

Quantifying the Underlying Event: Investigating Angular Dependence of Multiplicity Classes and Transverse-momentum Spectra in High- energy pp Collisions at LHC Energies

G.G. Barnaföldi in collaboration with A.N. Mishra, G. Paic, and G. Bíró

Support: *Hungarian OTKA grants, K135515, Wigner Scientific Computing Laboratory
2021-4-1-2-NEMZ_KI-2022-00009*

Refs: J.Phys.G 47 (2020) 10, 105002, arXiv:2108.13938 (submitted to JPG)

pp2023 Margaret Island, Budapest, 7th June 2023



ELKH | Eötvös Loránd
Research Network

Outline

1) Earlier studies

- What is UE? Why is this important for in HEP?
→ theory, experiment, measures

2) New developments on UE

- Angular properties measures
→ multiplicity, p_T spectra, parameter derivatives
→ Tsallis thermometer

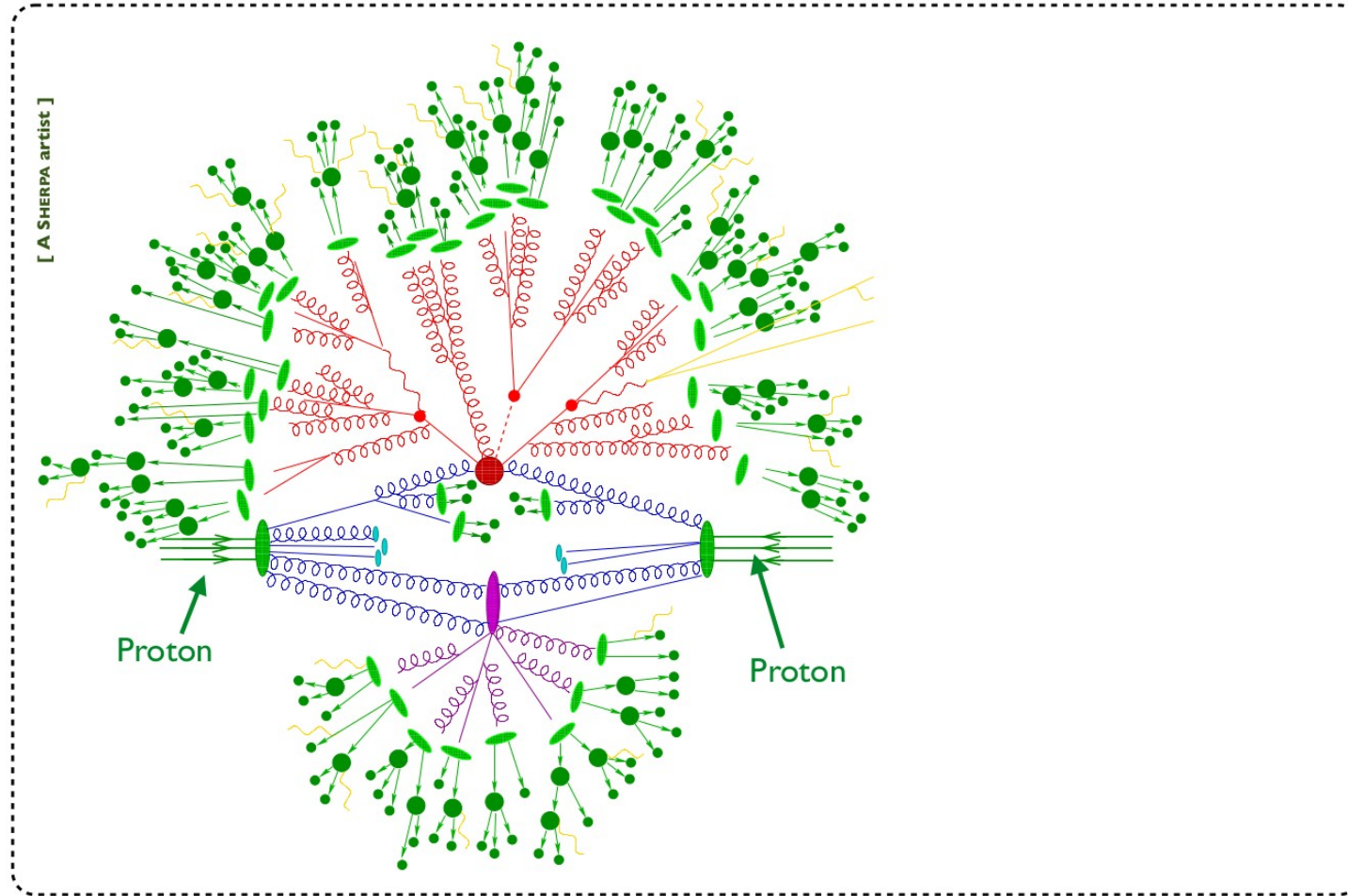
3) Comparison to event shape variable

- Sphericity measures and cross check

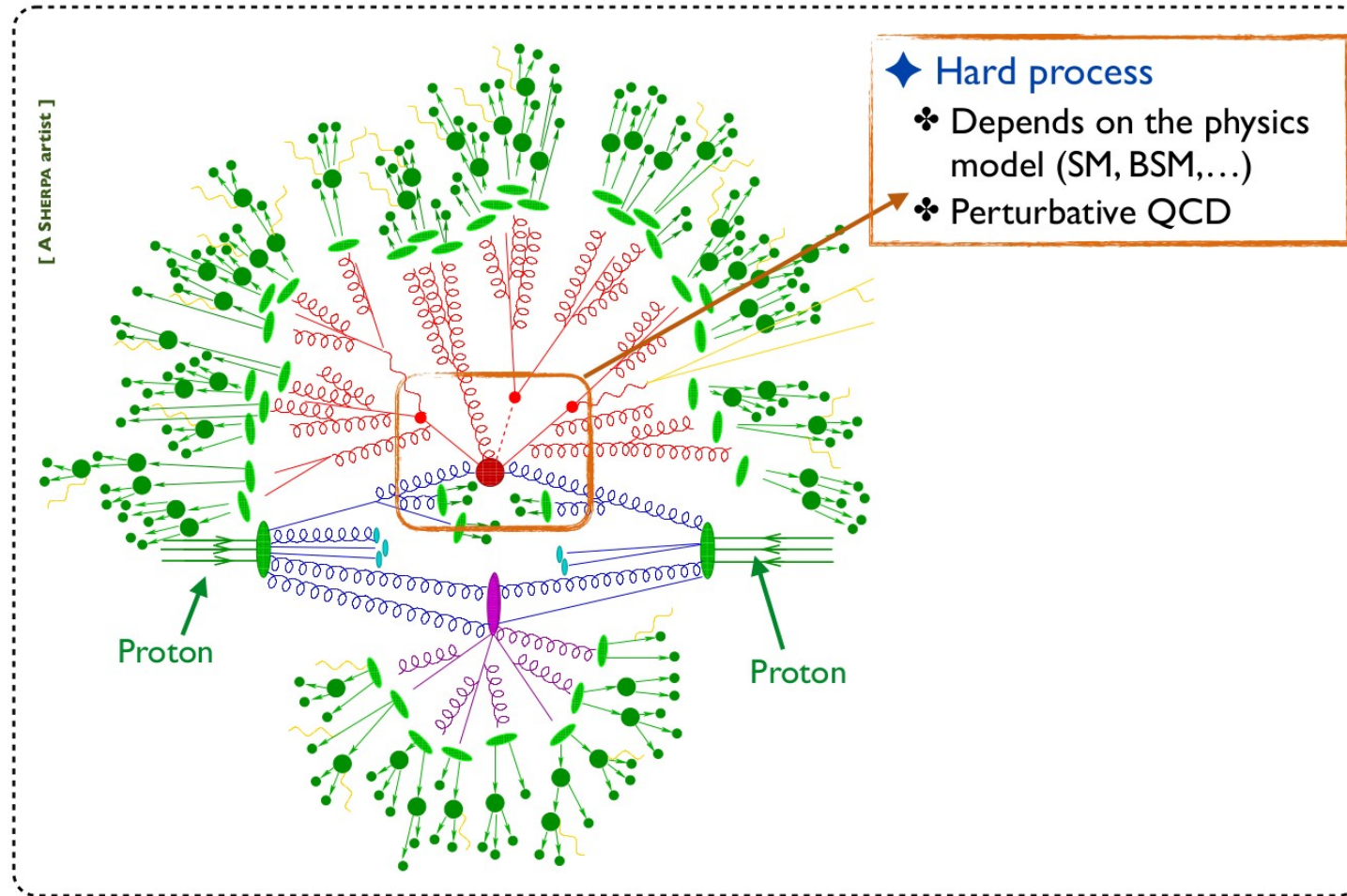
→ **Conclusions: Extended UE definition**



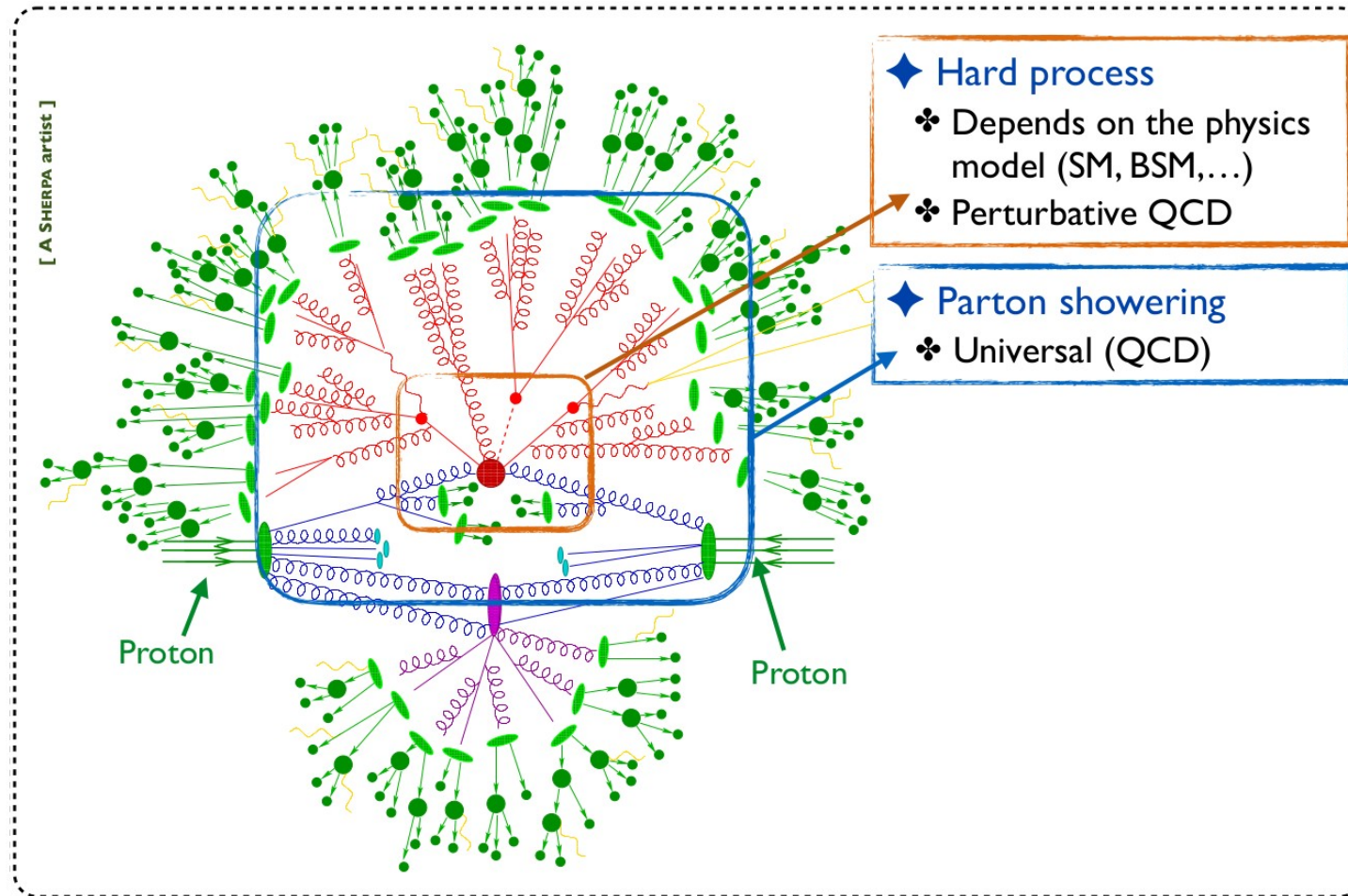
Anatomy of a proton-proton event



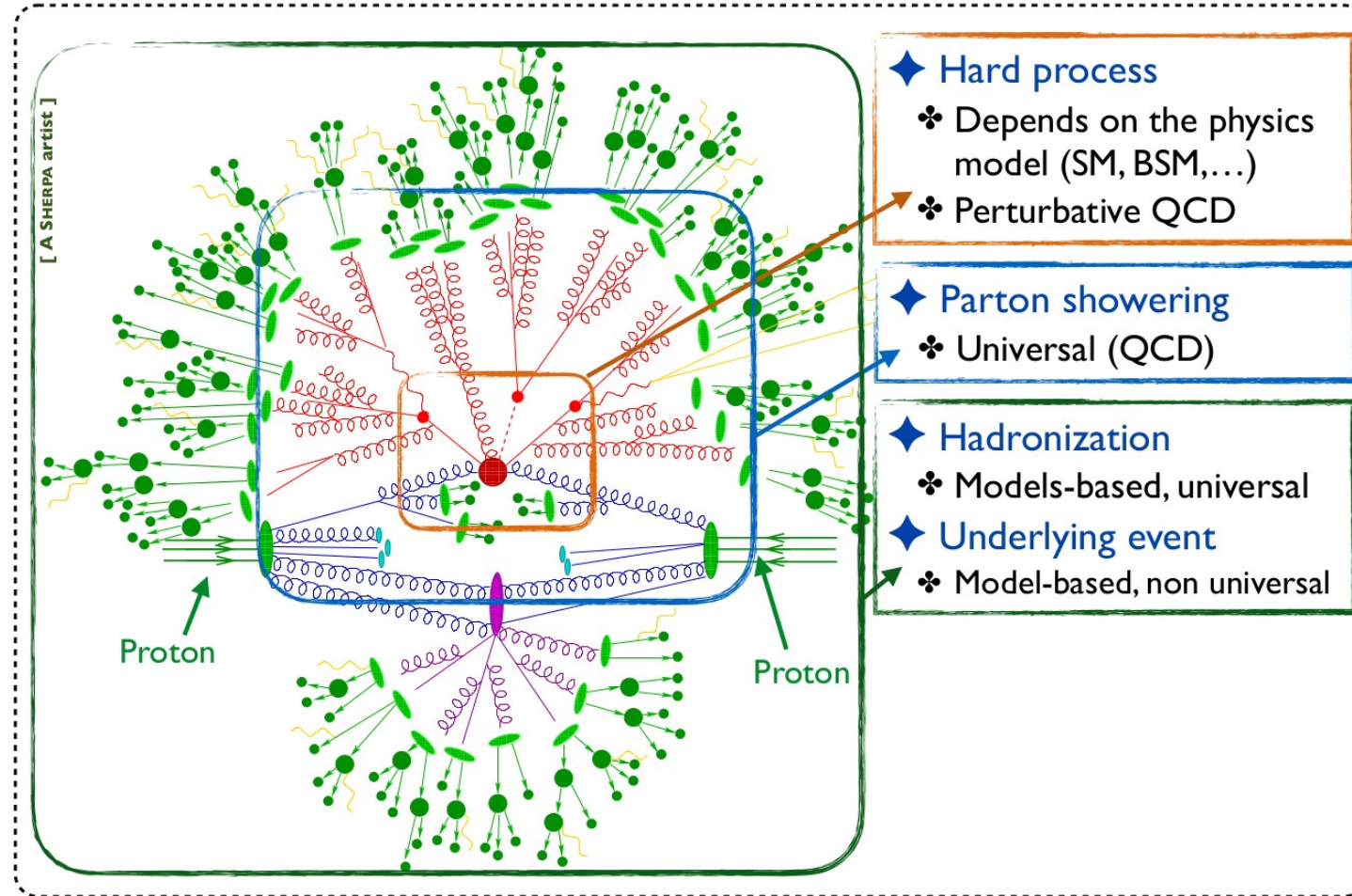
Anatomy of a proton-proton event



Anatomy of a proton-proton event

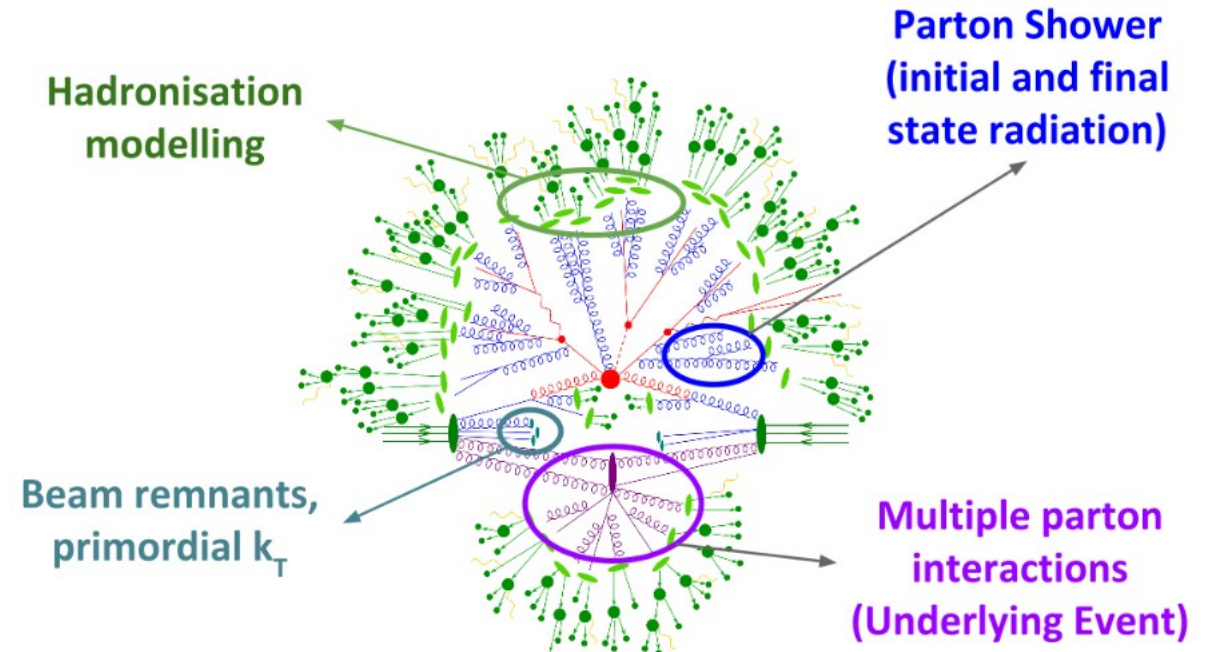


Anatomy of a proton-proton event



So what Underlying Event is?

