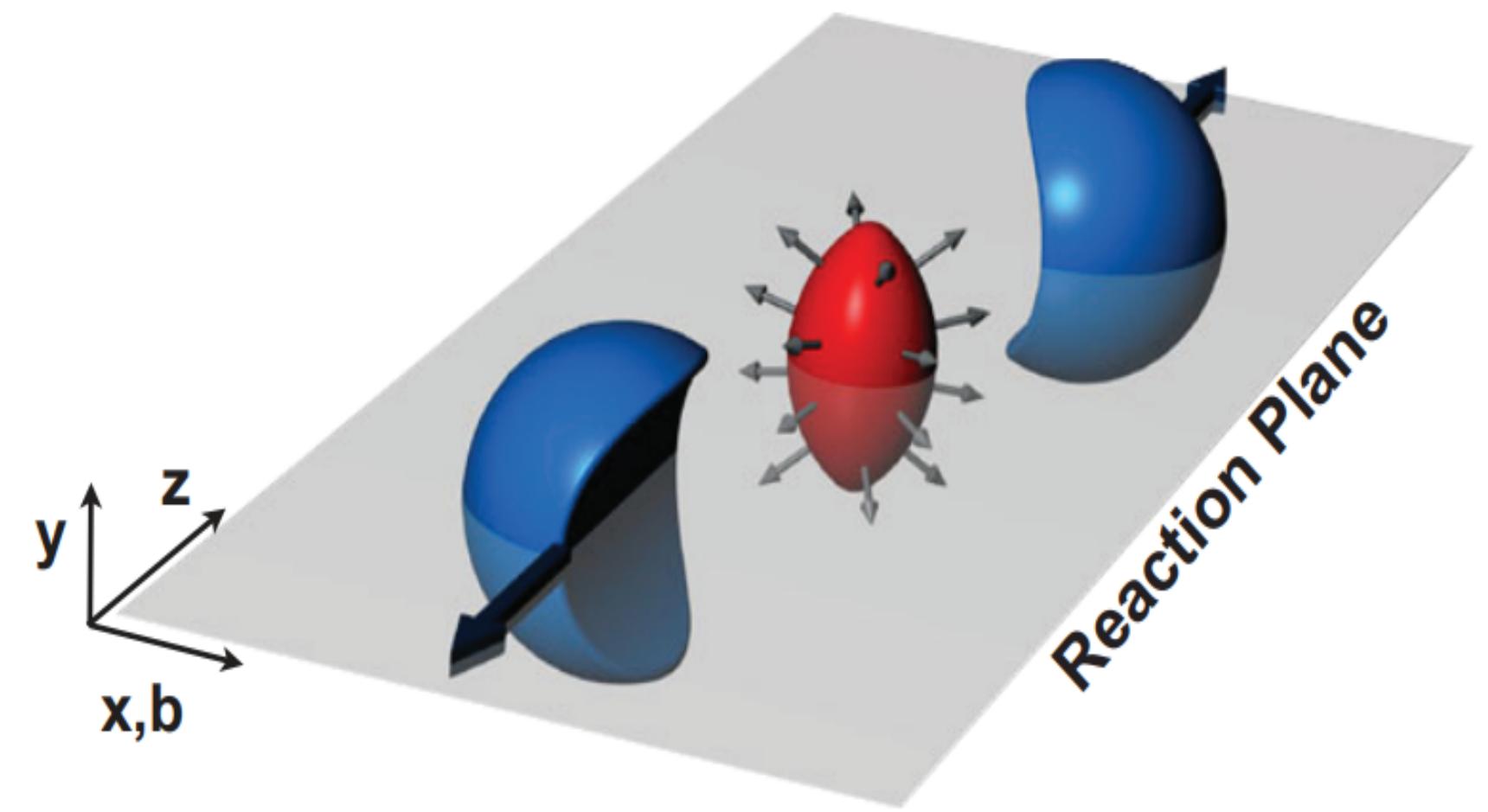
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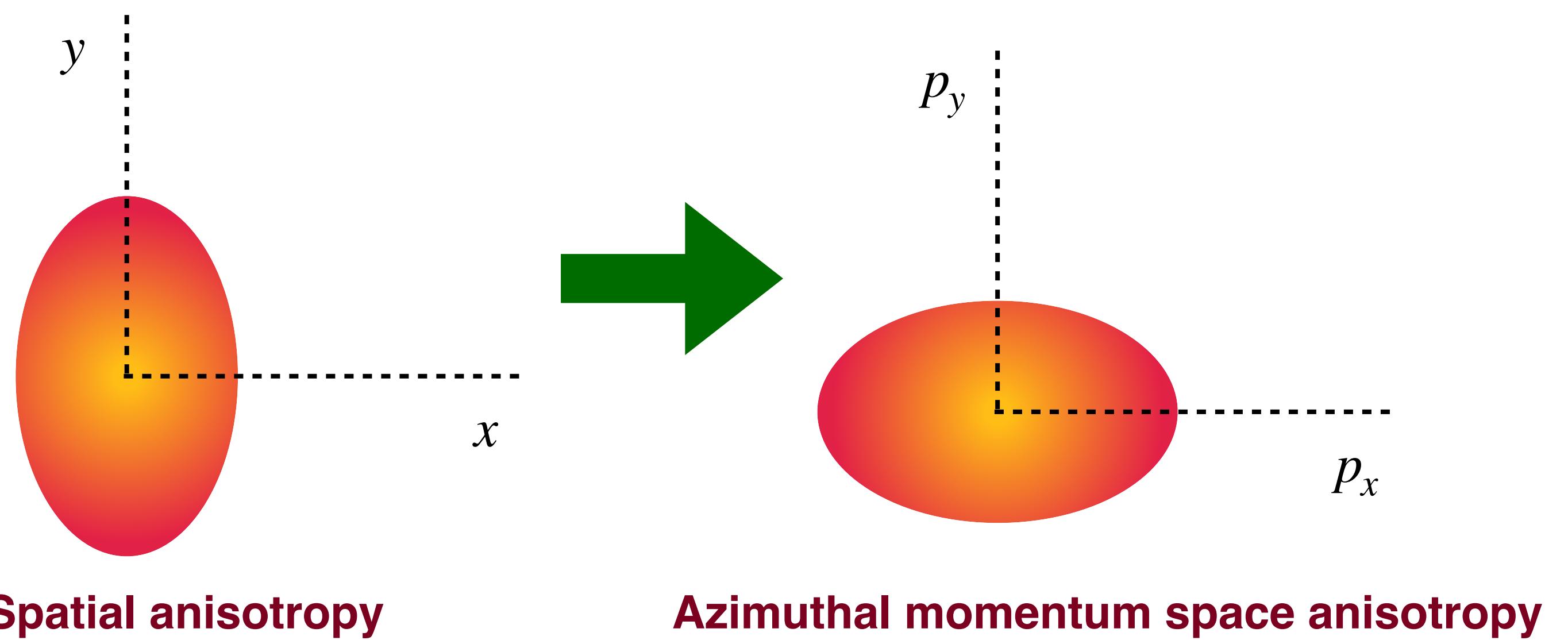
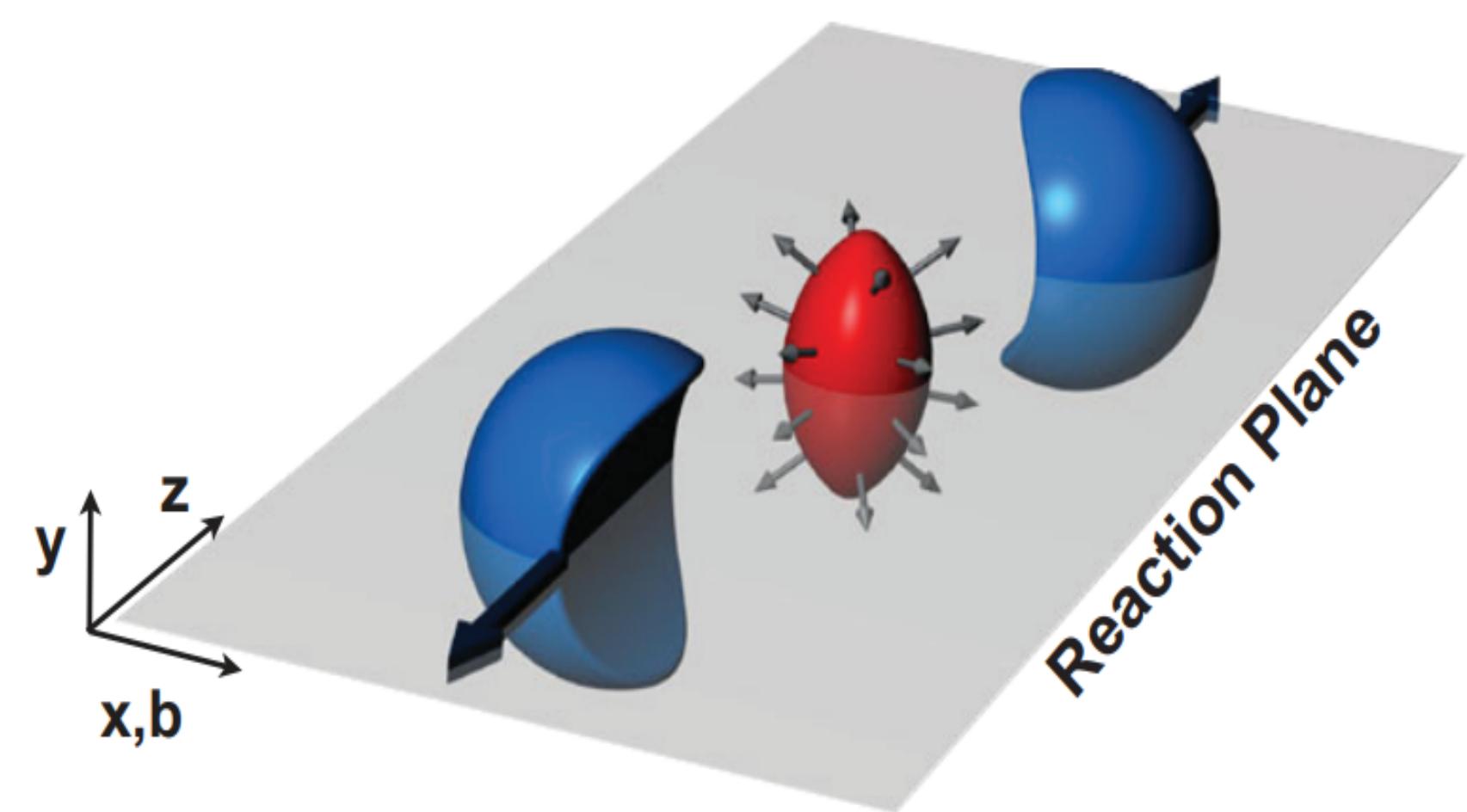
- Centrality wise spherocity distributions
- Training is done using minimum bias simulated data
- **BDT preserves the centrality (or multiplicity) dependence**

