

Margaret Island Symposium 2023 on Particles & Plasmas

# Damped-Dynamic Screening Potential of Nuclei during BBN Epoch:

*The Role of Electron-Positron Plasma in  
the Early Universe*

*Chris Grayson, Cheng Tao Yang, Johann Rafelski*

*Publication forthcoming*



# Current-Conserving Relativistic Linear Response

We **apply previous** work to **electron-positron plasma** in the **early universe**.

Electromagnetic Fields ↔ Pair Plasmas

- Our previous work develops **analytic** methods to calculate electromagnetic fields using **linear response** in infinite homogeneous plasmas with simplified collisional **damping**. (2021)
- After using these tools to study the magnetic field in QGP during heavy ion collisions (2022), we turned to study the **early universe** electron-positron plasma.
  - We find an **analytic** expression for the electric **potential** in the **damped BBN** plasma, similar to those found in dusty plasma theory (2023).



Current-conserving relativistic linear response for collisional plasmas

Martin Formanek <sup>a,\*</sup>, Christopher Grayson <sup>a</sup>, Johann Rafelski <sup>a</sup>, Berndt Müller <sup>b</sup>

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<sup>b</sup> Department of Physics, Duke University, Durham, NC 27708-0305, USA

The image is a screenshot of a Physics Review D article page. At the top, it says 'PHYSICAL REVIEW D covering particles, fields, gravitation, and cosmology'. Below that are navigation links: 'Highlights', 'Recent', 'Accepted', 'Collections', 'Authors', 'Referees', 'Search', 'Press', and 'About'. There is an 'Open Access' button. The article title is 'Dynamic magnetic response of the quark-gluon plasma to electromagnetic fields'. The authors listed are 'Christopher Grayson, Martin Formanek, Johann Rafelski, and Berndt Müller'. The journal information is 'Phys. Rev. D 106, 014011 – Published 18 July 2022'. At the bottom, there are buttons for 'Article', 'References', 'No Citing Articles', 'PDF', 'HTML', and 'Export Citation'. The 'ABSTRACT' section is partially visible, starting with 'We investigate the electromagnetic response of a viscous quark-gluon plasma...'. A red arrow points from the text 'linear response' in the first bullet point to the 'PHYSICAL REVIEW D' header. Another red arrow points from the text 'early universe' in the second bullet point to the article title.

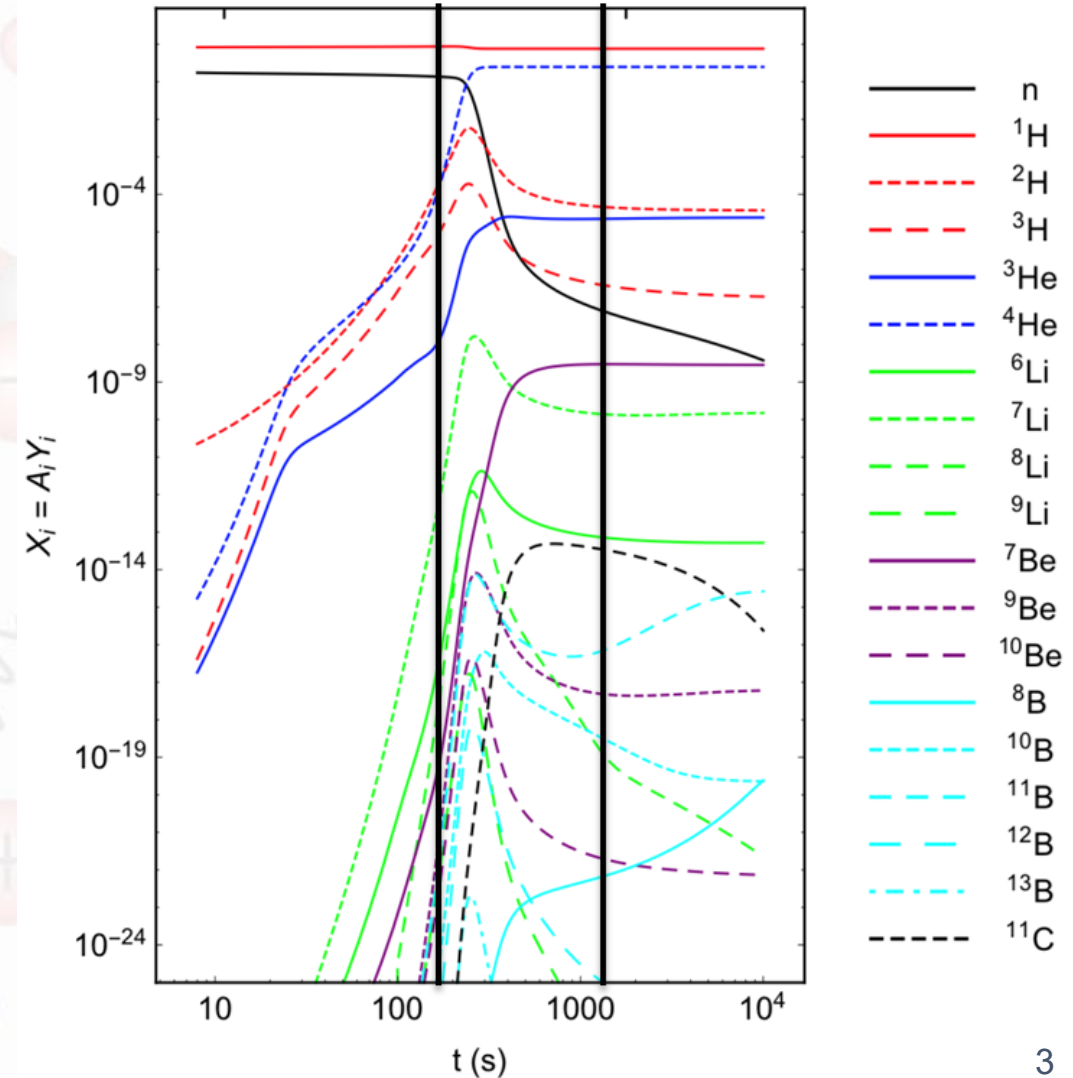
# Big Bang Nucleosynthesis (BBN)

## Why is the Early universe of interest?

- During BBN, numerous **nuclear reactions** occur, producing primordial light **element distributions**.
- **Open questions and tensions between measurement and theory** remain about light element distributions in the universe

$$T_{BBN} = 50 - 86 \text{ keV}$$

$$10^{9.5} \text{ K} \quad 10^9 \text{ K} \quad 1.83 \times 10^{8.5} \text{ K}$$



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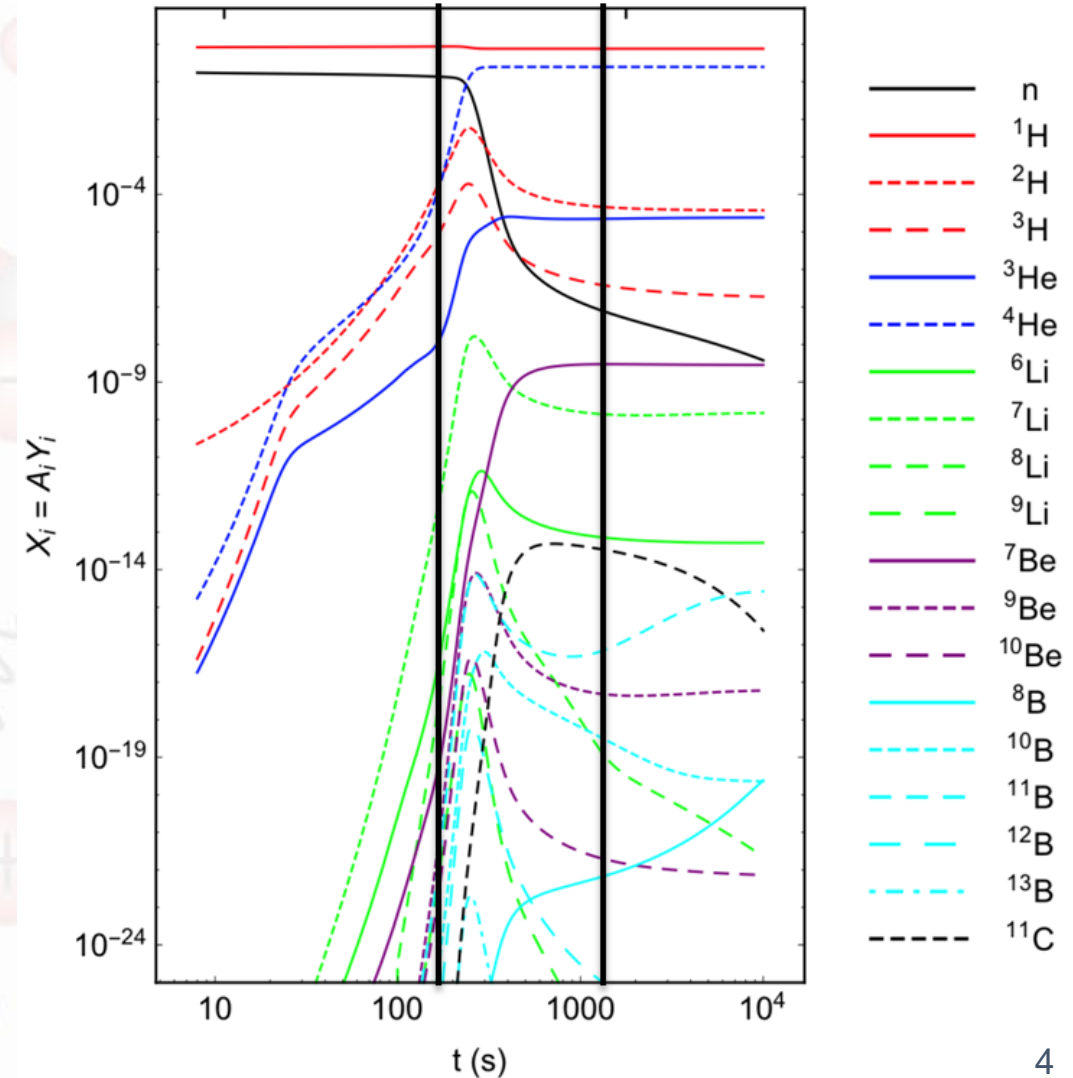
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**Question: How does the universe's composition affect the Big Bang Nucleosynthesis reaction network?**

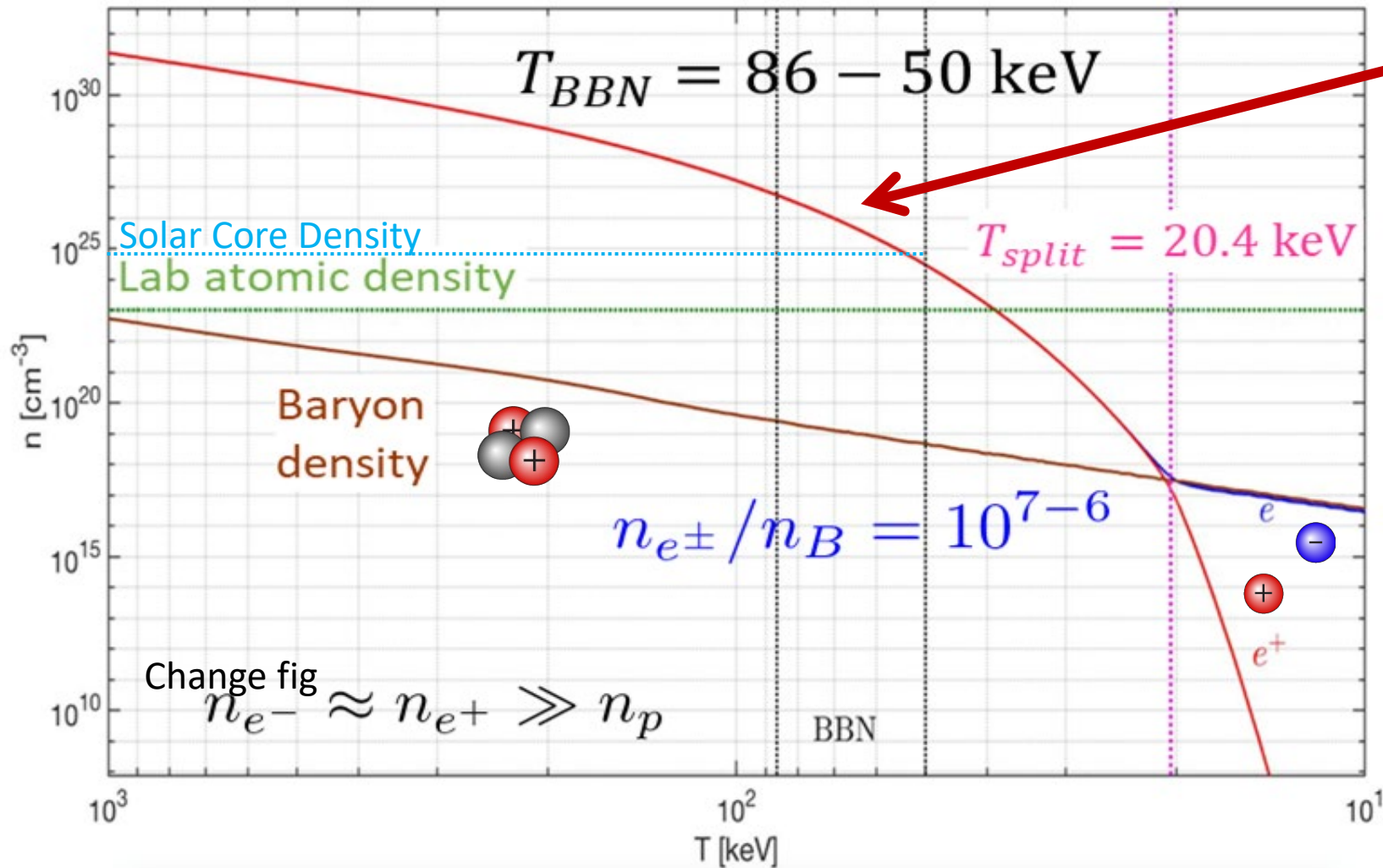
**We acknowledge that these reactions do not occur in vacuum.**

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# Early Universe Contents – BBN Epoch