- **Theoretical point:**
 - Mainly non-perturbative QCD effect
 - Initial & final state radiation
 - Multiple parton interaction
 - Color Reconnection (CR)
 - intrinsic k_T
 - Hadronization



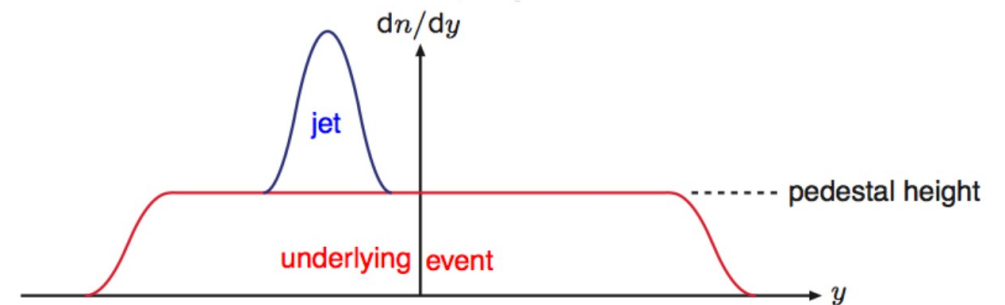
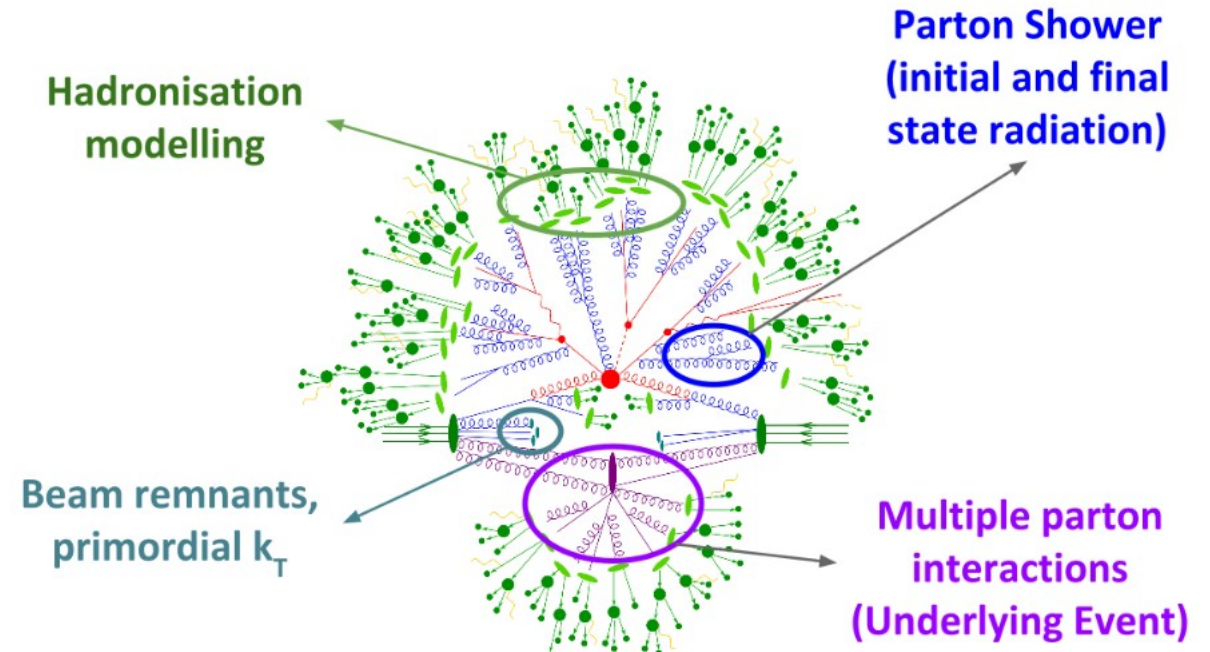
So what Underlying Event is?

- **Theoretical point:**

- Mainly non-perturbative QCD effect
 - Initial & final state radiation
 - Multiple parton interaction
 - Color Reconnection (CR)
 - intrinsic k_T
 - Hadronization

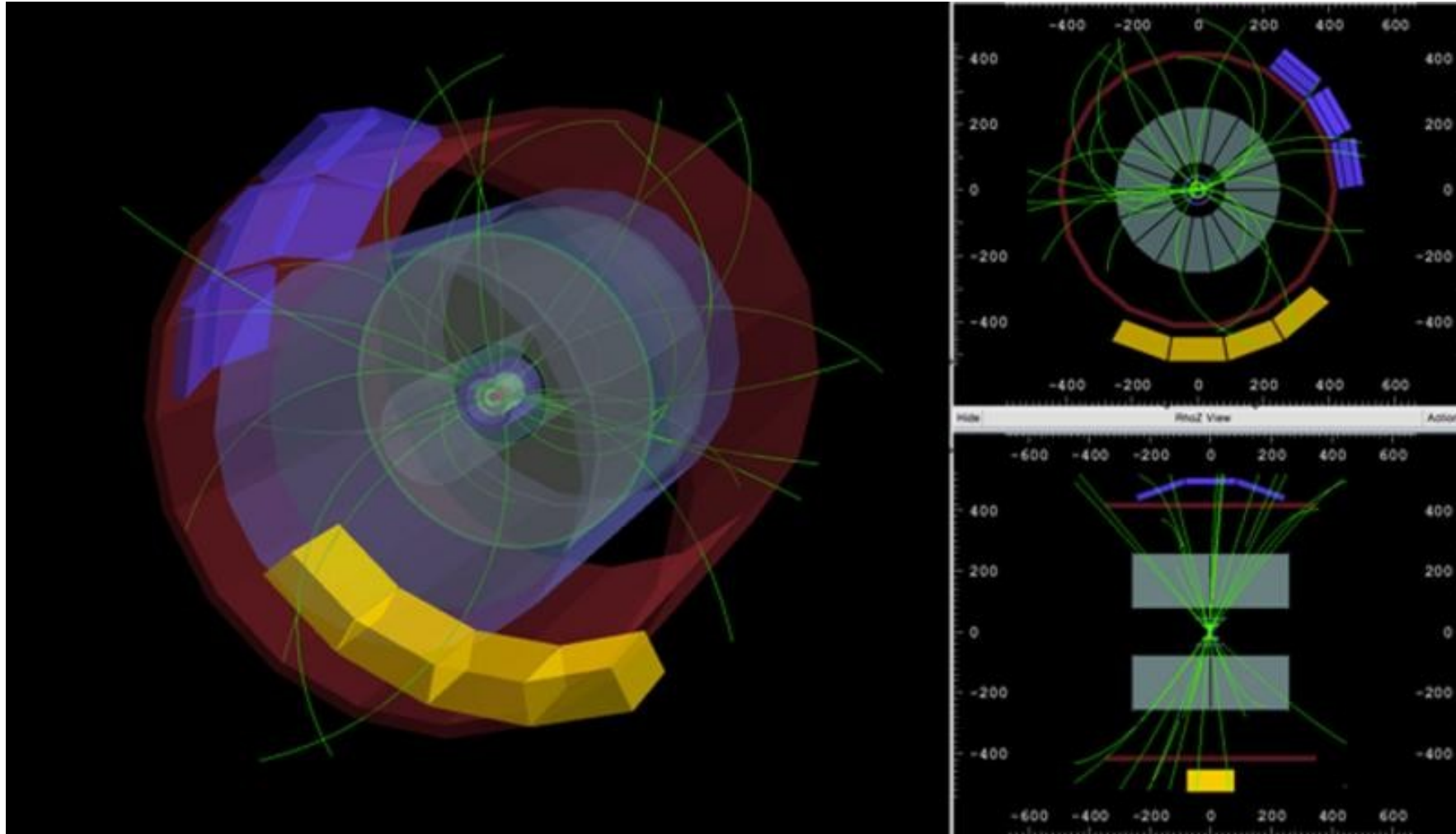
- **Experimental point**

- Pedestal-like effects
 - Activity in the event over MB
 - Beam remnants (pile up)
 - Trigger bias (jet criterion)

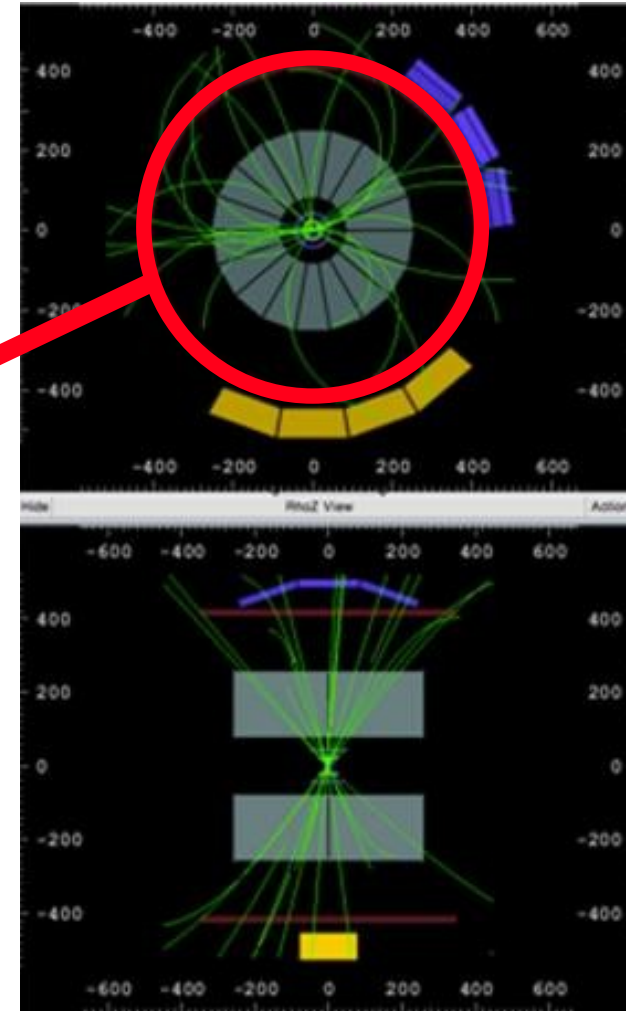
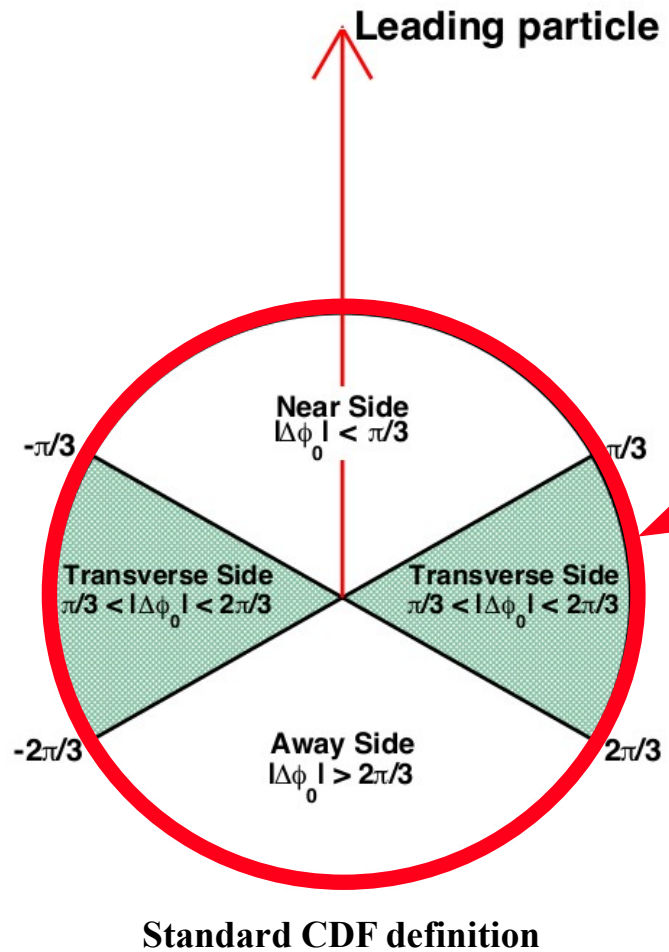


Earlier studies, motivation

Geometrical structure of an event



Geometrical structure of an event



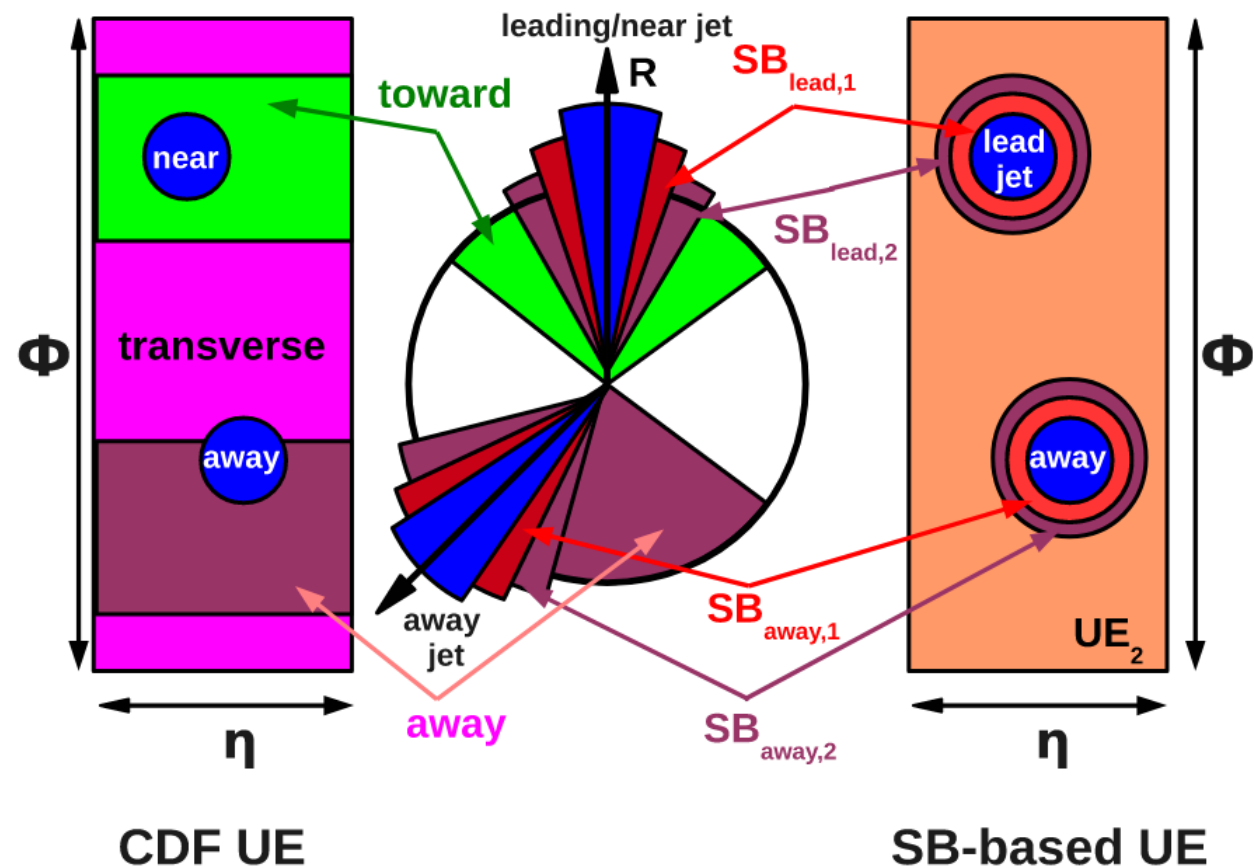
How to separate jet & UE?