# The Elliptic Flow ( $v_2$ )

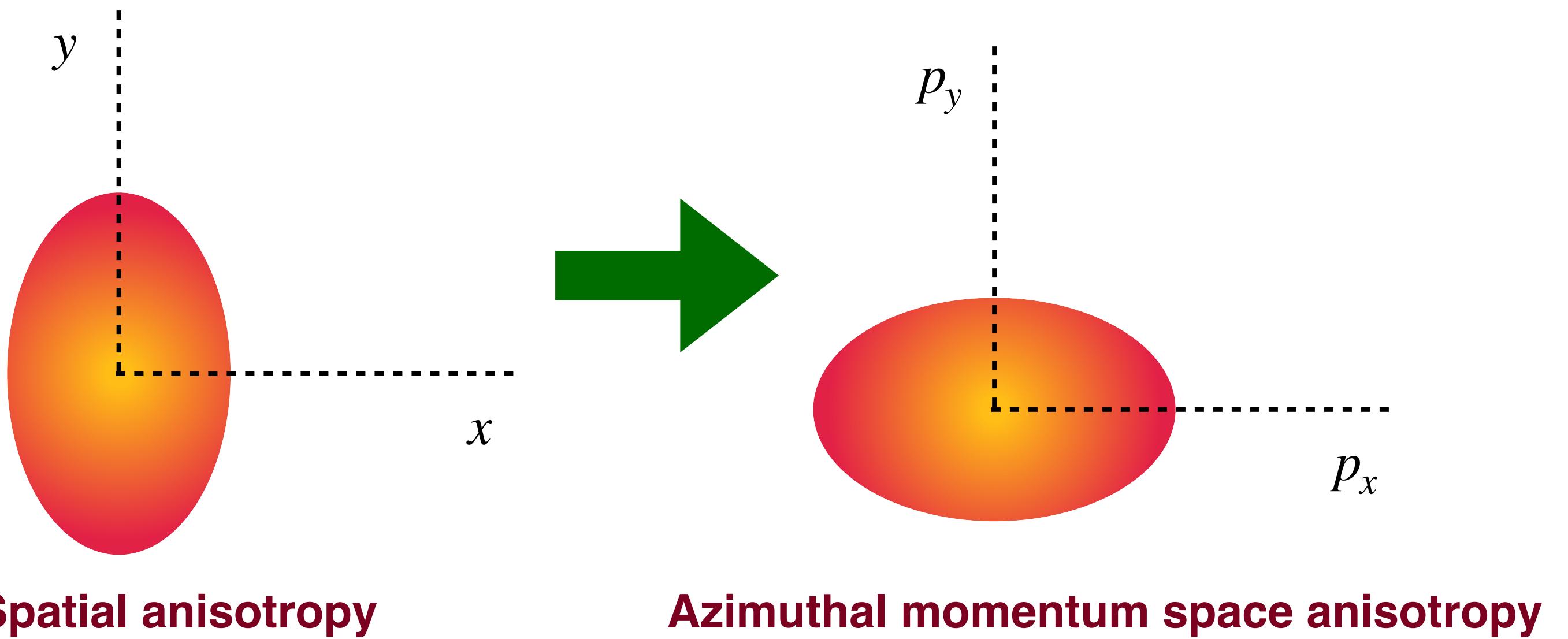
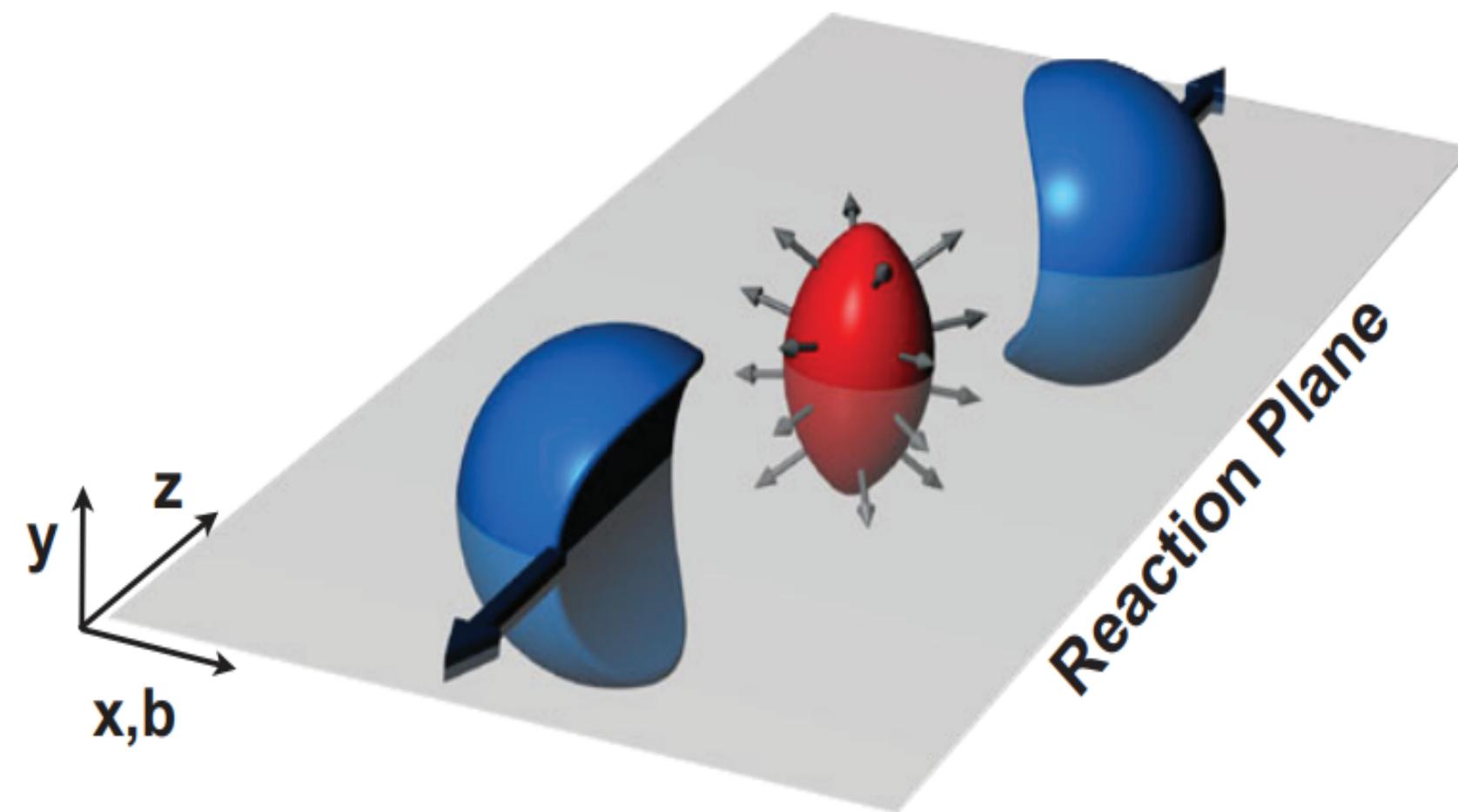
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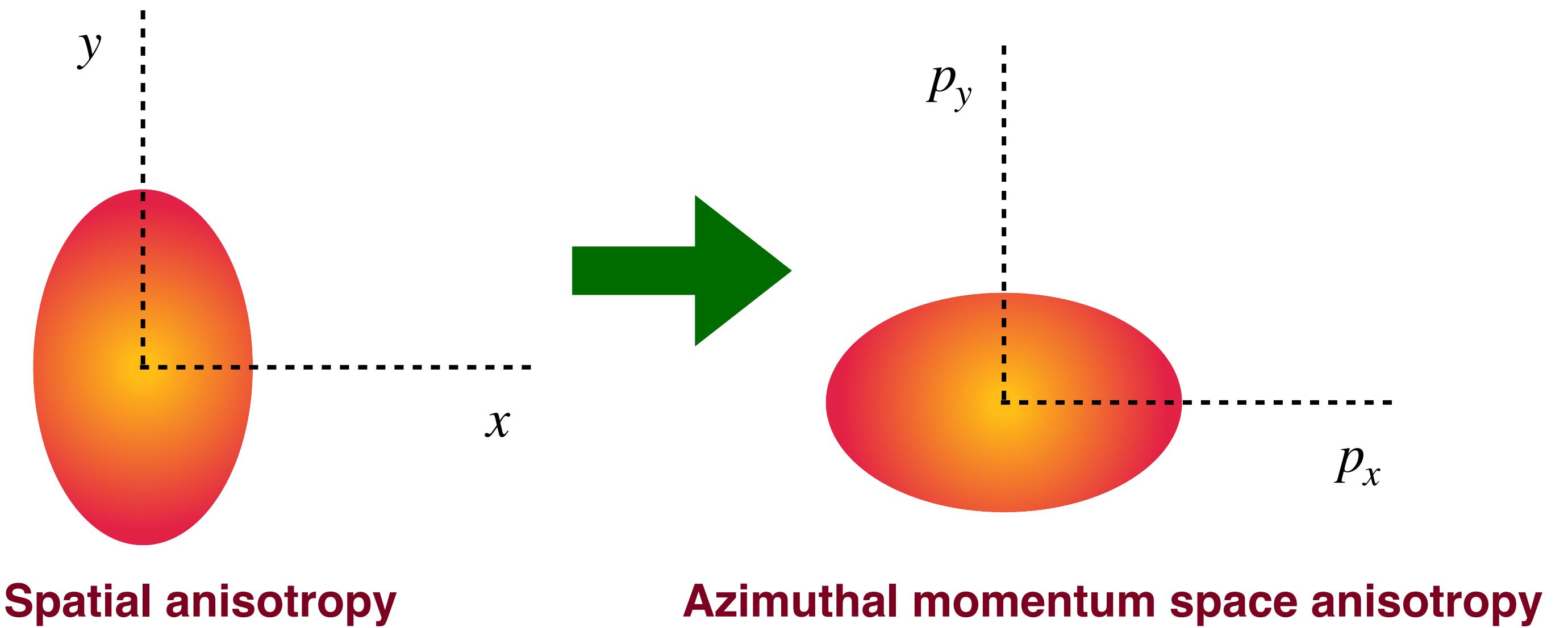
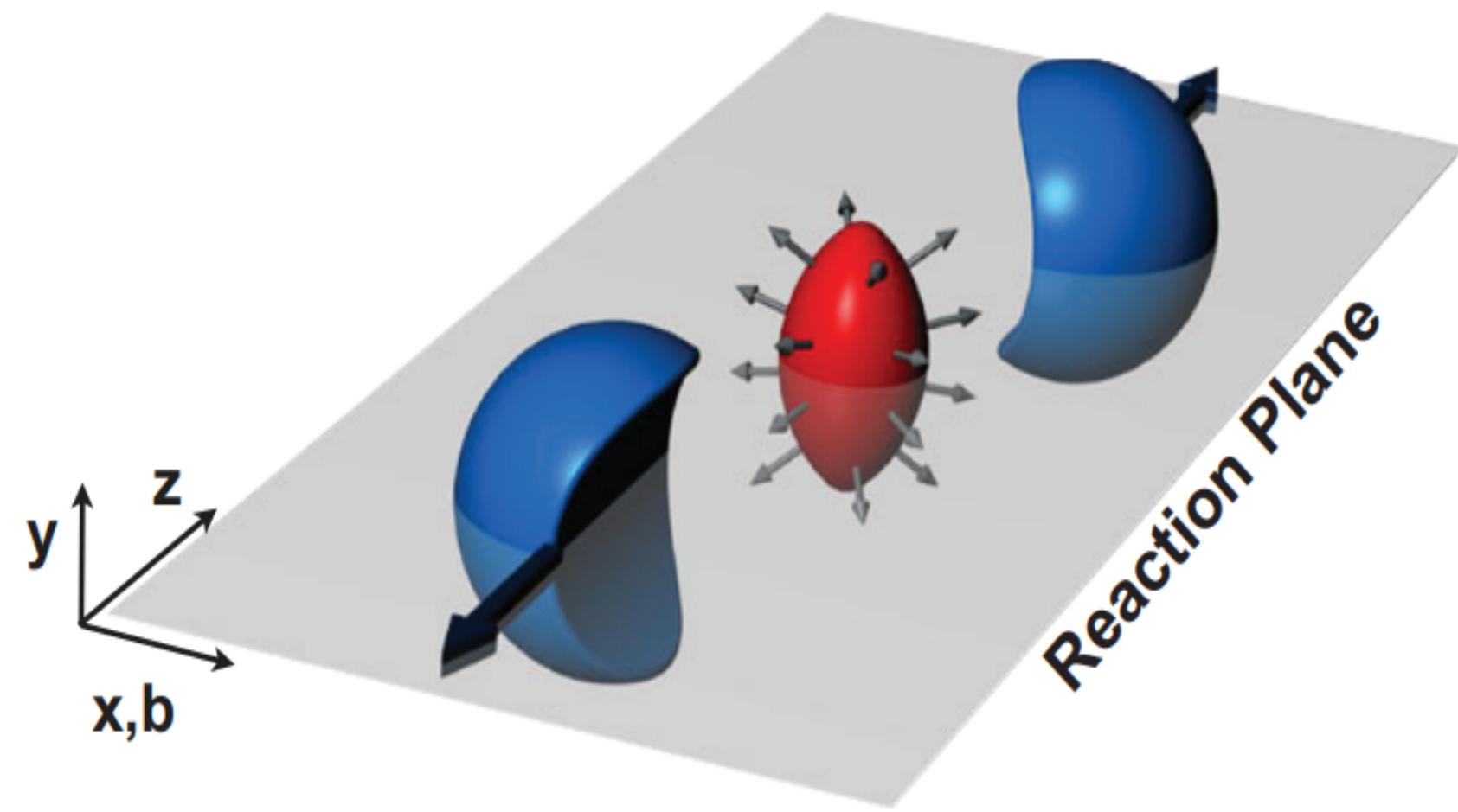


