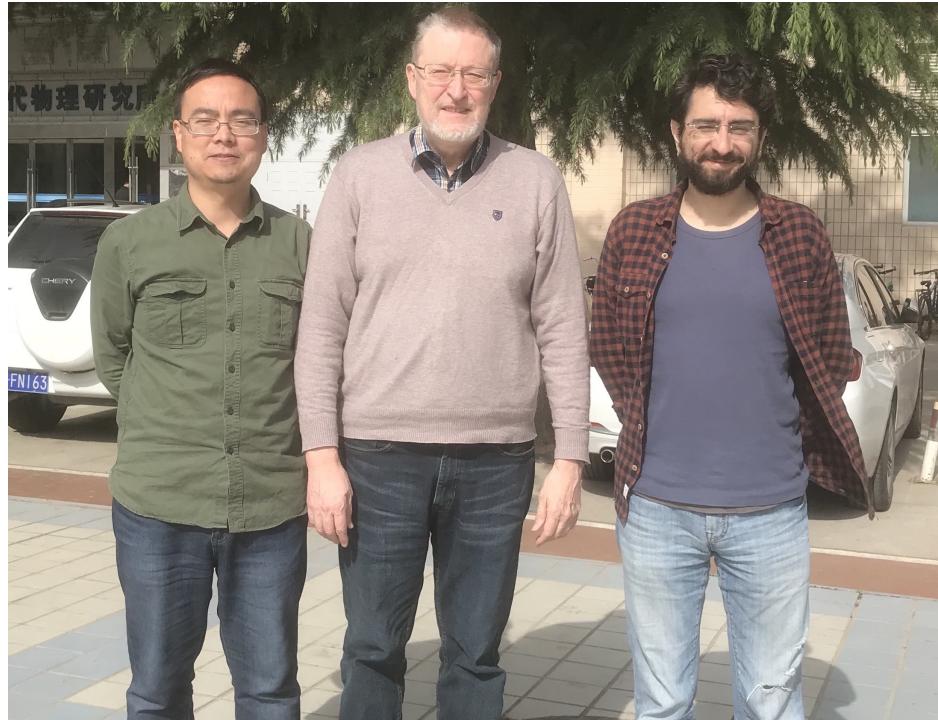


Memory Effect & Carroll Symmetry for gravitational waves



Budapest - Wien April 2024

Abstract: Observing the displacement of particles by a Gravitational Wave could provide a way to detect the latter. Following Souriau (1973), the geodesic equations can be integrated by using the 5-parameter isometries of plane gravitational waves, identified more recently as Lévy-Leblond 's (1965) “Carroll” group in 2+1 dimensions with no rotations, which acts as a symmetry. The associated conserved quantities determine the trajectories.

Joint work with C. Duval, M. Elbistan, G. W. Gibbons, P. M. Zhang :

- “*Carroll symmetry of gravitational plane waves,*” Class. Quant. Grav. **34** (2017) 175003 [[arXiv:1702.08284 \[gr-qc\]](#)]
- “*The Memory Effect for Plane Gravitational Waves,*” Phys. Lett. B **772** (2017) 743. [[arXiv:1704.05997 \[gr-qc\]](#)].
- “*Soft gravitons and the memory effect for plane gravitational waves,*” Phys. Rev. D **96** (2017) no.6, 064013 [[arXiv:1705.01378 \[gr-qc\]](#)].
- “*Sturm-Liouville and Carroll: at the heart of the Memory Effect,*” Gen. Rel. Grav. **50** (2018) no.9, 107 [[arXiv:1803.09640 \[gr-qc\]](#)].

Road map:

- Memory Effect p.4
 - A. displacement effect (Zel'dovich-Polnarev)
 - B. velocity effect (Ehlers-Kundt, Braginsky-Thorne)
- Geodesics in Brinkmann coordinates p.9. flyby p.11
- Eisenhart-Duval framework p.12
 - Gaussian p.16
 - Braginsky-Thorne p.18 gravitational collapse p.18
- Isometries & Carroll p.21
- Isometries of plane GWs p.24 (Souriau)
- Brinkman \Leftrightarrow BJR p.26
- Carroll symmetry (Lévy-Leblond - Duval) p.27
- Geodesics from Carroll p.29
- Displacement effect ? p.32

Memory effect

Ya. B. Zel'dovich and A. G. Polnarev , “*Radiation of gravitational waves by a cluster of superdense stars,*” Astron. Zh. **51**, 30 (1974)

... two noninteracting bodies (such as satellites). [. . .] the distance should change, and in principle this effect might possibly serve as a nonresonance detector. [. . .] although distance between free bodies will change, their **relative** velocity will become

vanishingly small as **flyby** concludes.

CLAIM – NO PROOF

A. displacement effect DM

Elaborated by V.B. Braginsky & L. P. Grishchuk

“Kinematic resonance and the memory effect in free mass gravitational antennas,” Zh. Eksp. Teor. Fiz. 89 744-750 (1985)

“distance between a pair of bodies is different from the initial distance in the presence of a gravitational radiation pulse. . . possible application to detect gravitational radiation . . .”

$$\left(|\dot{\mathbf{X}}_1 - \dot{\mathbf{X}}_2| \right) \rightarrow 0 \Leftrightarrow |\mathbf{X}_1 - \mathbf{X}_2| \rightarrow \text{const} \quad (1)$$

Christodoulou : non-linear theory \rightsquigarrow displacement effect “*Nonlinear nature of gravitation and gravitational wave experiments,*” Phys. Rev. Lett. **67** (1991) 1486.



flyby captured by Hubble Space Telescope)

B. Velocity effect VM

J. Ehlers and W. Kundt (1962)

“Exact solutions of the gravitational field equations,”
in *Gravitation: An Introduction to Current Research*, edited
by L. Witten (Wiley, New York, London, 1962).

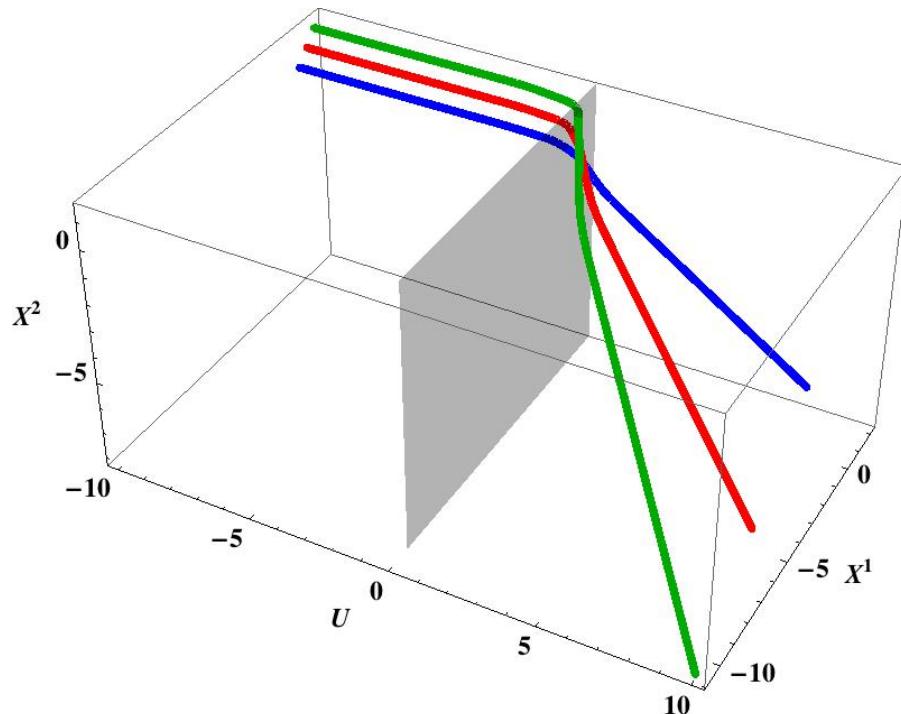
V B Braginsky and K S Thorne

“Gravitational-wave burst with memory and experimental
prospects,” Nature (London) **327** 123 (1987).

L. P. Grishchuk and A. G. Polnarev

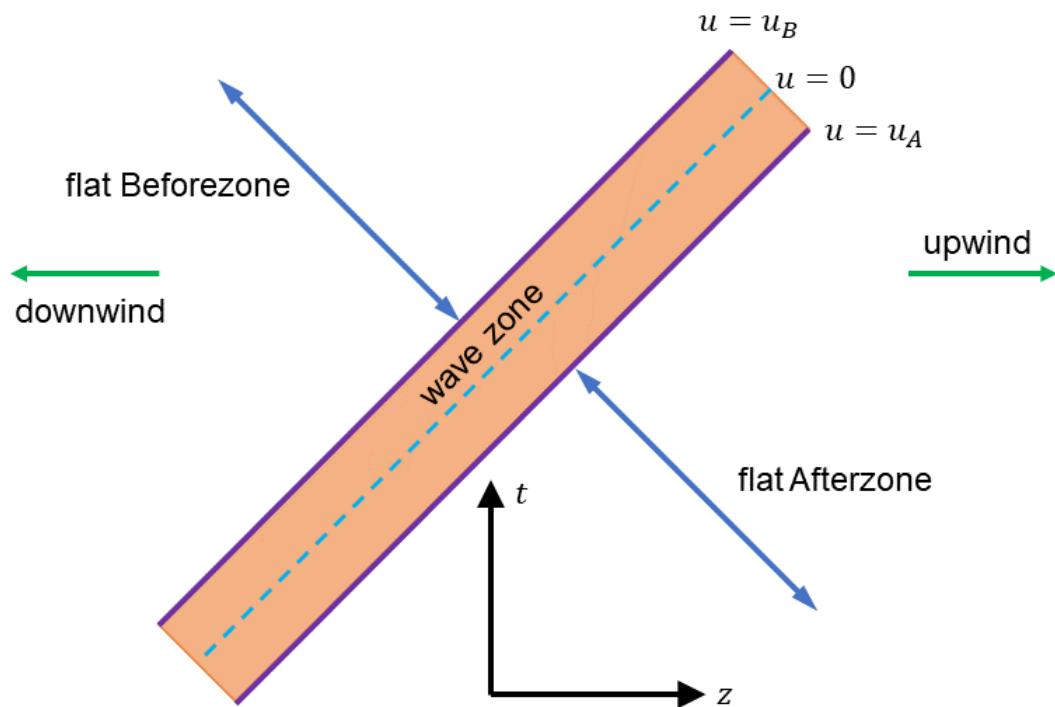
“Gravitational wave pulses with ‘velocity coded memory’,”
Sov. Phys. JETP **69** (1989) 653 [Zh. Eksp. Teor. Fiz. **96**
(1989) 1153].

$$\dot{\mathbf{X}} \rightarrow \text{const} > 0 \quad |\dot{\mathbf{X}}^{(1)} - \dot{\mathbf{X}}^{(2)}| > 0 \quad (2)$$



G. W. Gibbons S. W. Hawking “Theory of the detection of short bursts of gravitational radiation,” Phys. Rev. D 4 (1971) 2191.

Sandwich wave: burst of gravitational wave. Space-time non-flat only in short interval $u_B \leq u \leq u_A$ of retarded time [Wavezone]. Flat both in Beforezone $u < u_B$ that the wave has not reached yet, and in Afterzone $u_A < u$ where has already passed, see fig.



u flows from left to the right, whereas wave advances from right to left.

Geodesics in Brinkmann* coordinates

plane GWs

$$\delta_{ij}dX^i dX^j + 2dUdV + K_{ij}(U)X^i X^j dU^2 \quad (3a)$$

profile $K_{ij}(U)X^i X^j =$

$$\frac{1}{2}\mathcal{A}_+(U)((X^1)^2 - (X^2)^2) + \mathcal{A}_\times(U)X^1 X^2 \quad (3b)$$

where \mathcal{A}_+ and \mathcal{A}_\times + and \times polarization-state amplitudes. $\mathbf{X} = (X^i)$ transverse, U, V light-cone coords. $(X^\mu) = (U, \mathbf{X}, V)$ global