- **Jet finding & elimination:**

- Surrounding Band (SB method), Find a jet, THEN define SBs
- IF SB_1 and SB_2 are equal, THEN eliminate the jet
 - expensive (high statistics)
 - sensitive to cuts

- **Correlation & background**

- Traditional method by CDF
 - brute force
 - geometry info only



See: BGG et al: J.Phys.Conf.Ser. 270 (2011) 012017, AIP Conf.Proc. 1348 (2011) 124, EPJ Web Conf. 13 (2011) 04006

G.G. Barnafoldi: PP2023 -- Margaret Island 2023

How to separate jet & UE?

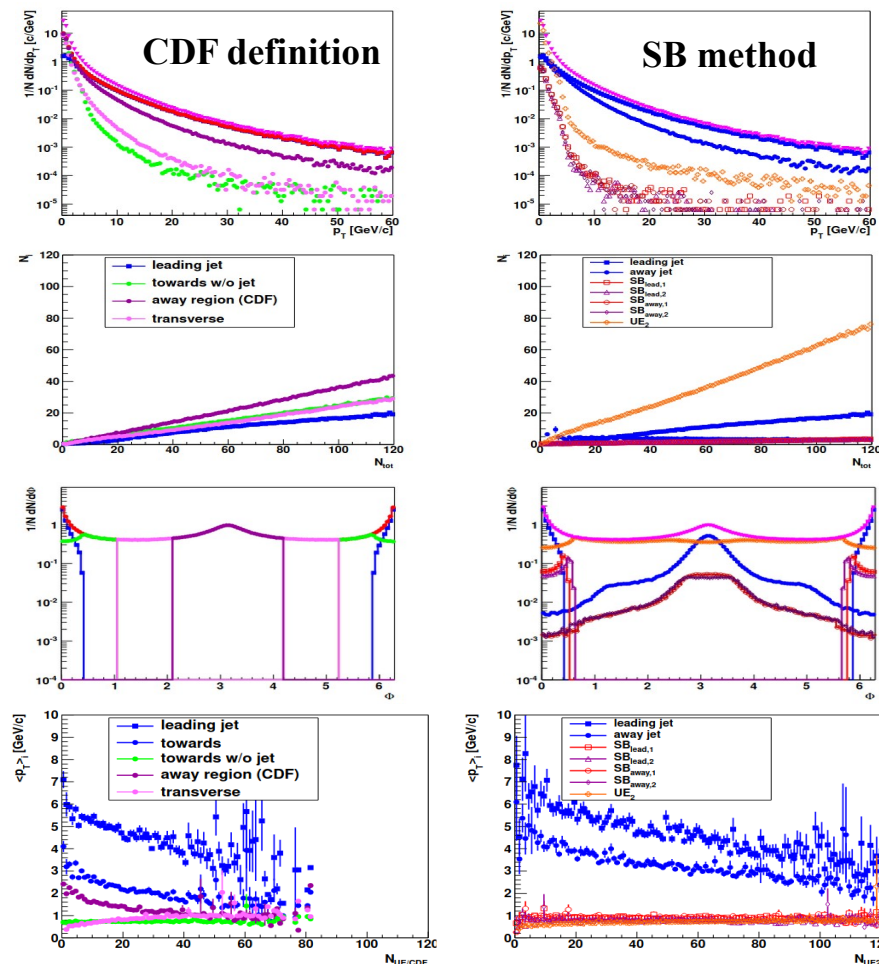
- **Jet finding & elimination:**

- Surrounding Band (SB method), Find a jet, THEN define SBs
- IF SB_1 and SB_2 are equal, THEN eliminate the jet
 - expensive (high statistics)
 - sensitive to cuts

- **Correlation & background**

- Traditional method by CDF
 - burte force
 - geometry info only

See: BGG et al: J.Phys.Conf.Ser. 270 (2011) 012017, AIP Conf.Proc. 1348 (2011) 124, EPJ Web Conf. 13 (2011) 04006



How to quantify & compare events?

- **Transverse sphericity:**

$$S_0 = \frac{\pi^2}{4} \left(\frac{\sum_i |\vec{p}_{T,i} \times \hat{n}|}{\sum_i p_{T,i}} \right)^2$$

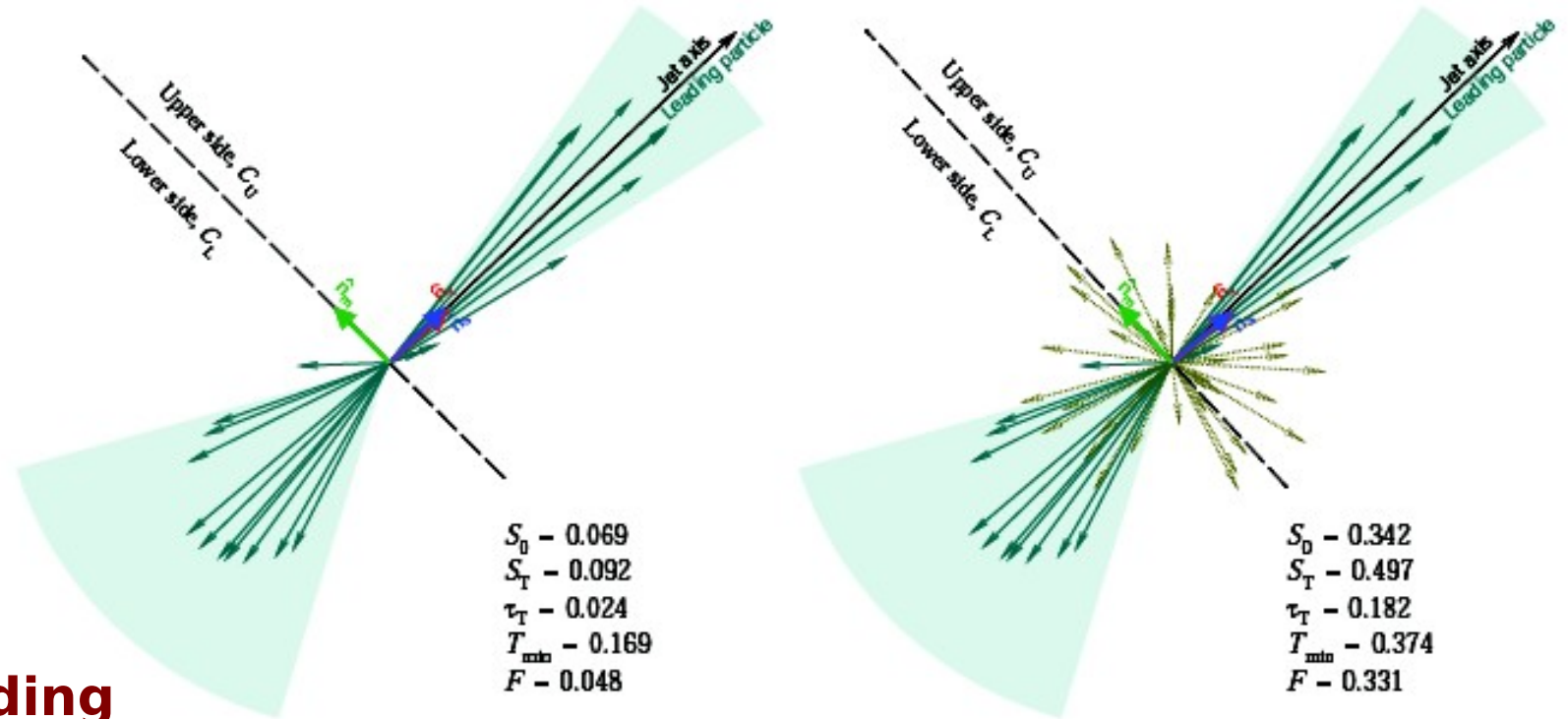
- **Thrust:**

$$T_{\min} \equiv \frac{\sum_i |\vec{p}_{T,i} \cdot \hat{n}_m|}{\sum_i p_{T,i}}$$

→ **NO** need for jet finding

→ **Momentum & geometry** infos

G. Bencédi et al: Phys.Rev.D 104 (2021) 076019



How to quantify & compare events?

- **Precise spectra description**

- from low- to high- p_T

$$f(m_T) = A \cdot \left[1 + \frac{q-1}{T_s} (m_T - m) \right]^{-\frac{1}{q-1}}$$

- in multiplicity classes (pp, pA, AA)

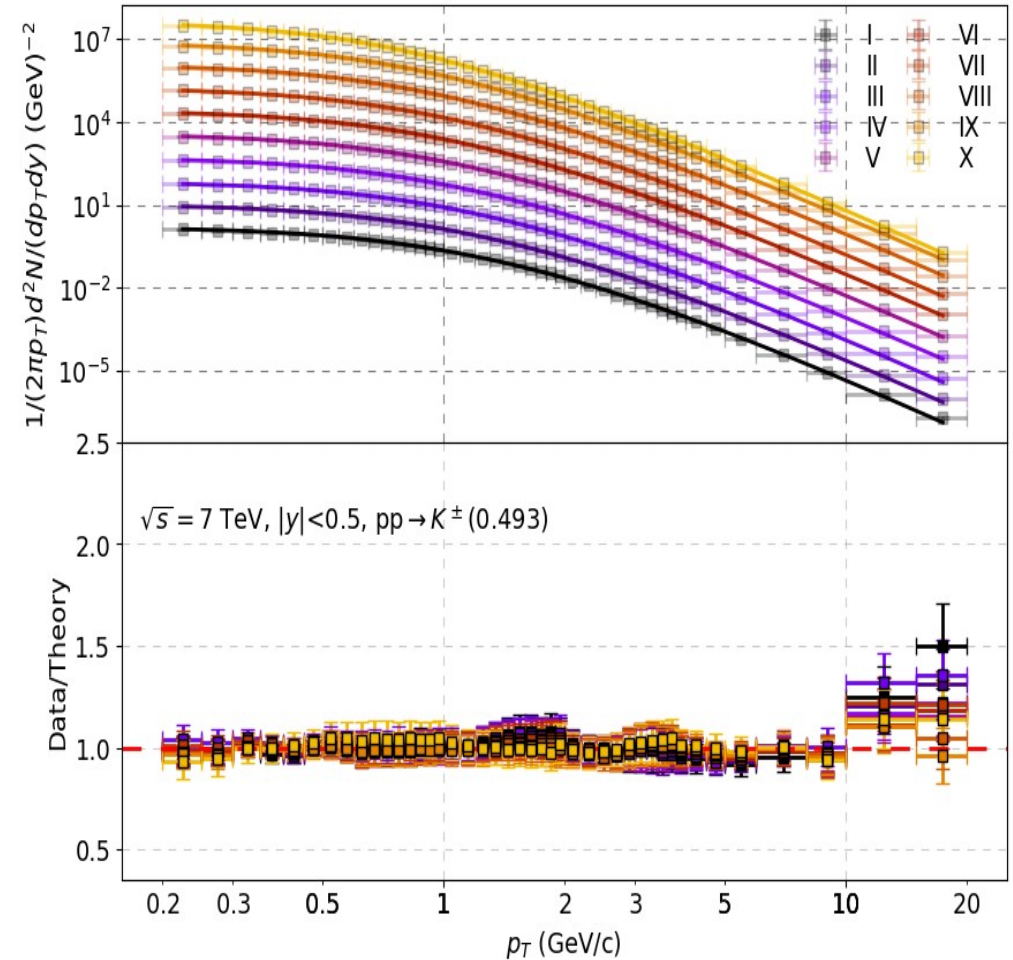
$$\left. \frac{dN_{\text{ch}}}{dy} \right|_{u=0} = 2\pi A T_s \left[\frac{(2-q)m^2 + 2mT_s + 2T_s^2}{(2-q)(3-2q)} \right] \times \left[1 + \frac{q-1}{T_s} m \right]^{-\frac{1}{q-1}}$$

- **With PID:**

$$\pi^\pm, K^\pm, K_s^0, K^{*0}, p(\bar{p}), \Phi, \Lambda, \Xi^\pm, \Sigma^\pm, \Xi^0, \Omega$$

- **Wide range:**

	pp	pA	AA
CM energy (GeV)	7000, 13000	5020	130-5020
Multiplicity range	2.2-25.7	4.3-45	13.4-2047



How to quantify & compare events?

- **QCD-inherited scaling properties**

$$f(m_T) = A \cdot \left[1 + \frac{q-1}{T_s} (m_T - m) \right]^{-\frac{1}{q-1}}$$

- Parameter scaling with \sqrt{s} & multiplicity

$$A(\sqrt{s_{NN}}, \langle N_{ch}/\eta \rangle, m) = A_0 + A_1 \ln \frac{\sqrt{s_{NN}}}{m} + A_2 \langle N_{ch}/\eta \rangle$$

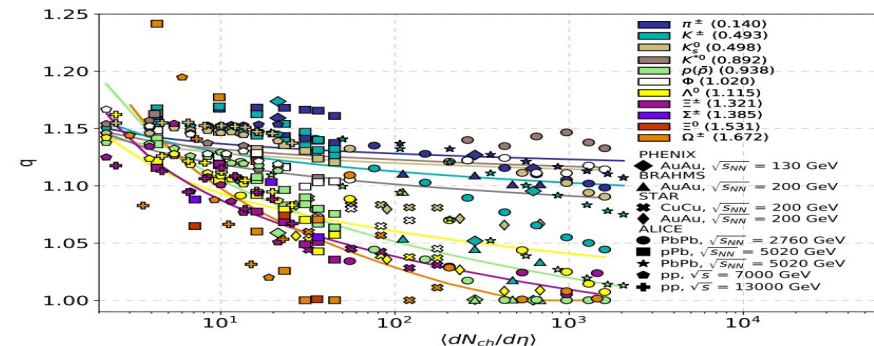
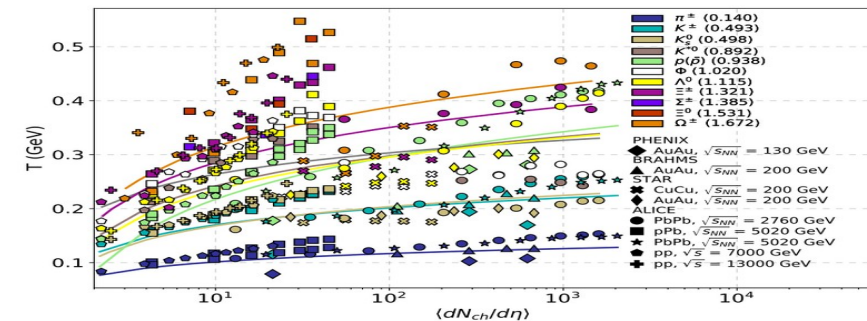
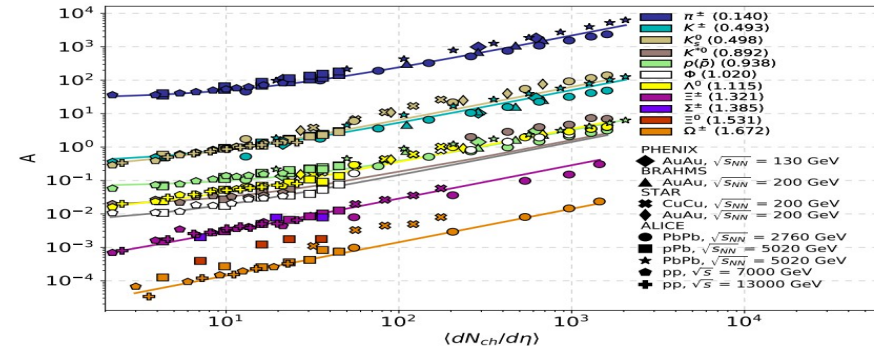
$$T(\sqrt{s_{NN}}, \langle N_{ch}/\eta \rangle, m) = T_0 + T_1 \ln \frac{\sqrt{s_{NN}}}{m} + T_2 \ln \ln \langle N_{ch}/\eta \rangle,$$

$$q(\sqrt{s_{NN}}, \langle N_{ch}/\eta \rangle, m) = q_0 + q_1 \ln \frac{\sqrt{s_{NN}}}{m} + q_2 \ln \ln \langle N_{ch}/\eta \rangle,$$

- Details:

G. Biró et al: *J.Phys.G* 47 (2020) 10, 105002

A. Ortiz: *Phys.Rev.D* 104 (2021) 076019



How to quantify & compare events?