# The Elliptic Flow ( $v_2$ )



- Elliptic flow describes the azimuthal momentum space anisotropy of particle emission for a non-central heavy-ion collision
- The 2<sup>nd</sup> harmonic coefficient of the Fourier expansion of azimuthal momentum distribution ( $dN/d\phi$ )
- Directly reflects the initial spatial anisotropy of the nuclear overlap region in the transverse plane

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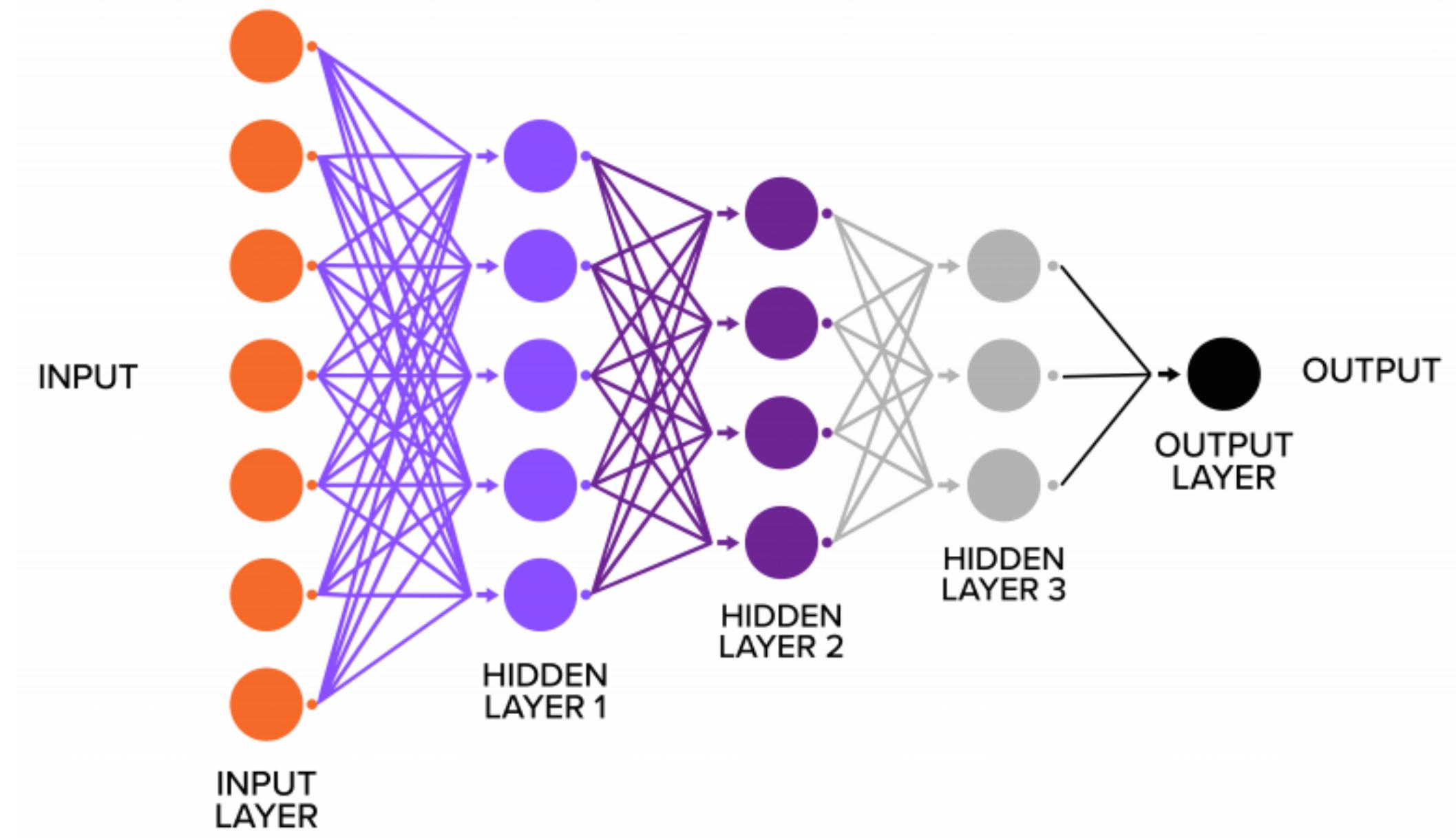


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$$E \frac{d^3N}{dp^3} = \frac{d^3N}{p_T dp_T dy d\phi} = \frac{d^2N}{p_T dp_T dy} \frac{1}{2\pi} \left( 1 + 2 \sum_{n=1}^{\infty} v_n \cos[n(\phi - \psi_n)] \right)$$

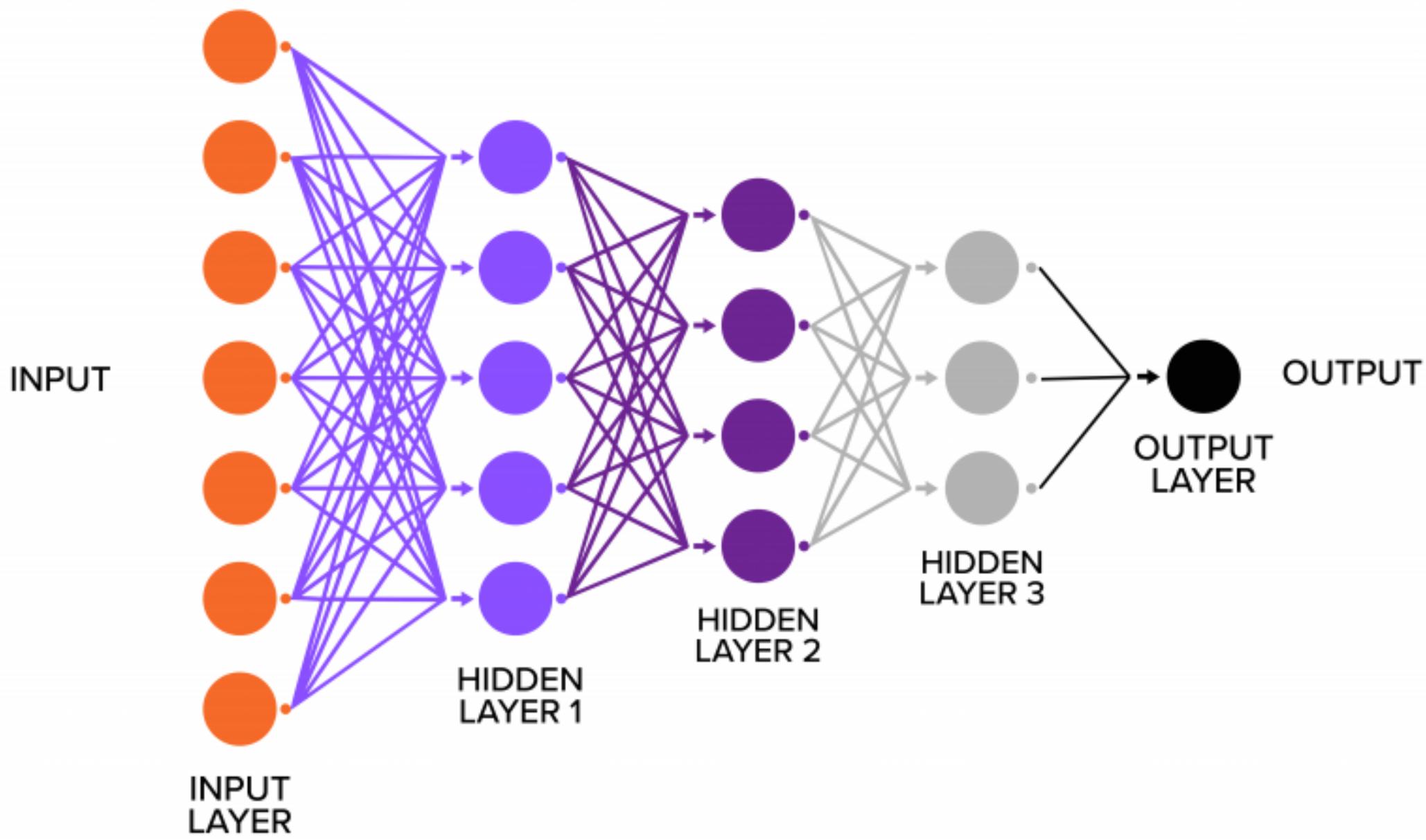
$$v_2(p_T, y) = \langle \cos(2(\phi - \psi_2)) \rangle$$
$$\phi = \tan^{-1}(p_y/p_x)$$