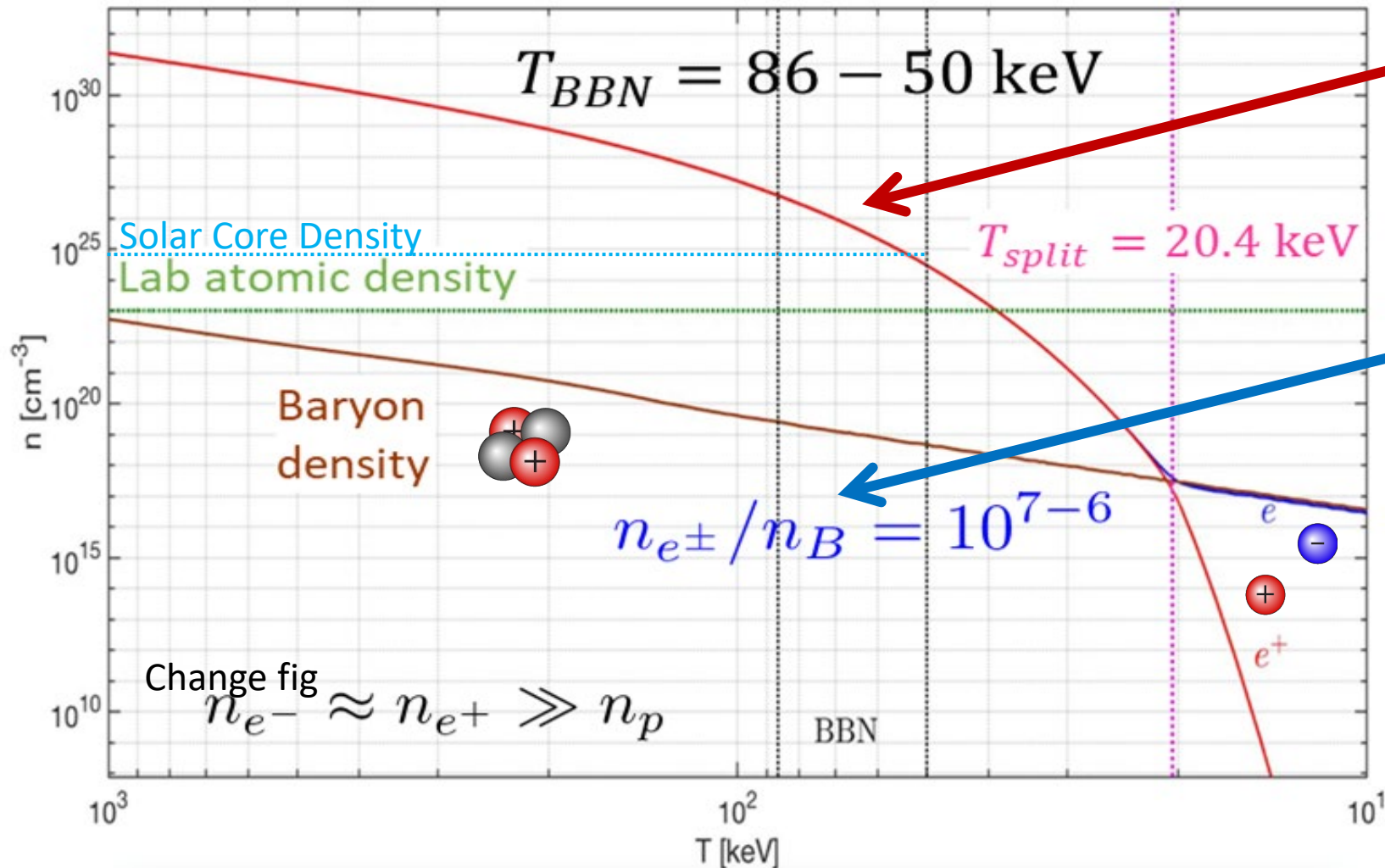


**BBN nuclear reactions** occurred in the presence of a hot dense **electron-positron plasma** which must be accounted for.



Figure by Cheng Tao Yang, University of Arizona

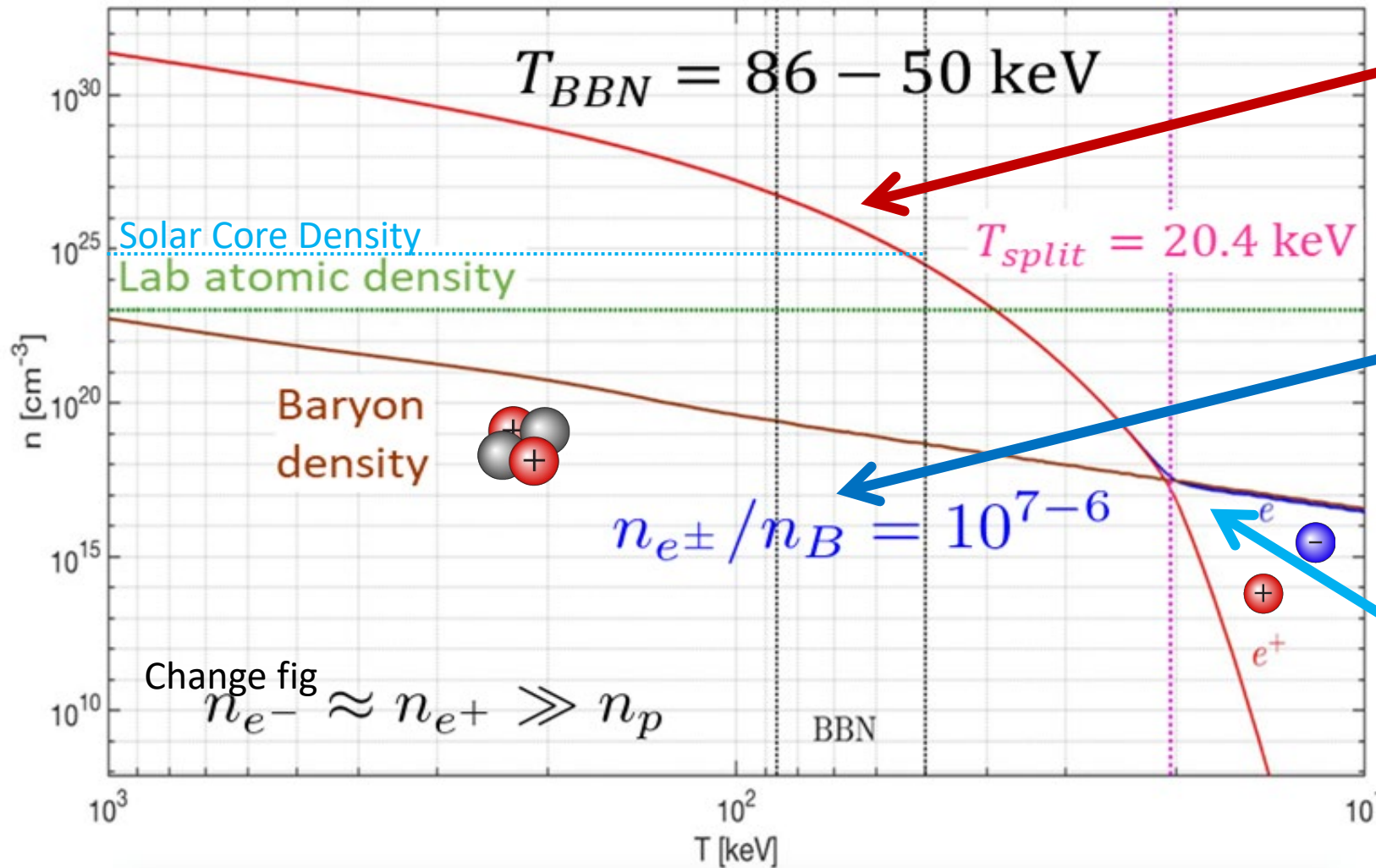
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Since the **baryon** density is very small, we describe them as spatially **dispersed** heavy **'impurities'** in the plasma.

Before  $T=20 \text{ keV}$ , the **electron-positron chemical potential** is **zero**. Thus the plasma contains effectively equal parts electrons and positrons.

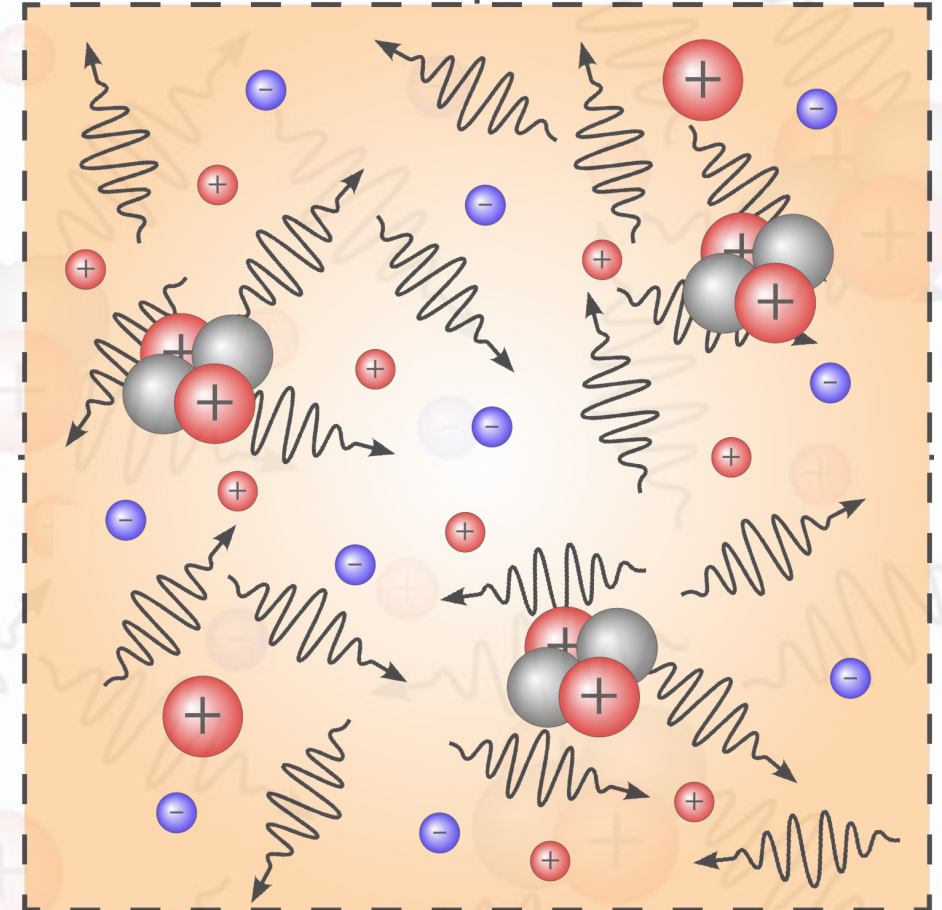
Figure by Cheng Tao Yang, University of Arizona

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- It is becoming more widely recognized that the universe was filled with a **hot dense electron-positron plasma** interspersed with light nuclei during BBN.
  - Carraro et al. (1988), Famiano et al. (2016), X. Yao et al (2017), B. Wang et al. (2021)



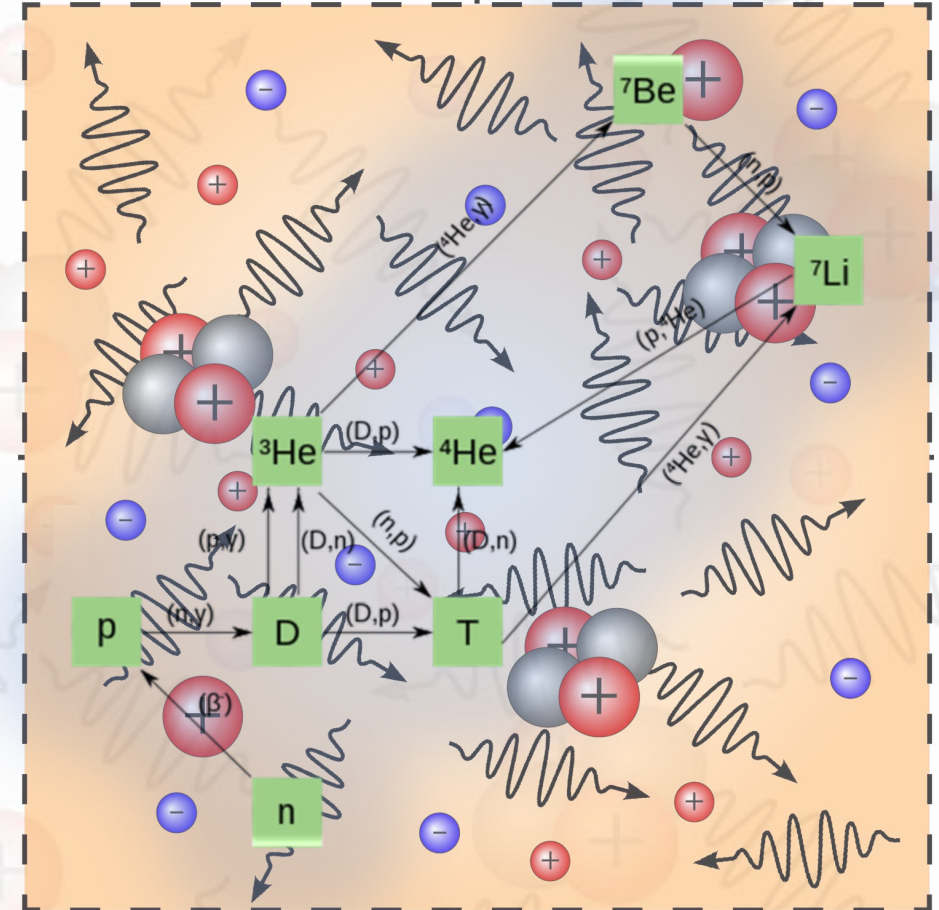


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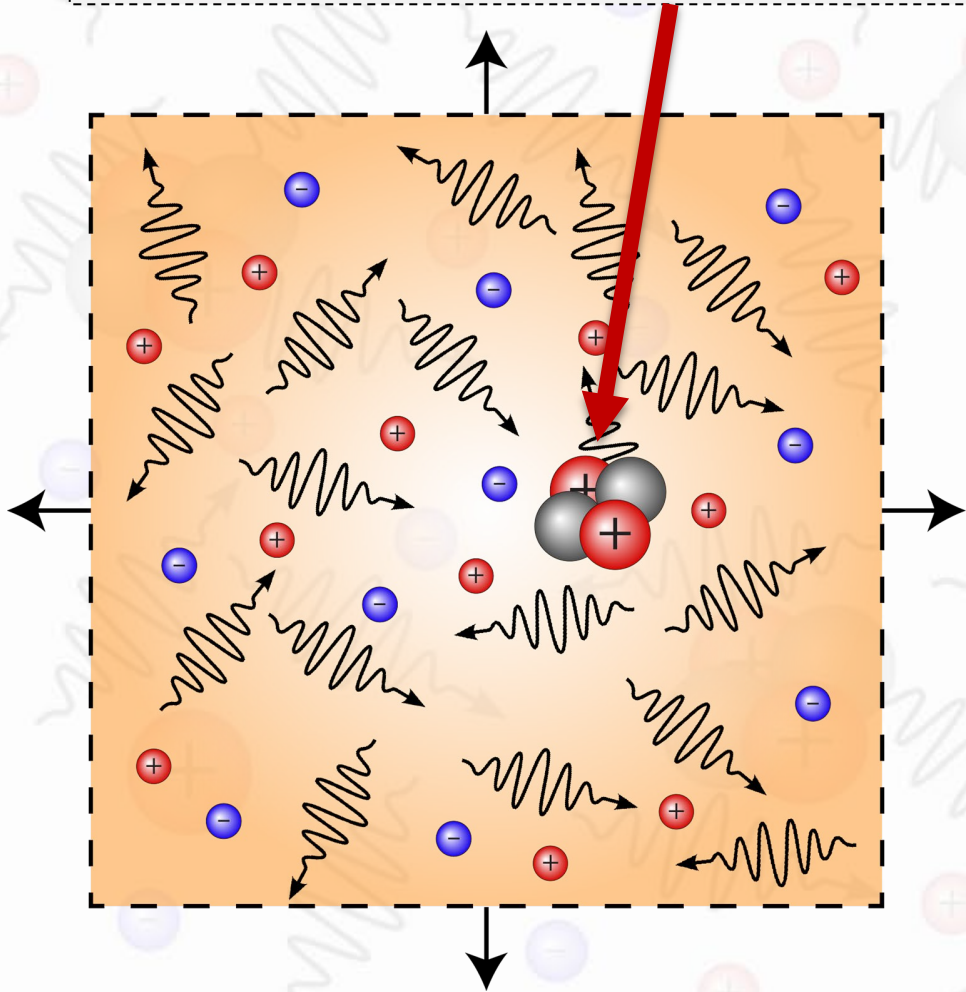
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**Early universe plasma suggests a review of the BBN reaction network**