- QCD-inherited scaling properties**

$$f(m_T) = A \cdot \left[1 + \frac{q-1}{T_s} (m_T - m) \right]^{-\frac{1}{q-1}}$$

- Parameter scaling with \sqrt{s} & multiplicity

$$A(\sqrt{s_{NN}}, \langle N_{ch}/\eta \rangle, m) = A_0 + A_1 \ln \frac{\sqrt{s_{NN}}}{m} + A_2 \langle N_{ch}/\eta \rangle$$

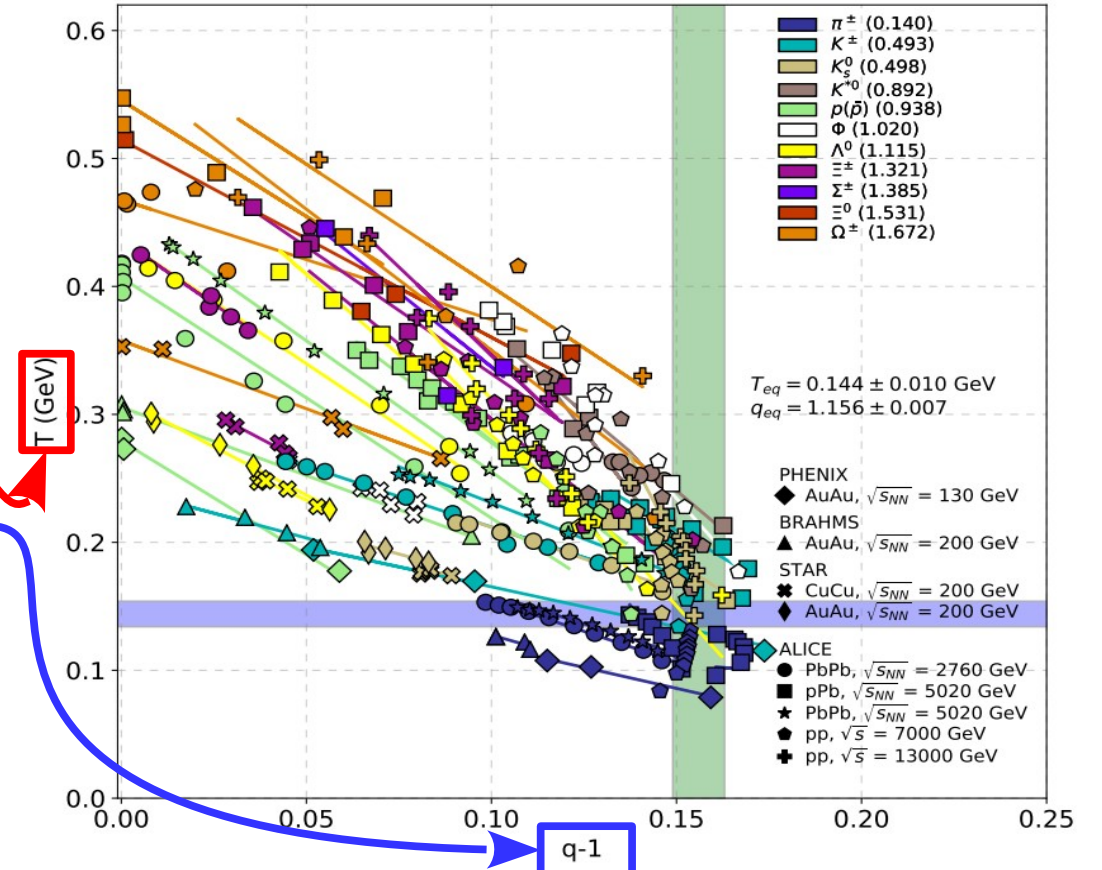
$$T(\sqrt{s_{NN}}, \langle N_{ch}/\eta \rangle, m) = T_0 + T_1 \ln \frac{\sqrt{s_{NN}}}{m} + T_2 \ln \ln \langle N_{ch}/\eta \rangle$$

$$q(\sqrt{s_{NN}}, \langle N_{ch}/\eta \rangle, m) = q_0 + q_1 \ln \frac{\sqrt{s_{NN}}}{m} + q_2 \ln \ln \langle N_{ch}/\eta \rangle$$

- Thermodynamical consistency**

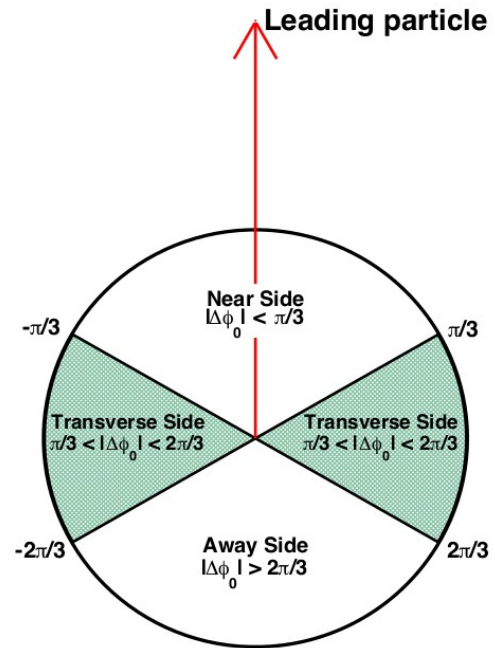
$$P = g \int \frac{d^3 p}{(2\pi)^3} T f, \quad N = nV = gV \int \frac{d^3 p}{(2\pi)^3} f^q,$$

$$s = g \int \frac{d^3 p}{(2\pi)^3} \left[\frac{E-\mu}{T} f^q + f \right], \quad \varepsilon = g \int \frac{d^3 p}{(2\pi)^3} E f$$



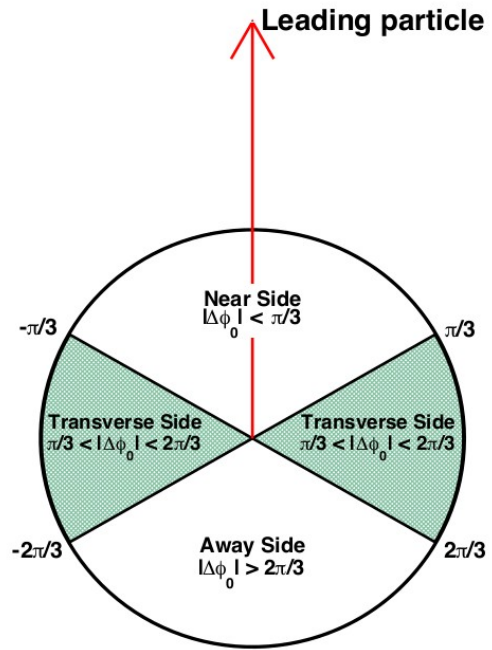
New development to understand UE

Angular structure of an event

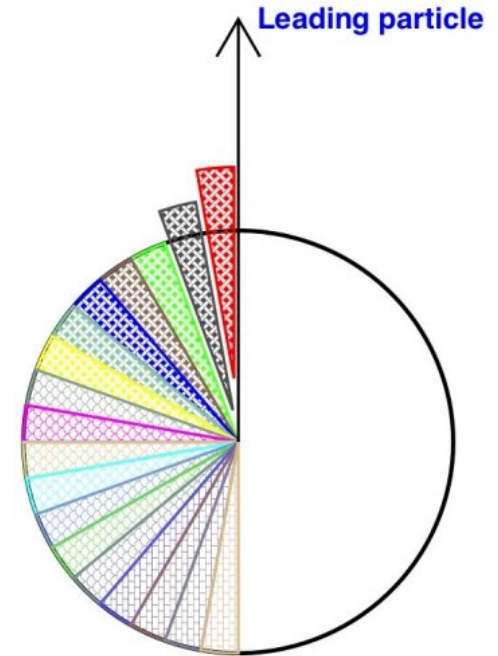


Standard CDF definition

Angular structure of an event

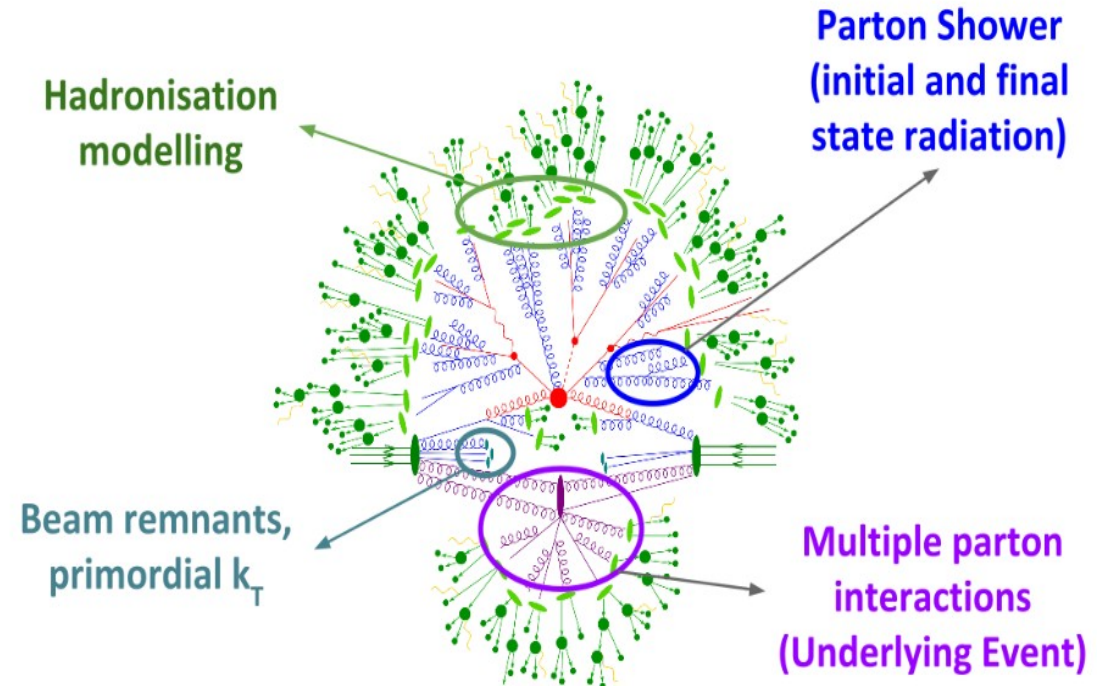


Standard CDF definition



The simulated data

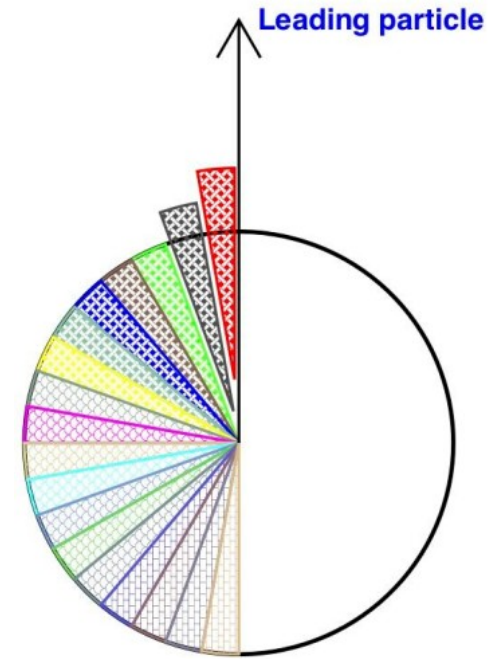
- **PYTHIA_v8240 Monash 2013 tune**
 - 1 billion non-diffractive collisions of pp
 - C.m. energy: $\sqrt{s} = 13$ TeV
 - Includes $2 \rightarrow 2$ hard scattering process, followed by initial and final state parton showering, multiparton interactions, and the final hadronization process.
 - The events having at least three primary charged particle with transverse
 - Min. momentum: $p_T > 0.15$ GeV/c
 - Pseudorapidity: $|\eta| < 0.8$
 - UE: Color Reconnection (CR, Multiple Parton Interaction (MPI))



Sliding angle, cake slices



Sliding angle, cake slices

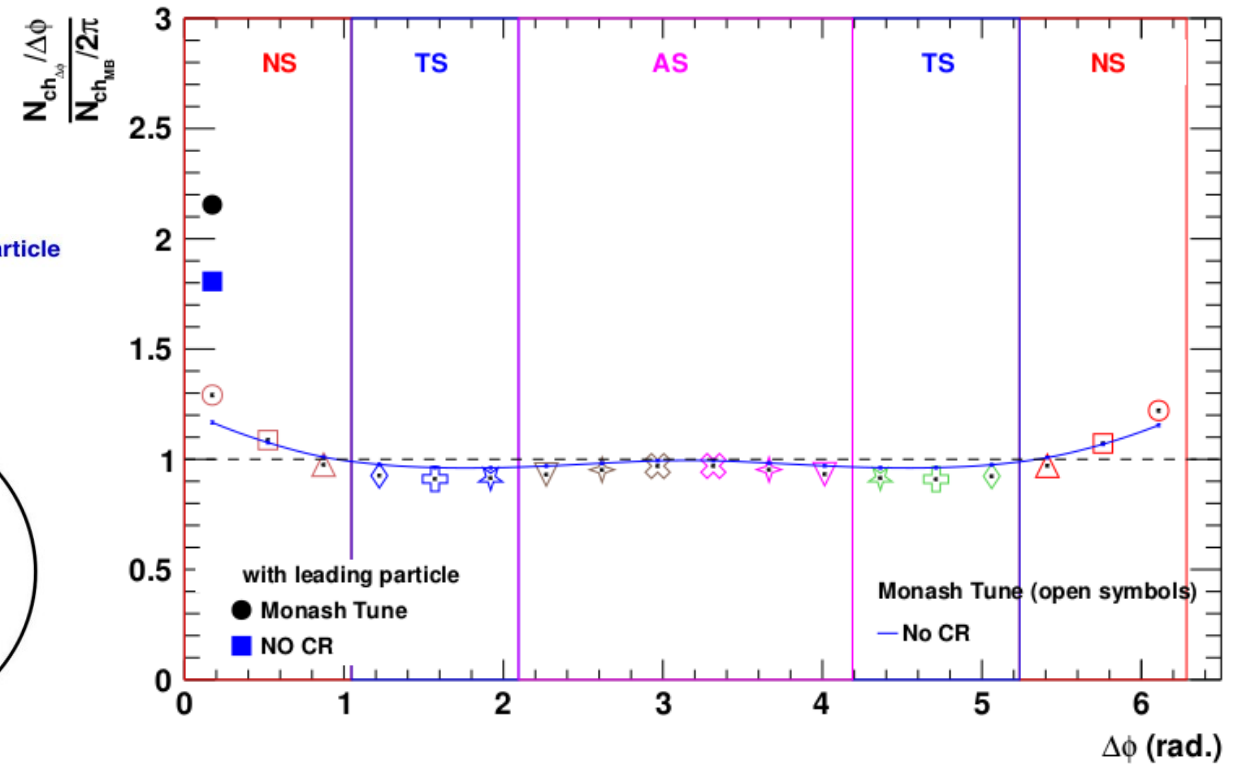
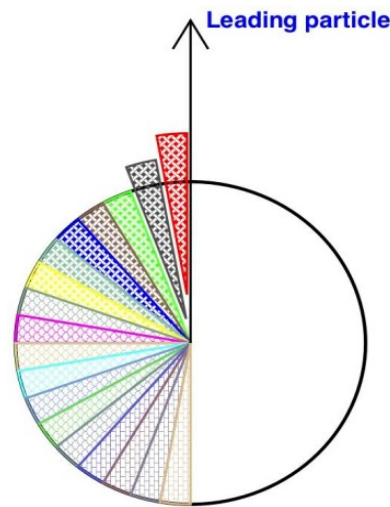


- We make slices of the $\Delta\phi$ of size 20° . In this case, the results for the first bin 0 to 20° . are reported in two ways: including and excluding the leading particle in the result. Case II is a tool for exploring the geometrical structure of the Underlying Event.