# Deep Neural Network (DNN)



# Deep Neural Network (DNN)

- ML Algorithm inspired from neurons in animal brains
- Three key layers
  - Input: Takes the features as input
  - Hidden layers: Connects to each neuron through different weights
  - Output: Gives the result as a number or class
- **Weights** dictate the importance of an input → more important features get more weights
- **Activation function**: mathematical function that guides the outcome at each node → Standardize the values
- **Cost function**: Evaluates the accuracy between machine prediction and true value
- **Optimizer**: Method (or algorithm) that minimizes the cost function by automatically updating the weights

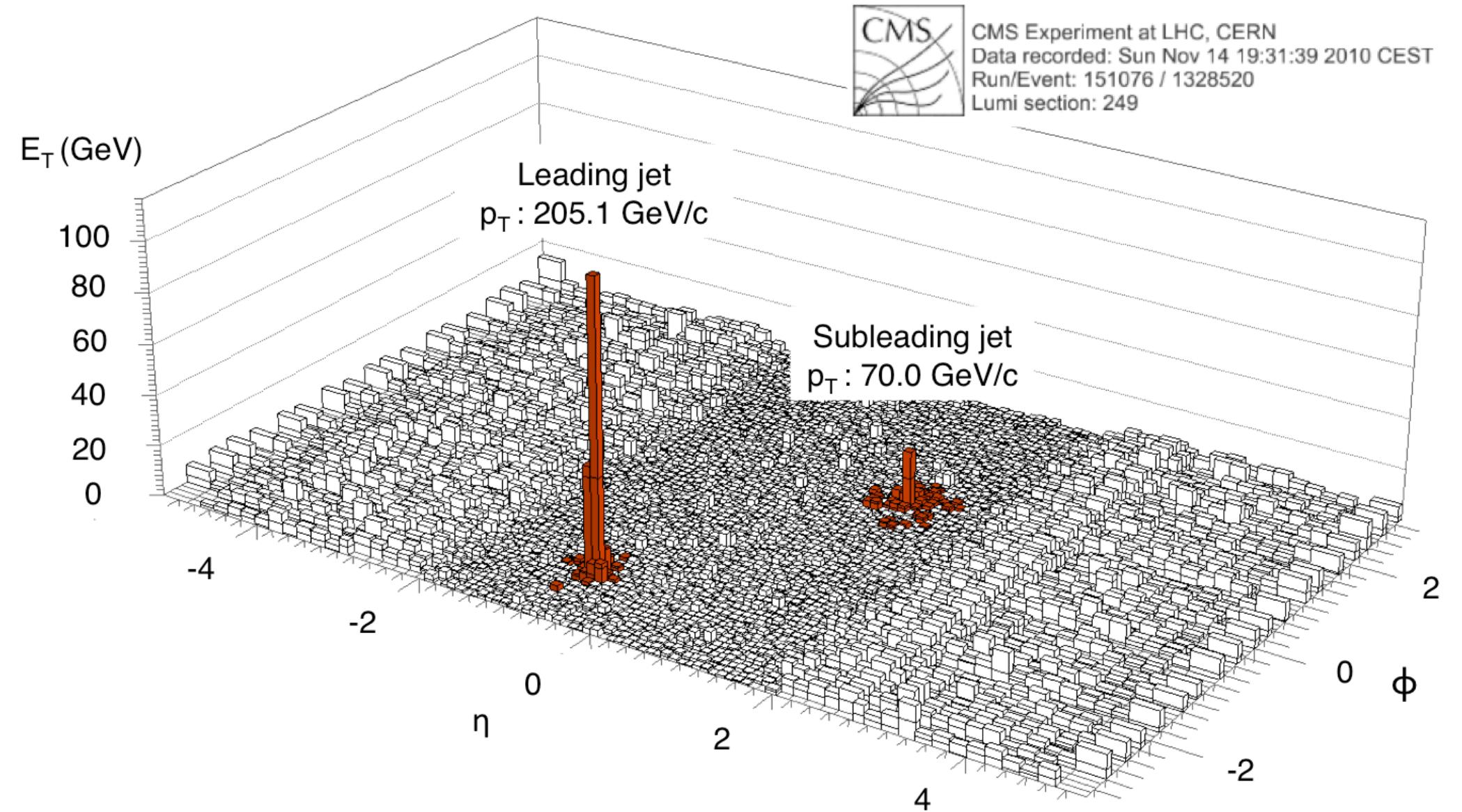


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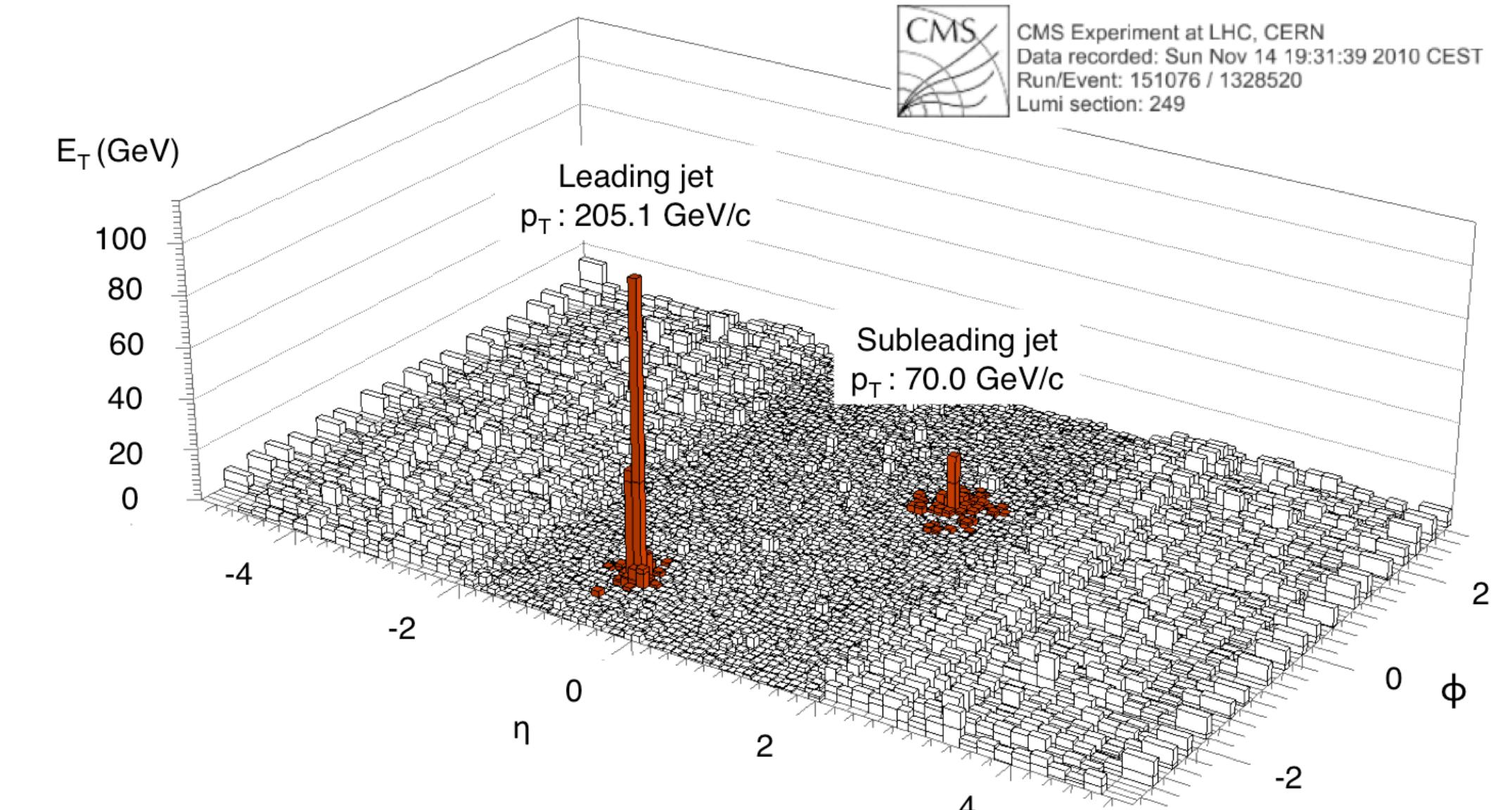
CMS Experiment at LHC, CERN  
Data recorded: Sun Nov 14 19:31:39 2010 CEST  
Run/Event: 151076 / 1328520  
Lumi section: 249



Serguei Chatrchyan et al., [Phys.Rev.C 84 \(2011\), 024906](#)

# Estimation of elliptic flow ( $v_2$ )

- Estimation of elliptic flow using Deep Neural Network
- Elliptic flow -> Event property
- Inputs -> Track property
- $(\eta - \phi)$  space could be taken as the primary input space
- Three layers having different weights
- $p_T$ , mass and  $\log(\sqrt{s_{NN}}/s_0)$  weighted layers serve as the secondary input space