# Overview – Screening Effect on Reaction Rates

Ion acts as an **external perturbation** in the plasma



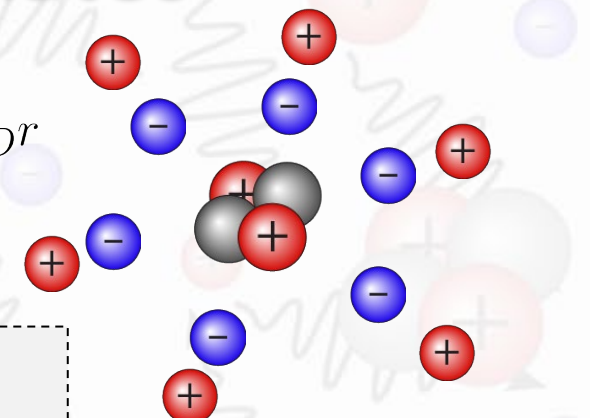
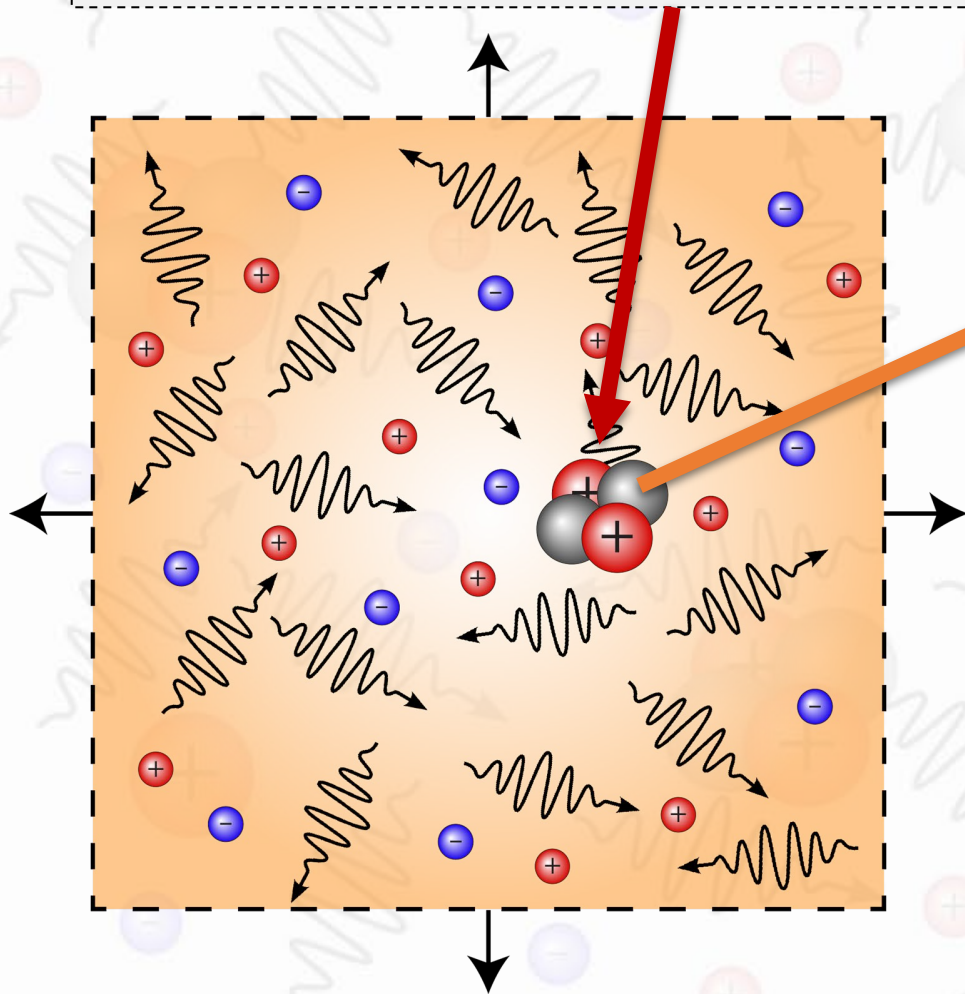
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Ion acts as an **external perturbation** in the plasma

$$\phi_{\text{stat}}(r) = \frac{Ze}{4\pi\epsilon_0 r} e^{-m_D r}$$

**Polarization reduces electrostatic repulsion**

$$m_D = 1/\lambda_D$$



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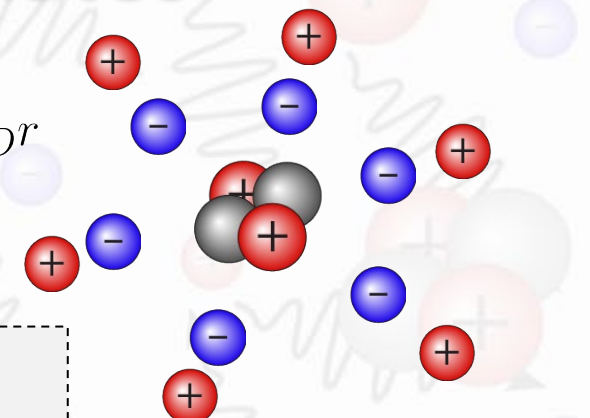
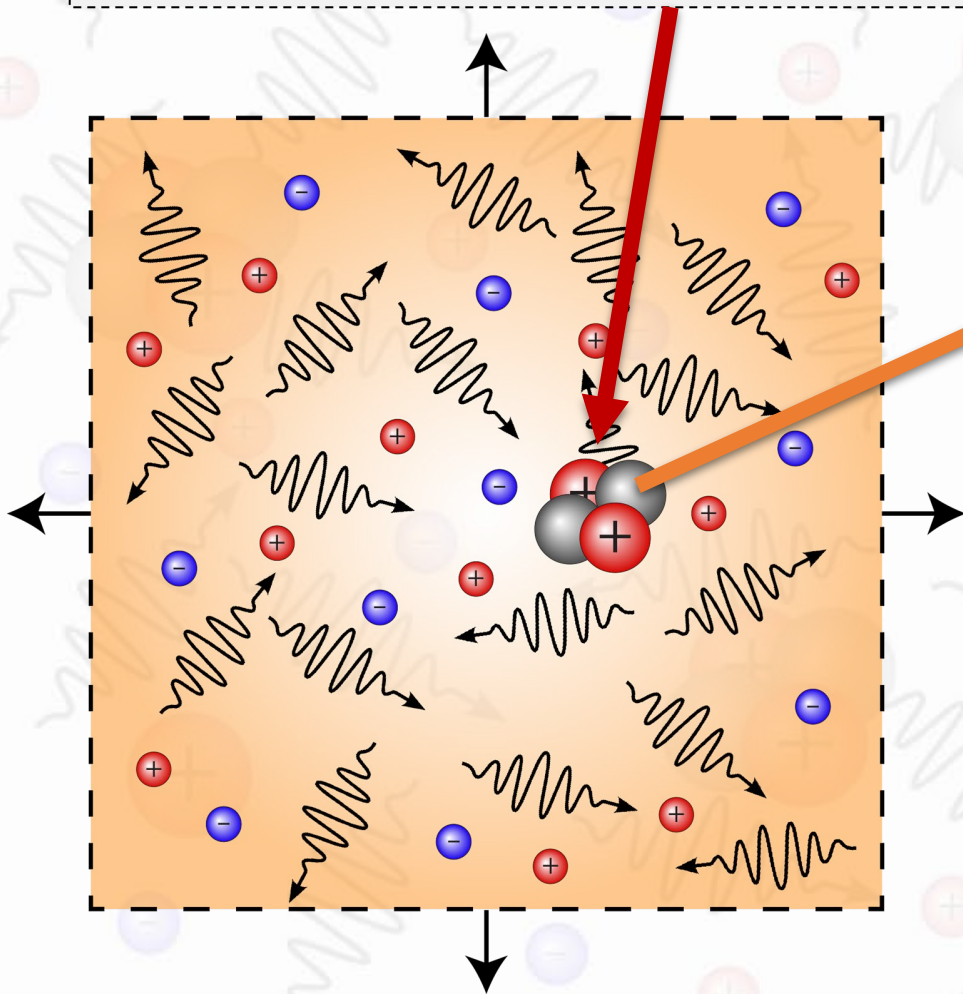
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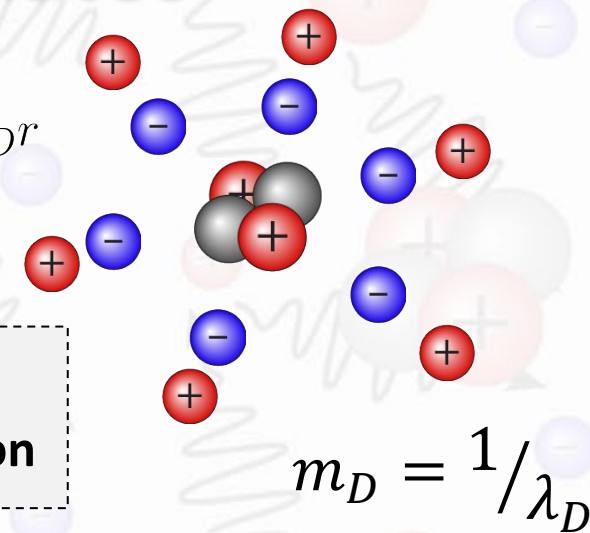
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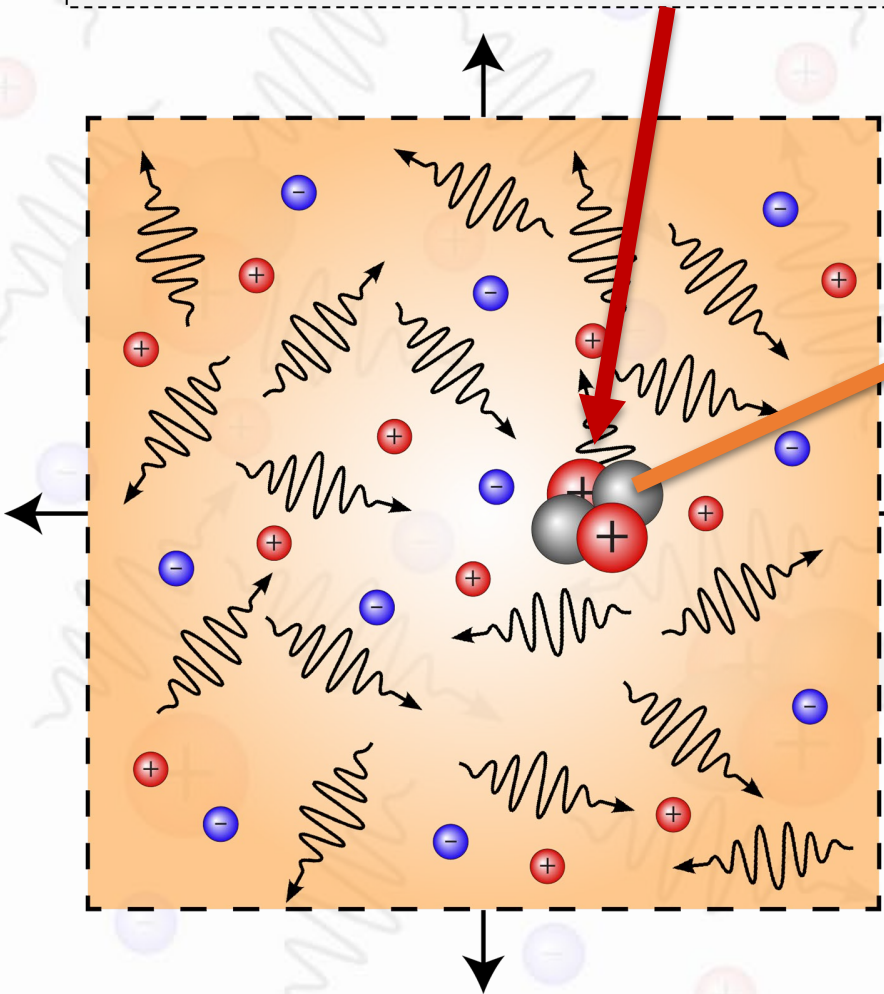
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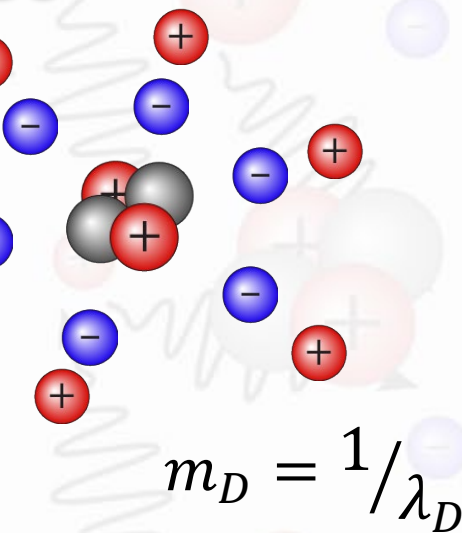
**Enhancement of reactions rates changes primordial light element distributions.**