Multiplicity/MB

- **PYTHIA multiplicity with sliding angle**

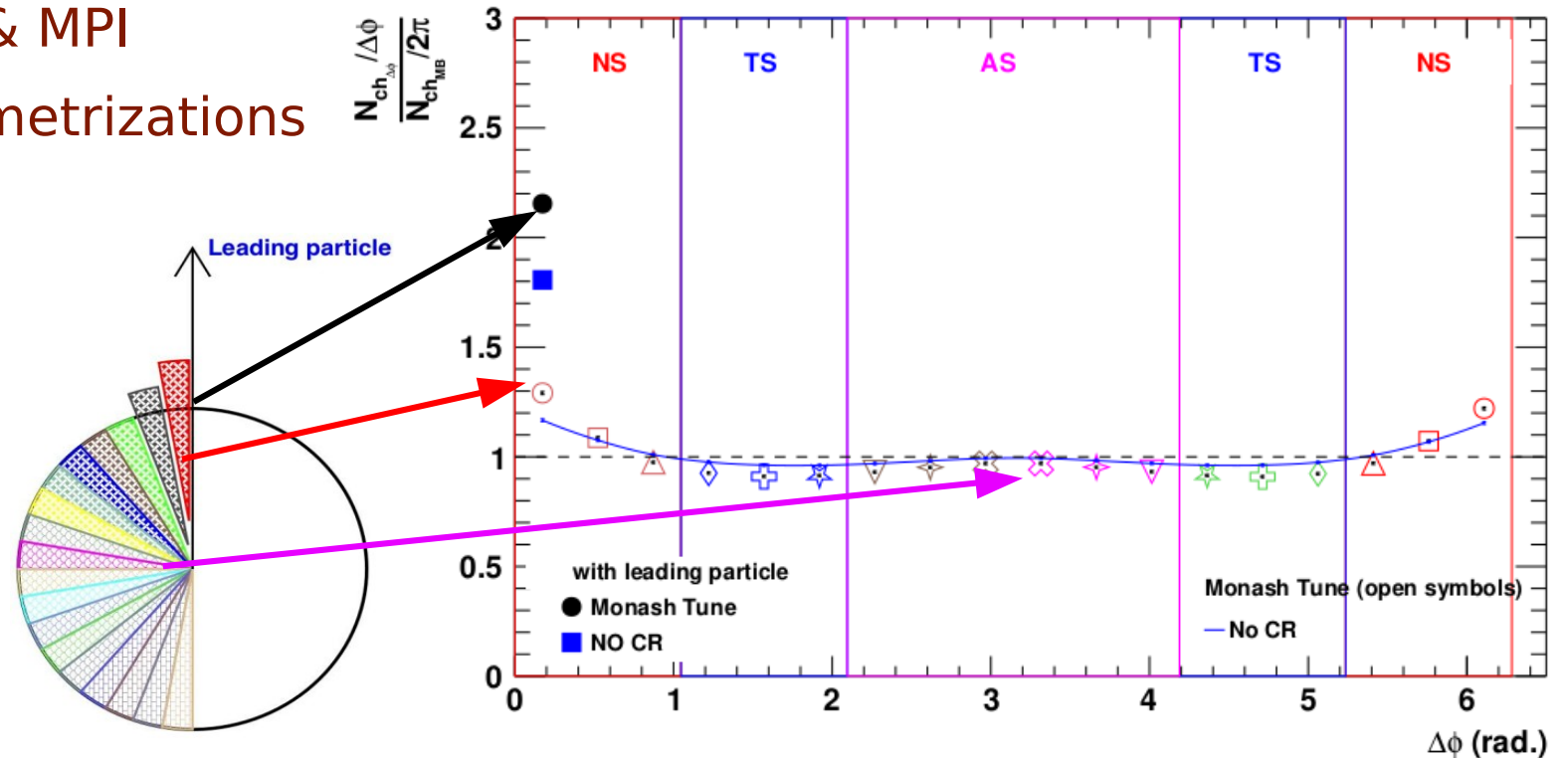
- PYTHIA's model UE: CR & MPI
- Good fits with the parametrizations
- More multiplicity as NS
- TS & AS are mainly flat
- With leading particle deviation is increased



Multiplicity/MB

- **PYTHIA multiplicity with sliding angle**

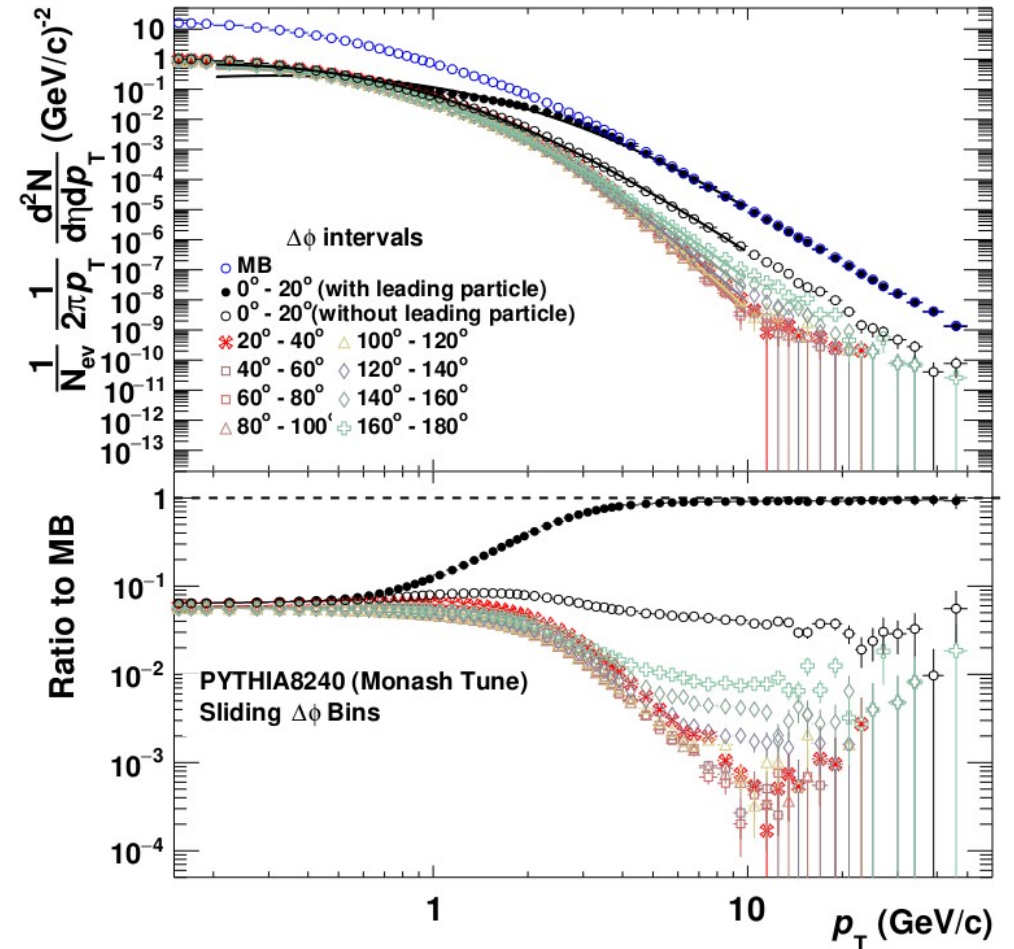
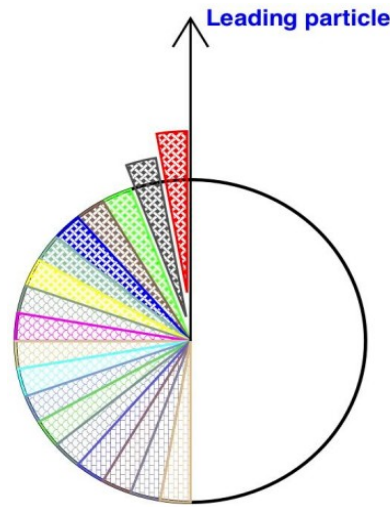
- PYTHIA's model UE: CR & MPI
- Good fits with the parametrizations
- More multiplicity az NS
- TS & AS are mainly flat
- With leading particle deviation is increased



The p_T spectrum

- **PYTHIA spectra with sliding angle**

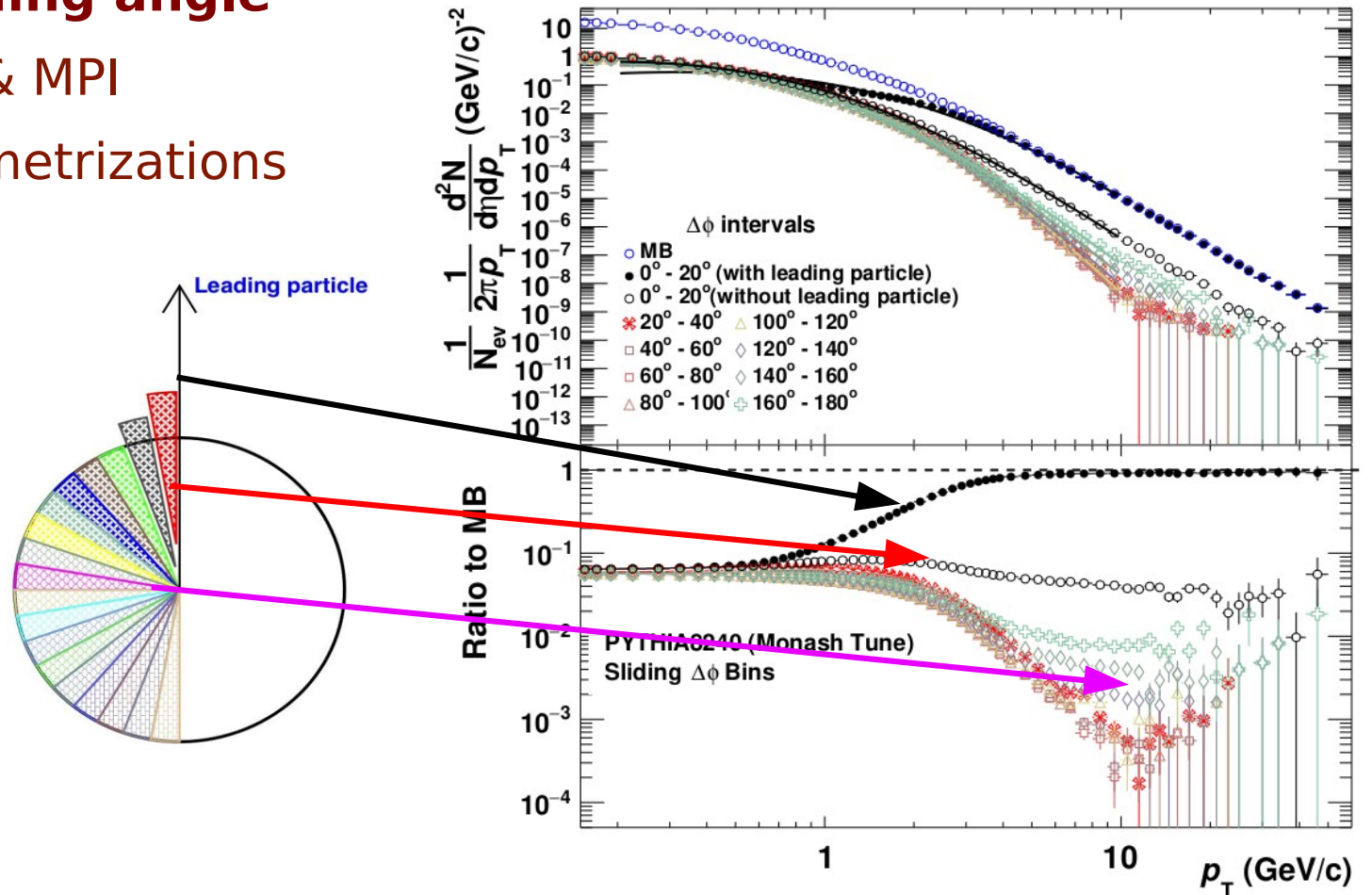
- PYTHIA model UE: CR & MPI
- Good fits with the parametrizations
- Low p_T is constant (T)
- High p_T varies (q)
- NS/AS are similar
- Need to consider w/o leading particle



The p_T spectrum

- **PYTHIA spectra with sliding angle**

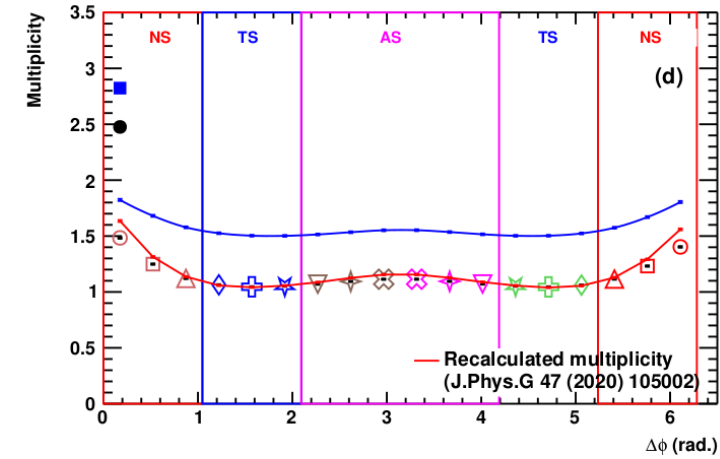
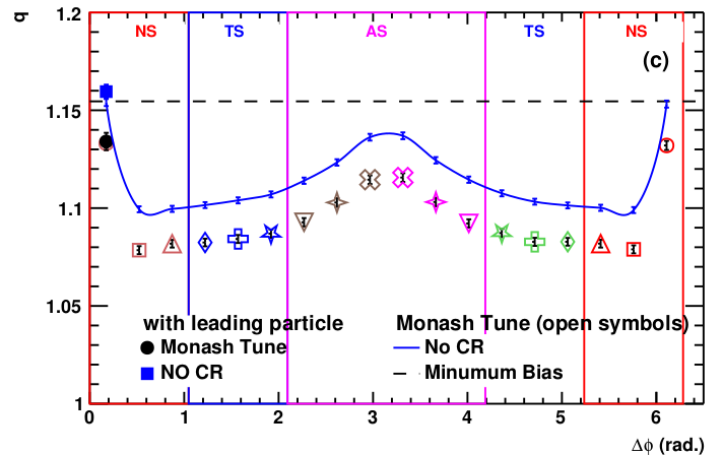
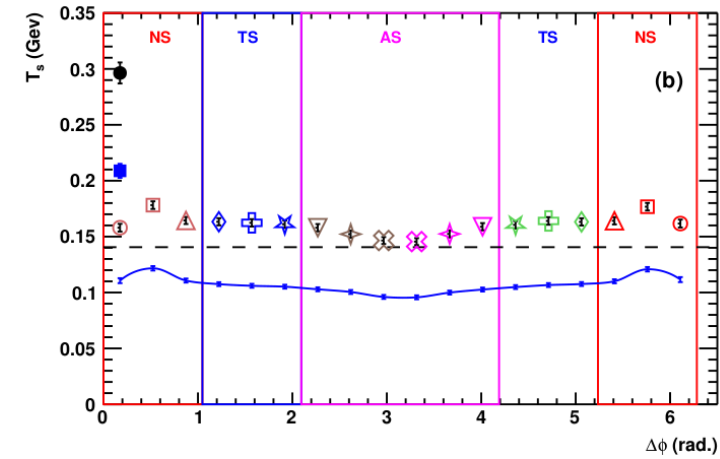
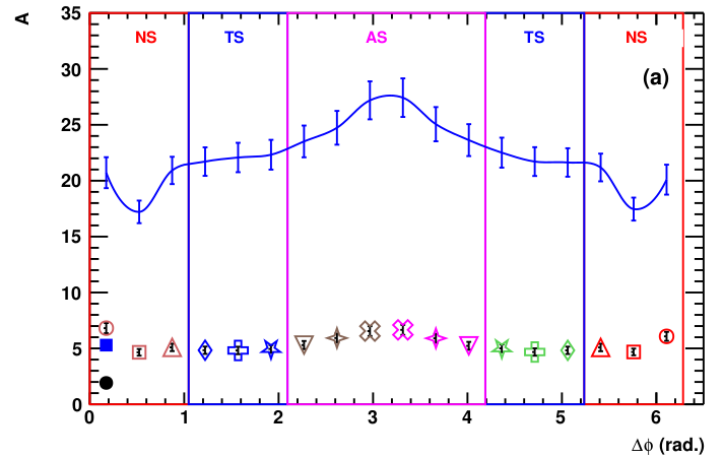
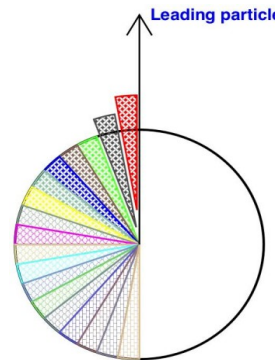
- PYTHIA's model UE: CR & MPI
- Good fits with the parametrizations
- Low p_T is constant (T)
- High p_T varies (q)
- NS/AS are similar
- Need to consider w/o leading particle