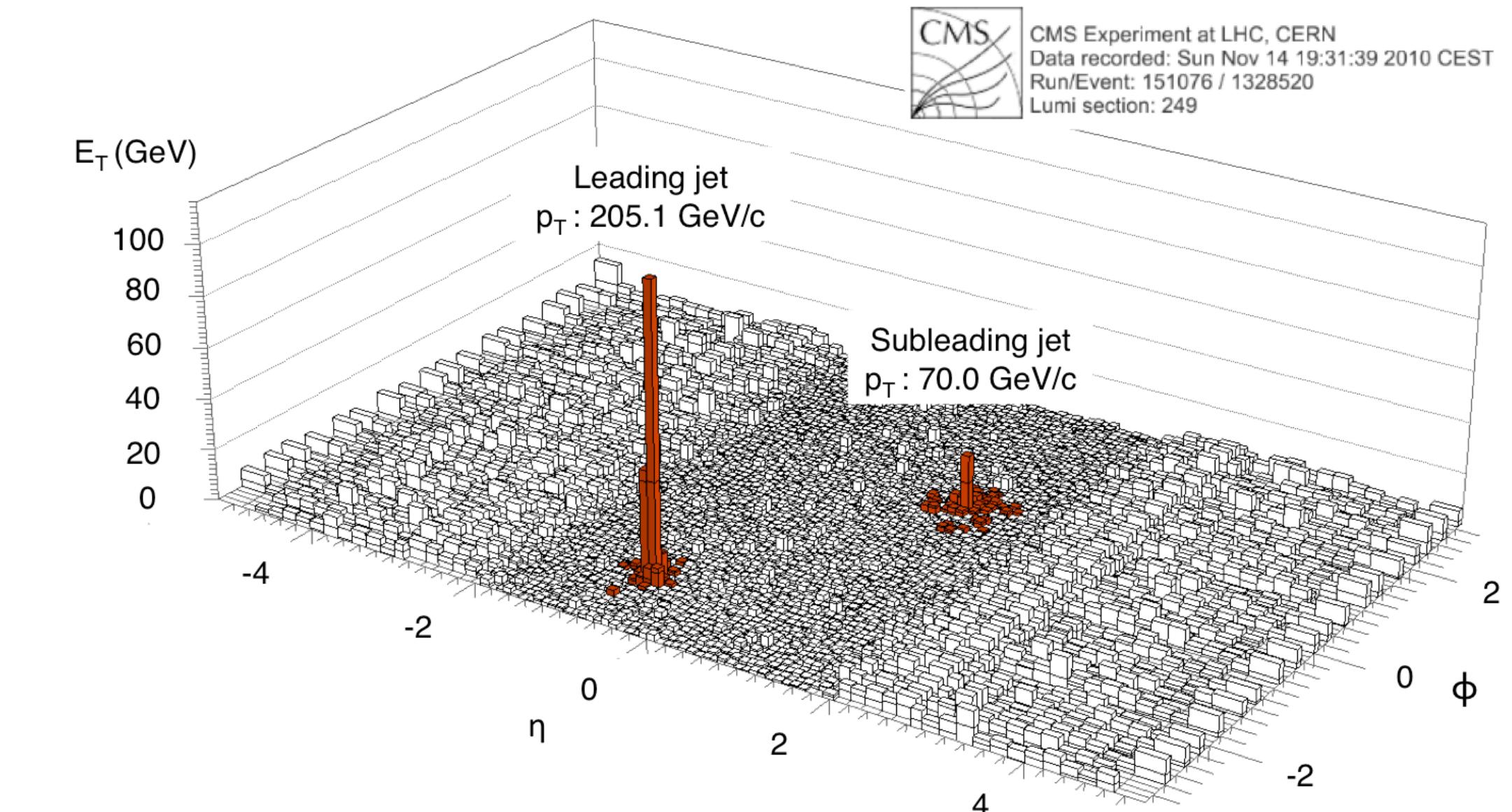


Serguei Chatrchyan et al., [Phys.Rev.C 84 \(2011\), 024906](#)

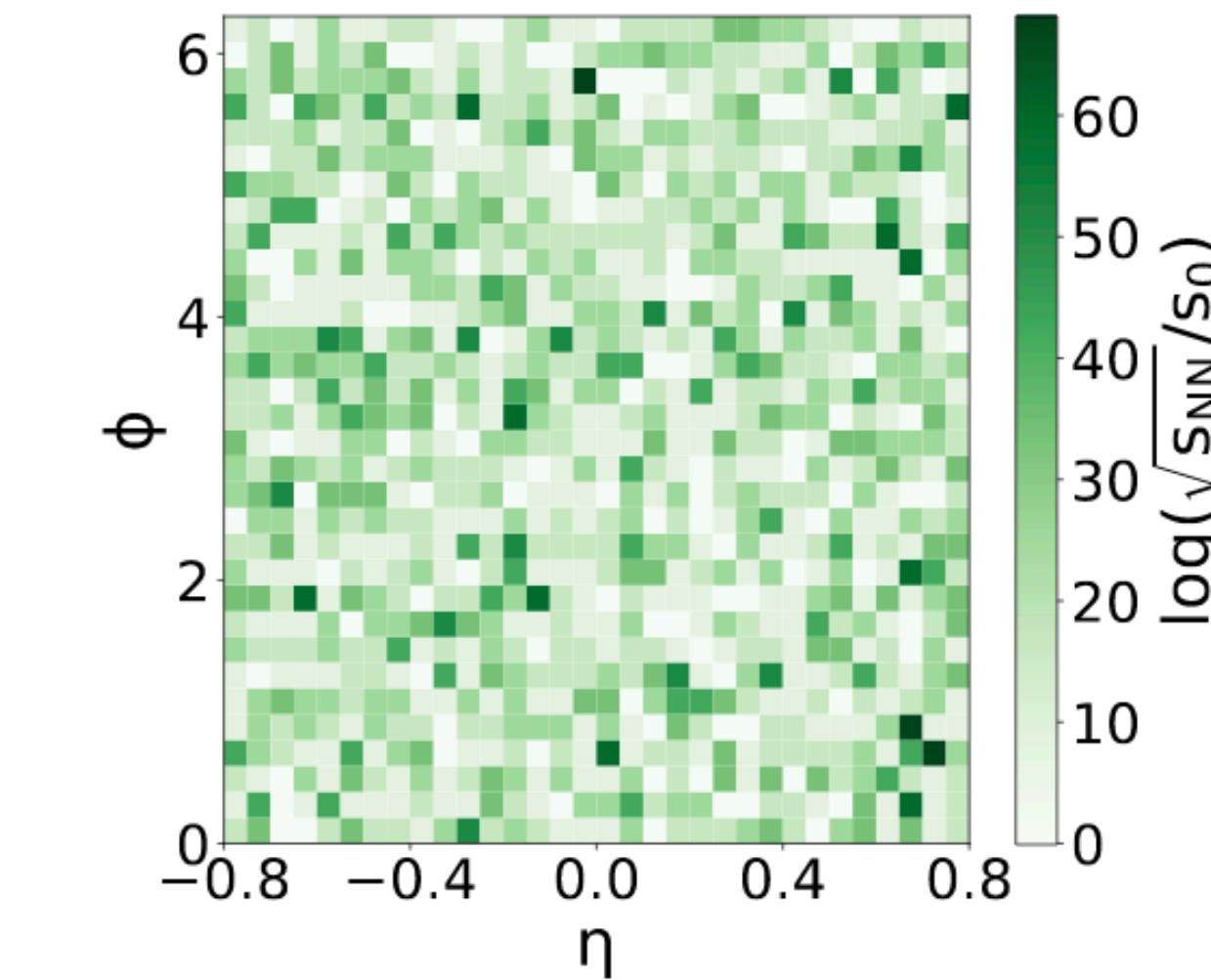
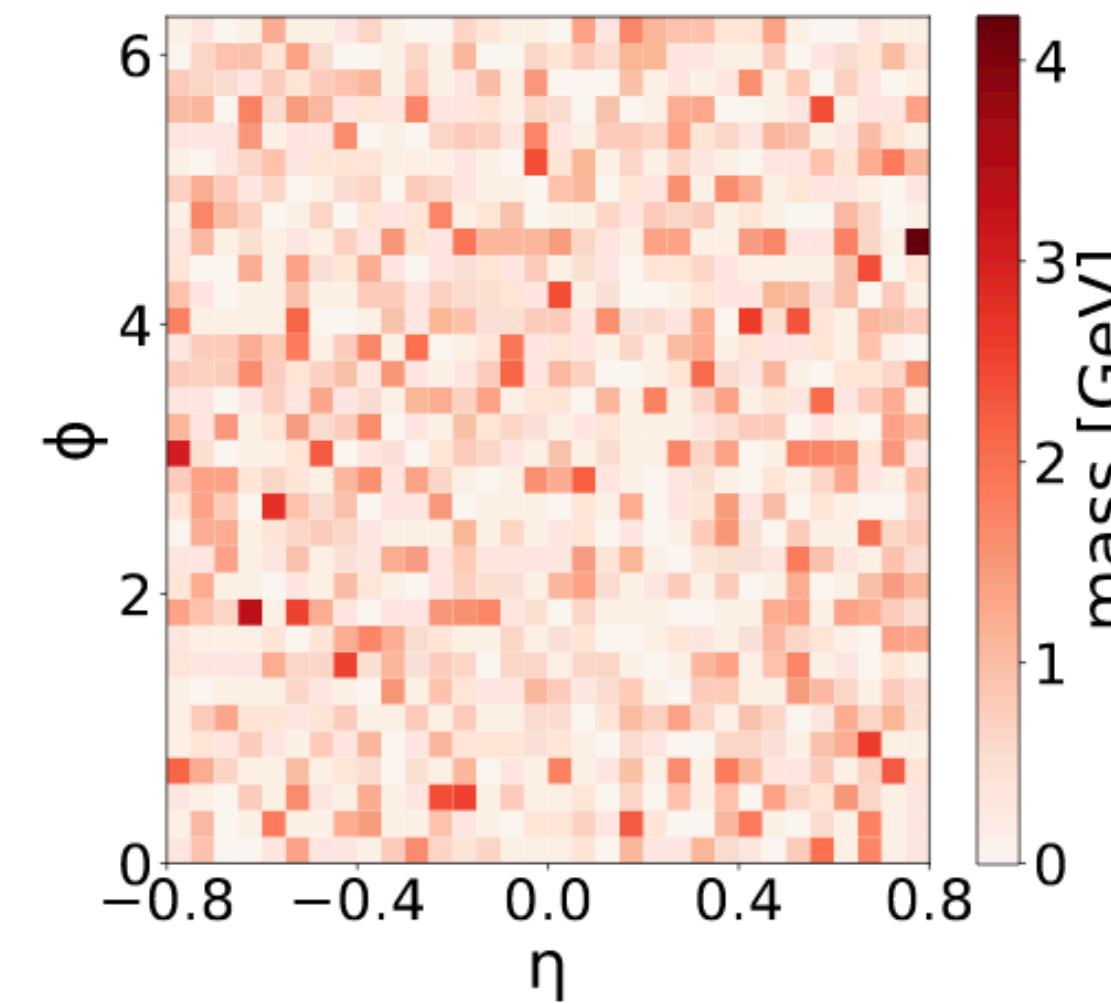
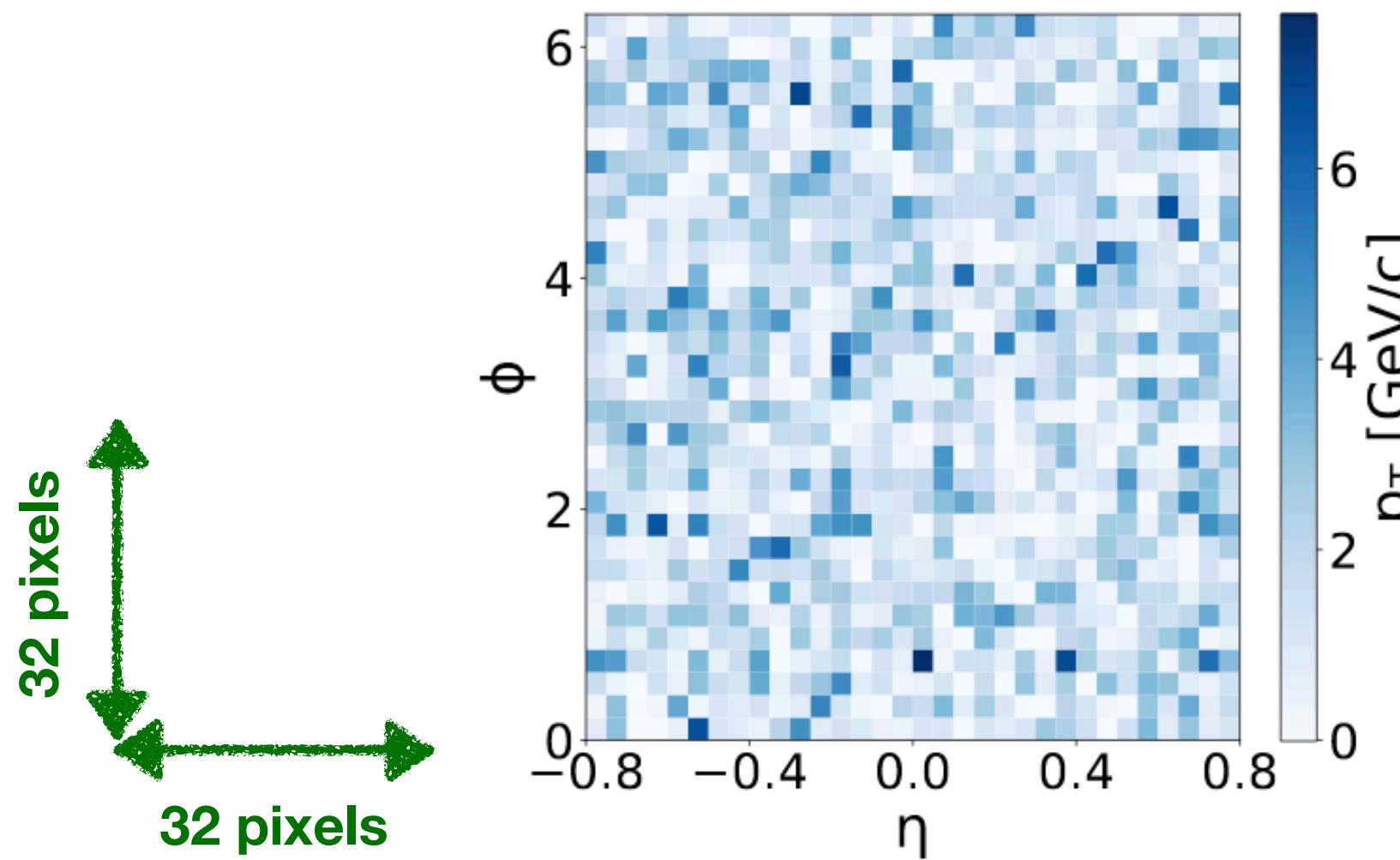
# Estimation of elliptic flow ( $v_2$ )