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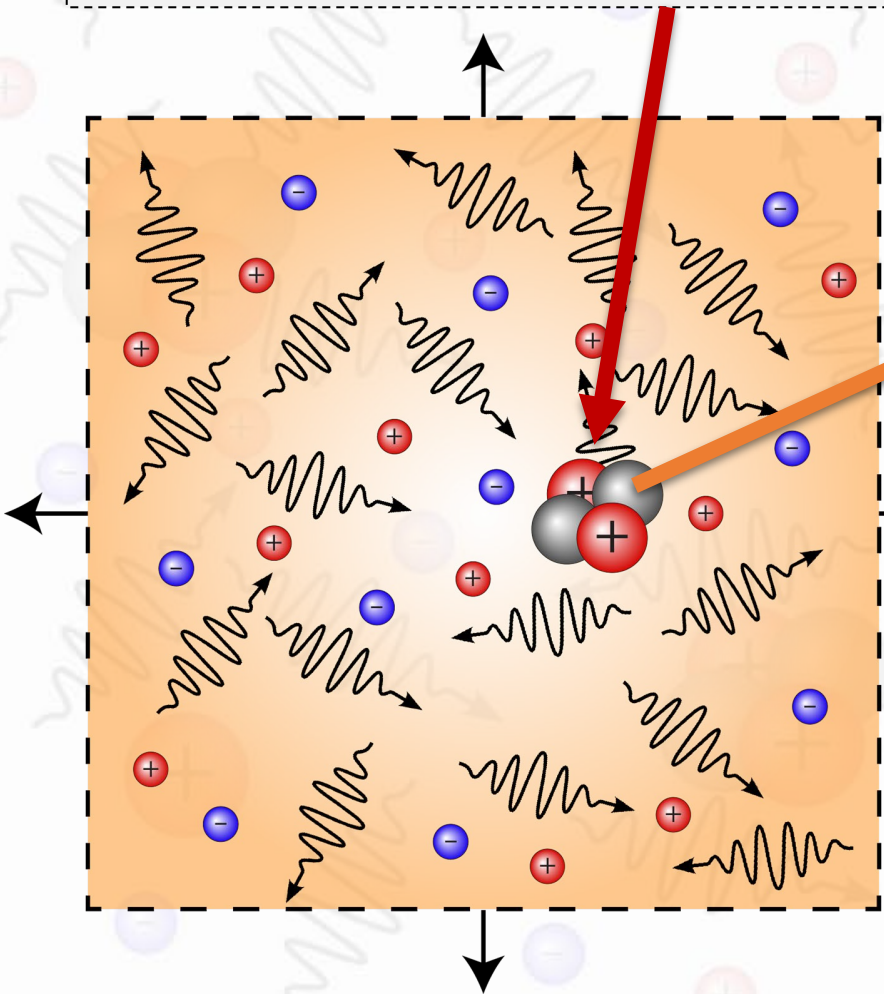


**Polarization screens**  
the electric potential

**Our focus**

**Reduction of the**  
coulomb barrier  
**enhances** reactions rates

**Enhancement of reactions**  
rates changes primordial  
**light element distributions.**



# Plasma Screening models in BBN

All of these models assume the weak field limit  $e\phi/T \ll 1$

- **Static Screening** - nuclei sit at **rest** in the global frame of the plasma and have a standard Debye-Hückel potential.

- E. E. Salpeter (1954), Salpeter & van Horn (1969), Famiano et al. (2016) 
$$\phi_{\text{stat}}(r) = \frac{Ze}{4\pi\epsilon_0 r} e^{-m_D r}$$

- **Dynamic Screening** - due to the temperature of the BBN plasma nuclei have a **nonrelativistic** thermal distribution of **velocities**.

- Carraro et al. (1988), X. Yao et al (2017), B. Wang et al. (2021)

- Static screening **potential** has **corrections** due to the **motion** of nuclei.

$$v_N \approx \sqrt{\frac{2T}{m_N}} \quad (\text{Most probable velocity Boltzmann Distribution})$$

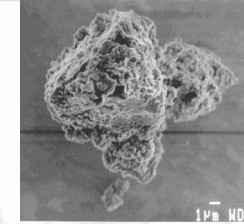
- **Damped-Dynamic Screening** – collisions between plasma particles cause **damping** in the dynamic screened potential. [Our contribution](#)

- M. Formanek, C. Grayson, J. Rafelski and B. Müller (2021)

Analytic result matches dusty plasma theory.

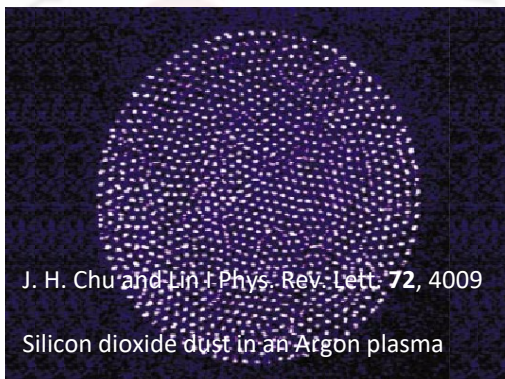
*We neglect primordial magnetic fields for now.*

# Plasma Screening Dusty (Complex) Plasmas



Current BBN screening models are **analogous** to models **previously** created to describe **dusty (complex) plasmas**.

- focuses on plasma **impurities** (dust) on the order of  $\sim 1 \mu\text{m}$  in size.  
Areas of interest: Interplanetary space, Comets, Planetary rings, Earth's atmosphere, fusion devices.
  - Both fields study **larger**, often spatially dispersed 'impurities' or dust with  $Q/m$  different than standard plasma components.
- We expect other results from this theoretical framework may be similar or directly applicable to **BBN electron-positron plasma**.



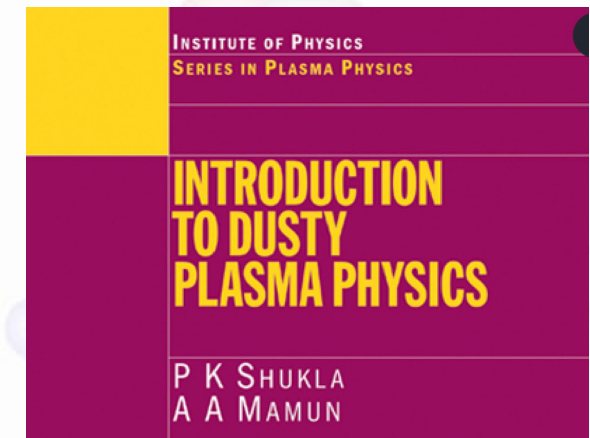
J. H. Chu and Lin | Phys. Rev. Lett. **72**, 4009

Silicon dioxide dust in an Argon plasma

L. Stenflo, M. Y. Yu, and P. K. Shukla "Shielding of a slow test charge in a collisional plasma" Phys. Fluids 16 (1973)

P. K. Shukla and A. A. Mamun "Introduction to Dusty Plasma Physics" Plasma Phys. Control. Fusion 44 395 (2002)

P. K. Shukla and N. N. Rao "Coulomb crystallization in colloidal plasmas with streaming ions and dust grains" Physics of Plasmas 3, 1770 (1996)





# Self consistent Screening Potential

The **screened potential** is found in the weak field limit  $e\phi/T \ll 1$  by introducing an induced polarization current due to the BBN plasma through the **linear response relation**.

$$\tilde{j}_{\text{ind}}^{\mu}(k) = \Pi^{\mu}_{\nu}(k) \tilde{A}^{\nu}(k) \quad -ik_{\mu} \tilde{F}^{\mu\nu}(k) = \tilde{j}_{\text{ext}}^{\nu}(k) + \tilde{j}_{\text{ind}}^{\nu}(k)$$

*“Linear response relation”* *“Maxwell’s equations”*

One can then solve Maxwell’s equations to find the usual self-consistent potential in the plasma,

$$\phi(t, \mathbf{x}) = \int \frac{d^4 k}{(2\pi)^4} e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}} \frac{\tilde{\rho}_{\text{ext}}(\omega, \mathbf{k})}{\varepsilon_{\parallel}(\omega, \mathbf{k})(\mathbf{k}^2 - \omega^2)} \quad \varepsilon_{\parallel}(\omega, \mathbf{k}) = \left( \frac{\Pi_{\parallel}(\omega, \mathbf{k})}{\omega^2} + 1 \right)$$

*“Longitudinal permittivity”*

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# Electron-Positron Plasma – Linear Response

The **polarization tensor** is found by calculating the **induced current** due to small perturbations from equilibrium in the **Vlasov-Boltzmann equation**

$$\tilde{j}_{\text{ind}}^{\mu}(k) = 2 \int \frac{d^4 p}{(2\pi)^4} p^{\mu} 4\pi \delta_{+}(p^2 - m^2) \sum_{i=+,-} q_i \tilde{f}_i(k, p)$$

$$\tilde{j}_{\text{ind}}^{\mu}(k) = \Pi_{\nu}^{\mu}(k) \tilde{A}^{\nu}(k)$$

The nonequilibrium distribution  $f(x, p)$  is found by considering **small perturbations**  $\delta f$  away from **equilibrium** in the system of Vlasov-Boltzmann equations describing the plasma.  $f_{\pm}(x, p) = f_{\pm}^{\text{(eq)}}(p) + \delta f_{\pm}(x, p)$

$$(p \cdot \partial) f_{\pm}(x, p) + q F^{\mu\nu} p_{\nu} \frac{\partial f_{\pm}(x, p)}{\partial p^{\mu}} = C_{\pm}(x, p)$$

*“Electron-Positrons”*

*“Collision term”*

$$(p \cdot \partial) f_{\gamma}(x, p) = C_{\gamma}(x, p)$$

$$C_i(x, p) = \kappa_i(p \cdot u) \left( f_i^{\text{eq}}(p) \frac{n_i(x)}{n_i^{\text{eq}}} - f_i(x, p) \right)$$

*“Photons” – does not couple directly to the EM field.*

# Collision term - BGK term (Relaxation Term)

We assume a **collision term** in the P. L. Bhatnagar, E. P. Gross and M. Krook (**BGK**) **form**. This models the sum of all **scattering effects** on particles in the plasma as a dissipative medium effect which returns the system to equilibrium in time  $\tau$ .

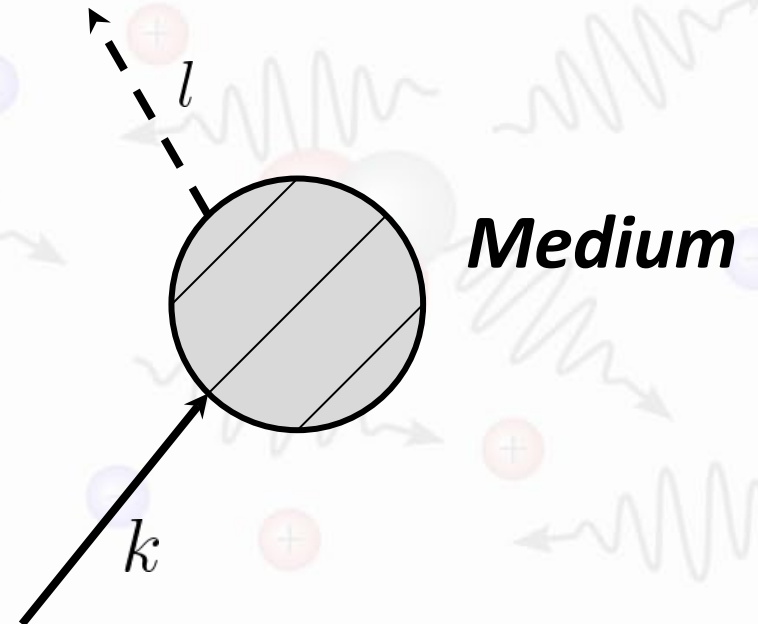
$$\frac{df(x, p)}{dt} = \frac{f_{\text{eq}}(p) - f(x, p)}{\tau} \quad \kappa = 1/\tau$$

"Damping rate"

Determines the **strength of damping**

$$C_{kl}(x, p_k) = (p_k \cdot u) \kappa_{kl} \left( f_k^{\text{eq}}(p) \frac{n_k(x)}{n_k^{\text{eq}}} - f_k(x, p_k) \right)$$

Additional term **conserves particle number**. Terms can be added to ensure energy conservation. *G. S. Rocha et al. (2021)*



# Electron-Positron Plasma – Linear Response

$$m_D = \frac{1}{\lambda_D}$$

After solving for the **small perturbation**  $\delta f$  in frequency space we calculate the induced current, assuming the equilibrium distribution is a Boltzmann distribution.

$$\tilde{j}_{\text{ind}}^\mu(\mathbf{k}) = 4e \int \frac{d^3p}{(2\pi)^3 p^0} p^\mu \delta \tilde{f}(k, p) \quad \text{with} \quad f_{\pm}^{(\text{eq})}(p) \approx \exp\left(-\frac{m}{T} \left(1 + \frac{|\mathbf{p}|^2}{2m^2}\right)\right)$$

Then keeping up to 2nd order in  $|\mathbf{p}|/m$  one finds the polarization functions

*M. Formanek, C. Grayson, J. Rafelski and B. Müller, (2021)*

“Non-relativistic Boltzmann Distribution”

$$T_{BBN} \ll m_e$$

$$T_{BBN} = 86 - 50 \text{ keV}$$

$$\Pi_{\parallel}(\omega, \mathbf{k}) = -\omega_p^2 \frac{\omega^2}{(\omega + i\kappa)^2} \frac{1}{1 - \frac{i\kappa}{\omega + i\kappa} \left(1 + \frac{T|\mathbf{k}|^2}{m(\omega + i\kappa)^2}\right)}$$