Vacuum Einstein solutions : Ricci flat

$$R_{\mu\nu} = 0 \Leftrightarrow \text{Tr}(K_{ij}) = 0. \quad (4)$$

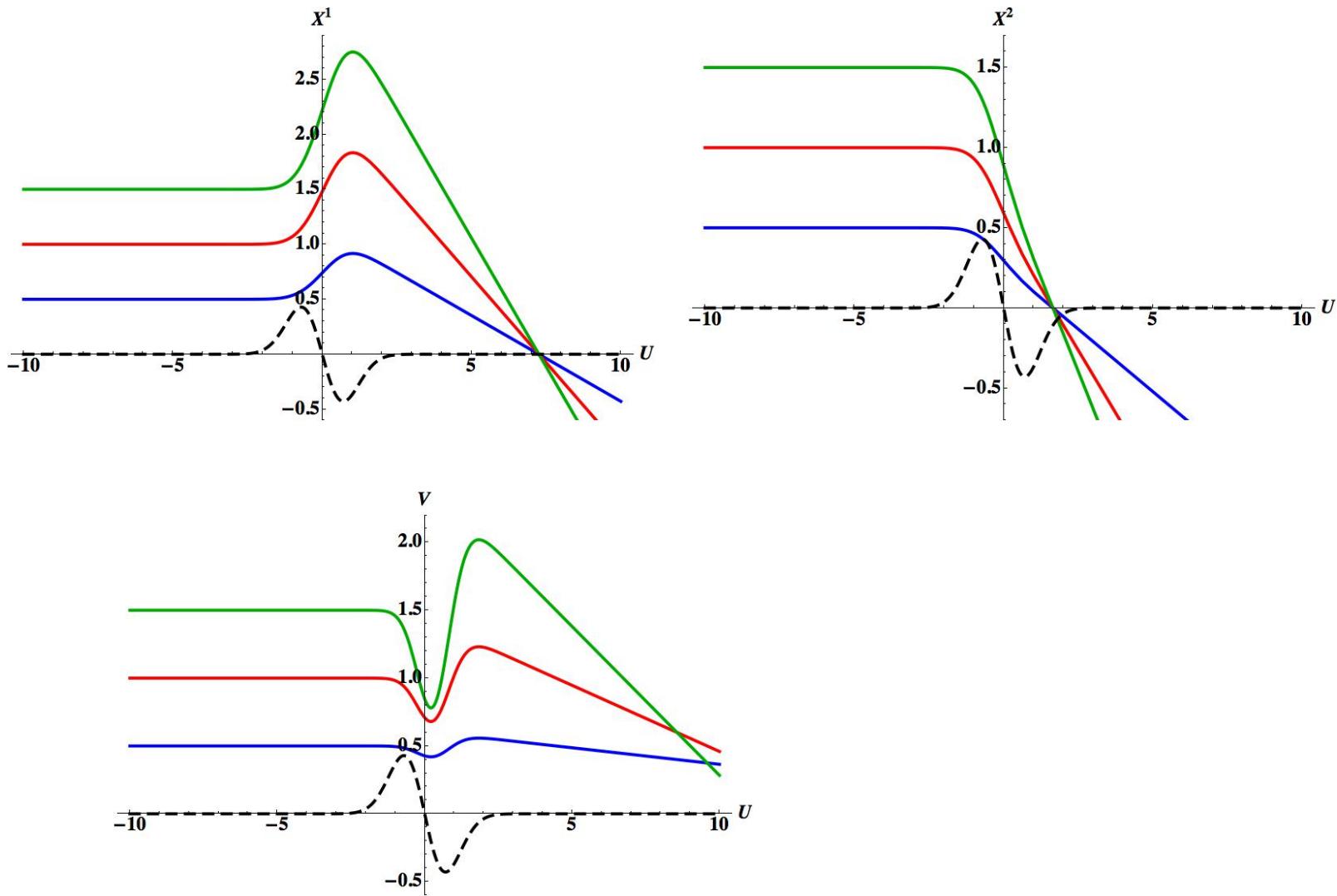
Sandwich wave: $K(U) \neq 0$ only in “wave zone” $U_B < U < U_A$. Assumption : metric Minkowski in “Beforezone” $U < U_B$, flat in “Afterzone” $U_A < U$.

* M. W. Brinkmann, “Einstein spaces which are mapped conformally on each other,” Math. Ann. **94** (1925) 119–145.

Gibbons-Hawking : Brinkmann profile for **flyby**

$$\mathcal{A}(U) = \frac{1}{2} \frac{d(e^{-U^2})}{dU}. \quad (5)$$

Numerically found geodesics :



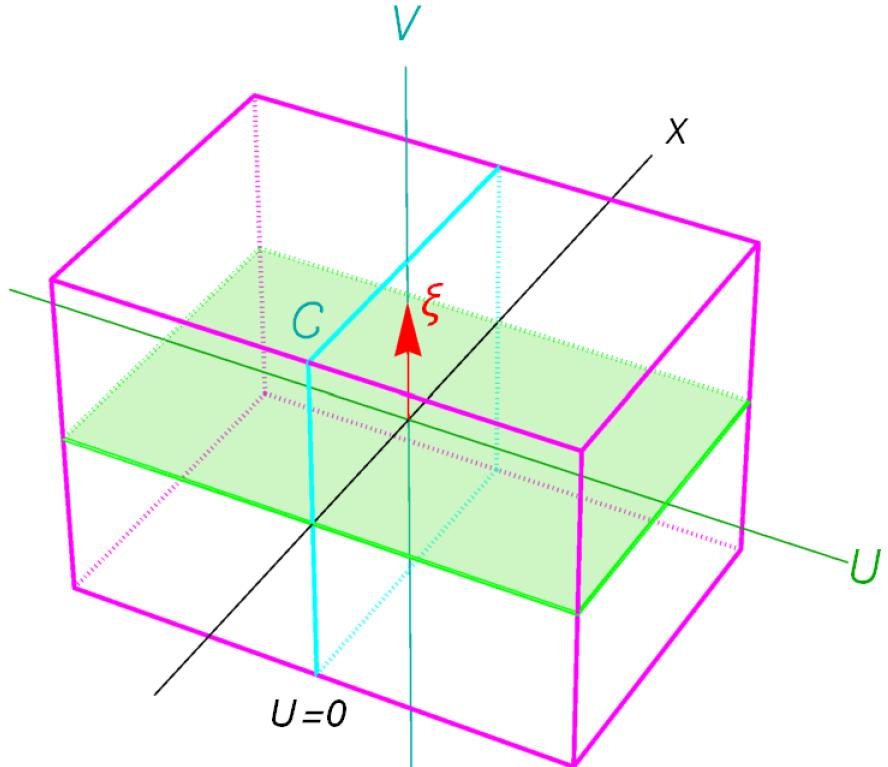
velocity effect – DM of Zel'dovich-Polnarev (1) ??

Eisenhart-Duval framework

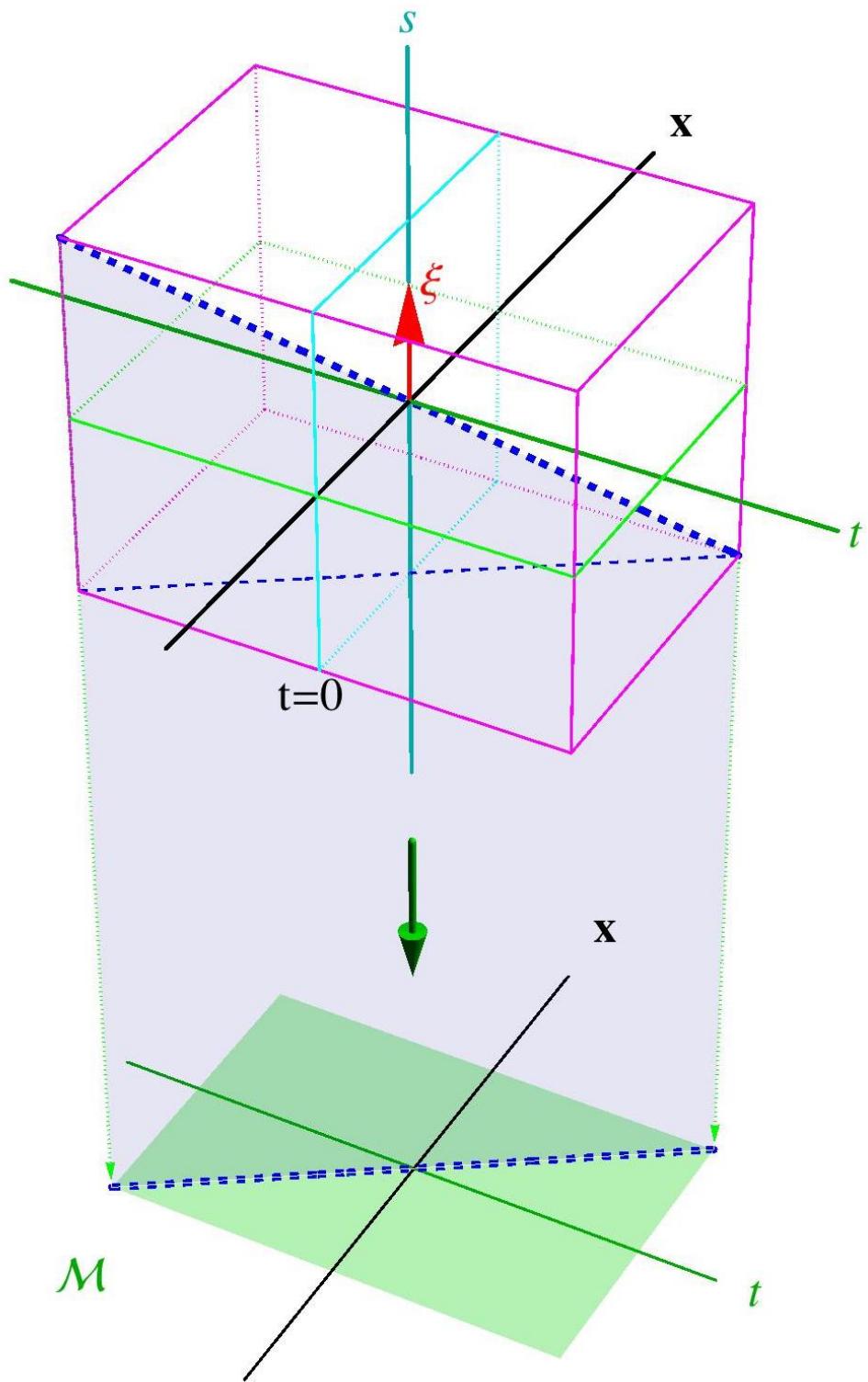
Duval et al 1984: GW plane wave \sim relativistic (“Kaluza-Klein”) description for non-relativistic physics

Bargmann manifold:

- (i) a $(d + 2)$ -dim manif
- (ii) endowed with metric of signature $(d + 1, 1)$
- (iii) carries nowhere vanishing, complete, null “vertical” vector ξ , parallel-transported by Levi-Civita



connection, ∇ .
projects to $\{(t, x)\}$ NR spacetime.



**NULL GEODESICS in Bargmann
project to
NR MOTIONS in space-time**

$$\delta_{ij}dX^i dX^j + 2dUdV + \underbrace{K_{ij}(U)X^i X^j}_{-2(\text{Newton potential})} dU^2$$

Framework first proposed by

L. P. Eisenhart, “*Dynamical trajectories and geodesics*”, Annals. Math. **30** 591-606 (1928).

- forgotten - then rediscovered, independently :

J. Gomis and J. M. Pons, “*Poincare Transformations and Galilei Transformations*,” Phys. Lett. A **66** (1978) 463.

C. Duval, G. Burdet, H. P. Kunzle and M. Perrin, “*Bargmann Structures and Newton-Cartan Theory*,” Phys. Rev. D **31** (1985) 1841.

A. P. Balachandran, H. Gomm and R. D. Sorkin, “*Quantum Symmetries From Quantum Phases: Fermions From Bosons, a Z(2) Anomaly and Galilean Invariance*,” Nucl. Phys. B **281** (1987) 573.

see

C. Duval, G. W. Gibbons, and P. A. Horvathy, “*Celestial Mechanics, Conformal Structures and Gravitational Waves*,” Phys. Rev. **D43**, 3907 (1991)

Geodesics (for linearly polarized $\mathcal{A}_x = 0$ cf. (3b)) :

$$\frac{d^2X^1}{dU^2} - \frac{1}{2}\mathcal{A}_+X^1 = 0, \quad (6a)$$

$$\frac{d^2X^2}{dU^2} + \frac{1}{2}\mathcal{A}_+X^2 = 0, \quad (6b)$$

$$\frac{d^2V}{dU^2} + \frac{1}{4}\frac{d\mathcal{A}_+}{dU}\left((X^1)^2 - (X^2)^2\right) + \mathcal{A}_+\left(X^1\frac{dX^1}{dU} - X^2\frac{dX^2}{dU}\right) = 0. \quad (6c)$$

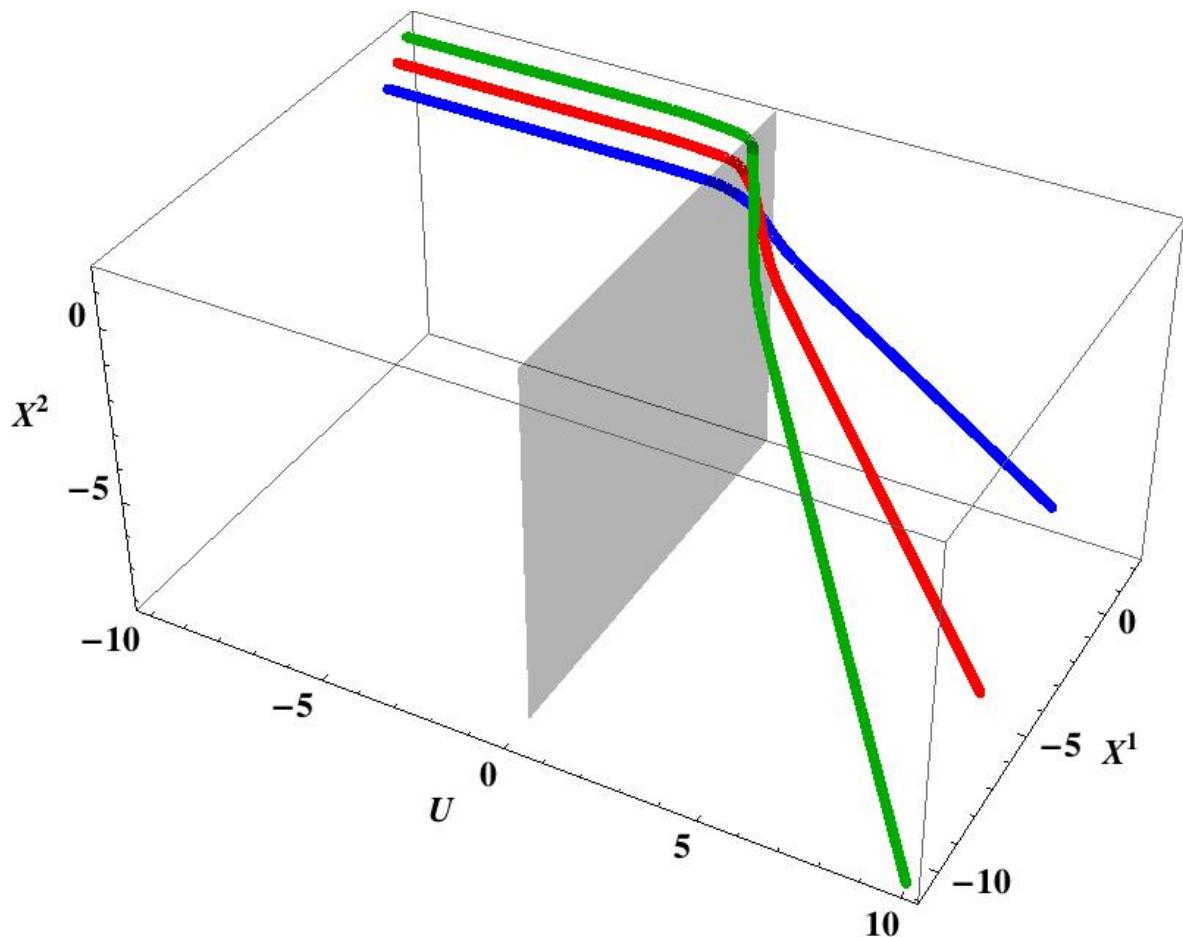
$X^{1,2}$ -components decoupled from V . Projection of 4D worldline to transverse $(X^1 - X^2)$ plane independent of $V(U_0)$ & $\dot{V}(U_0)$. NB: eqn (6c) \sim horizontal lift