- Estimation of elliptic flow using Deep Neural Network
- Elliptic flow  $\rightarrow$  Event property
- Inputs  $\rightarrow$  Track property
- $(\eta - \phi)$  space could be taken as the primary input space
- Three layers having different weights
- $p_T$ , mass and  $\log(\sqrt{s_{NN}}/s_0)$  weighted layers serve as the secondary input space

CMS Experiment at LHC, CERN  
Data recorded: Sun Nov 14 19:31:39 2010 CEST  
Run/Event: 151076 / 1328520  
Lumi section: 249



Serguei Chatrchyan et al., [Phys.Rev.C 84 \(2011\), 024906](#)



Pb-Pb,  $\sqrt{s_{NN}} = 5.02$  TeV, **AMPT Simulation**

# DNN Model:

# DNN Model:

- Each space has  $32 \times 32$  pixels (grids)
- Total number of pixel points =  $32 \times 32 \times 3 = 3072$  for each event
- DNN with the following architecture

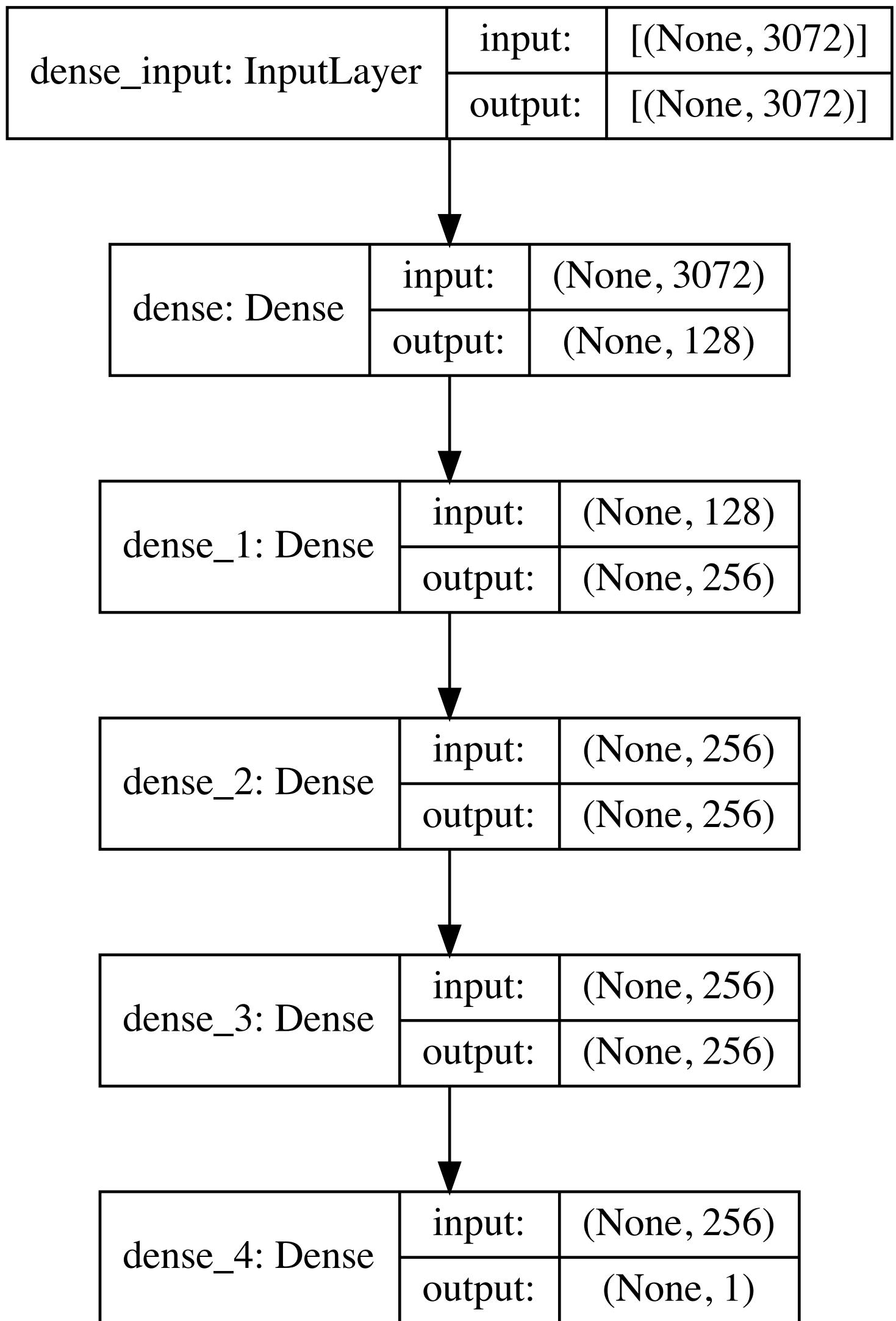
Input Layer: 128 Nodes

Three hidden layers: 256 Nodes each

Final layer : 1 node ( $v_2$ )