**The longitudinal polarization** function corresponds to the movement of the charge

$$\Pi_{\perp}(\omega) = -\omega_p^2 \frac{\omega}{\omega + i\kappa}$$

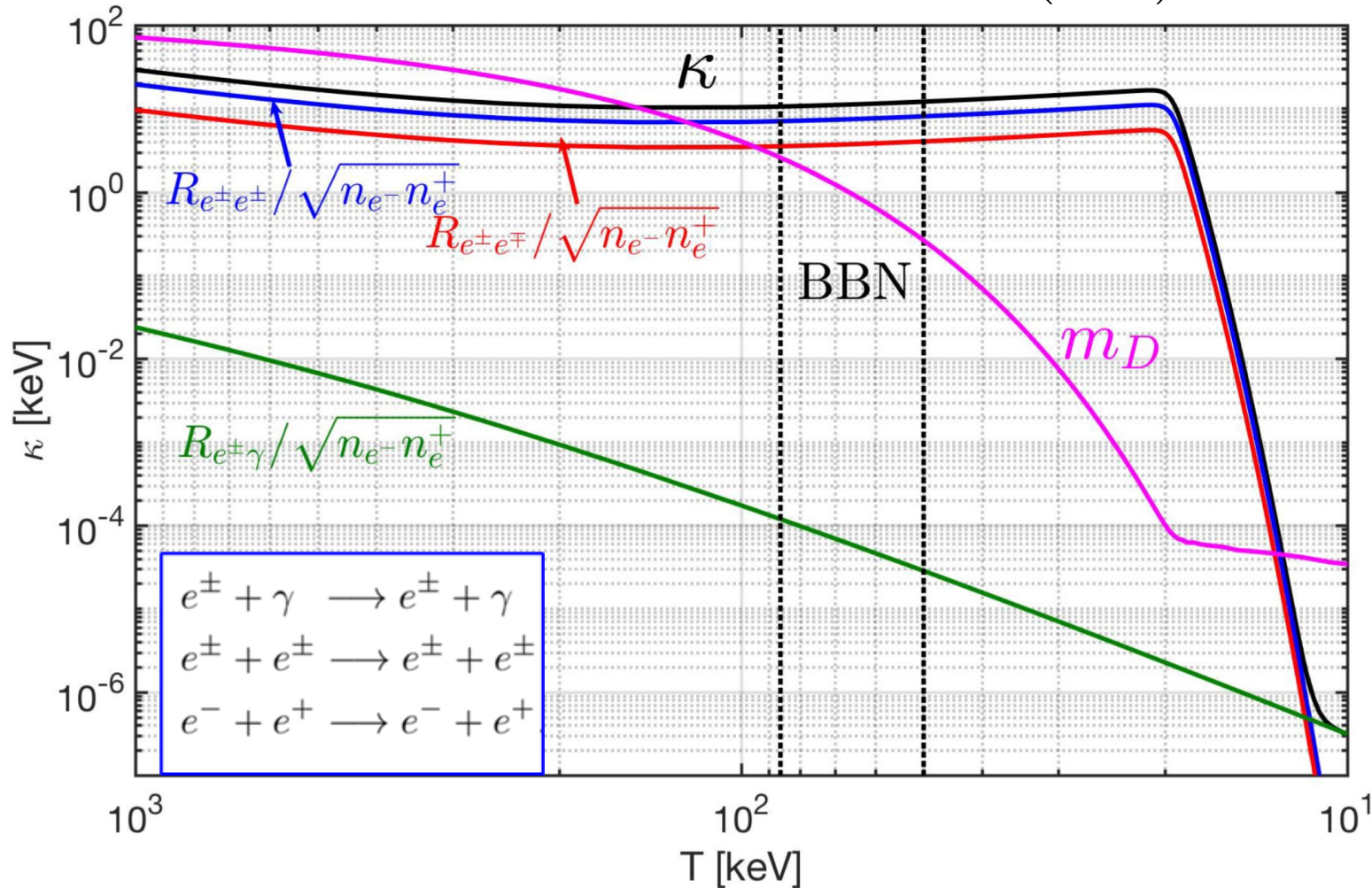
**The transverse polarization** function corresponds to the dispersion of electromagnetic waves.

$$\omega_p^2 = m_D^2 \frac{T}{m}$$

# Electron Positron Plasma Damping Rate

$$m_D^2 = 4\pi\alpha \left( \frac{2mT}{\pi} \right)^{3/2} \frac{e^{-m/T}}{2T}$$

$$\kappa = \frac{R_{e^\pm e^\pm} + R_{e^\pm e^\mp} + R_{e^\pm \gamma}}{\sqrt{n_{e^-} n_{e^+}}}$$



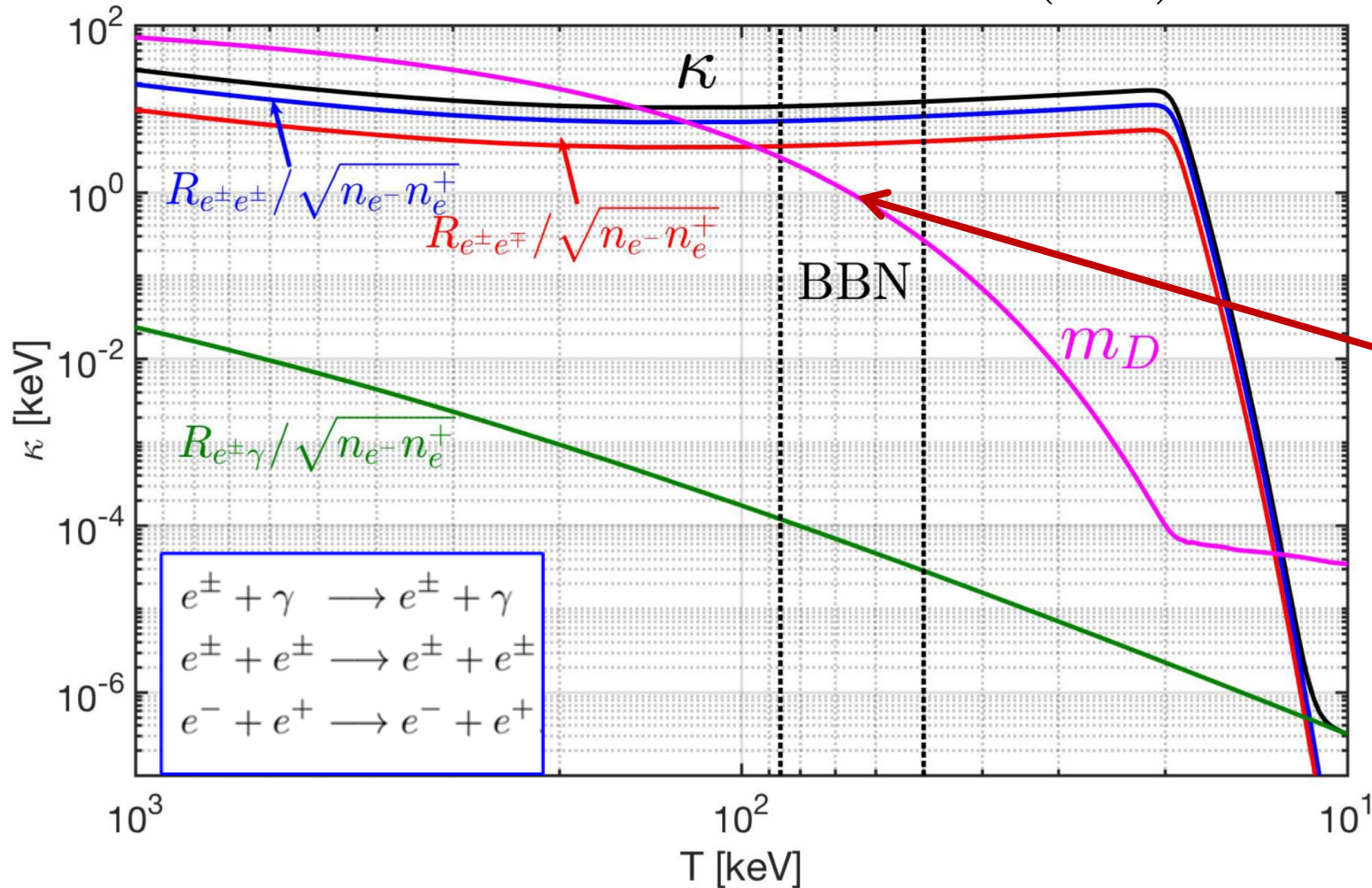
The **damping rate**  $\kappa$  is found by **summing** the most relevant reaction rates for  $2 \leftrightarrow 2$  scatterings.

Figure by Cheng Tao Yang, University of Arizona

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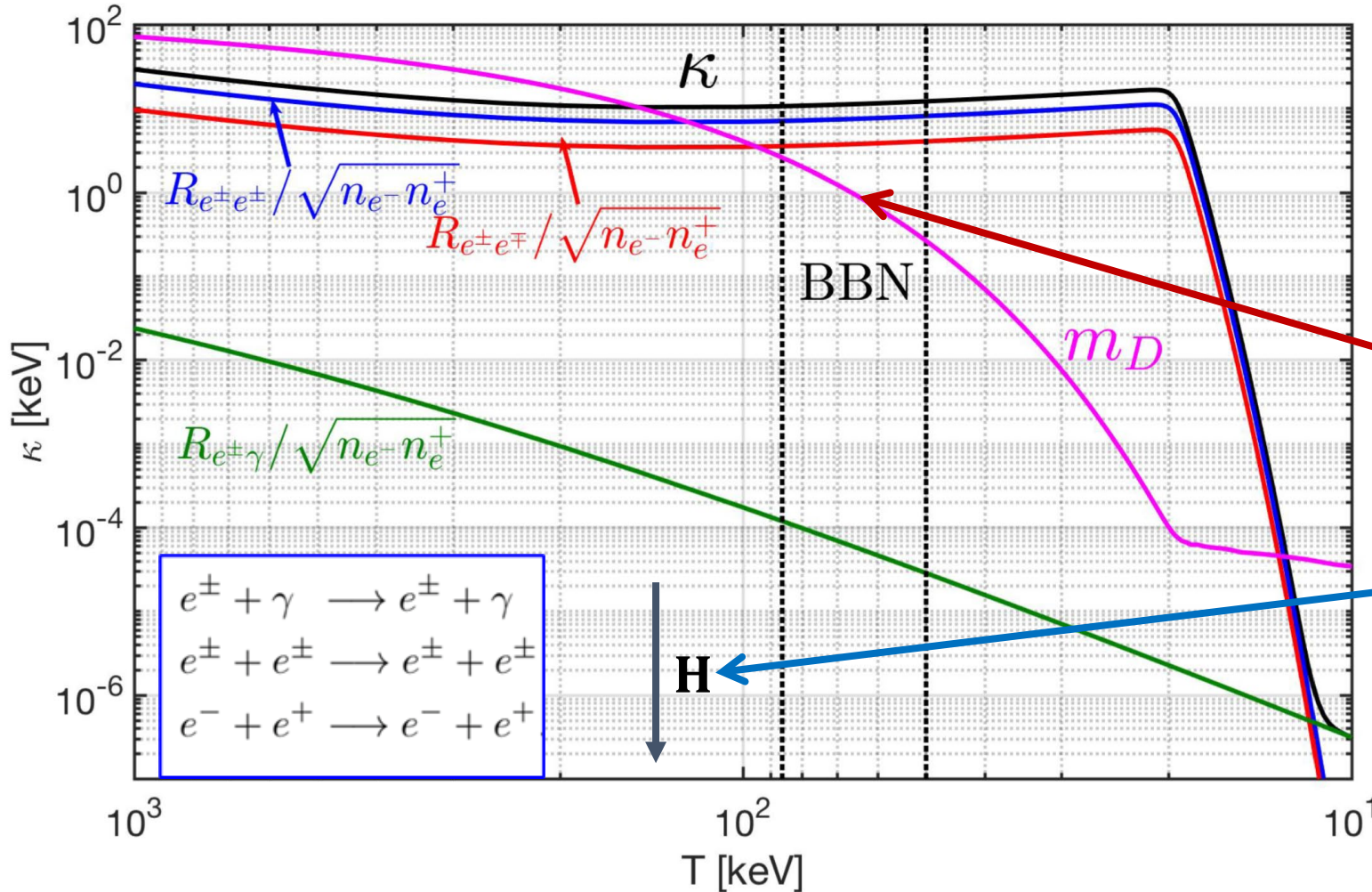
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$\kappa \gg m_D$  - during BBN indicating electromagnetic perturbations of the plasma will be **over-damped**.

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$1/H \gg 1/\kappa$  - as the universe expands the plasma has plenty of time to relax back to equilibrium. So is **static** with respect to the microscopic evolution.

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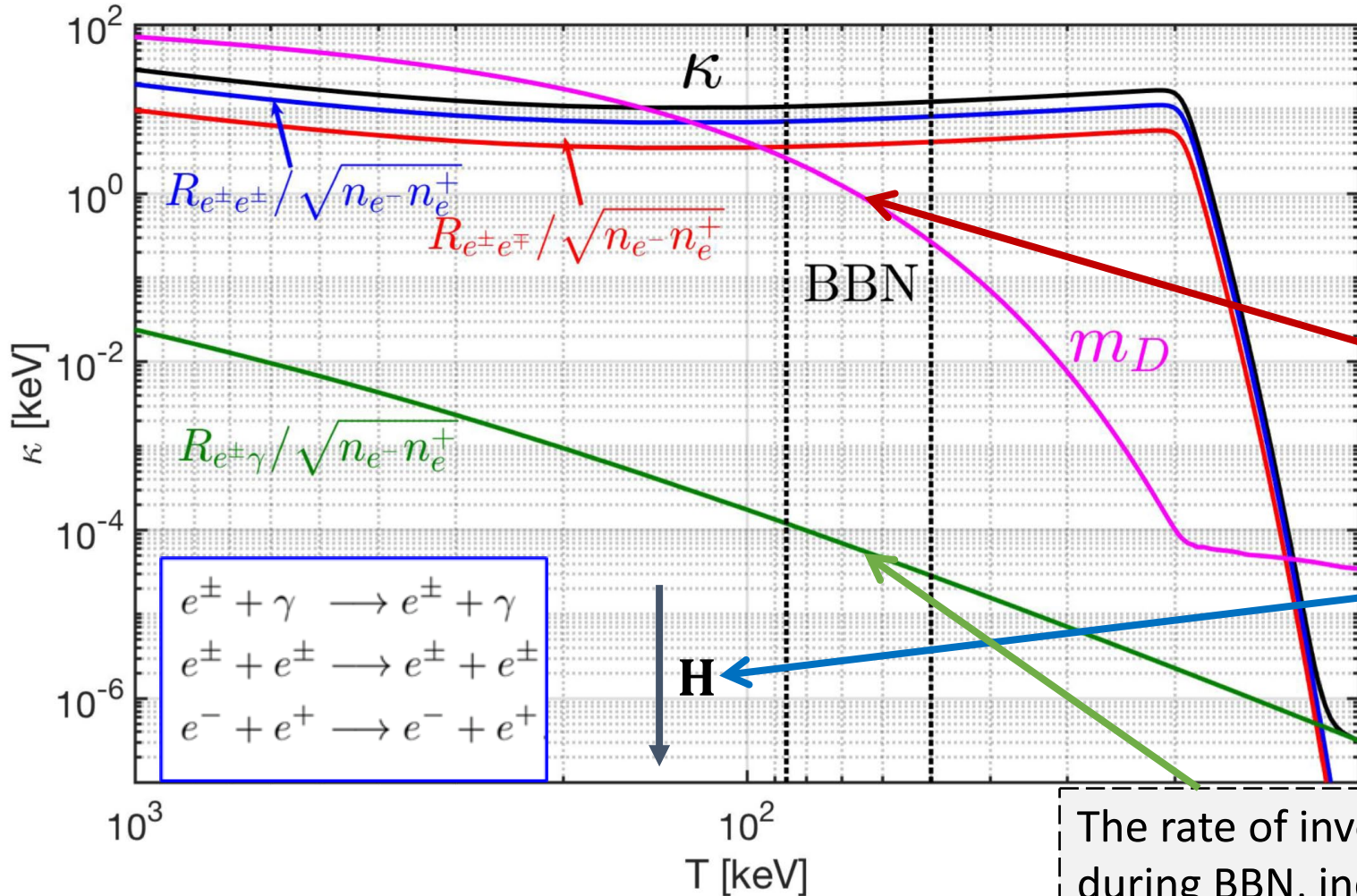
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The rate of inverse Compton scattering is very **small** during BBN, indicating that photons will not influence the electron-positron distribution.