N.B. : (6a)-(6b) with

$$K_{ij}(U)X^iX^j = \frac{1}{2}\mathcal{A}_+(U)\left((X^1)^2 - (X^2)^2\right)$$

\sim harmonic force with possibly time-dependent frequency.

typical scenario for sandwich wave:

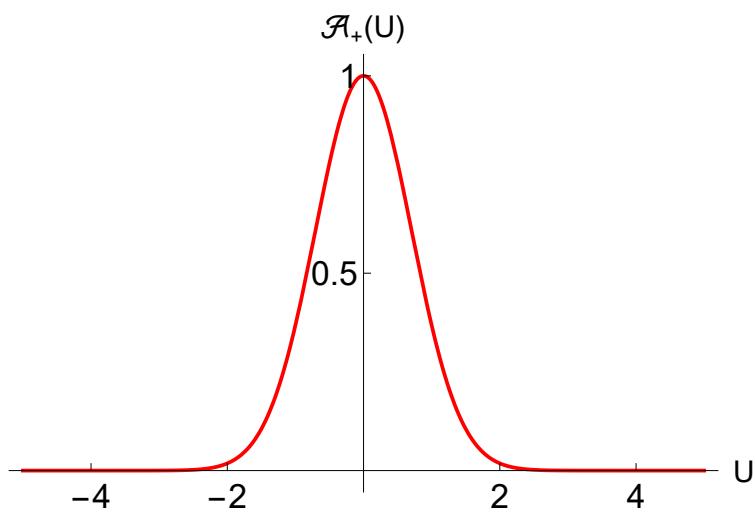


Particle at rest before the wave arrives follow, after the wave has passed, DIVERGING straight trajectories with non-zero constant velocity.

Examples Gibbons-Hawking'71

- Linearly polarized $\mathcal{A}_X = 0$ with Gaussian profile

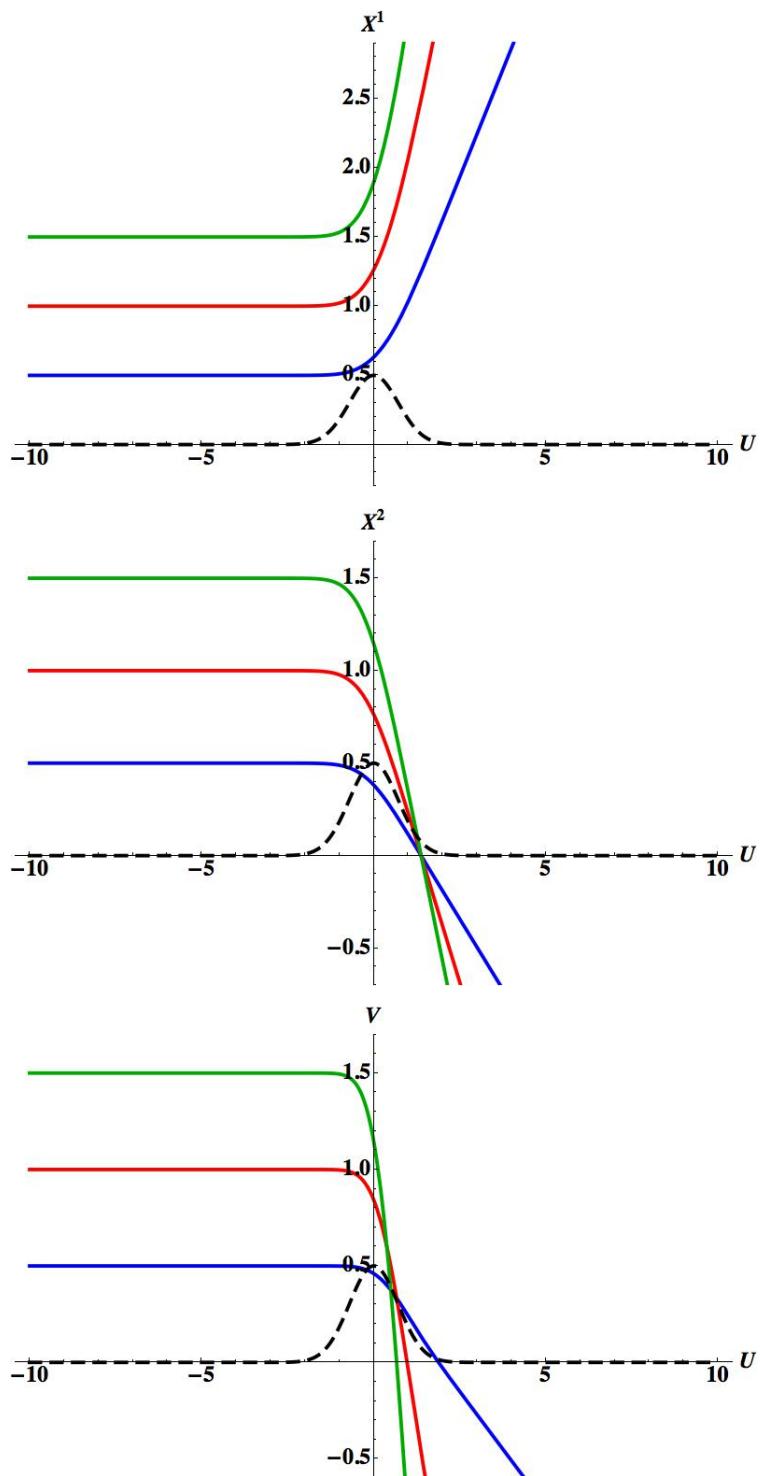
$$K_{ij}(U)X^iX^j = \frac{e^{-U^2}}{\sqrt{\pi}}((X^1)^2 - (X^2)^2). \quad (7)$$



Bargmann pic \sim anisotropic repulsive/attractive oscillator with time-dependent frequency

$$\omega^2(U) = \frac{e^{-U^2}}{\sqrt{\pi}}$$

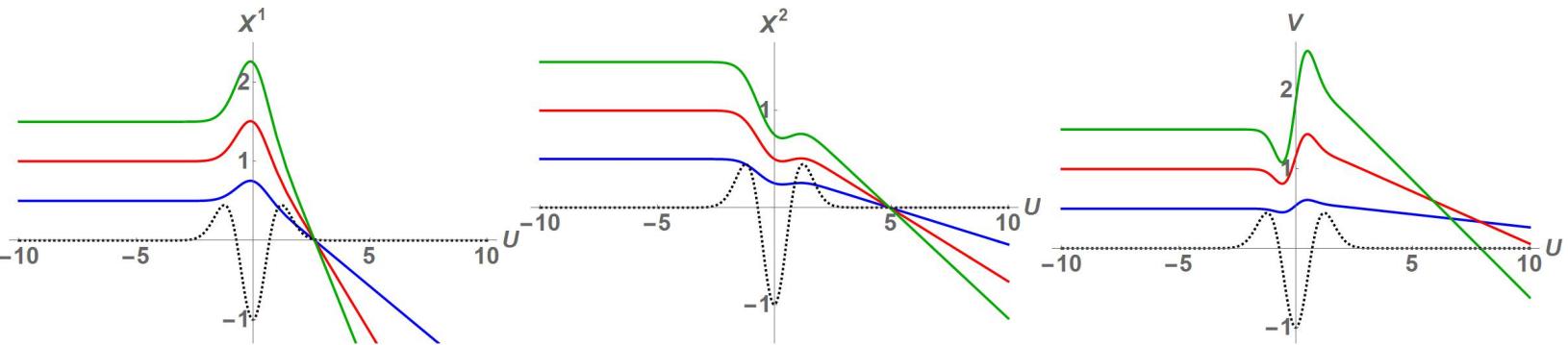
repulsive in X^1 attractive in $X^2 \rightsquigarrow$



Geodesics for Gaussian burst for blue/red/green positions in Beforezone.

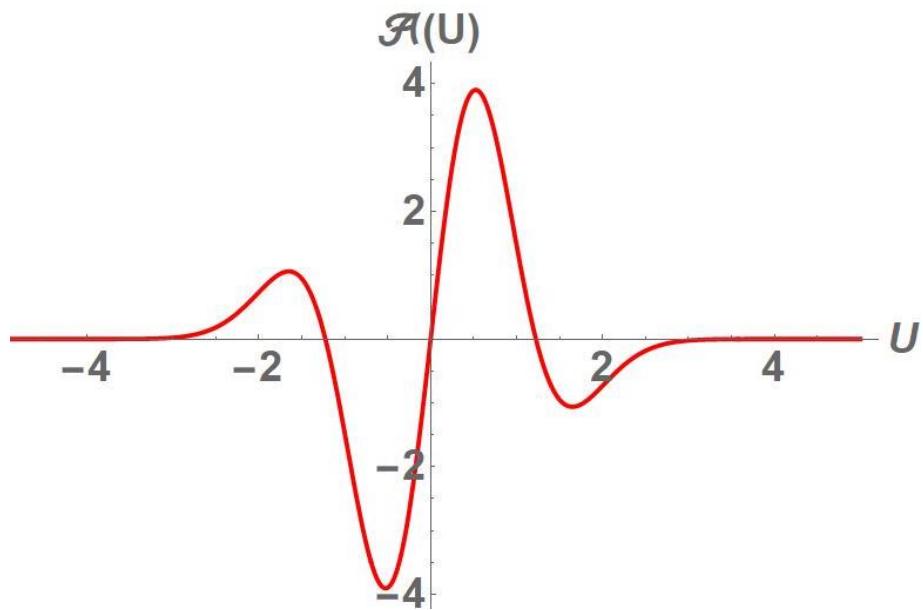
- Braginsky - Thorne system \sim 2nd derivative of Gaussian,

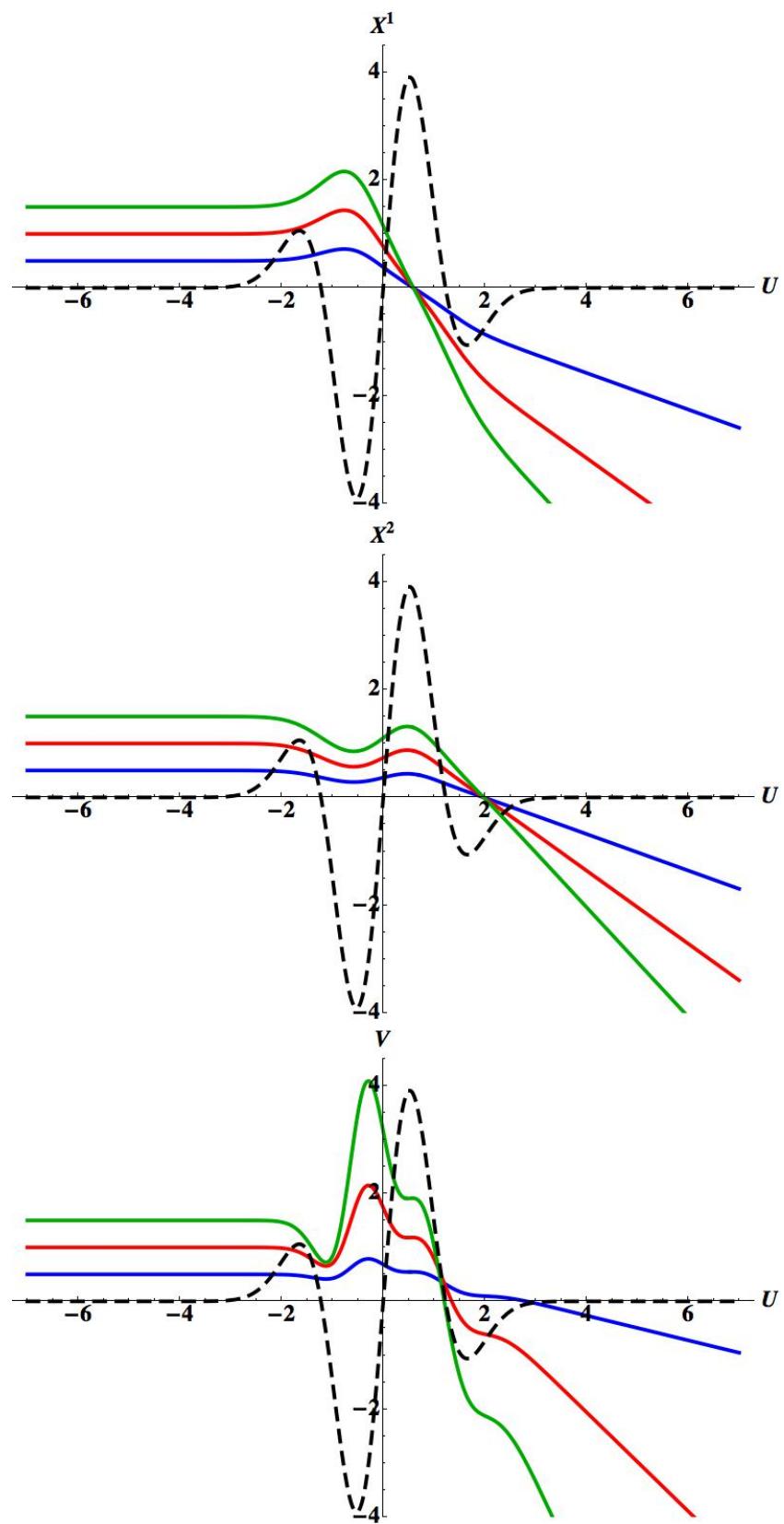
$$\mathcal{A}(U) = \frac{1}{2} \frac{d^2(e^{-U^2})}{dU^2}, \quad (8)$$



- “gravitational collapse” metric

$$\mathcal{A}(U) = \frac{1}{2} \frac{d^3(e^{-U^2})}{dU^3}. \quad (9)$$





Geodesics for particles initially at rest GW created by gravitational collapse, (9). VM: YES DM : NO

Isometries & Carroll

Souriau & Lévy-Leblond

Assumption: for large distances GW approximated with exact plane GW

H. Bondi, F. A. E. Pirani and I. Robinson, “*Gravitational waves in general relativity. 3. Exact plane waves,*” Proc. Roy. Soc. Lond. A **251** (1959) 519 :

plane GWs have 5-parameter group of isometry:
3 translations + 2 WHAT ?