Tsallis fit parameters

- PYTHIA spectra with sliding angle**

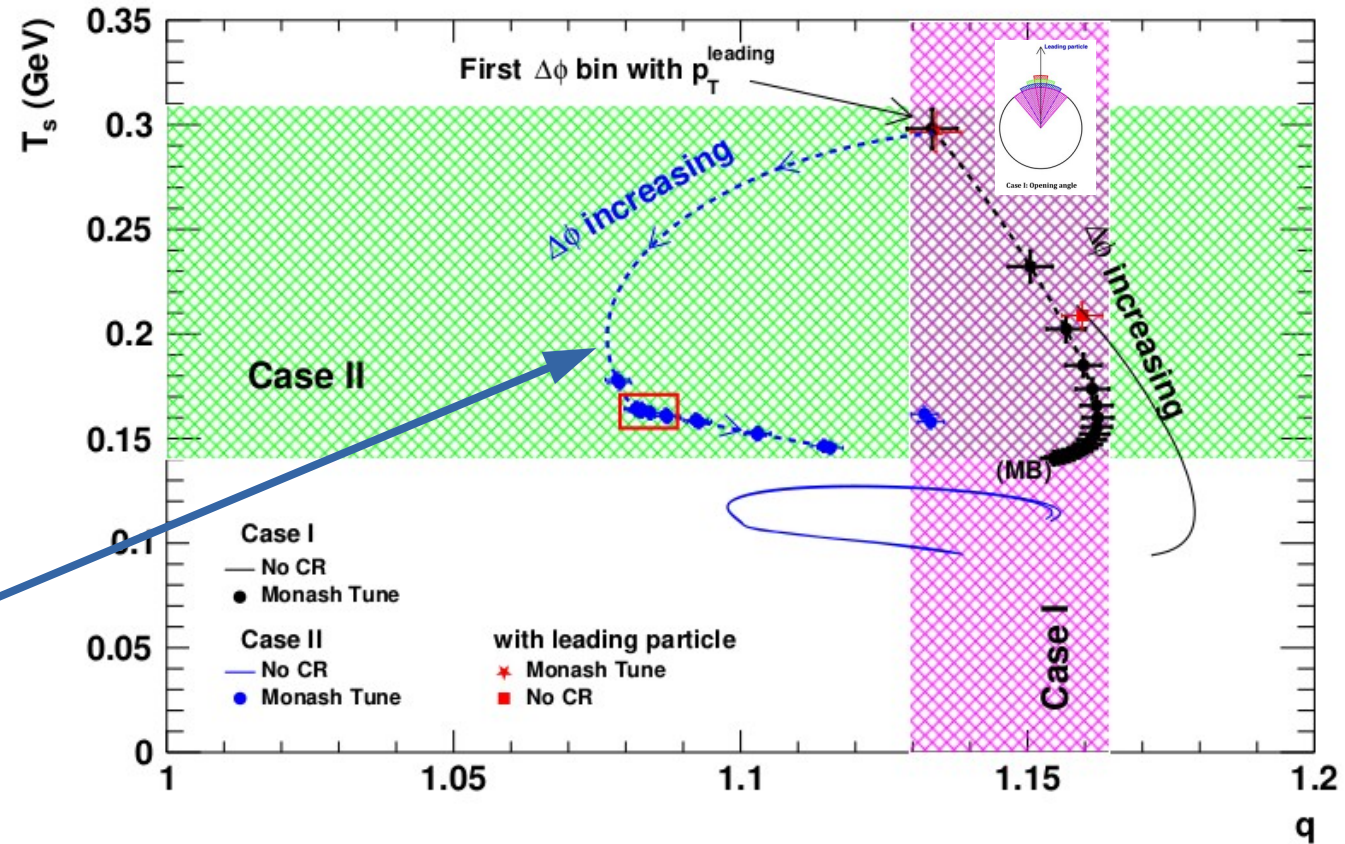
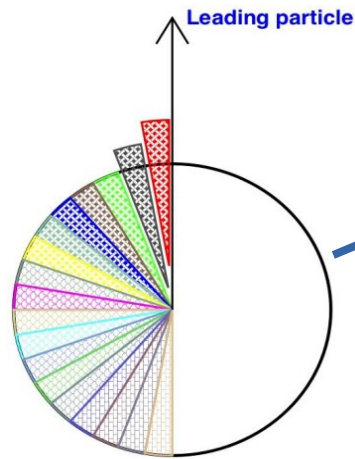
- PYTHIA's model UE: CR & MPI
- Good fits with the parametrizations (red line)
- NS \rightarrow highest T
- NS/AS \rightarrow highest q
- TS \rightarrow constant q, T
- Multiplicity $\sim A$



On the Tsallis-thermometer

- **Sliding angle**

- Need UE in PYTHIA → CR & MPI
- NS (with leading) is fully different highest T & highest q
- Beyond NS T is getting constant → Wider range of UE, than in CDF

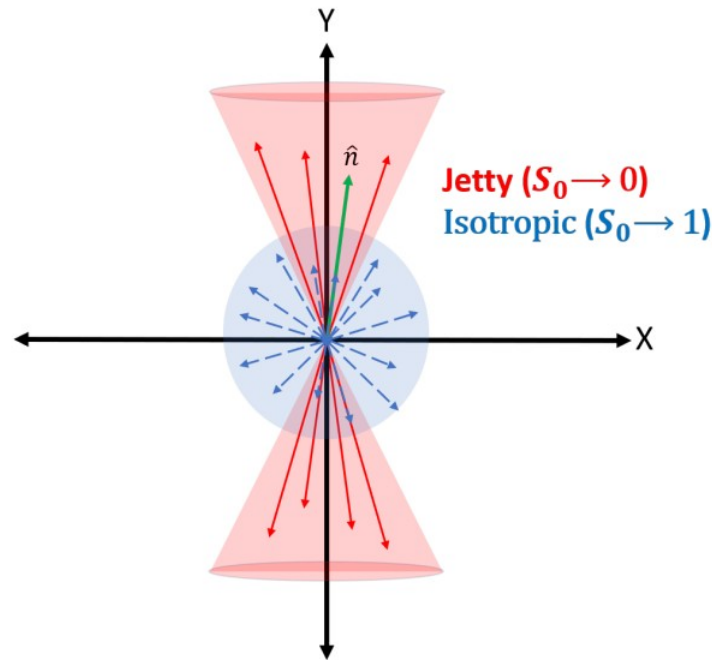
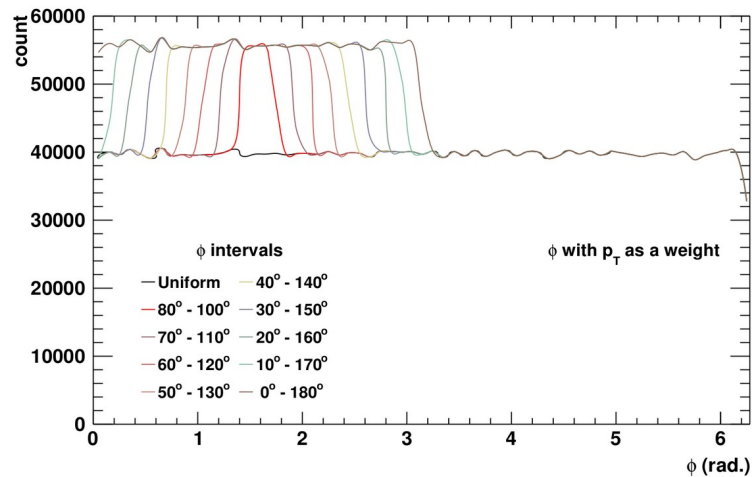


Cross-check with event shape variable

Event shape variable: sphericity

Simple 2-component model

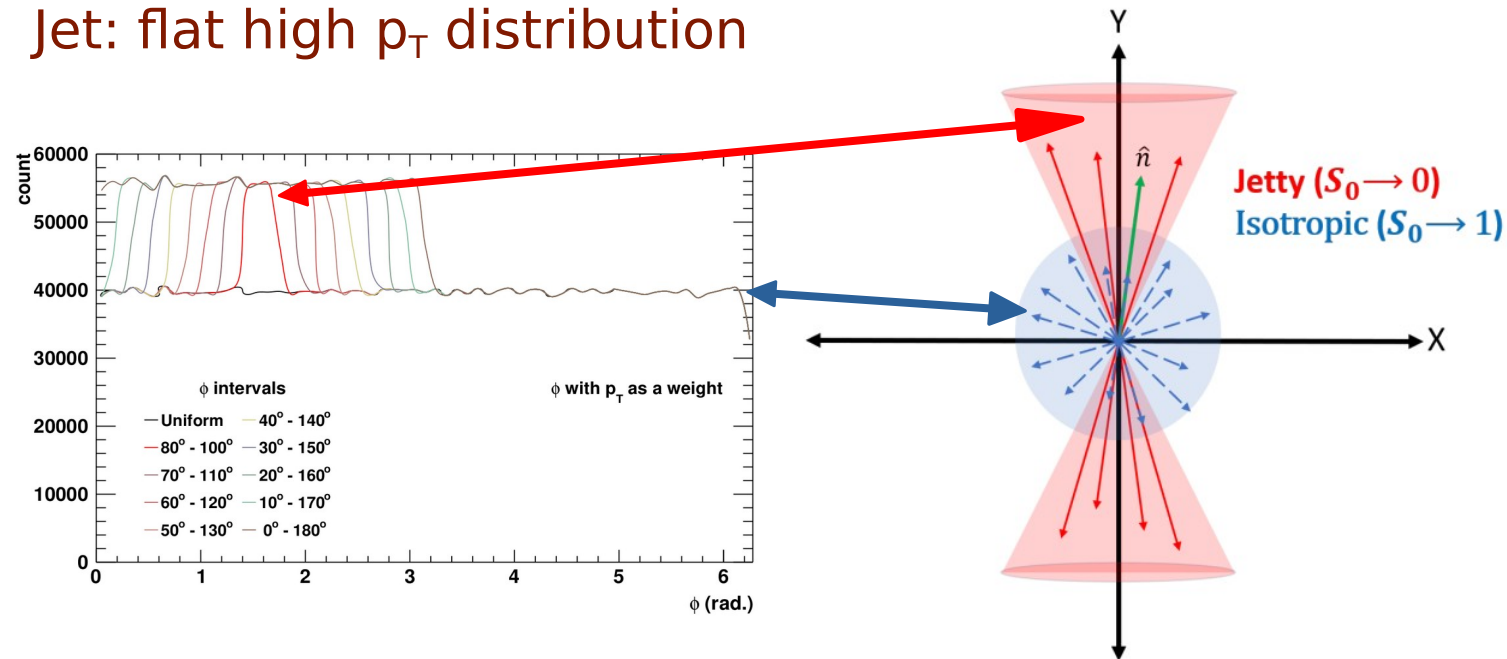
- Isotrope: flat low p_T distribution
- Jet: flat high p_T distribution



Event shape variable: sphericity

Simple 2-component model

- Isotrope: flat low p_T distribution
- Jet: flat high p_T distribution



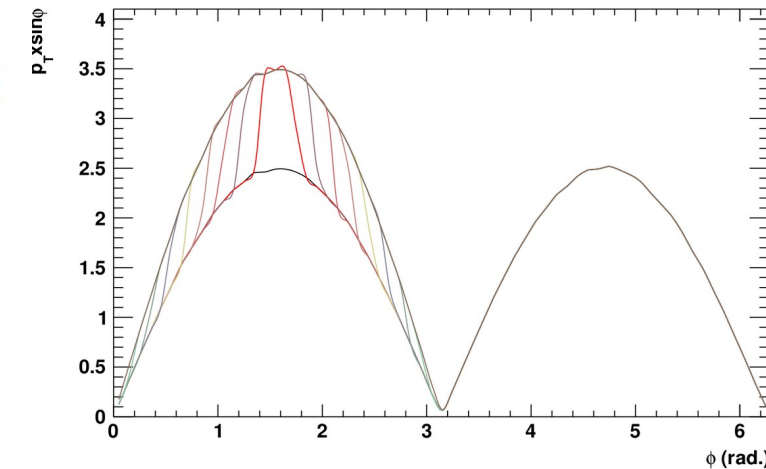
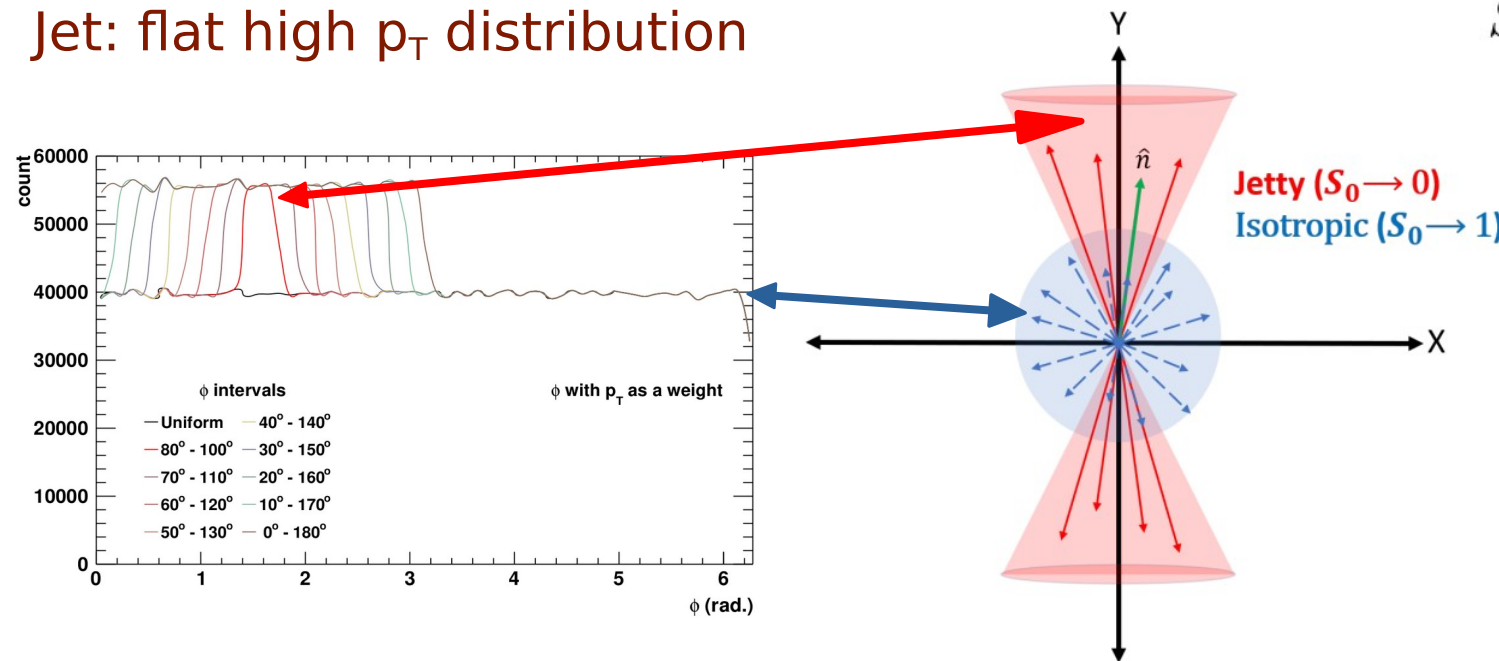
Event shape variable: sphericity

Simple 2-component model

- Isotrope: flat low p_T distribution
- Jet: flat high p_T distribution

Sphericity definition

$$S_0 = \frac{\pi^2}{4} \left(\frac{\sum_i |\vec{p}_{T_i} \times \hat{n}|}{\sum_i p_{T_i}} \right)^2$$