# Electron-Positron Plasma – Calculating the potential

The **self-consistent potential** is found by Fourier transforming its momentum space relation

$$\phi(\mathbf{x}, t) = \int \frac{d^4 k}{(2\pi)^4} e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}} \frac{\tilde{\rho}_{\text{ext}}(\omega, \mathbf{k})}{\epsilon_{\parallel}(\omega, \mathbf{k})(\mathbf{k}^2 - \omega^2)} \quad \text{where} \quad \epsilon_{\parallel}(\omega, \mathbf{k}) = \frac{\Pi_{\parallel}(\omega, \mathbf{k})}{\epsilon_0 \omega^2} + 1$$

$$\Pi_{\parallel}(\omega, \mathbf{k}) = -\omega_p^2 \frac{\omega^2}{(\omega + i\kappa)^2} \frac{1}{1 - \frac{i\kappa}{\omega + i\kappa} \left(1 + \frac{T|\mathbf{k}|^2}{m(\omega + i\kappa)^2}\right)}$$

For  $\tilde{\rho}_{\text{ext}}(\omega, \mathbf{k})$  we prescribe a **moving gaussian**

$$\tilde{\rho}_{\text{ext}}(\omega, \mathbf{k}) = 2\pi Z e e^{-\mathbf{k}^2 \frac{R^2}{4}} \delta(\omega - \mathbf{k} \cdot \boldsymbol{\beta}_N)$$

$$\boldsymbol{\beta}_N = v_N / c \approx \sqrt{\frac{2T}{m_N}}$$

We perform the frequency integral using the delta function

$$\phi(t, \mathbf{x}) = Z e \int \frac{d^3 \mathbf{k}}{(2\pi)^3} e^{i\mathbf{k} \cdot (\mathbf{x} - \boldsymbol{\beta}_N t)} \frac{e^{-\mathbf{k}^2 \frac{R^2}{4}}}{\mathbf{k}^2 \epsilon_{\parallel}(-\boldsymbol{\beta}_N \cdot \mathbf{k}, \mathbf{k})}$$

*We use contention  $-\boldsymbol{\beta}_N \cdot \mathbf{k}$  from dusty plasma to get the correct causal behavior of the field.*

# Electron-Positron Plasma – Calculating the potential

We then expand the potential in the limit of **large damping** and **small velocity** of the source, due to the comparatively large mass of nuclei.

$$(\mathbf{k} \cdot \boldsymbol{\beta}_N)^2 \ll \mathbf{k}^2 \frac{T}{m} \ll \kappa^2$$

$$\phi(t, \mathbf{x}) = \phi_{\text{stat}}(t, \mathbf{x}) - Ze \int \frac{d^3 \mathbf{k}}{(2\pi)^3} e^{i\mathbf{k} \cdot (\mathbf{x} - \boldsymbol{\beta}_N t)} \frac{i\mathbf{k} \cdot \boldsymbol{\beta}_N m_D^2 \left( \frac{\mathbf{k}^2}{\kappa} - \frac{m}{T} \kappa \right)}{\mathbf{k}^2 (\mathbf{k}^2 + m_D^2)^2} e^{-\mathbf{k}^2 \frac{R^2}{4}}$$

Zeroth order term is simply **static** screening

Linear order contribution due to **damped-dynamic screening**

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Zeroth order term is simply **static** screening

contribution due to **damped-dynamic screening**

This can then be Fourier transformed back into position space for a **point charge ( $R = 0$ )**

$$\phi(t, \mathbf{x}) = \phi_{\text{stat}}(t, \mathbf{x}) +$$

$$\frac{Ze\beta_N \cos(\psi)}{4\pi\epsilon_0} \left[ \left( \frac{\kappa \frac{m}{T}}{m_D^2 r(t)^2} + \frac{\kappa \frac{m}{T}}{m_D r(t)} + \frac{(m_D^2 + \frac{m}{T} \kappa^2)}{2\kappa} \right) e^{-m_D r(t)} - \frac{\kappa \frac{m}{T}}{m_D^2 r(t)^2} \right]$$

“Small distance behavior”

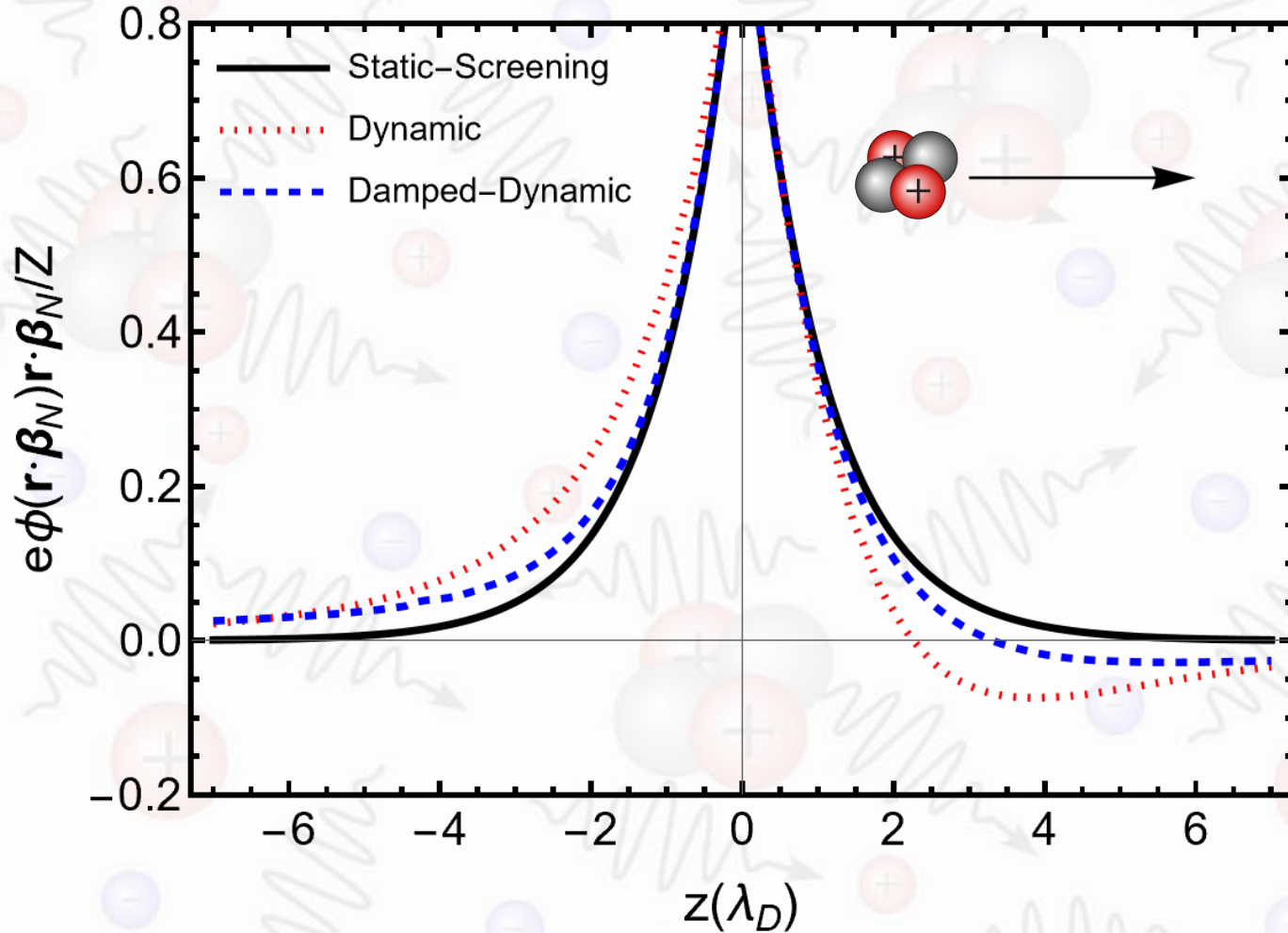
$$r(t) = |\mathbf{x} - \boldsymbol{\beta}_N t|$$

$\psi$  is the angle between  $(\mathbf{x} - \boldsymbol{\beta}_N t)$  and  $\boldsymbol{\beta}_N$

*L. Stenflo, M. Y. Yu, and P. K. Shukla  
“Shielding of a slow test charge in a collisional plasma” Phys. Fluids 16 (1973)*

# Screening Model Comparisons

$\lambda_D = 0.020 \text{ \AA}$ ,  $T = 100 \text{ keV}$ ,  $\kappa = 10.4 \text{ keV}$ ,  $\beta = 1.5 \times 10^{-2}$

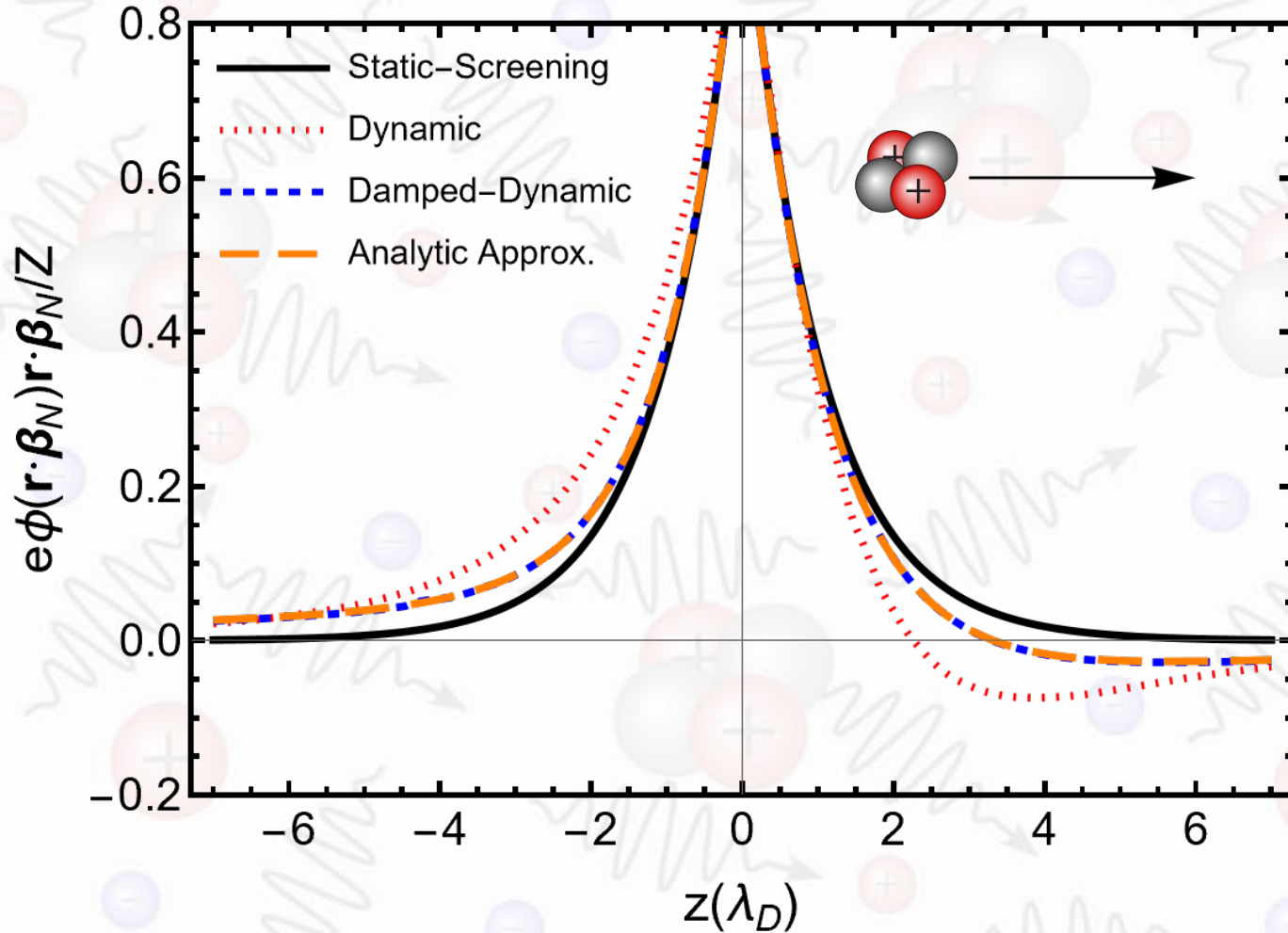


..... Dynamic - numerical calculation predicts **asymmetry** in the potential leading to negative polarization charge in front and positive charge behind the moving nucleus.

----- Damped-Dynamic - **numerical** calculation predicts a screening effect that is **similar** but smaller because of damping.