Souriau “*Ondes et radiations gravitationnelles,*” Colloques Internationaux du CNRS No 220, pp. 243-256. Paris (1973): symmetry \rightsquigarrow integration of geodesic eqns.

C. Duval, et al. “*Carroll symmetry of gravitational plane waves,*” Class. Quant. Grav. **34** (2017) 175003 [[arXiv:1702.08284](https://arxiv.org/abs/1702.08284) [gr-qc]].

Duval et al “*Carroll versus Newton and Galilei: two dual non-Einsteinian concepts of time,*” Class. Quant. Grav. **31** (2014) 085016

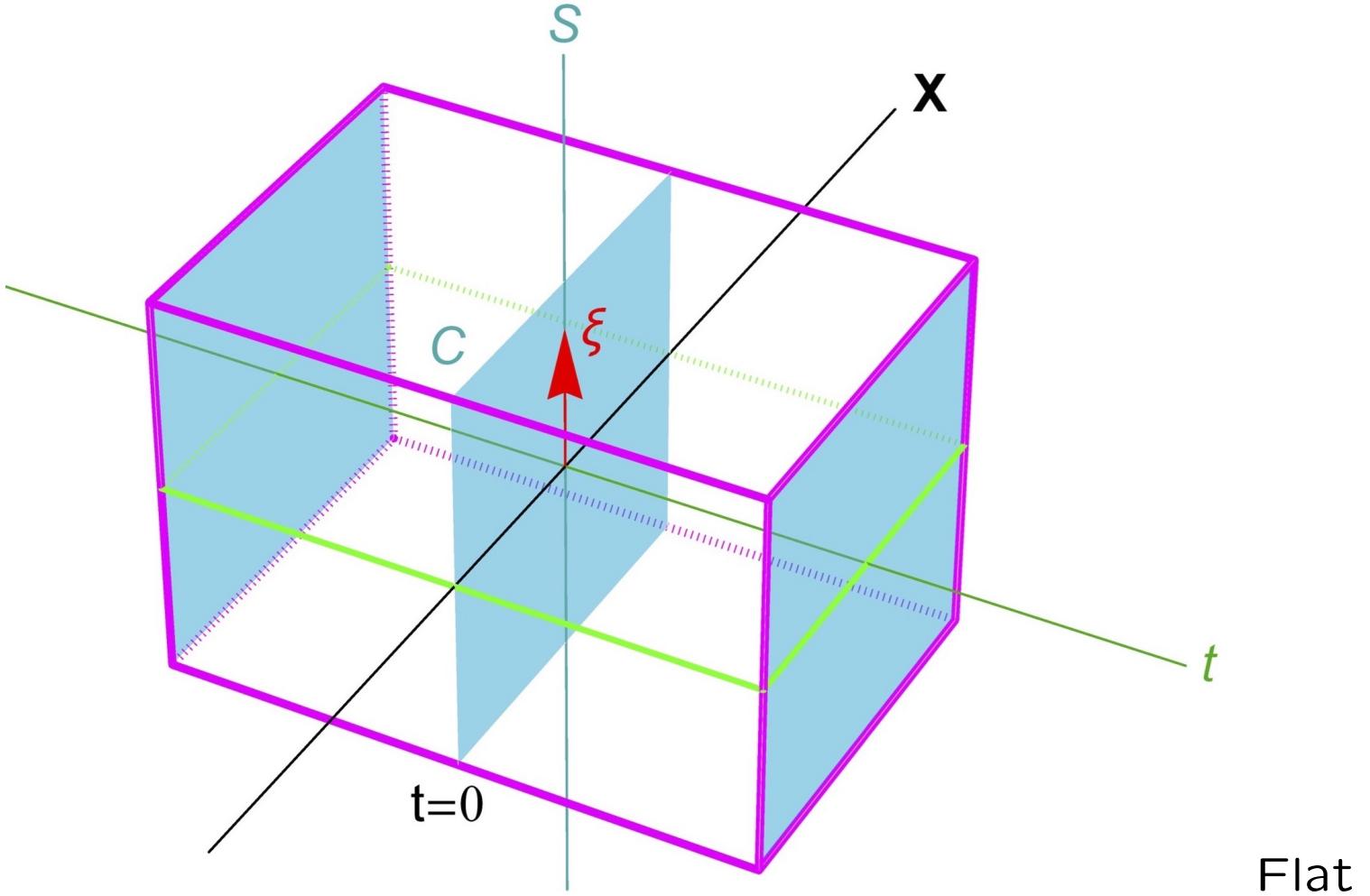
Carroll structure: (C, g, ξ, ∇) , where C $(d + 1)$ -dimensional manifold $\{s, x\}$, g twice-symmetric covariant, positive, tensor field, whose kernel is generated by the nowhere vanishing, complete vector field ξ . ∇ is symmetric affine connection that parallel-transports both g and ξ .

Carroll group: diffeomorphisms of C that preserve “metric” g , vector field ξ , & connection ∇ . Generated by vector fields X s.t.

$$L_X g = 0, \quad L_X \xi = 0, \quad L_X \nabla = 0. \quad (10)$$

C. Duval, G. W. Gibbons, and P.A.H “*Celestial Mechanics, Conformal Structures and Gravitational Waves,*” Phys. Rev. **D43**, 3907 (1991)

Restriction of Bargmann space $\{s, t, x\}$ to $U = U_0 = \text{const}$ slice is **Carroll** manifold.



Carroll structure $C^{d+1} = \mathbb{R} \times \mathbb{R}^d = \{s, x\}$

$$g = \delta_{AB} dx^A dx^B, \quad \xi = \frac{\partial}{\partial s}, \quad \Gamma_{ij}^k = 0 \quad (11)$$

More generally **Baldwin-Jeffery-Rosen** (BJR)

$$a_{ij}(u) dx^i dx^j + 2du dv. \quad (12)$$

$a(u) \equiv (a_{ij}(u))$ positive 2×2 matrix.

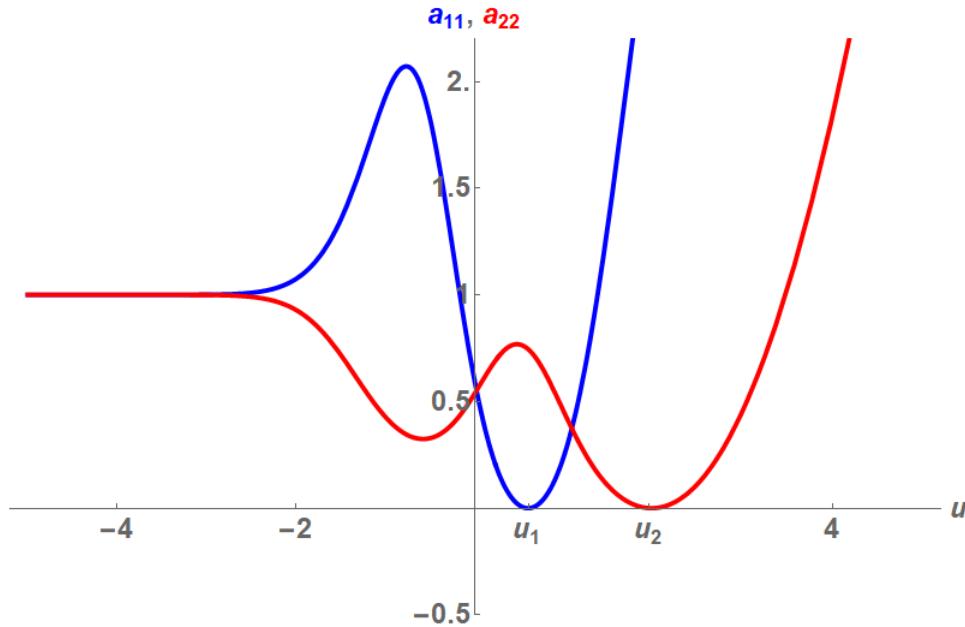
Isometries of plane GWs

J-M. Souriau 1973 Using BJR coordinates (x, u, v) metric takes form,

$$a_{ij}(u) dx^i dx^j + 2du dv \quad (13)$$

$a(u) \equiv (a_{ij}(u))$ positive 2×2 matrix.

Brinkmann/Bargmann transcription : “potential” $K_{ij} X^i X^j$ traded for (linearly polarized) transverse metric $a_{ij}(u)$.



BJR coords singular zeros correspond to the u_i where $\det(a) = 0$.

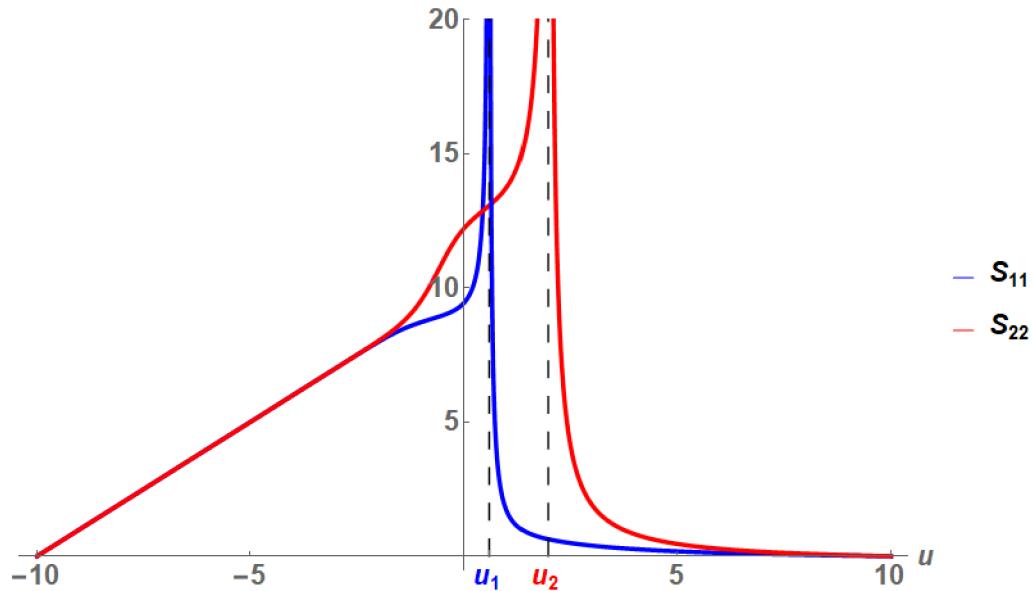
Souriau: in coordinate patch $u_1 < u < u_2$ **isometries** implemented on space-time $u \rightarrow u$

$$\begin{aligned} x &\rightarrow x + H(u) \mathbf{b} + \mathbf{c}, \\ v &\rightarrow v - \mathbf{b} \cdot x - \frac{1}{2} \mathbf{b} \cdot H(u) \mathbf{b} + \nu, \end{aligned} \quad (14)$$

Acts on $u = \text{const}$ slice. $H(u)$ is symmetric 2×2 Souriau matrix,

$$H(u) = \boxed{\int_{u_0}^u a(t)^{-1} dt} \quad u_1 < u_0 < u_1 \quad (15)$$

- $a = \text{Id}$ (Minkowski) $\Rightarrow H(u) = u - u_0 \Rightarrow$ Galilei.
- 3rd derivative \sim collapse profile (9)



Souriau matrix for collapse profile. In Beforezone, $H(u) \approx u \text{Id}$. In Afterzone $H(u)$ falls off rapidly.

Brinkmann \Leftrightarrow BJR transcription

Gibbons “Quantized Fields Propagating in Plane Wave Space-Times,” Commun. Math. Phys. **45** (1975) 191.

1. Starting with Brinkmann profile, first solve Sturm-Liouville eqn with supplementary condition,

$$\ddot{\mathbb{P}} = K\mathbb{P}, \quad \mathbb{P}^\dagger \dot{\mathbb{P}} = \dot{\mathbb{P}}^\dagger \mathbb{P} \quad (16)$$

for 2×2 matrix \mathbb{P} where $\dot{\{\cdot\}} = d/dU$.