→ Event selection based on sphericity classes is available in ALICE

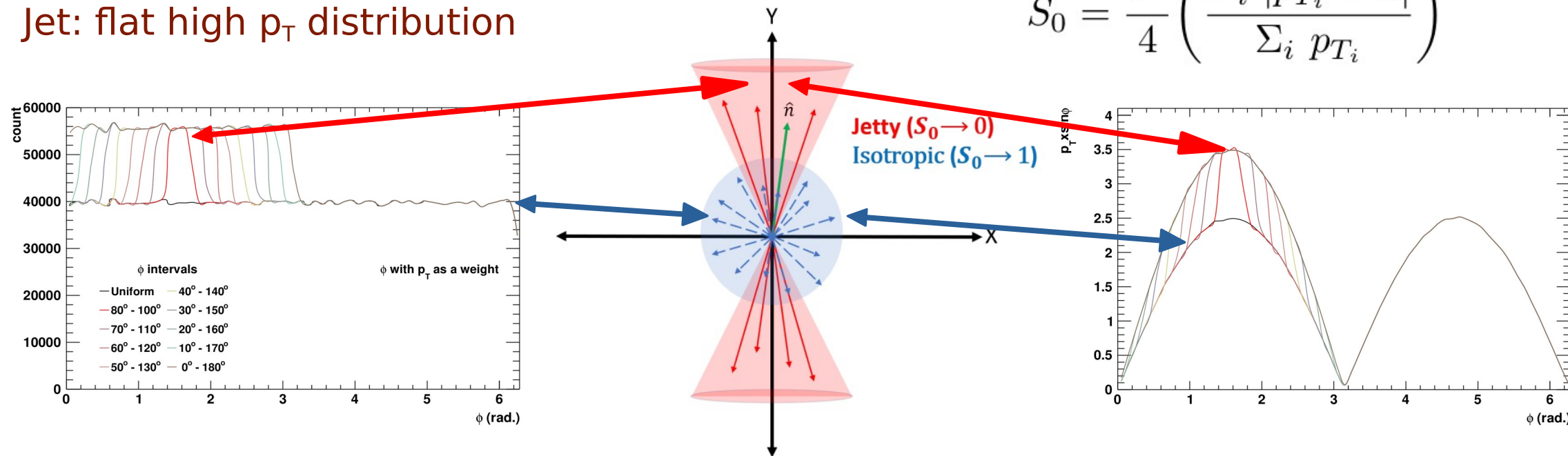
Event shape variable: sphericity

Simple 2-component model

- Isotope: flat low p_T distribution
- Jet: flat high p_T distribution

Sphericity definition

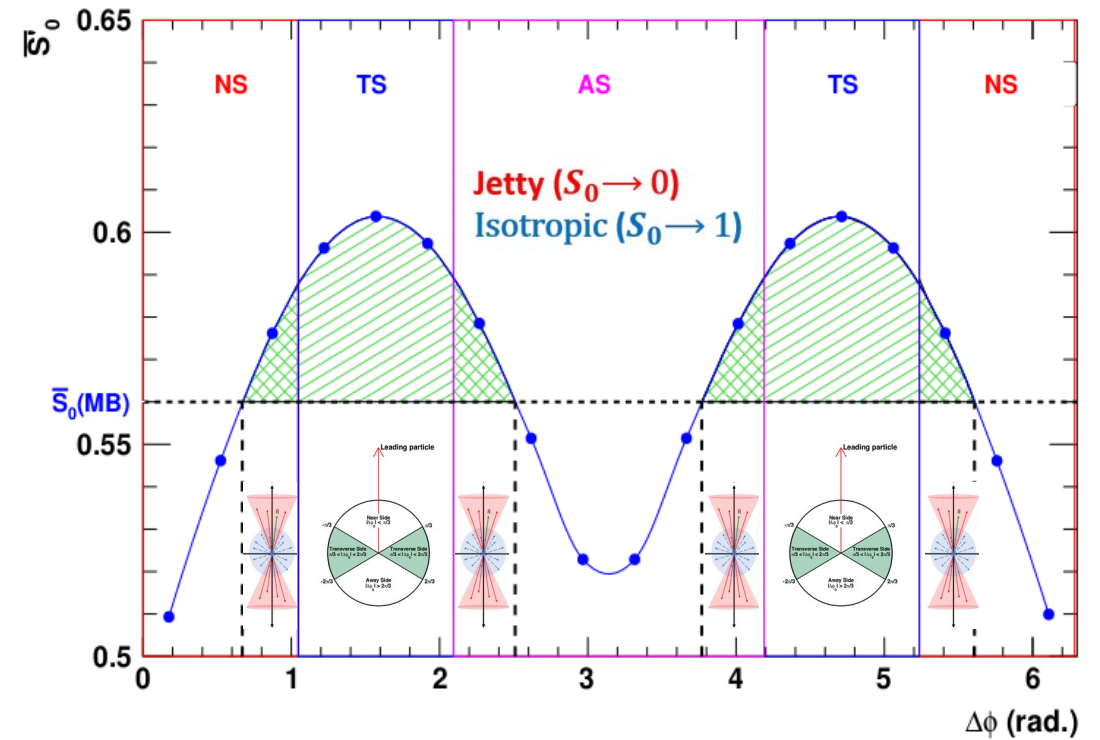
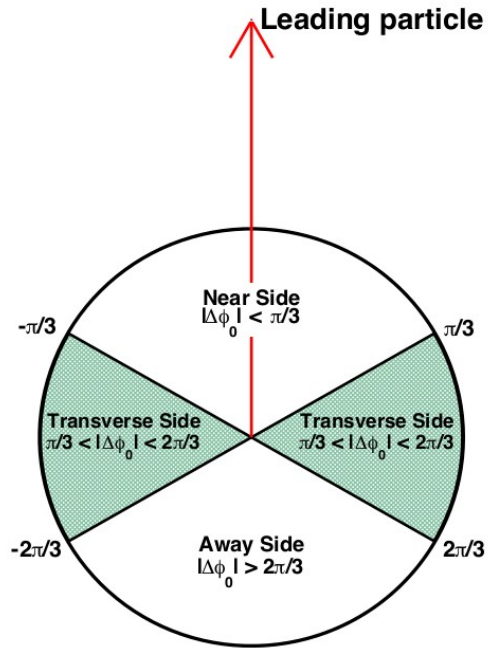
$$S_0 = \frac{\pi^2}{4} \left(\frac{\sum_i |\vec{p}_{T_i} \times \hat{n}|}{\sum_i p_{T_i}} \right)^2$$



→ Event selection based on sphericity classes is available in ALICE

Case II: Spherocity vs. Tsallis thermometer

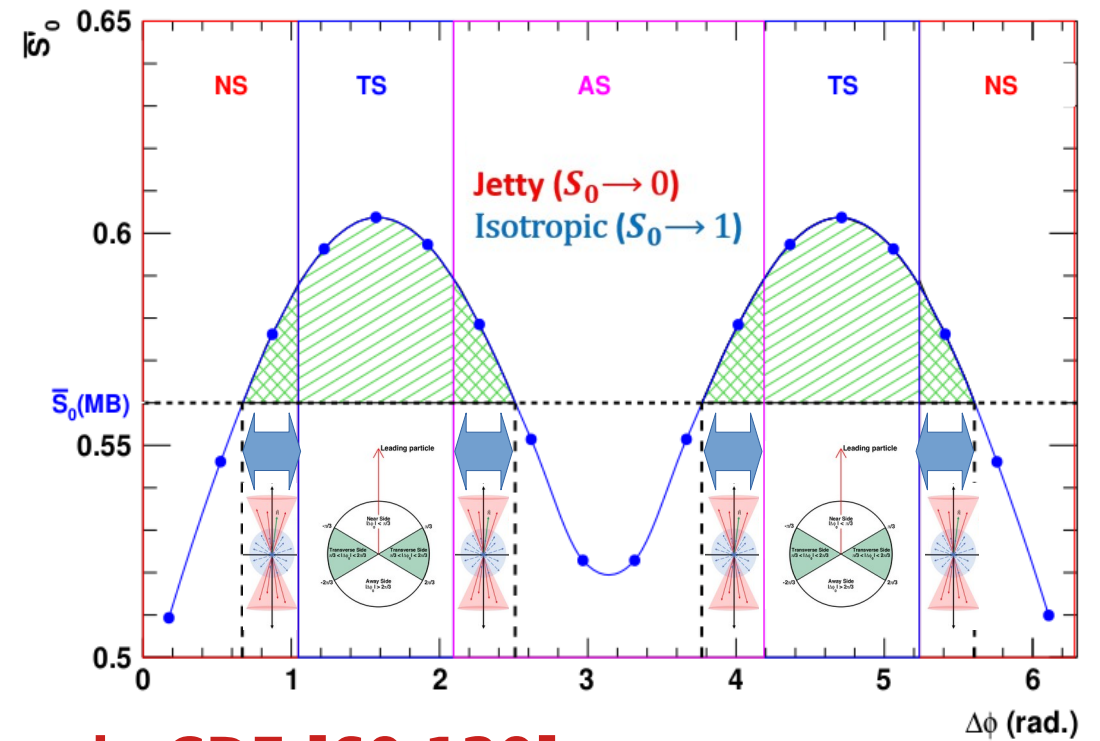
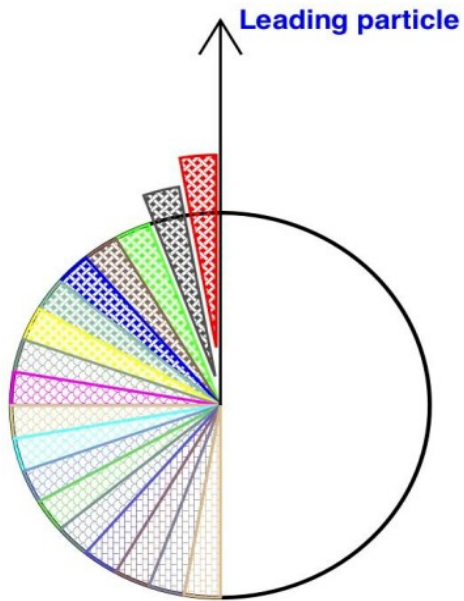
- Spherocity relative to the MB defines wider UE



→ CDF-based UE [40,140]

Case II: Spherocity vs. Tsallis thermometer

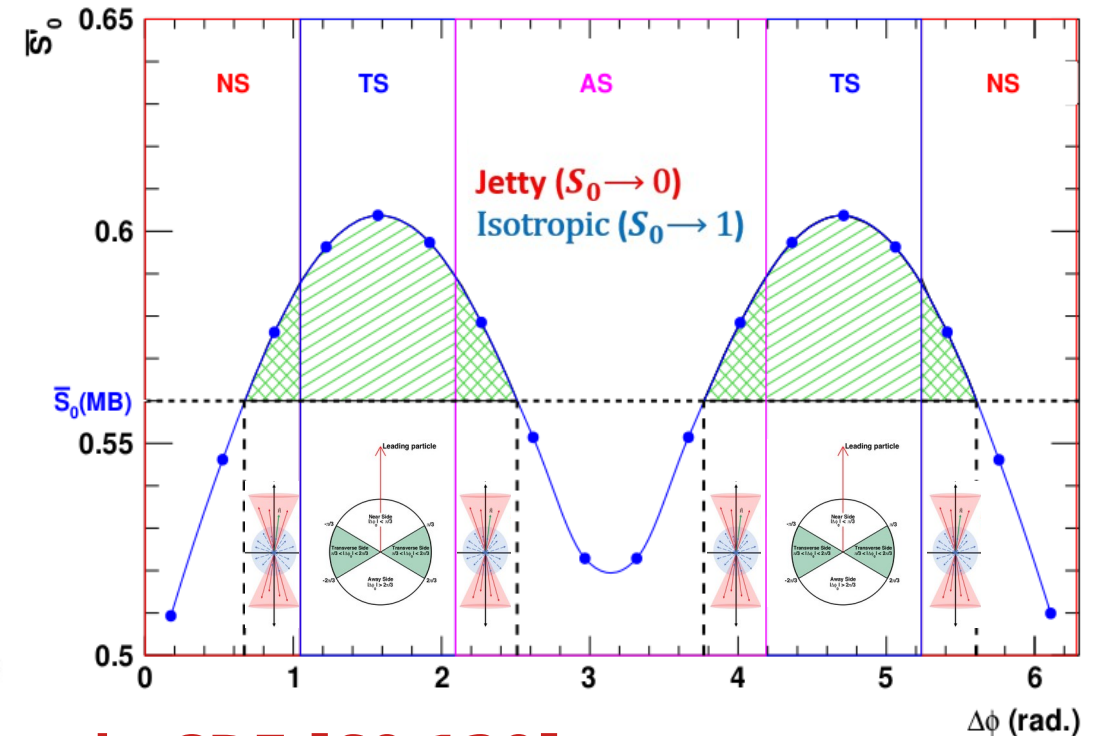
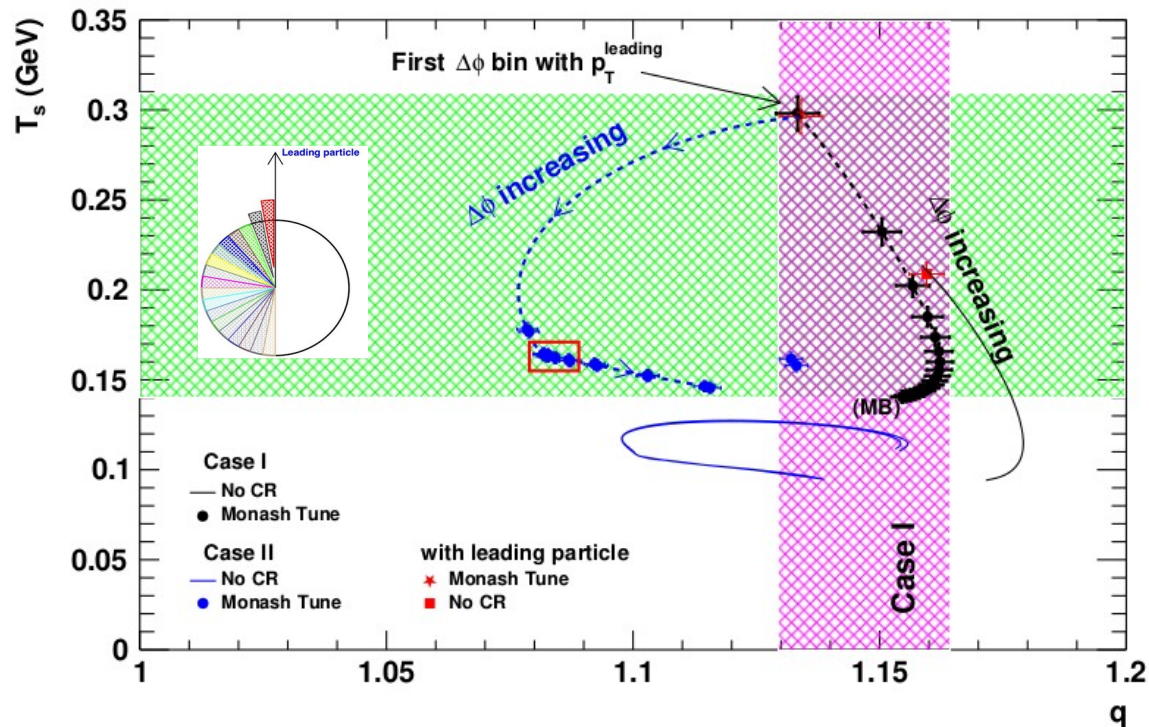
- Spherocity relative to the MB defines wider UE



→ Wider range of UE [40,140], than in CDF [60,120]

Case II: Spherocity vs. Tsallis thermometer

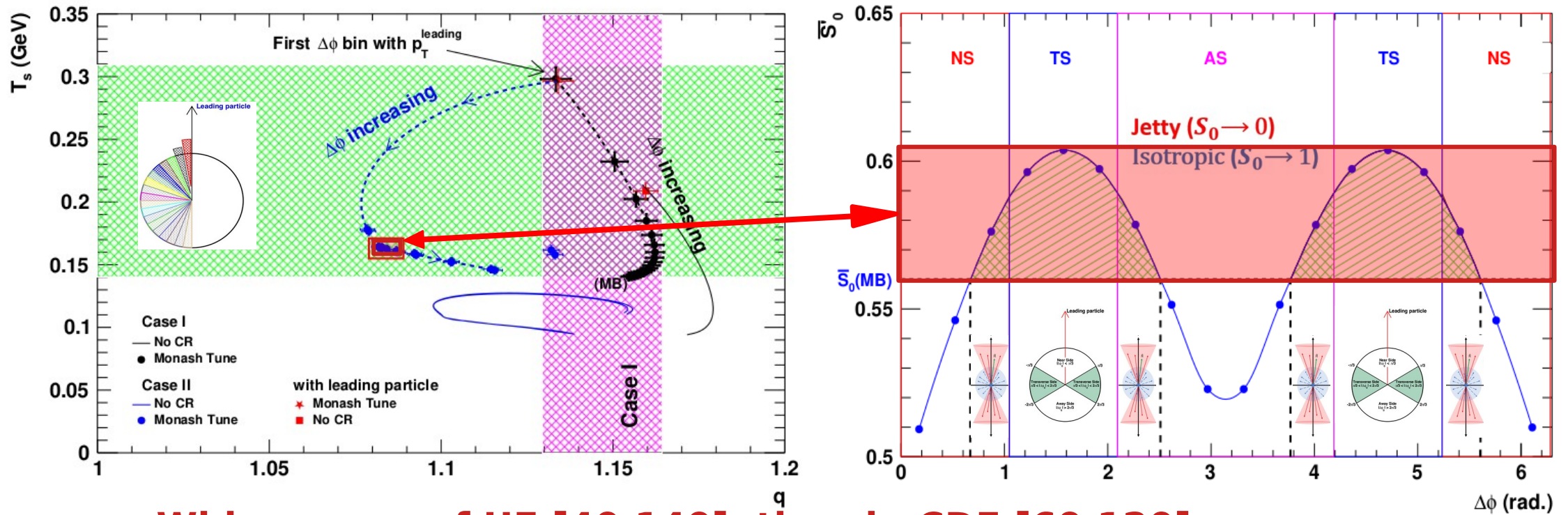
- Spherocity relative to the MB defines wider UE
- Tsallis-thermometer presents the same



→ Wider range of UE [40,140], than in CDF [60,120]