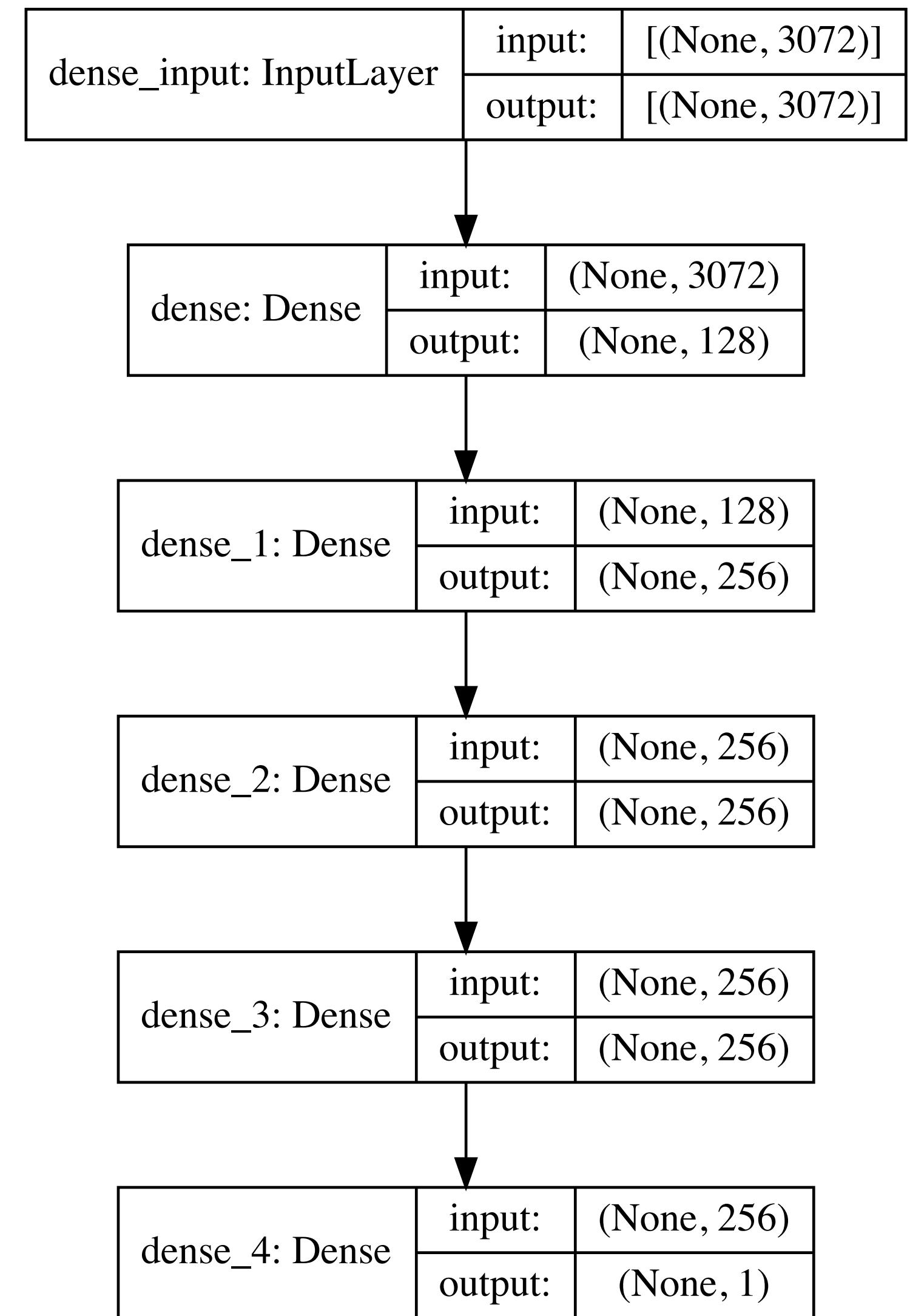
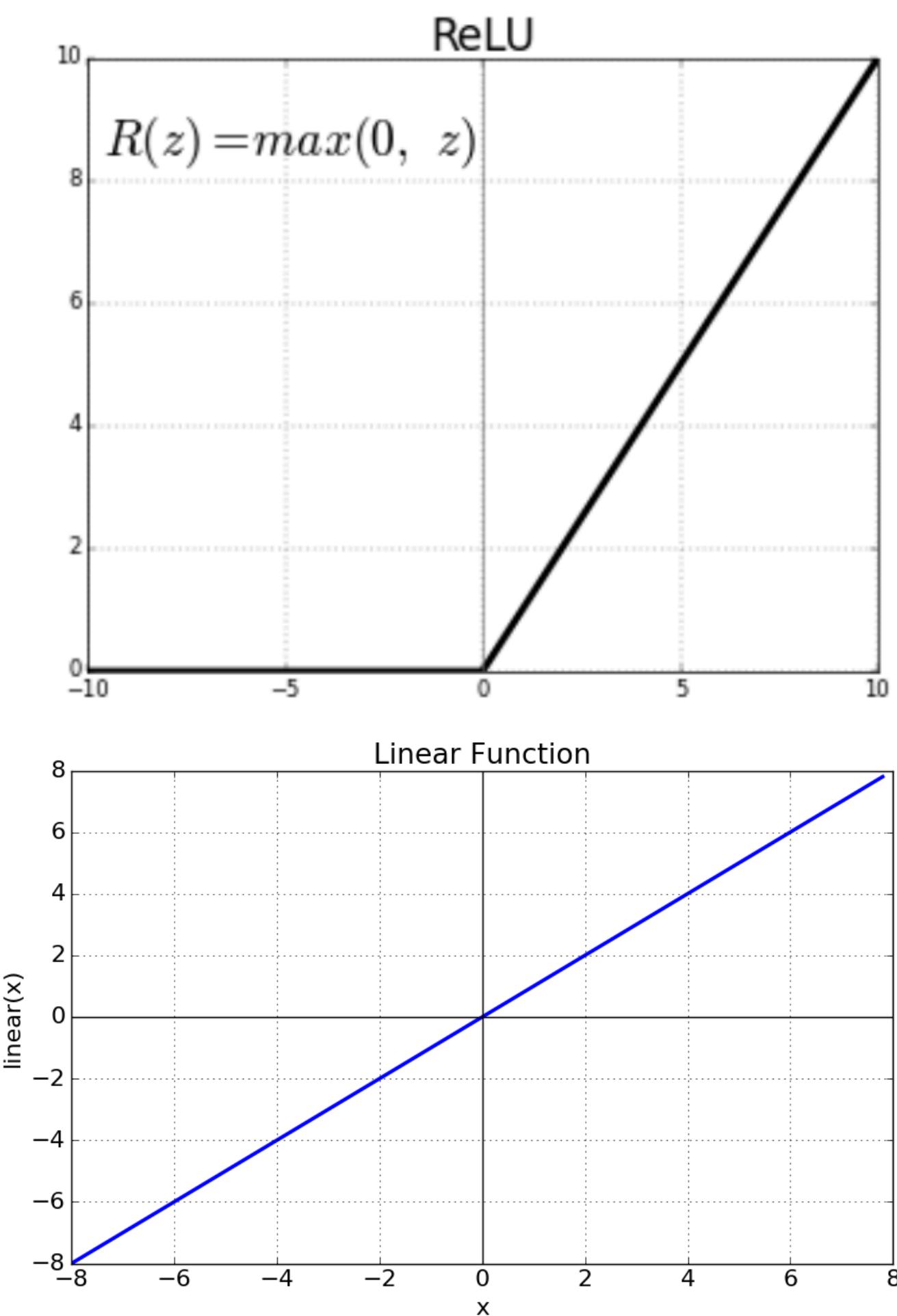
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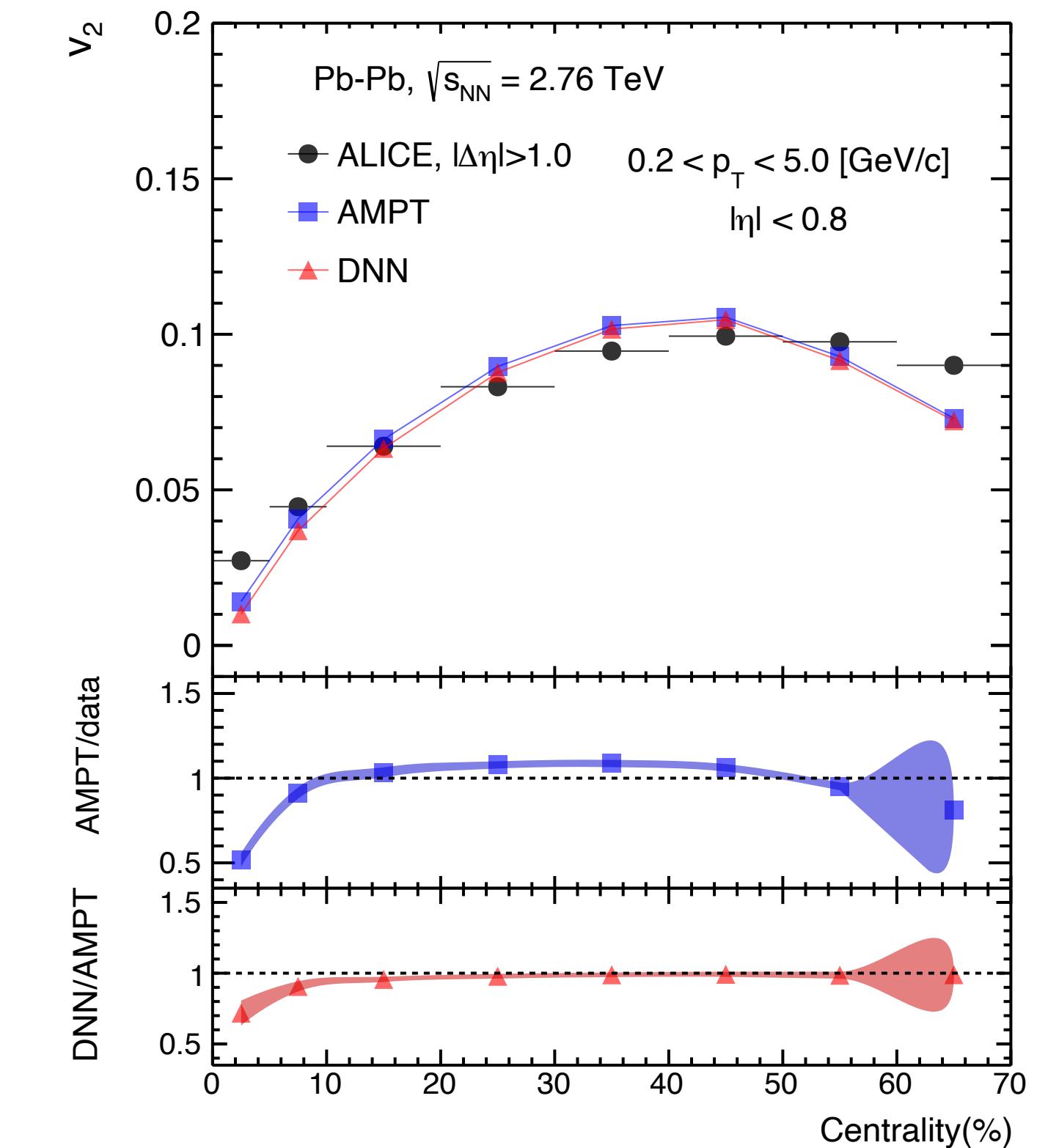
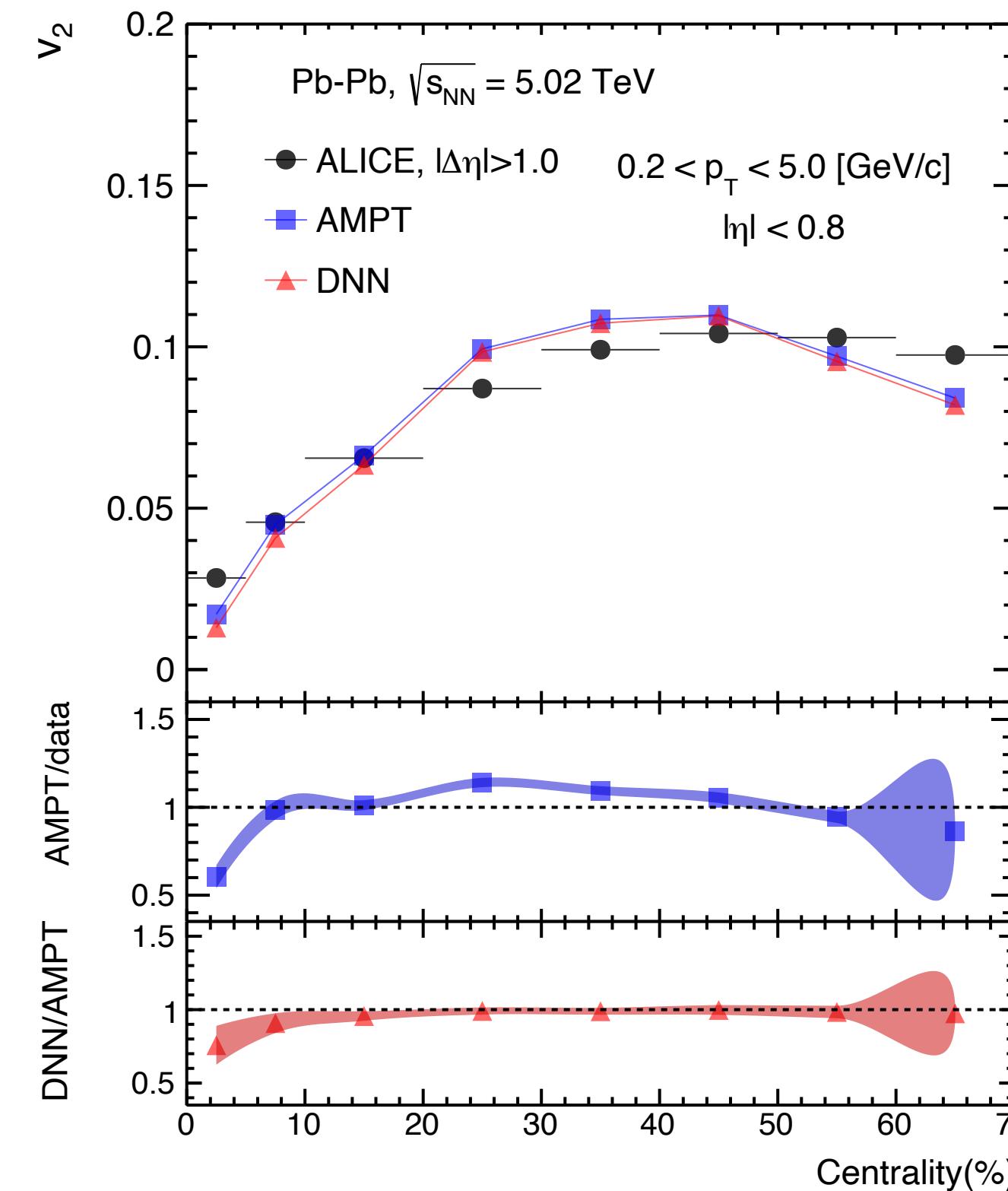
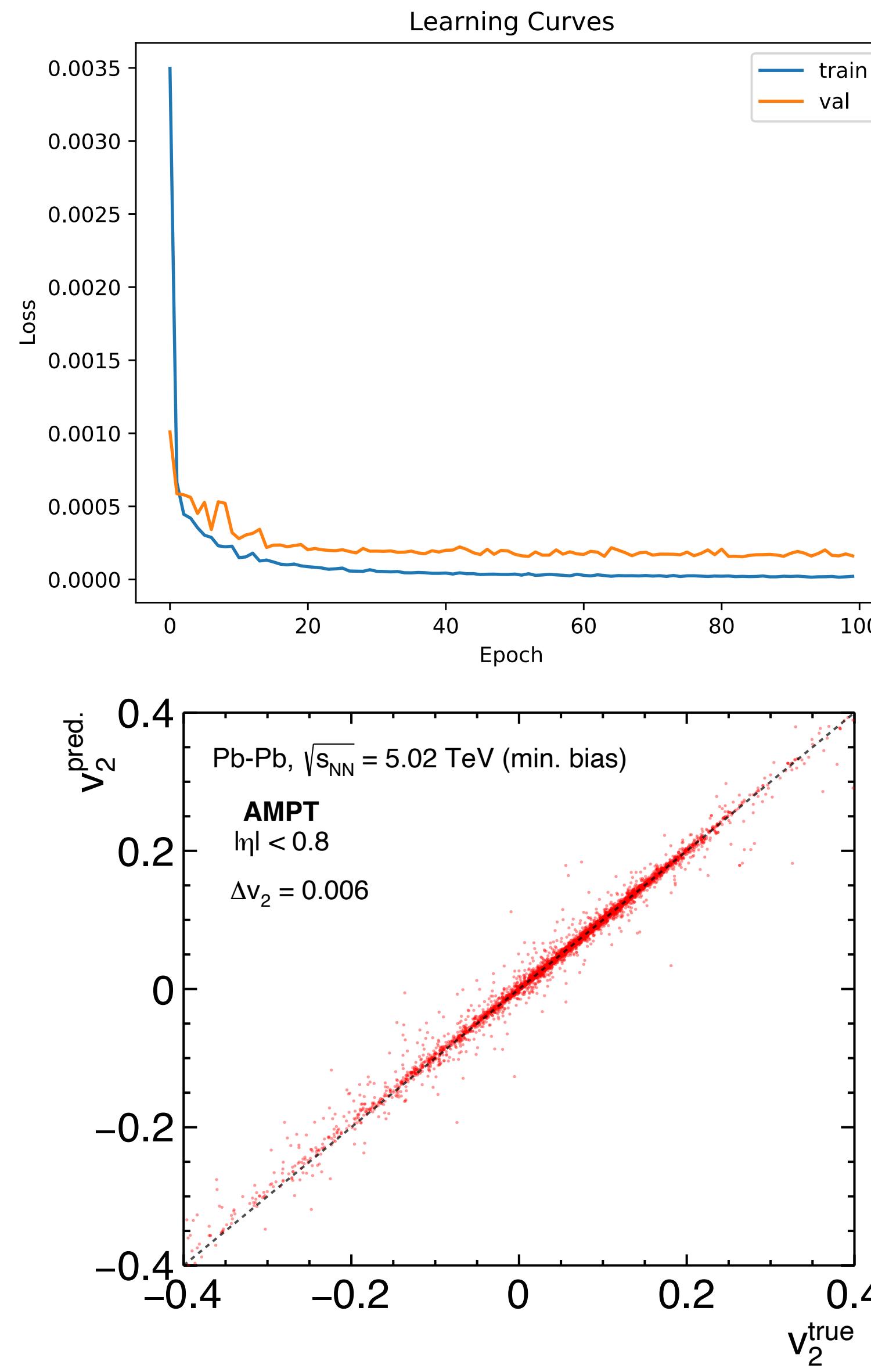