2. BJR profile: symmetric 2×2 matrix

$$\mathfrak{a}(U) = \mathbb{P}^\dagger \mathbb{P} \quad (17)$$

3. Brinkmann \Leftrightarrow BJR coords, (X, U, V) , (x, u, v) related as

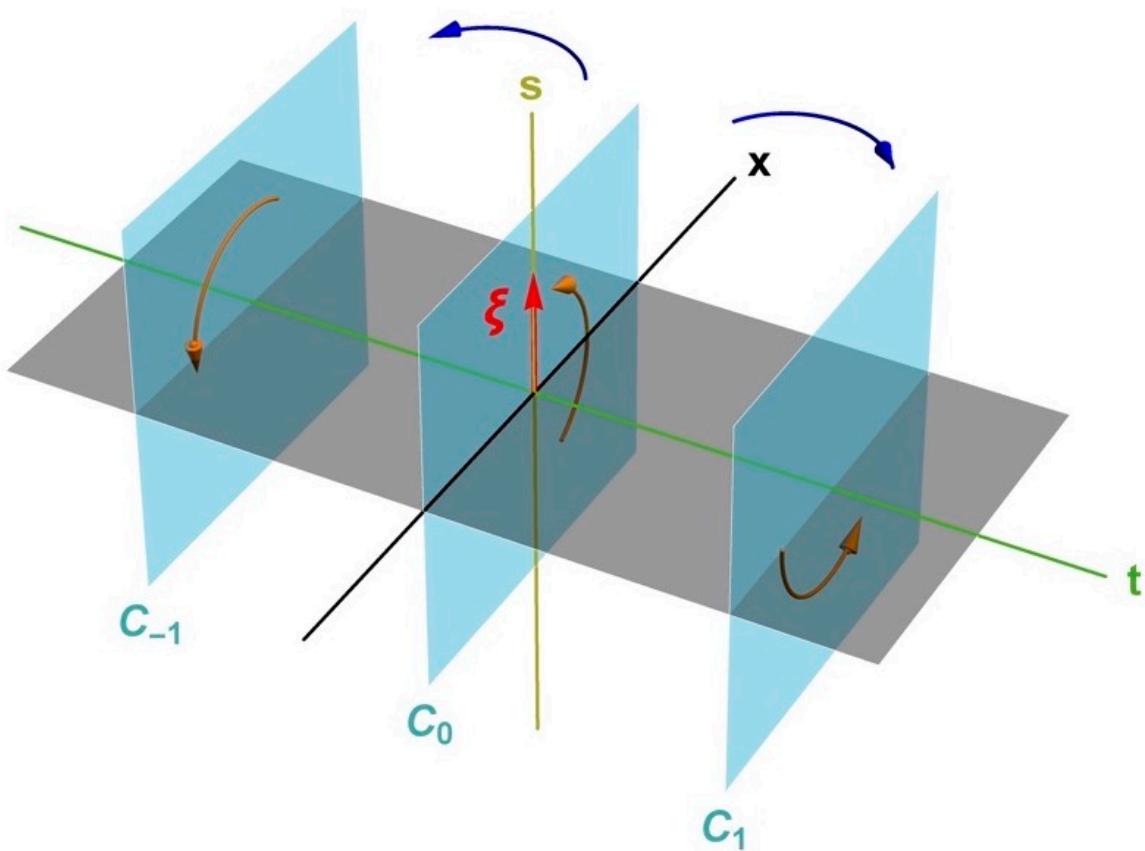
$$X = \mathbb{P}(u)x, \quad U = u, \quad V = v - \frac{1}{4}x \cdot \dot{\mathfrak{a}}(u)x. \quad (18)$$

CARROLL SYMMETRY

Surprise: restriction to $C|_{u=0} \Rightarrow H(u) = 0$ slice
 ↵ boost implemented by

$$\begin{cases} x' = x \\ v' = v - \mathbf{b} \cdot \mathbf{x} \end{cases} \quad (19)$$

≡ Lévy-Leblond's “Carroll” boost

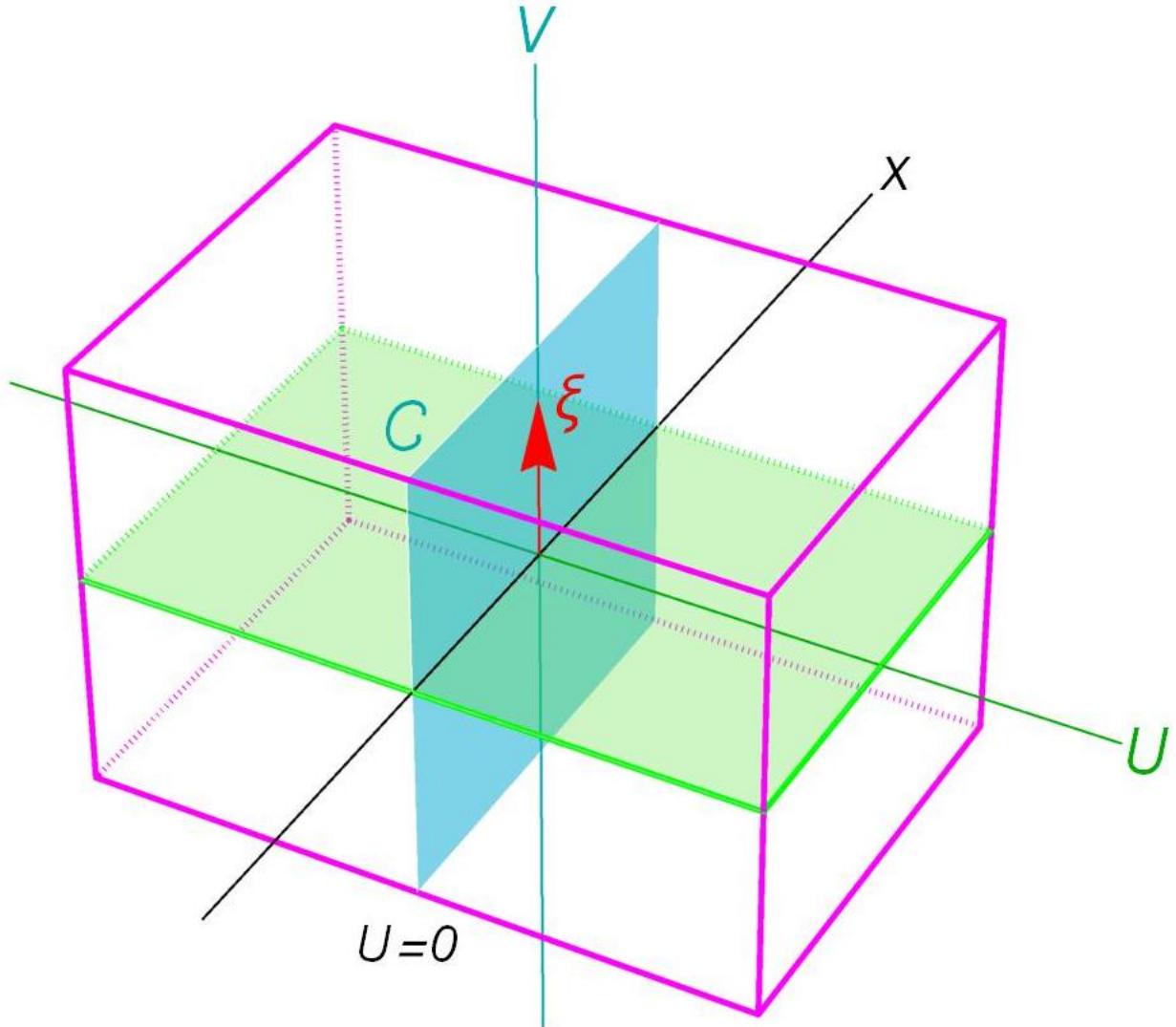


J.-M. Lévy-Leblond,

“Une nouvelle limite non-relativiste du group de Poincaré,”
 Ann. Inst. H Poincaré 3 (1965) 1

NB: Recognized by Duval in . . . 2017 . . .

Unified framework Duval et al “Carroll versus Newton and Galilei: two dual non-Einsteinian concepts of time,” Class. Quant. Grav. **31** (2014) 085016



Bargmann

Newton-Cartan \sim **non-relativistic** (U, X)

Carroll (X, V)

Geodesics from Carroll symmetry

Noether \Rightarrow 5 isometries \Rightarrow conserved quantities.
In BJR (from (14))

$$\mathbf{p} = a(u) \dot{\mathbf{x}}, \quad \mathbf{k} = \mathbf{x}(u) - H(u) \mathbf{p}, \quad (20)$$

interpreted as conserved linear & boost-momentum,
supplemented by $m = \dot{v} = 1$.

Extra const of motion (Jacobi invariant) $e = \frac{1}{2} g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu$ timelike/lightlike/ spacelike if e negative/zero/positive.

Conversely, geodesics determined by Noether quantities,

$$\mathbf{x}(u) = H(u) \mathbf{p} + \mathbf{k}, \quad (21a)$$

$$v(u) = -\frac{1}{2} \mathbf{p} \cdot [H(u)] \mathbf{p} + e u + d, \quad (21b)$$

Only quantity to calculate is Souriau matrix $[H(u)]$.

- In flat Minkowski $a = 1 \Rightarrow H(u) = u \mathbf{1}$, yields free motion

$$\mathbf{x}(u) = u \mathbf{p} + \mathbf{k}, \quad (22a)$$

$$v(u) = \left(-\frac{1}{2} |\mathbf{p}|^2 + e \right) u + v_0. \quad (22b)$$

usual boosts / usual motions.

Momentum of particle at rest vanishes by (20),
 $\mathbf{p} = 0$ for $u \leq u_B$ because of initial condition
 $\dot{\mathbf{x}}(u) = 0$. \mathbf{p} conserved \Rightarrow

$$\mathbf{p} = 0 \quad \text{for all } u \quad (23)$$

for any H i.e. for any metric a .

$$x(u) = x_0, \quad v(u) = e u + v_0. \quad (24)$$

In BJR particles initially at rest remain at rest during and after passage of wave !!

In Brinkmann coords both GWs and geodesics are global with no singularity. Solving SL eqns (16) [e.g. numerically] for P ,

$$X(U) = P(u) x^0, \quad x^0 = \text{const} \quad (25)$$

Complicated trajectory comes from $P(u)$!!!

Displacement effect ??

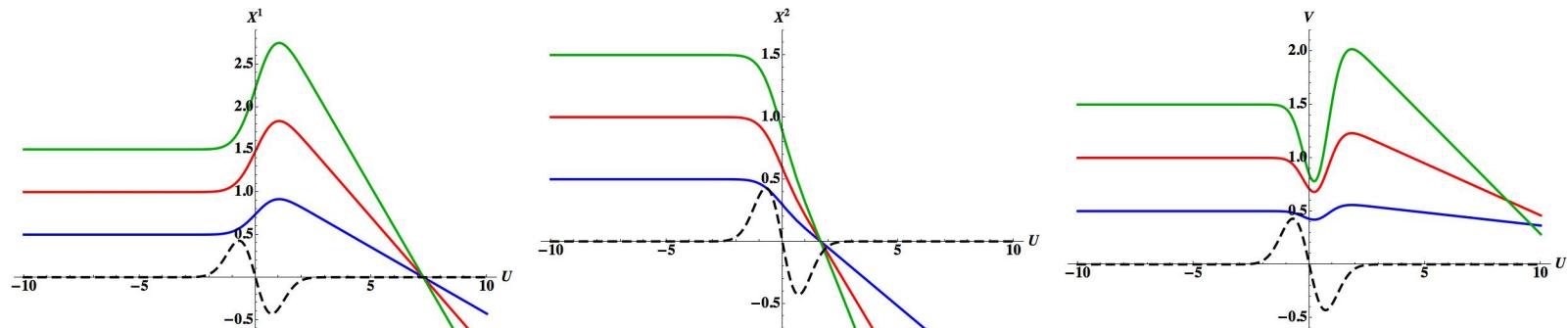
Zel'dovich-Polnarev : pure displacement for **flyby**

(give no proof)

Gibbons-Hawking : flyby profile proportional to *first derivative* of a Gaussian,

$$\mathcal{A}(U) = \frac{1}{2} \frac{d(e^{-U^2})}{dU}. \quad (26)$$

Geodesics



Geodesics for flyby profile (26). **velocity effect**

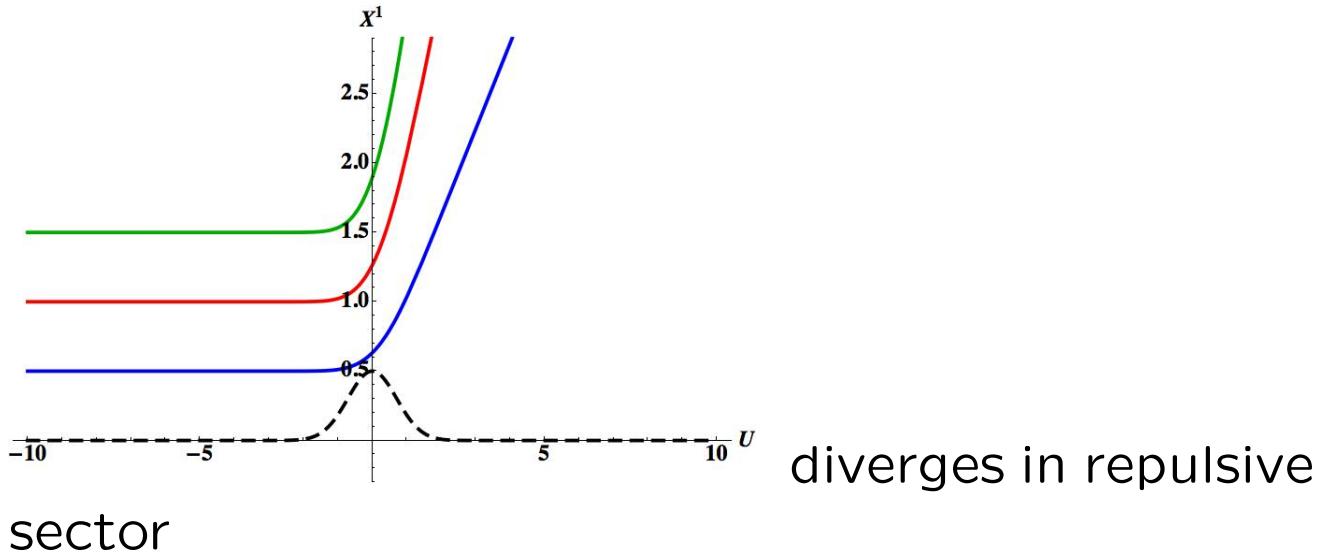
pure displacement ???