Case II: Spherocity vs. Tsallis thermometer

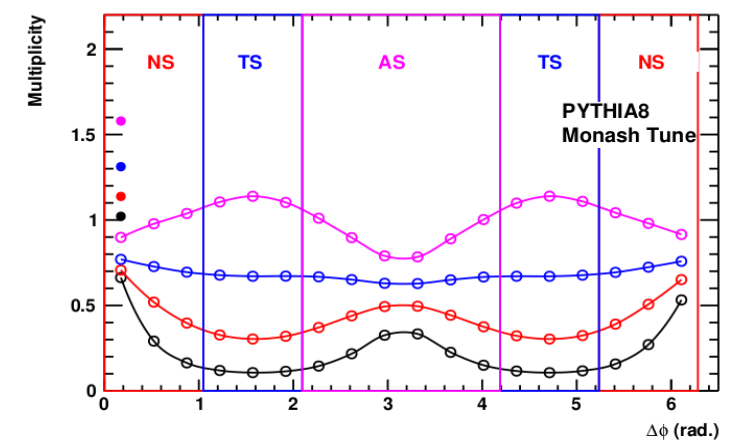
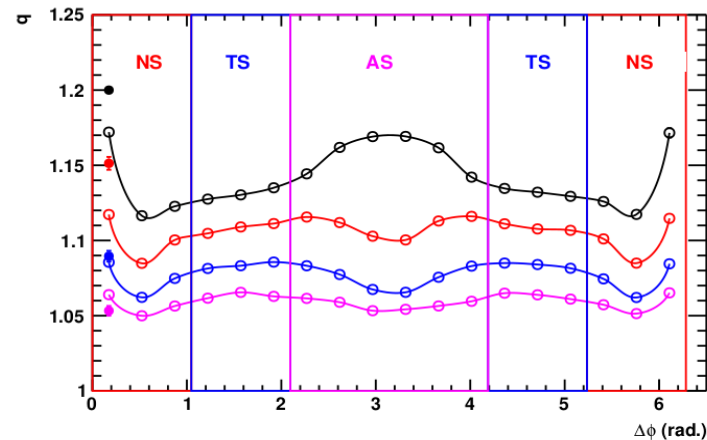
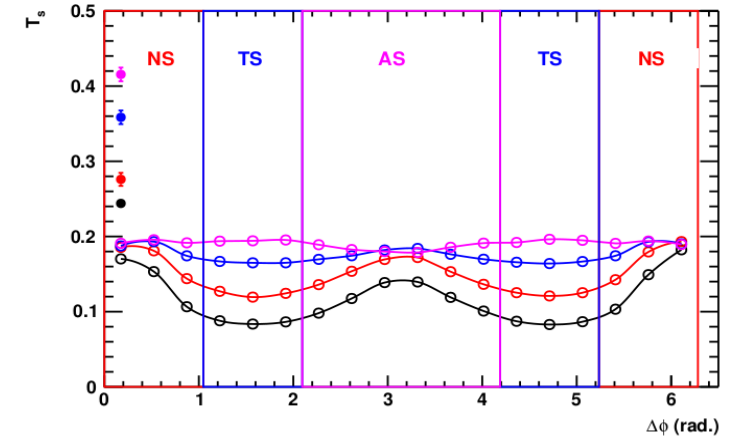
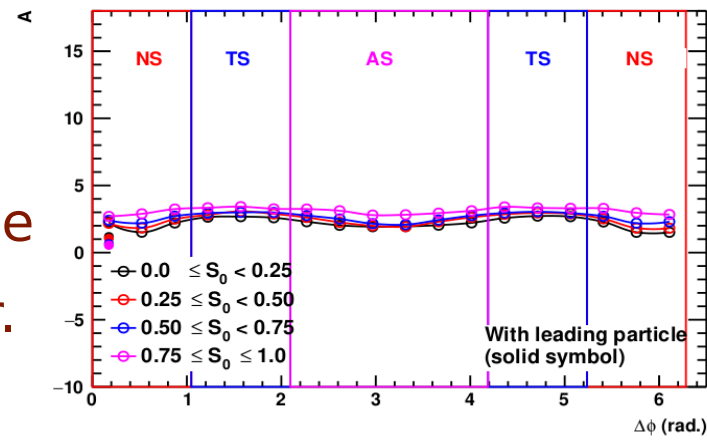
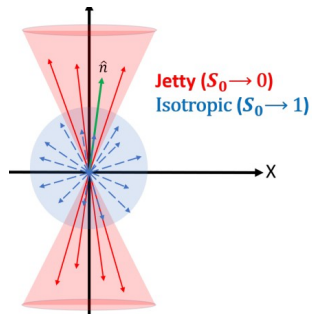
- Spherocity relative to the MB defines wider UE
- Tsallis-thermometer presents the same



→ Wider range of UE [40,140], than in CDF [60,120]

Parameters in spherocity classes

- **PYTHIA spectra with sliding angle in S_0 classes**
 - The more jetty the event, the angular variation is stronger.
 - Minimal activity (lowest q & T values) are in the isotropic case.



→ Isotropic events are closer to UE, activity is more than MB

Conclusions

- **Could we understand UE?**
 - Not yet, but getting closer by quantifying them
 - Model UE: PYTHIA (CR, MPI), HIJING (minijet)
 - UE properties has been characterized
 - Tsallis-Pareto fits well in narrow slices
 - **To take away...**
 - Tsallis-thermometer present wider UE
 - In degrees CDF: [60,120] → [40,140]
 - Event shape classification support the model
- **Proposal to measure?**



Conclusions

- **Could we understand UE?**
 - Not yet, but getting closer by quantifying them
 - Model UE: PYTHIA (CR, MPI), HIJING (minijet)
 - UE properties has been charaterized
 - Tsallis-Pareto fits well in narrow slices
 - **To take away...**
 - Tsallis-thermometer present wider UE
 - In degrees CDF: [60,120] → [40,140]
 - Event shape classification support the model
- Investigate this in larger systems, pA or AA?**



BACKUP

Derivatives of the parameters

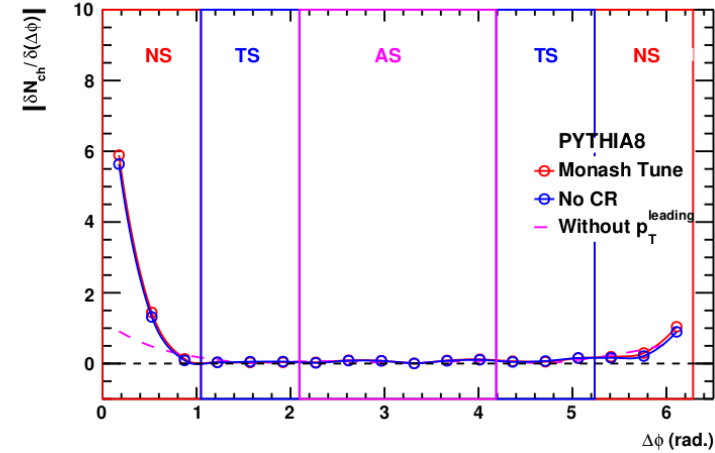
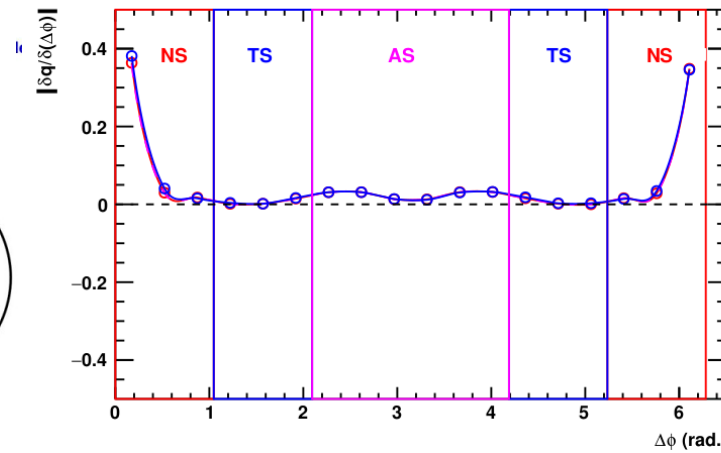
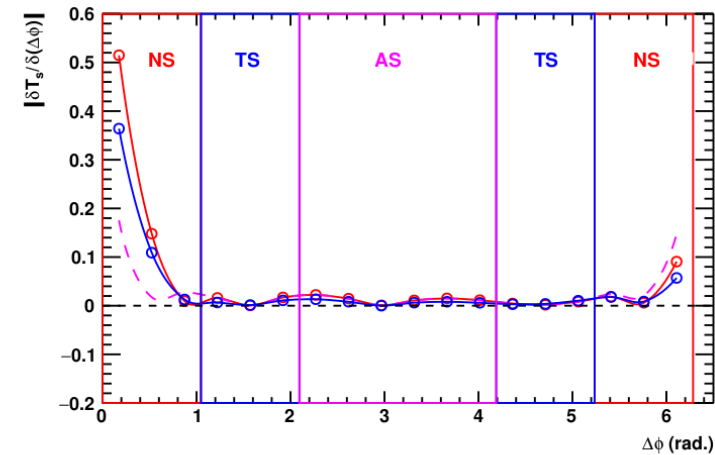
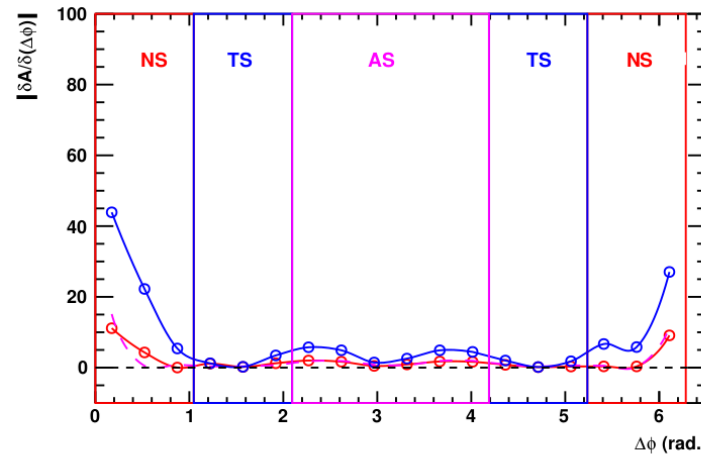
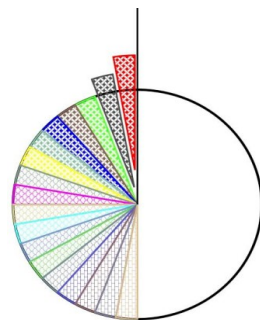
- PYTHIA spectra parameter derivatives with sliding angle**

- PYTHIA's model UE: CR & MPI
- TS (+AS) → constant T & q

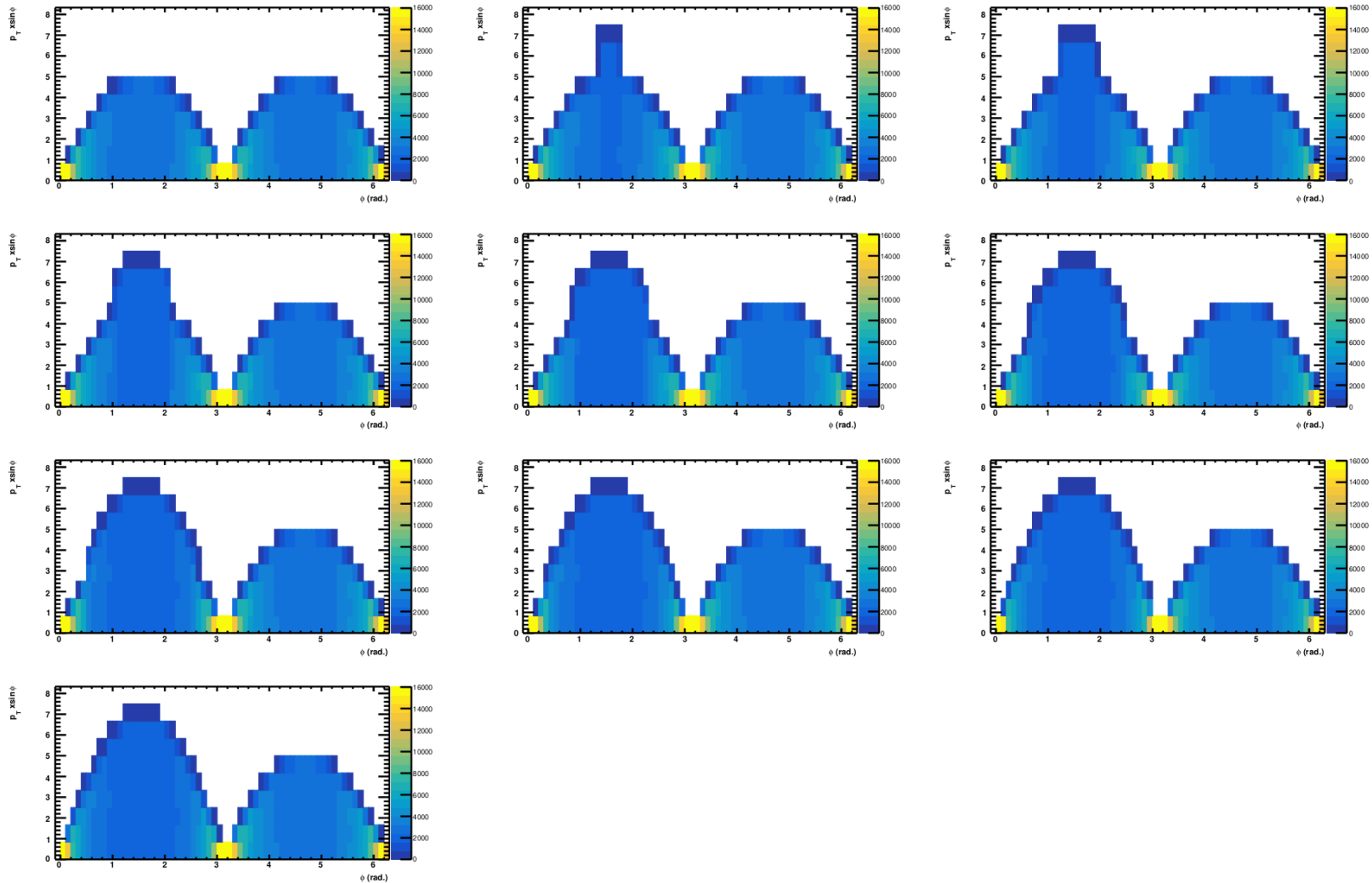
$$\frac{\delta T_s}{\delta(\Delta\phi)} \neq 0 \quad \& \quad \frac{\delta q}{\delta(\Delta\phi)} \neq 0 \quad (\text{for NS \& AS})$$

$$\frac{\delta T_s}{\delta(\Delta\phi)} \approx 0 \quad \& \quad \frac{\delta q}{\delta(\Delta\phi)} \approx 0 \quad (\text{for TS})$$

- NS → highest T
- NS/AS → highest q
- Multiplicity ~ A



Spherocity model with multiplicity



Thermodynamical consistency?

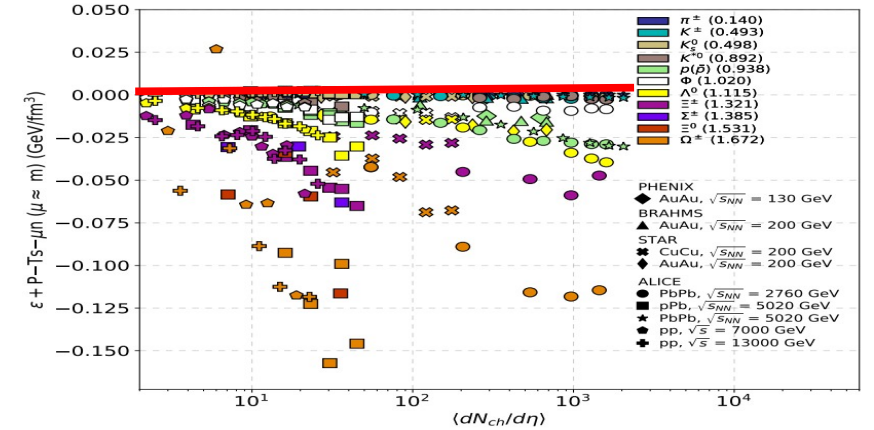
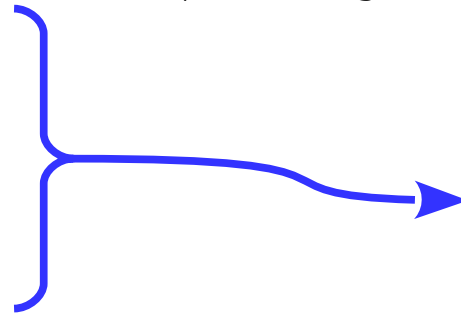
Thermodynamical consistency: fulfilled up to a high degree

$$P = g \int \frac{d^3p}{(2\pi)^3} T f,$$

$$N = nV = gV \int \frac{d^3p}{(2\pi)^3} f q,$$

$$s = g \int \frac{d^3p}{(2\pi)^3} \left[\frac{E - \mu}{T} f q + f \right],$$

$$\varepsilon = g \int \frac{d^3p}{(2\pi)^3} E f$$



Compare EoS to data: Lattice QCD (parton) & Biró-Jakovác parton-hadron