# DNN Model:

- Each space has  $32 \times 32$  pixels (grids)
- Total number of pixel points =  $32 \times 32 \times 3 = 3072$  for each event
- DNN with the following architecture  
 Input Layer: 128 Nodes  
 Three hidden layers: 256 Nodes each  
 Final layer : 1 node ( $v_2$ )
- Input and hidden layers have *ReLU* Activation
- Output layer has *Linear* activation
- Optimizer: *adam*, Loss function: *mse*
- Epoch: 100, Batch Size: 32
- Training:  $10^5$  Events (~25 GB)
- Validation:  $10^4$  Events

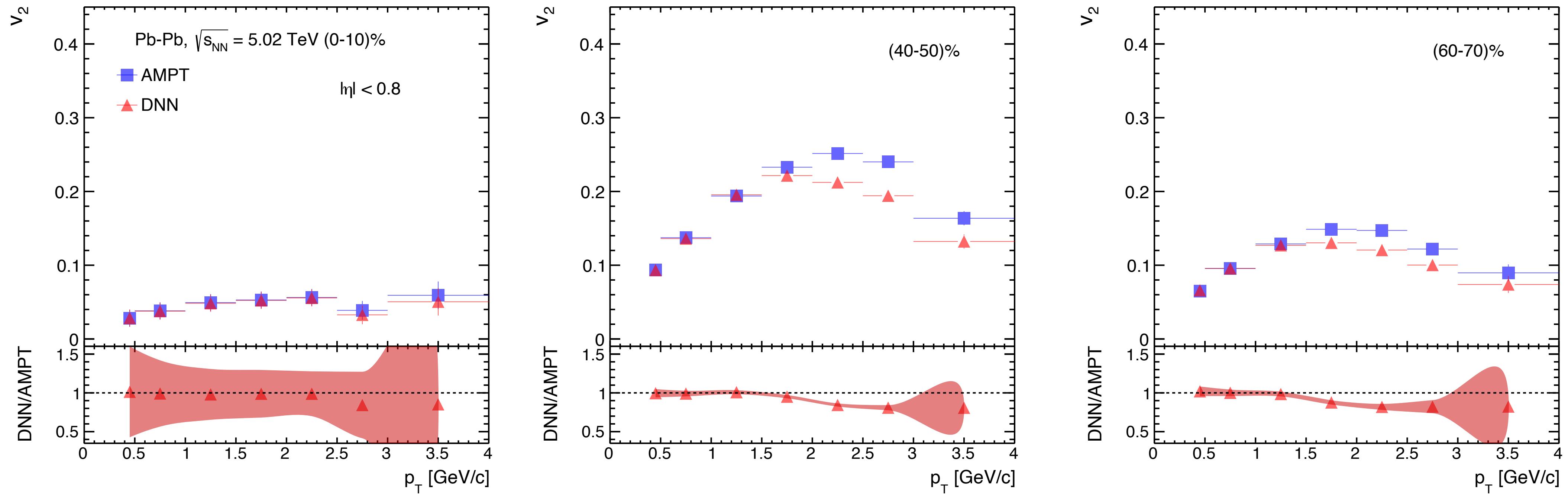


# Results



- DNN trained with 5.02 TeV minimum bias simulated data
- Good agreement between the simulated and predicted values of  $v_2$
- ML model applied to lower energy
- **DNN preserves energy dependence of  $v_2$**

# Results



- Training done in the range:  $0.2 < p_T < 5.0$  [GeV/c]
- Applied to different slices of  $p_T$ -bins: [0.4,0.5,1.0,1.5,2.0,2.5,3.0,4.0]
- Elliptic flow as a function of transverse momentum
- **DNN preserves the  $p_T$  dependence of  $v_2$**
- For lower  $p_T$ , almost perfect prediction is achieved
- Slight mismatch is observed for higher  $p_T$

# Summary and outlook

- Implementation of ML tools for the estimation of impact parameter, transverse spherocity and elliptic flow
- ML preserves the energy, centrality and  $p_T$  dependence of particle production
- Good agreement observed between the simulation and prediction
- Efficient and faster application
- Final state particle information could be used
- To estimate the non-flow contributions and reduce it
- Estimation of identified particle elliptic flow
- Quark and gluon jets

# Summary and outlook

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**Thank you!**