Miracle ! (numerical results) for specific choices of parameters:

toy example: $d=0$ Gaussian burst

$$A_0(U) = k \left(\frac{\lambda}{\sqrt{\pi}} e^{-\lambda^2 U^2} \right) \quad (27)$$

k and λ control height & width. Remember:



1/2 DM effect : Strategy: eliminate unbounded motion in repulsive sector by putting $X^1 = 0$ (consistent with eqn. (6a))

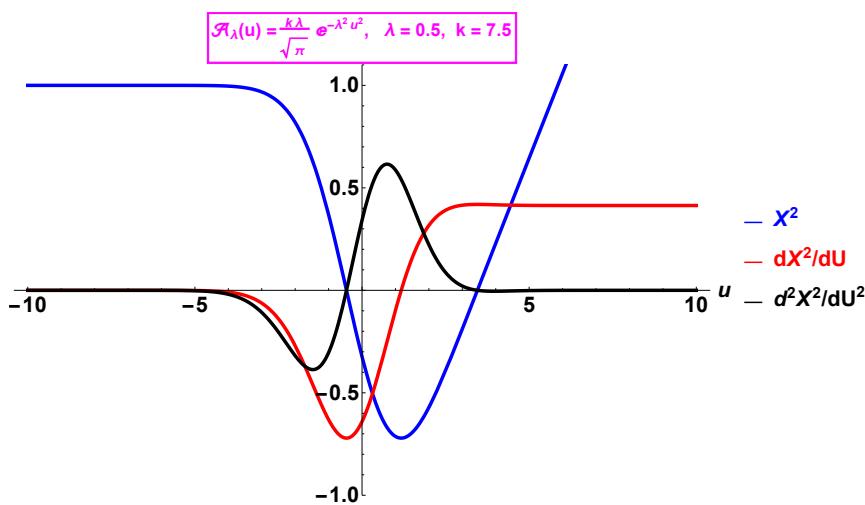
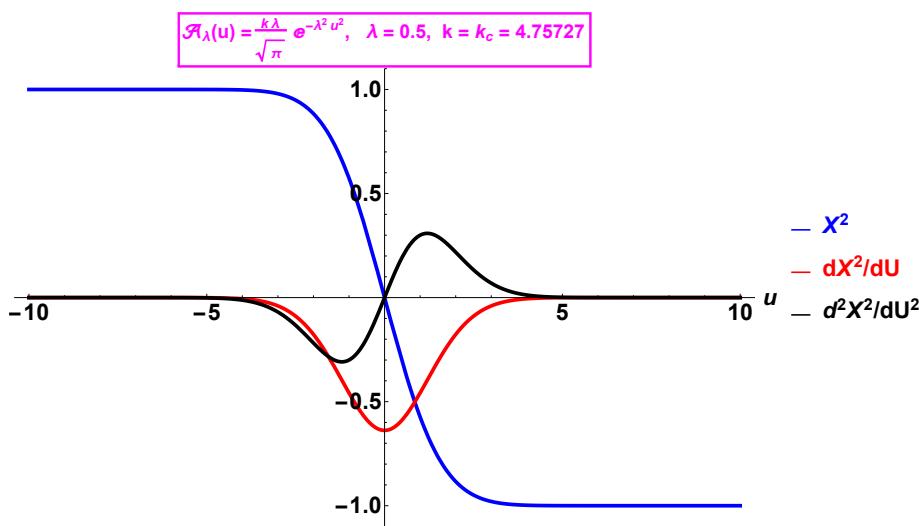
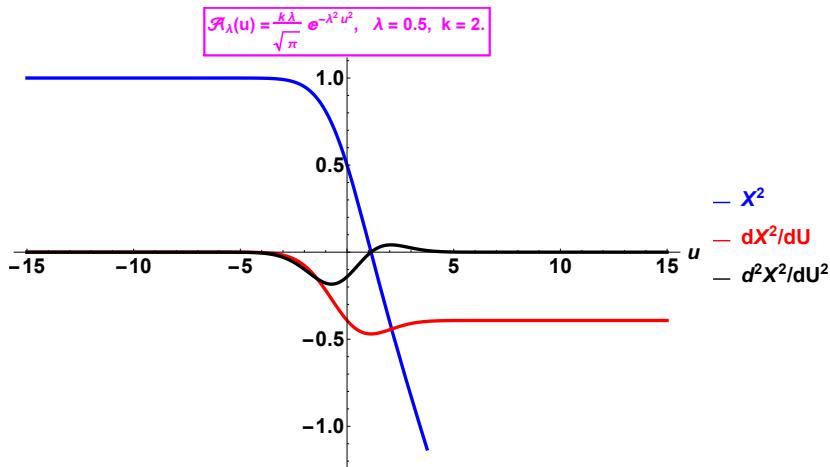
$$\frac{d^2 X^1}{dU^2} - \frac{1}{2} \mathcal{A} X^1 = 0,$$

and “flatten” $X^2 \rightarrow \text{const}$ by fine-tuning. \rightsquigarrow
“jump solution”

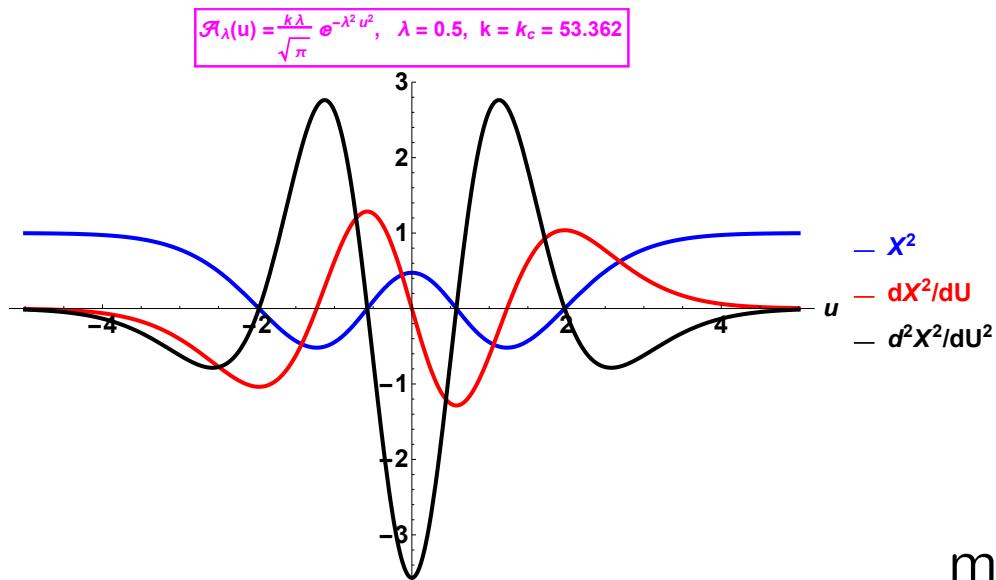
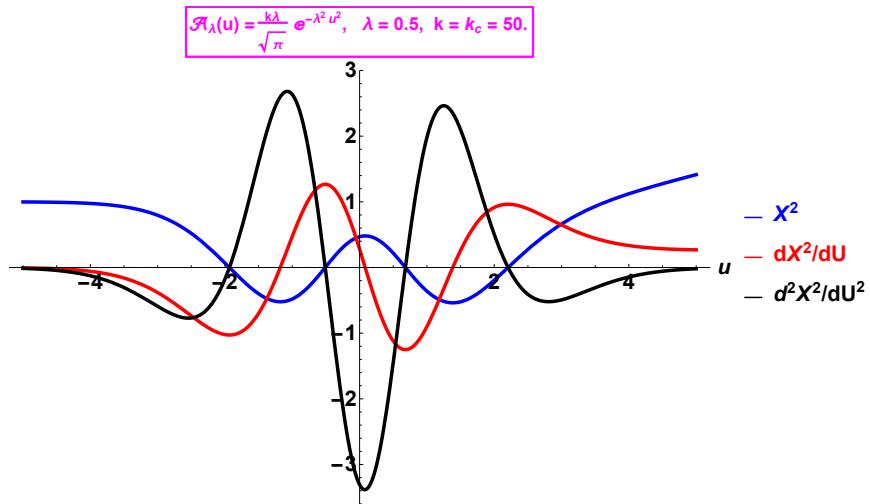
Trajectories \sim “damped sin/cos”. For large distance the motion (approx) along straight lines. Can they be made horizontal ?

Velocities & forces ($k \approx k_{crit} = 4.75727$, $X_1 \equiv 0$)

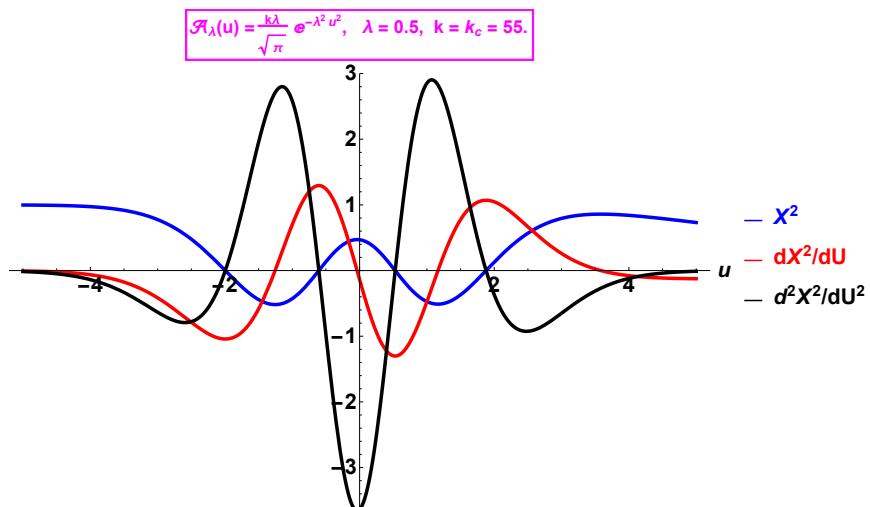
X^2 : trajectory , $\frac{dX^2}{dU}$: velocity , $\frac{d^2X^2}{dU^2}$: force .



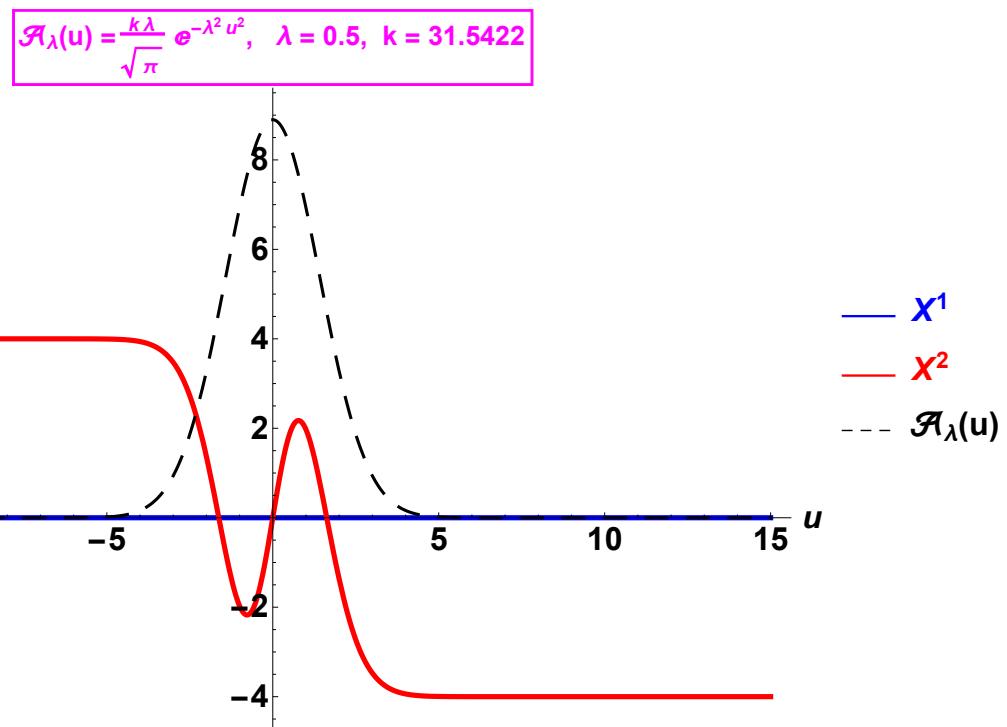
$$(k \approx k_{crit} = 53.362, X_1 \equiv 0)$$



$m=4$



- 2-jumps $k = 30.6602\lambda$ [for $\lambda = 1$, $k \approx 10\pi$]
- 3-jumps $k = 63.0843\lambda$ [for $\lambda = 1$, $k \approx 20\pi$]



1/2 DM effect

Square profile

Toy model in $D = 1$ dim: Sturm-Liouville eqn
 (6a) - (6b)

$$\frac{d^2Y}{dU^2} + \frac{1}{2}\mathcal{A}Y = 0 \quad (28)$$

for small λ limit of Gaussian

$$\mathcal{A}(U) = \begin{cases} 0, & U < -a \\ 2h^2, & -a < U < a \\ 0, & U > a \end{cases} \quad (29)$$

Localized in $(-a, a)$, height $2h^2$. Outside wave zone

$$\frac{d^2Y}{dU^2} = 0 \quad (30)$$

Initial condition (before zone)

$$Y(U < -a) = Y_0. \quad (31)$$

General solution in Afterzone $U > a$:

$$Y(U > a) = y_1 + y_2U \quad (32)$$

where $y_2 = 0$ means **DM** and $y_2 \neq 0$ means **VM**.