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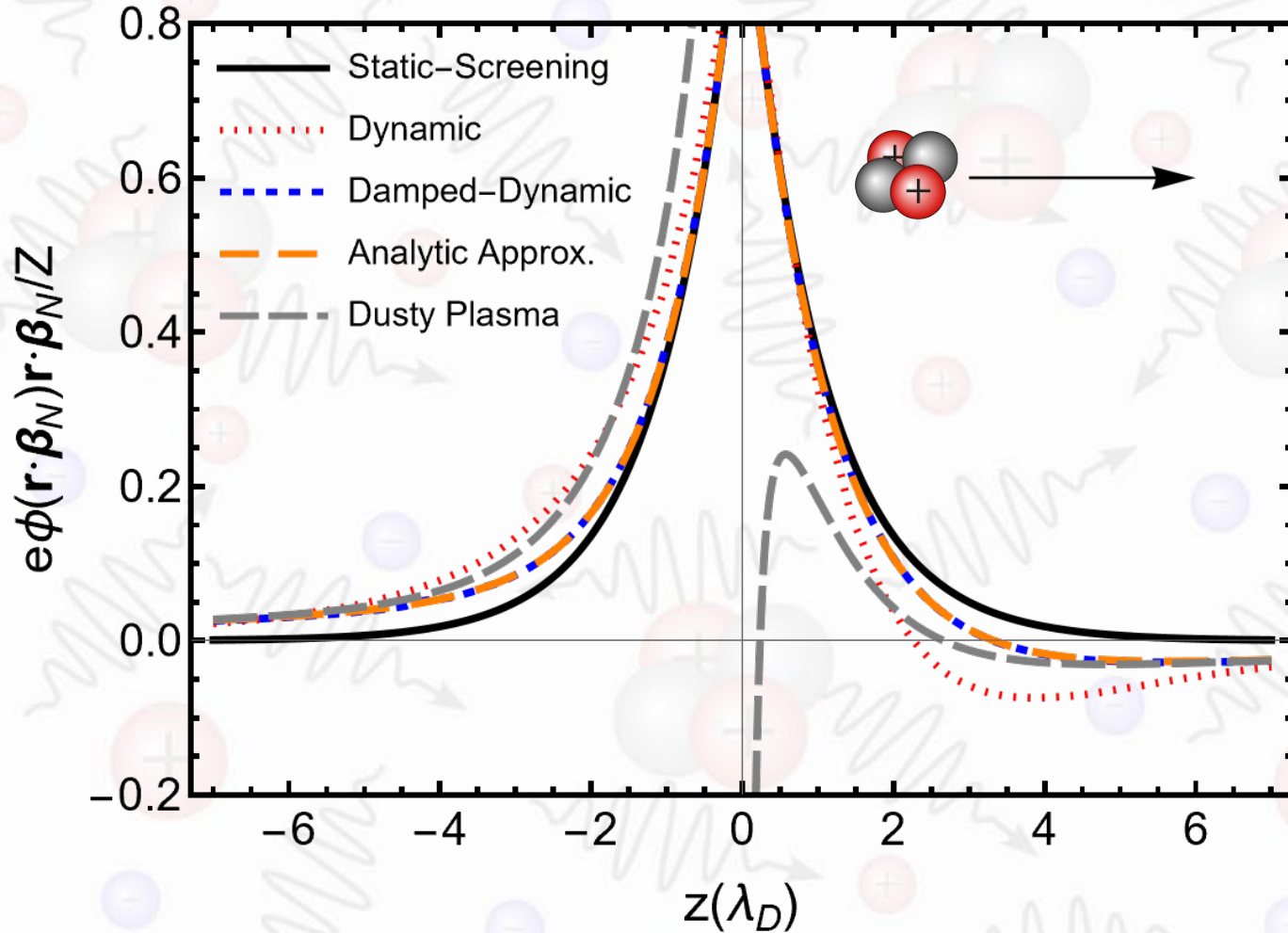
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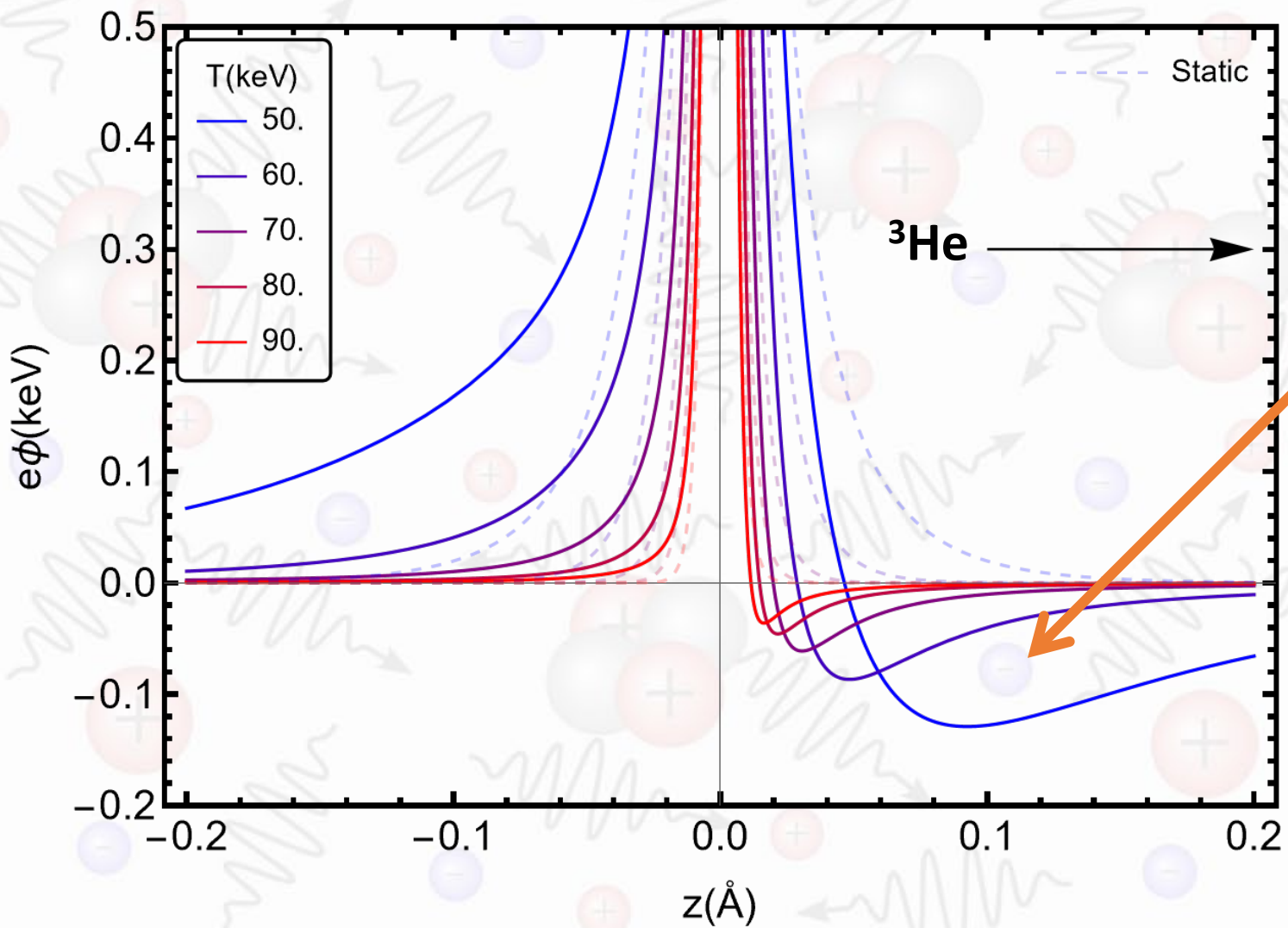
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Dusty Plasma -  $1/r^2$  analytic result from Stenflo et al. (1973) matches the **large** distance behavior.

# Damped-Dynamic Screening – Temperature Variation

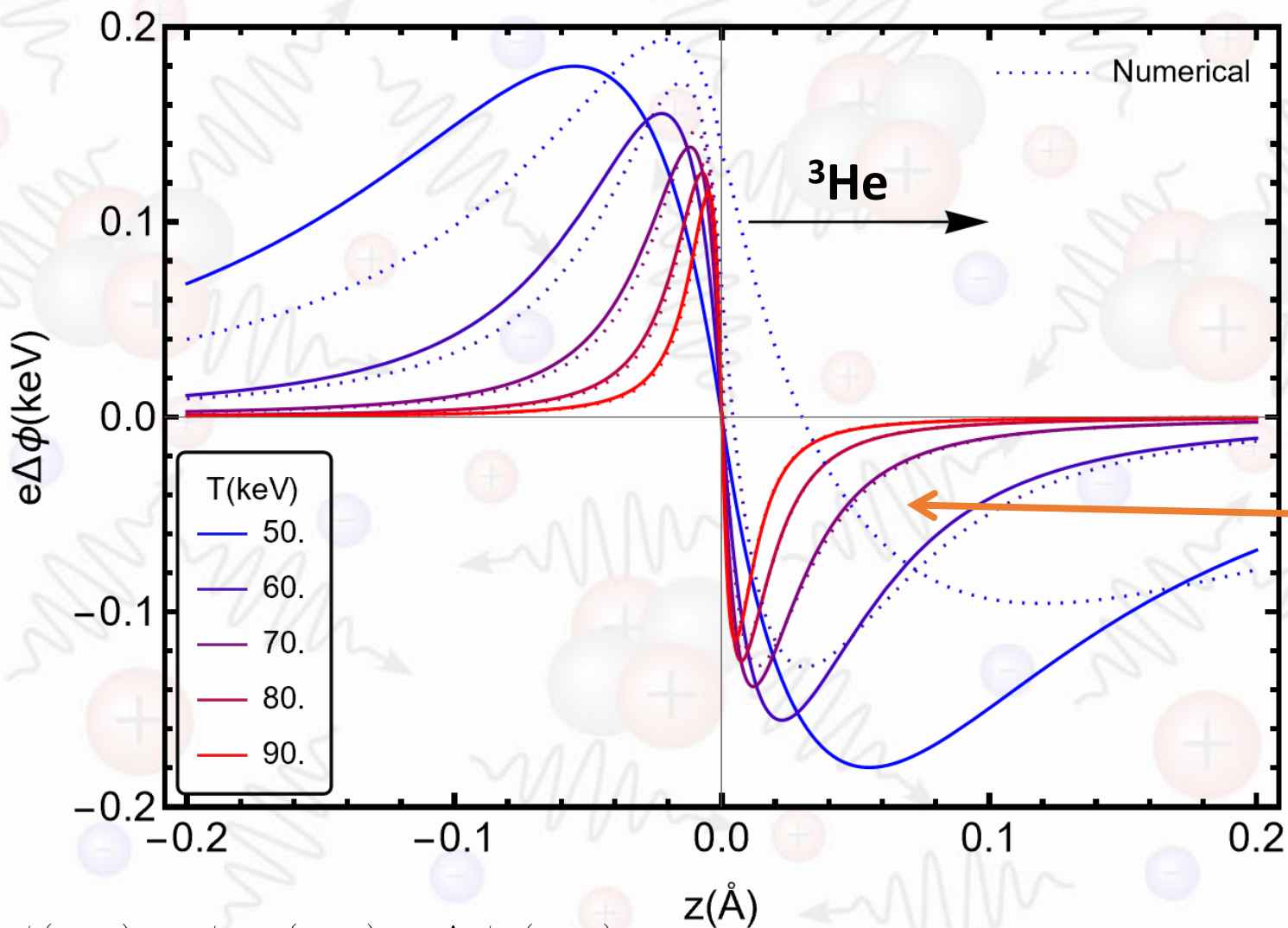


The size of screening polarization **increases** with decreasing temperature during BBN

Small **attractive** portion of the potential



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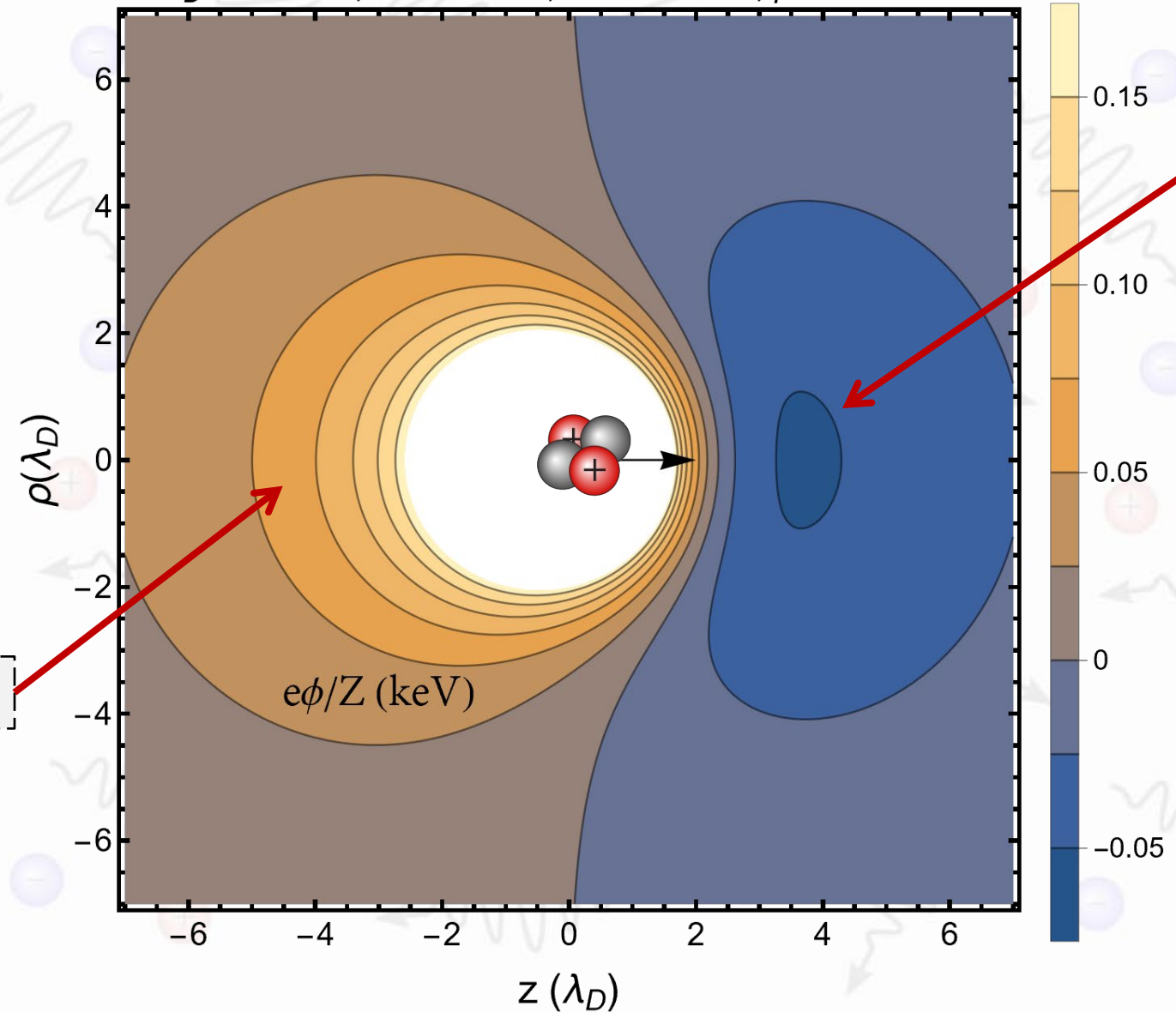
Analytic expression is **valid** late in BBN  $T > 70$  keV.

As temperature **decreases** the dynamic contribution goes to zero.

$$\phi(t, \mathbf{x}) = \phi_{\text{stat}}(t, \mathbf{x}) + \Delta\phi, (t, \mathbf{x})$$

# Transverse Potential dependence

$\lambda_D = 1.1 \text{ keV}$ ,  $T = 76. \text{ keV}$ ,  $\kappa = 10.8 \text{ keV}$ ,  $\beta = 1.3 \times 10^{-2}$



**Positive wake field**

**Negative charge build up in front**

# Outlook

- In summary, we derived an **analytic** expression for the **damped-dynamic** potential in the **BBN epoch**, a result which has previously been only calculated **numerically**.
- Our **damped-dynamic** potential predicts **similar** changes to **reaction rates** as found in B. Wang et al. (2021), But we **reserve** a full calculation of the change to reaction rates until we study the **strong field limit** of screening.
- We expect other results from **dusty plasma** physics will have direct application to the **BBN plasma**.
- Other applications and extensions
  - Screened Heavy quark potential in QGP.
  - Stellar and Laboratory fusion
  - Effect of primordial magnetic field.