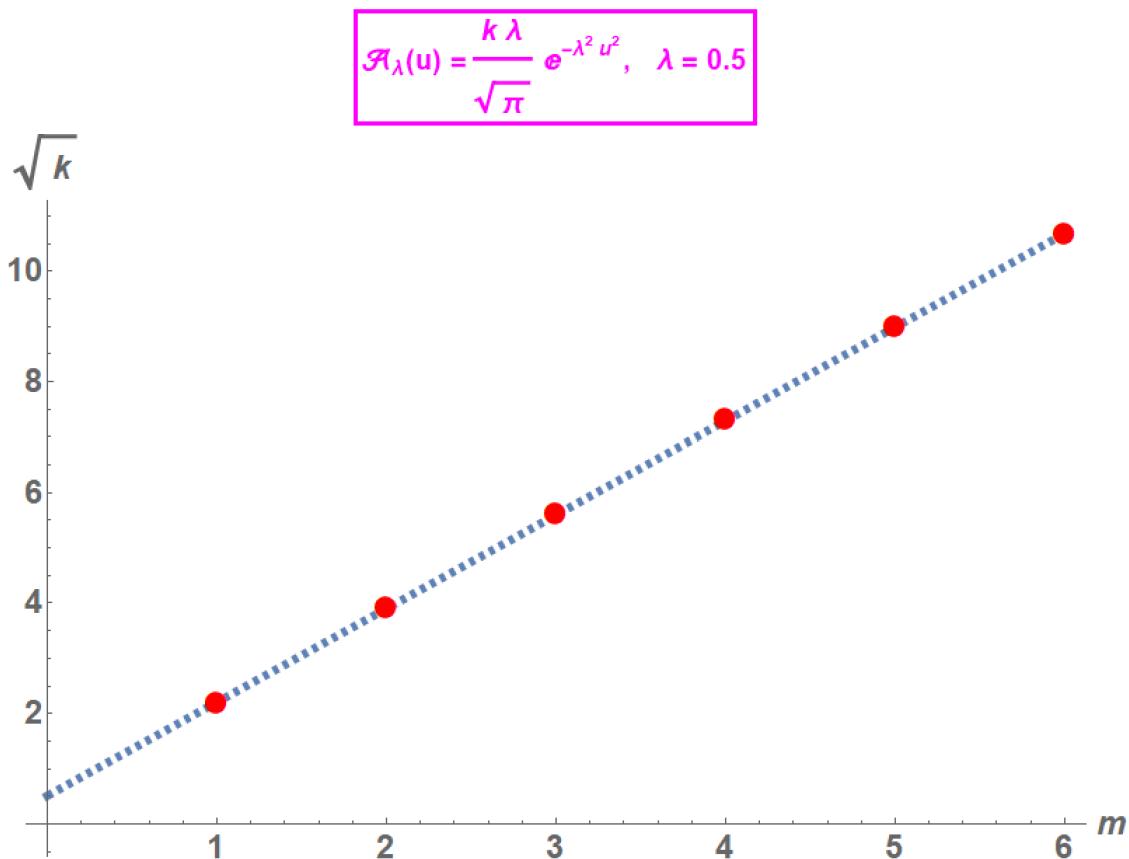
In wave zone $\frac{d^2Y}{dU^2} + h^2Y = 0$ oscillator \Rightarrow

$$Y(U) = Y_0 \cosh(U + a) \quad (33)$$

$$\frac{dY}{dU} \Big|_{U=a} \Rightarrow -Y_0 h \sin 2ha = 0 \Rightarrow$$

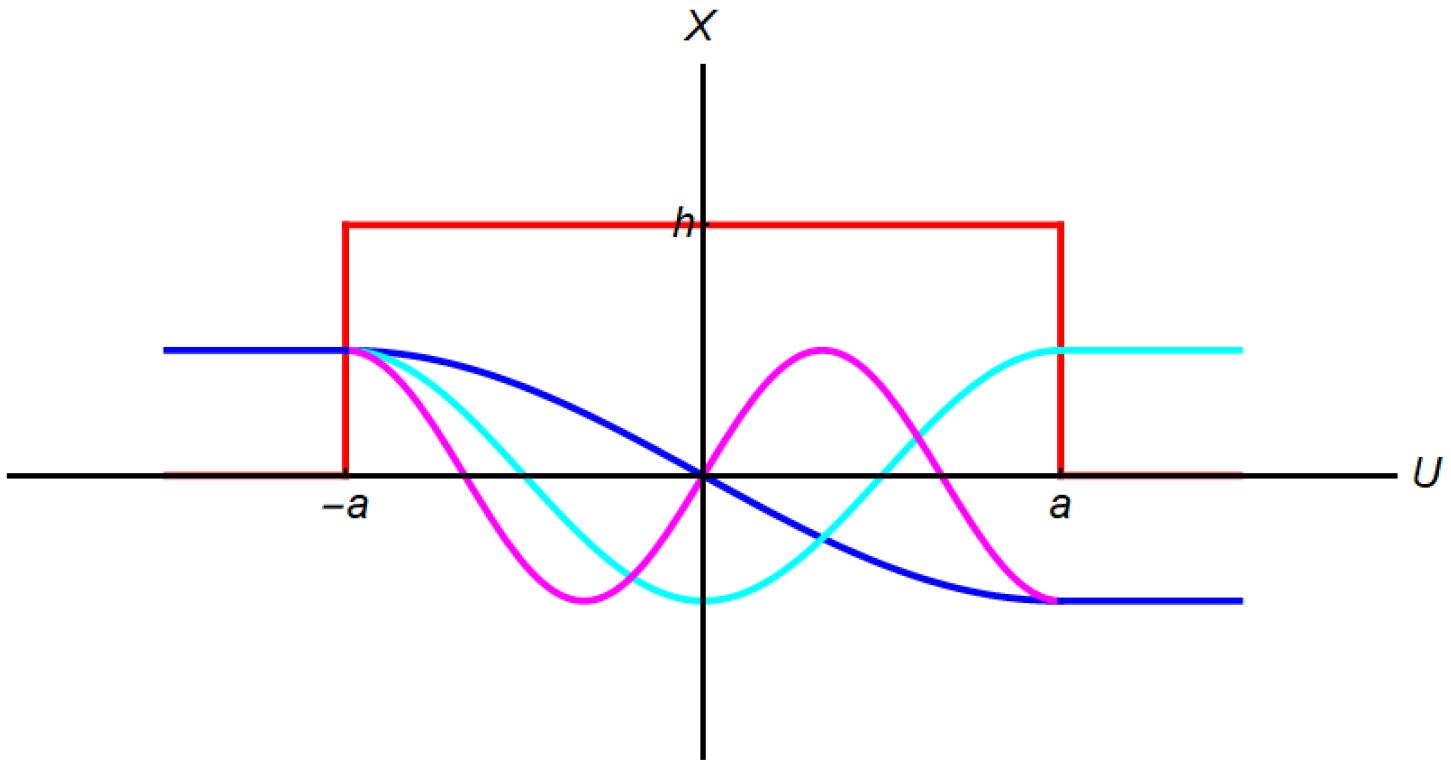
$$2ah = \pi m, \quad m = 1, 2, \dots \quad (34)$$

Get **DM** if standing wave



k : height, m : number of $1/2$ waves.

of waves determined by # of enclosed zeros
in $[-a, a]$.

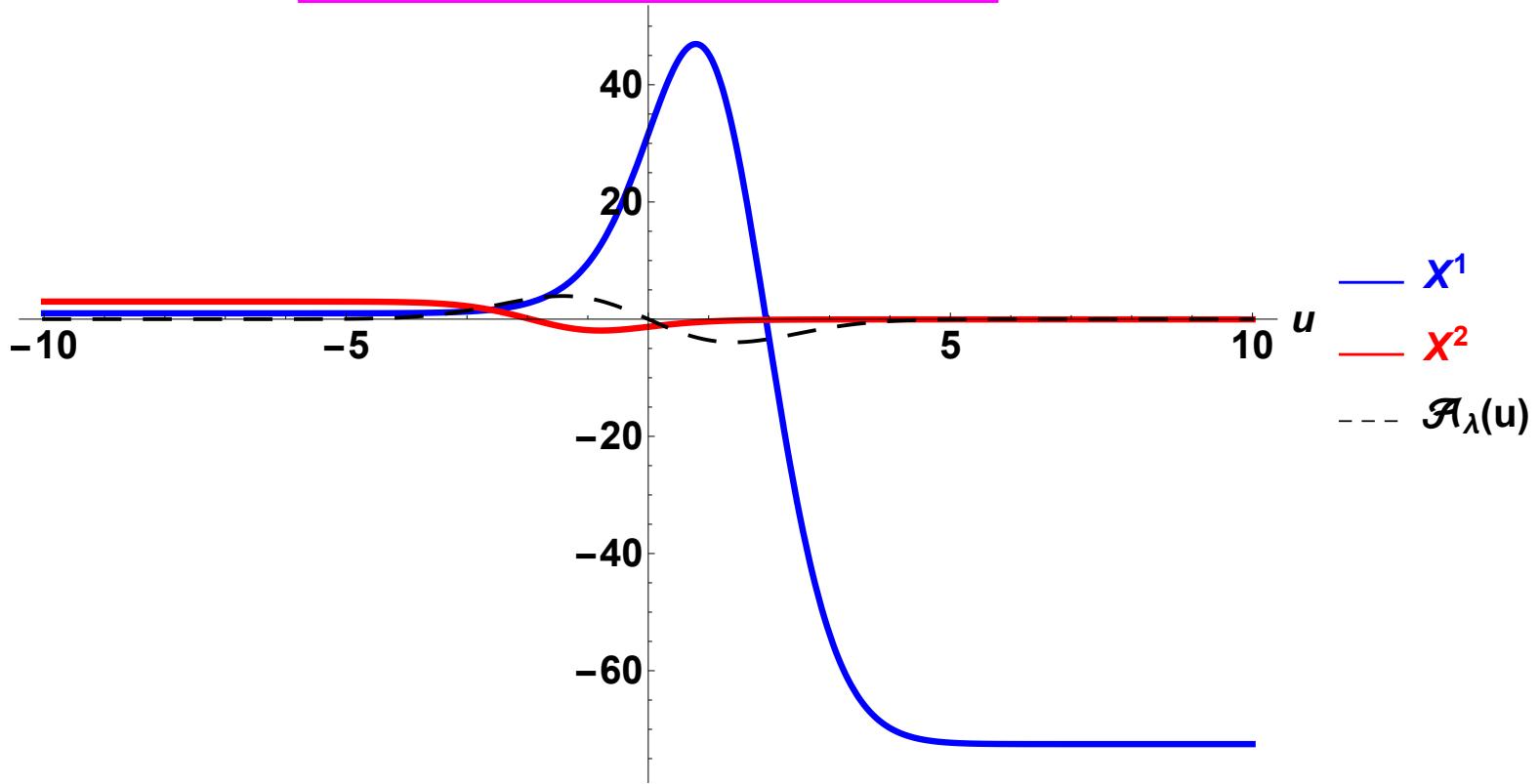


$$m = 1, m = 2, m = 3$$

d=1 flyby (Zeldovich - Polnarev):

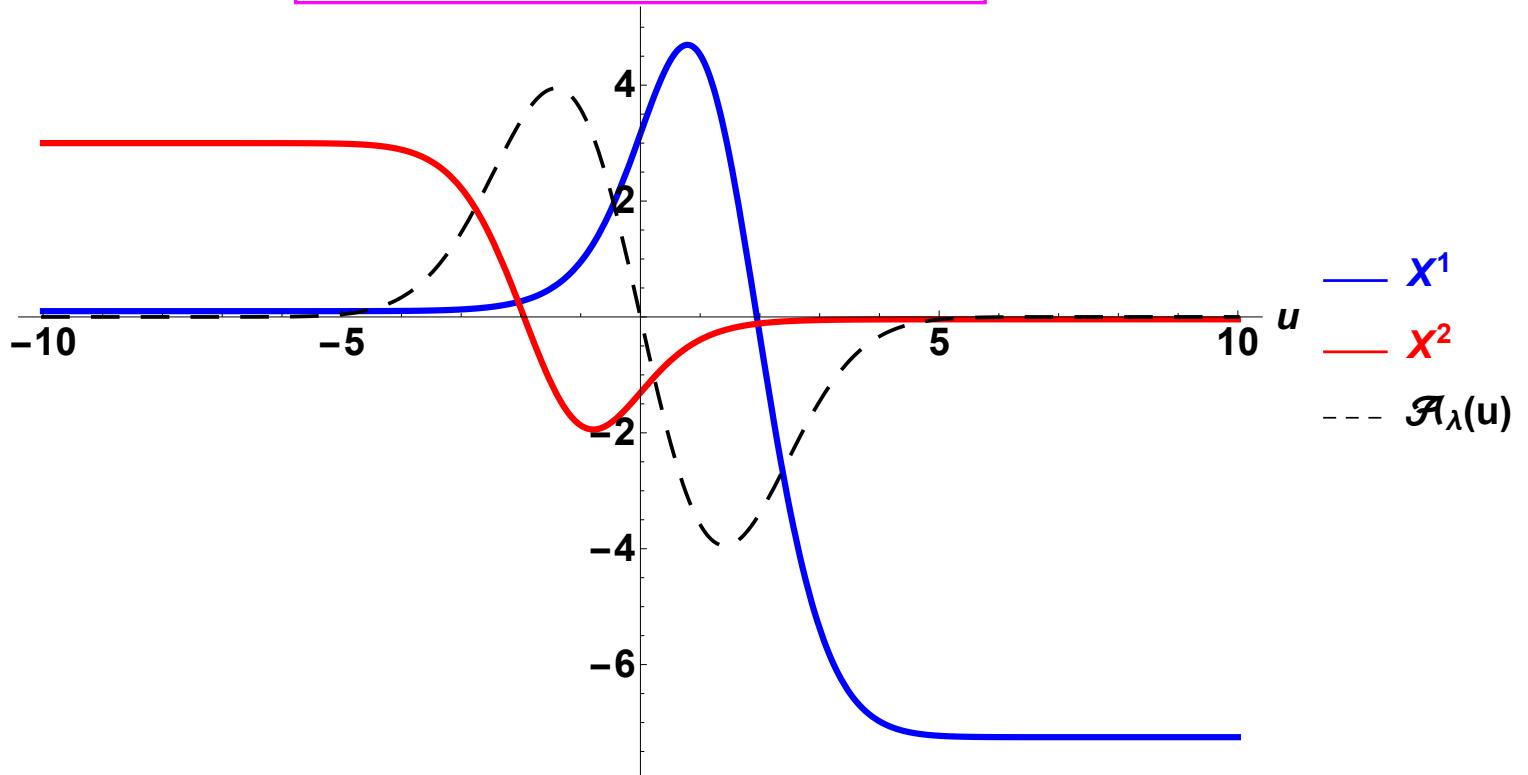
$$A_1(U) = \frac{d}{dU} \left(k \frac{\lambda}{\sqrt{\pi}} e^{-\lambda^2 U^2} \right) \quad (35)$$

$$\mathcal{A}_\lambda(u) = \frac{d}{du} \left(\frac{k\lambda}{\sqrt{\pi}} e^{-\lambda^2 u^2} \right), \quad \lambda = 0.5, \quad k = 32.6174$$