Thank You

# Dispersion Relation of the Plasma

Solve for the poles of the propagator in fourier space

$$\frac{1}{(k \cdot u)^2} ((k \cdot u)^2 + \mu_0 \Pi_L(k)) (k^2 + \mu_0 \Pi_T(k))^2 = 0$$

Focusing just on the longitudinal modes (related to potential screening)

$$\frac{1}{(k \cdot u)^2} ((k \cdot u)^2 + \mu_0 \Pi_L(k)) = 1 + \frac{\Pi_L(\omega, \mathbf{k})}{\omega^2} = 0$$

$$\Rightarrow 1 - \frac{m_D^2}{(\omega + i\kappa)^2} \frac{1}{1 - \frac{i\kappa}{\omega + i\kappa} \left(1 + \frac{T|\mathbf{k}|^2}{m(\omega + i\kappa)^2}\right)} = 0 \quad \omega' = \omega + i\kappa$$

$$\frac{1}{\omega'^3 - i\kappa\omega'^2 + \frac{i\kappa T|\mathbf{k}|^2}{m}} \left( \omega'^3 - i\kappa\omega'^2 - m_D^2\omega' + \frac{i\kappa T|\mathbf{k}|^2}{m} \right) = 0$$

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General solutions to a cubic equation are given by

$$\omega_n(|\mathbf{k}|) = \frac{1}{3} \left( i\kappa - \xi^n C - \frac{\Delta_0}{\xi^n C} \right), \quad n \in \{0, 1, 2\}$$

$$\xi = \frac{i\sqrt{3} - 1}{2} \quad \Delta_1 = 2i\kappa^3 - 9i\kappa m_D^2 + 27 \frac{i\kappa T|\mathbf{k}|^2}{m}$$
$$C = \sqrt[3]{\frac{\Delta_1 \pm \sqrt{\Delta_1^2 - 4\Delta_0^3}}{2}} \quad \Delta_0 = -\kappa^2 + 3m_D^2$$

# Dispersion Relation of the Plasma

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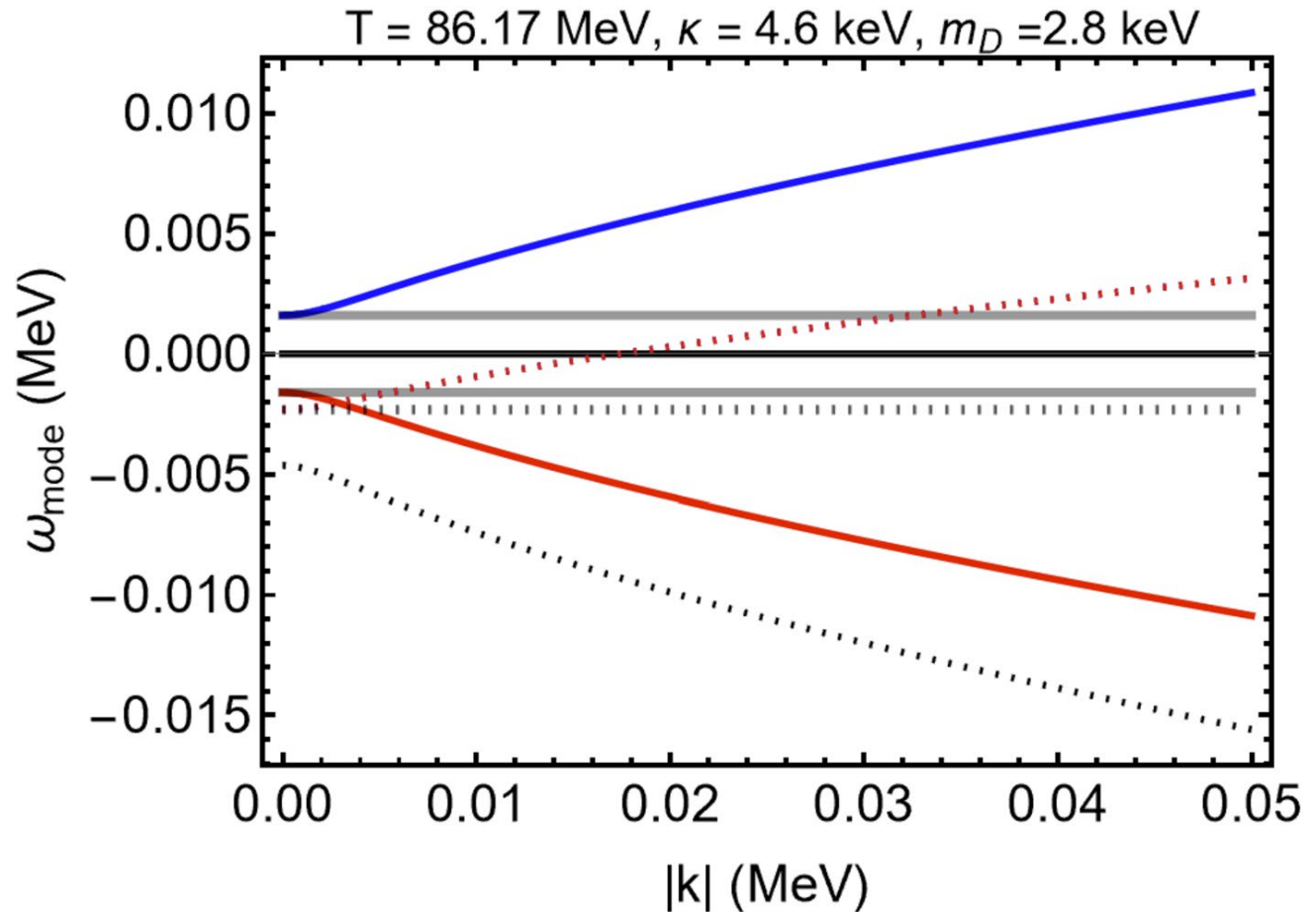
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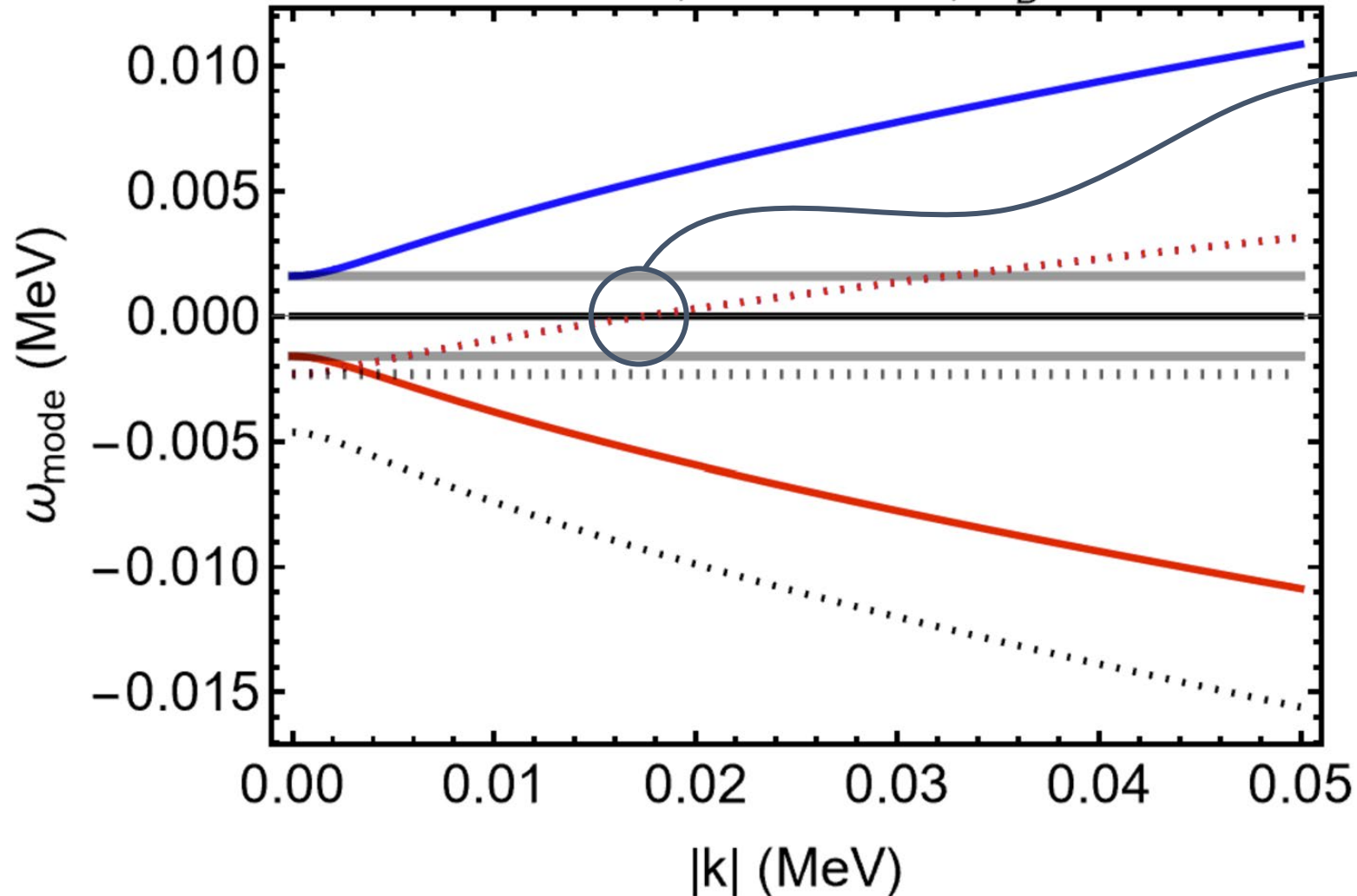
*Dotted lines are imaginary parts  
and solid lines are the real parts*



Mode 1   
  Mode 2   
  Mode 3   
   $\omega_{\pm} = -\frac{i\kappa}{2} \pm \sqrt{\omega_p^2 - \frac{\kappa^2}{4}}$

# Dispersion Relation of the Plasma

$T = 86.17 \text{ MeV}$ ,  $\kappa = 4.6 \text{ keV}$ ,  $m_D = 2.8 \text{ keV}$



The red mode gains a position imaginary part at finite  $k$

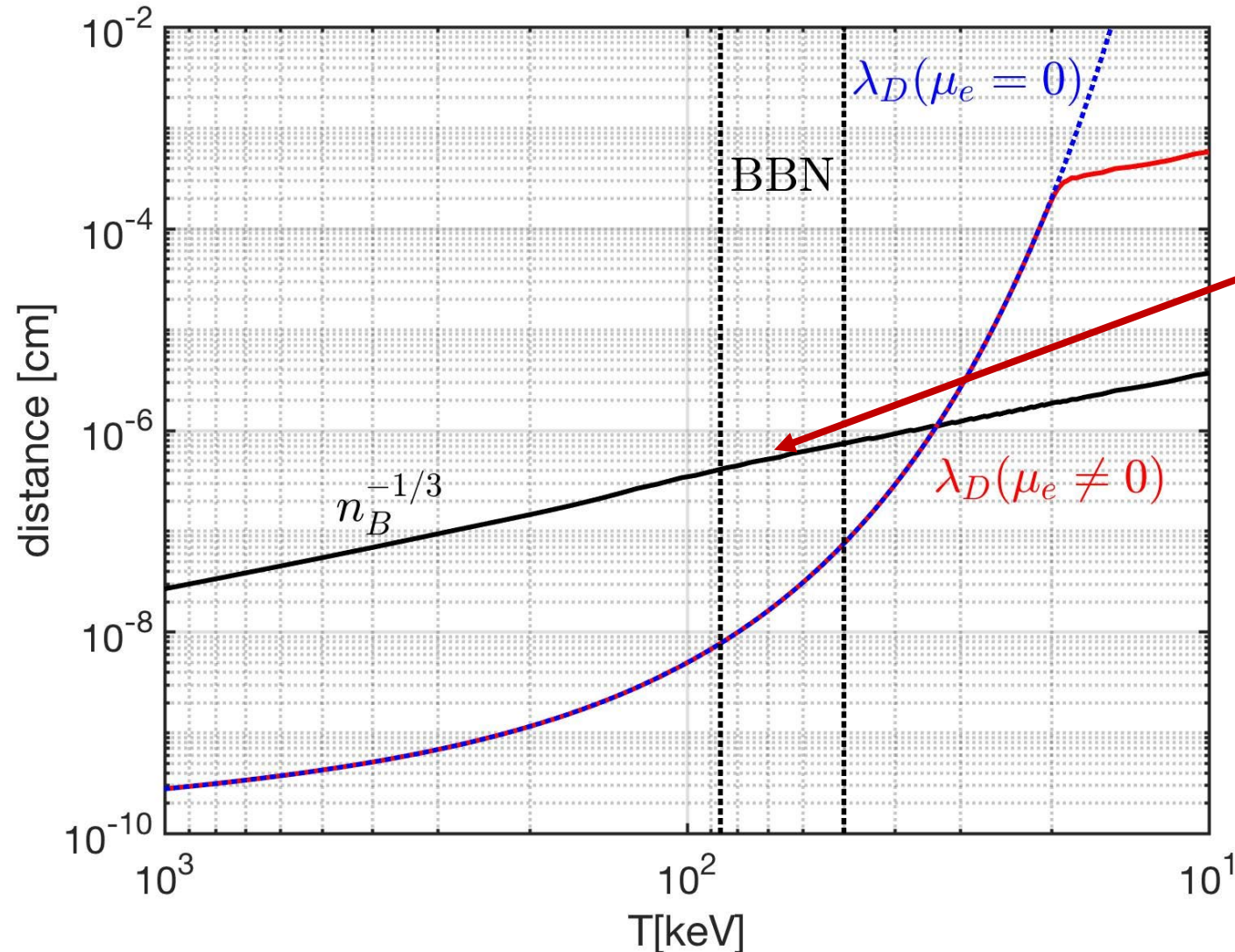
This either indicates an **acausality** in the system or an **instability** in the plasma

The value of  $k$  here corresponds to waves of characteristic size

— Mode 1    - - - Mode 2    ····· Mode 3    —  $\omega_p$



# Baryon Separation vs Debye screening



Baryons are too dispersed in comparison to the size of the Debye sphere to play a role in screening