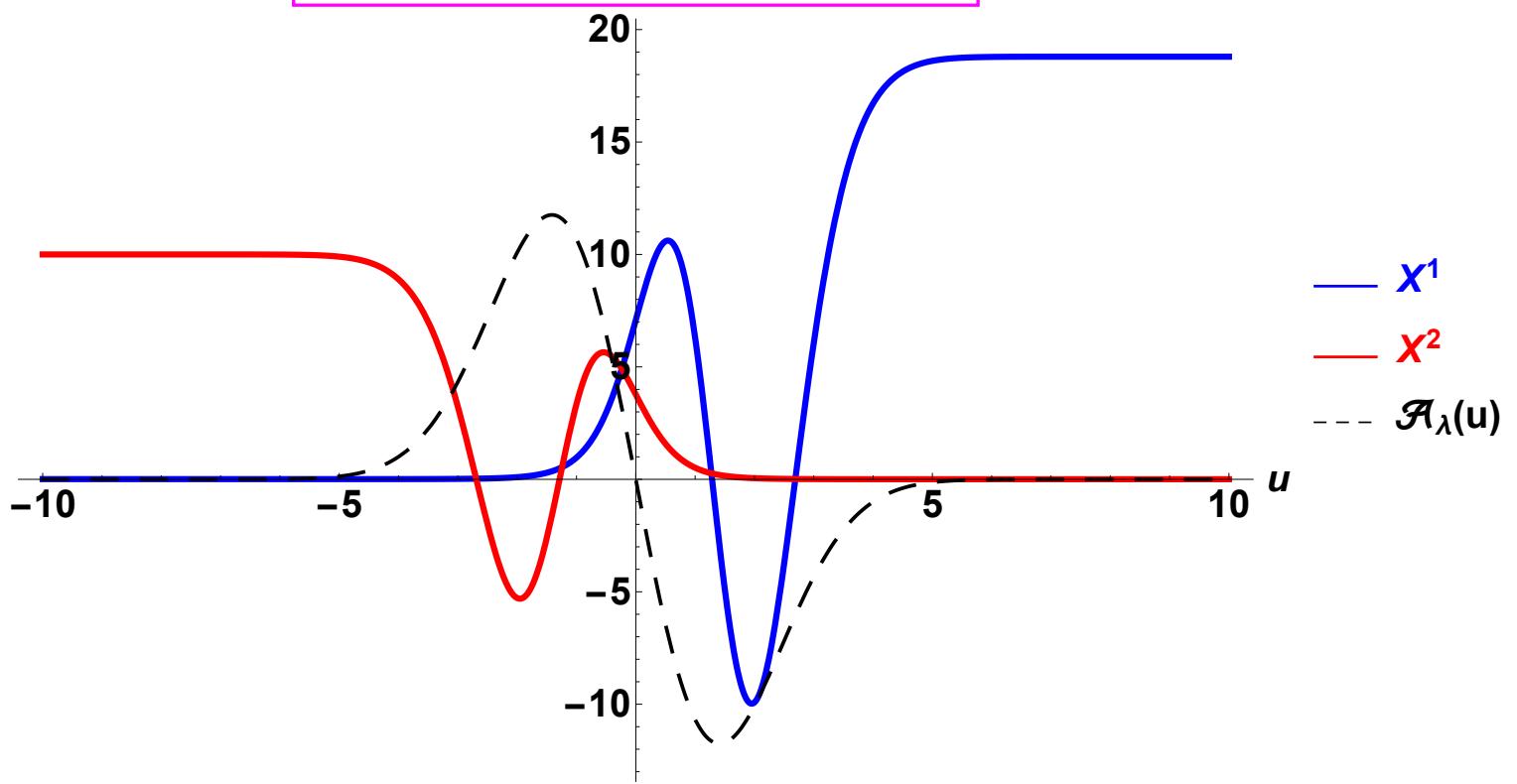


DM effect in both components

$$\mathcal{A}_\lambda(u) = \frac{d}{du} \left(\frac{k\lambda}{\sqrt{\pi}} e^{-\lambda^2 u^2} \right), \quad \lambda = 0.5, \quad k = 32.6174$$



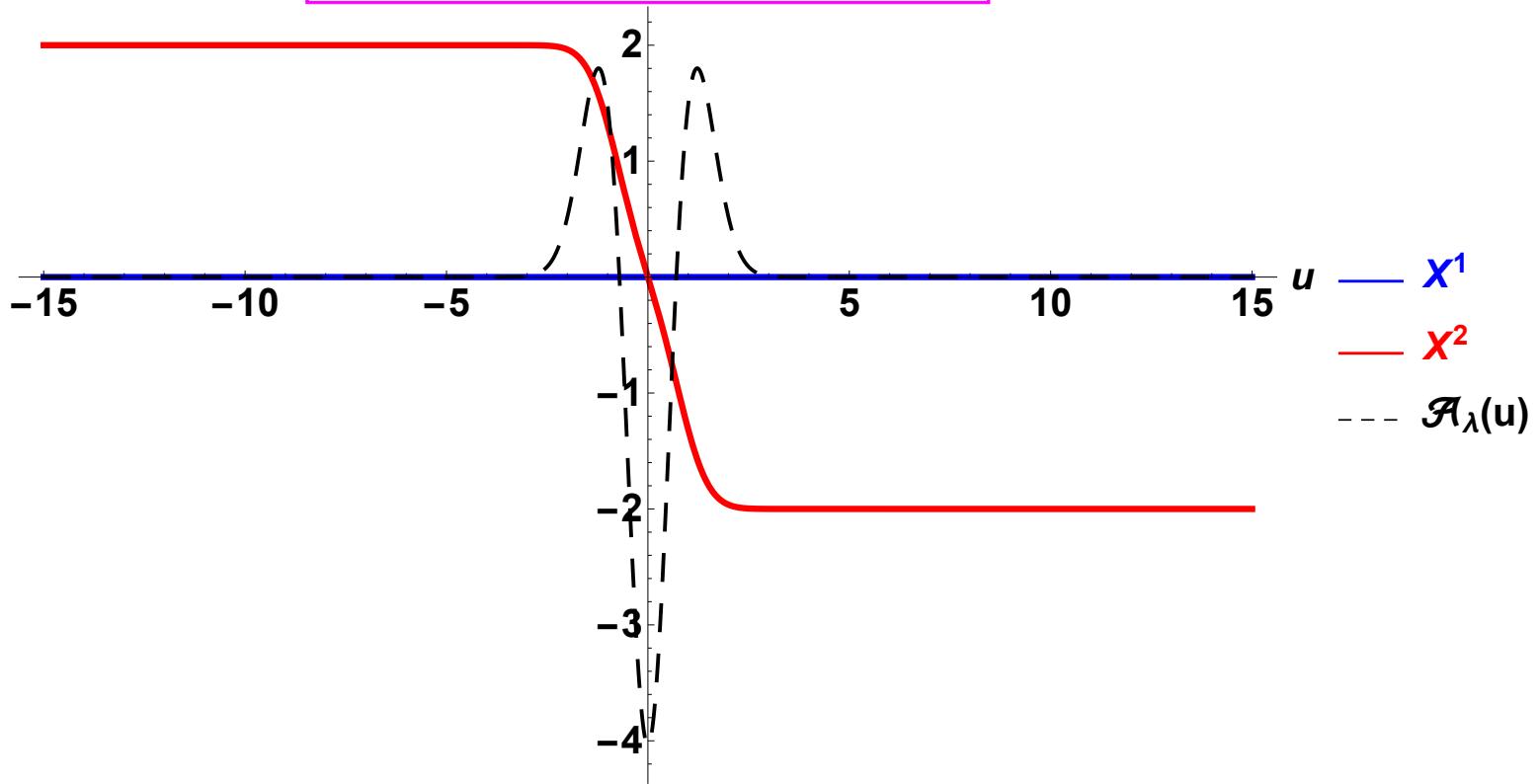
$$\mathcal{A}_\lambda(u) = \frac{d}{du} \left(\frac{k\lambda}{\sqrt{\pi}} e^{-\lambda^2 u^2} \right), \quad \lambda = 0.5, \quad k = 97.1823$$



d=2 Braginsky-Thorne (1987)

$$\mathcal{A}(U) = \frac{1}{2} \frac{d^2(e^{-U^2})}{dU^2}, \quad (36)$$

$$\mathcal{A}_\lambda(u) = \frac{d^2}{du^2} \left(\frac{k\lambda}{\sqrt{\pi}} e^{-\lambda^2 u^2} \right), \quad \lambda = 1., \quad k = 3.57745$$



1/2 DM effect

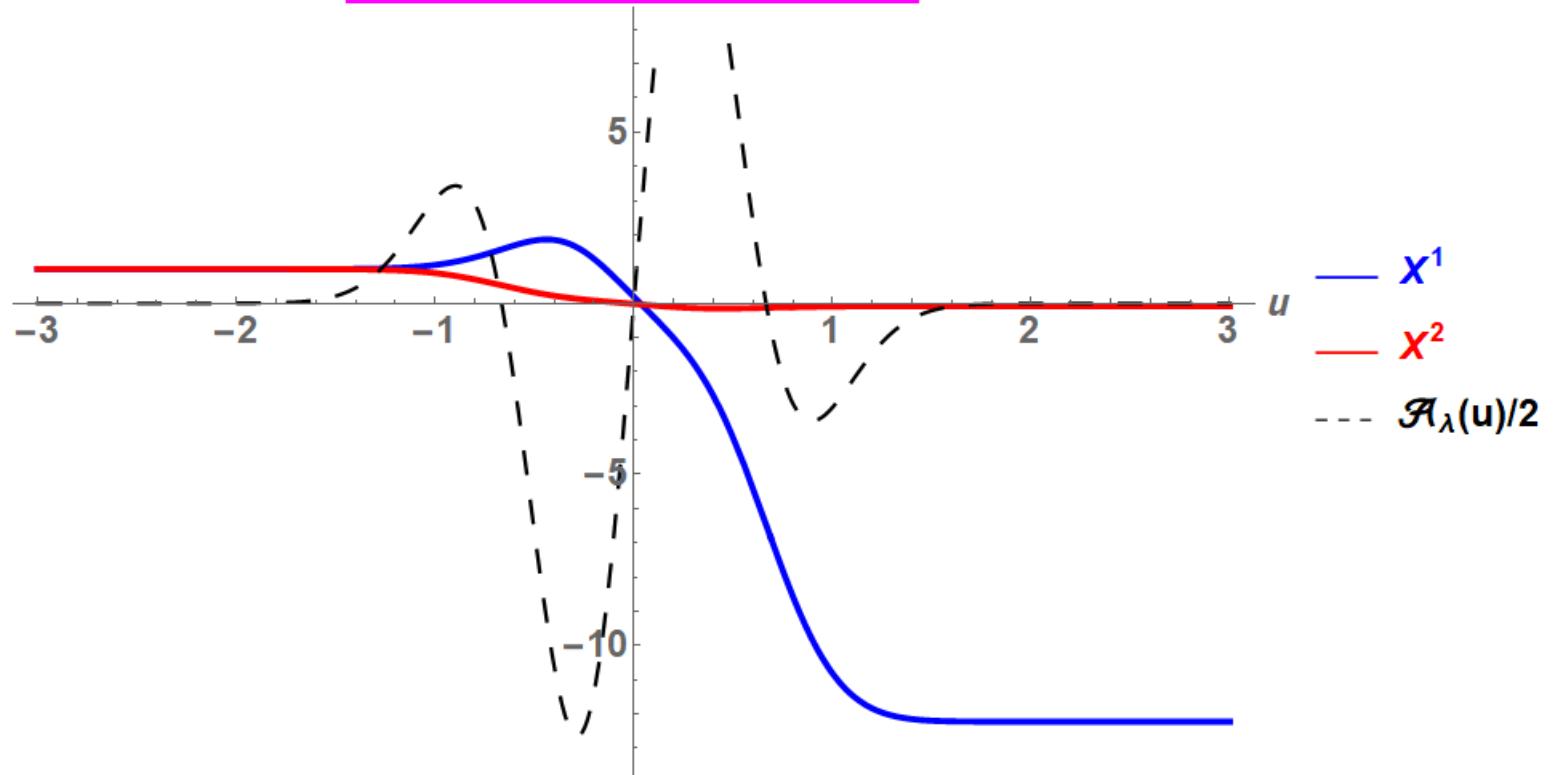
d=3 gravitational collapse

Gibbons-Hawking ~

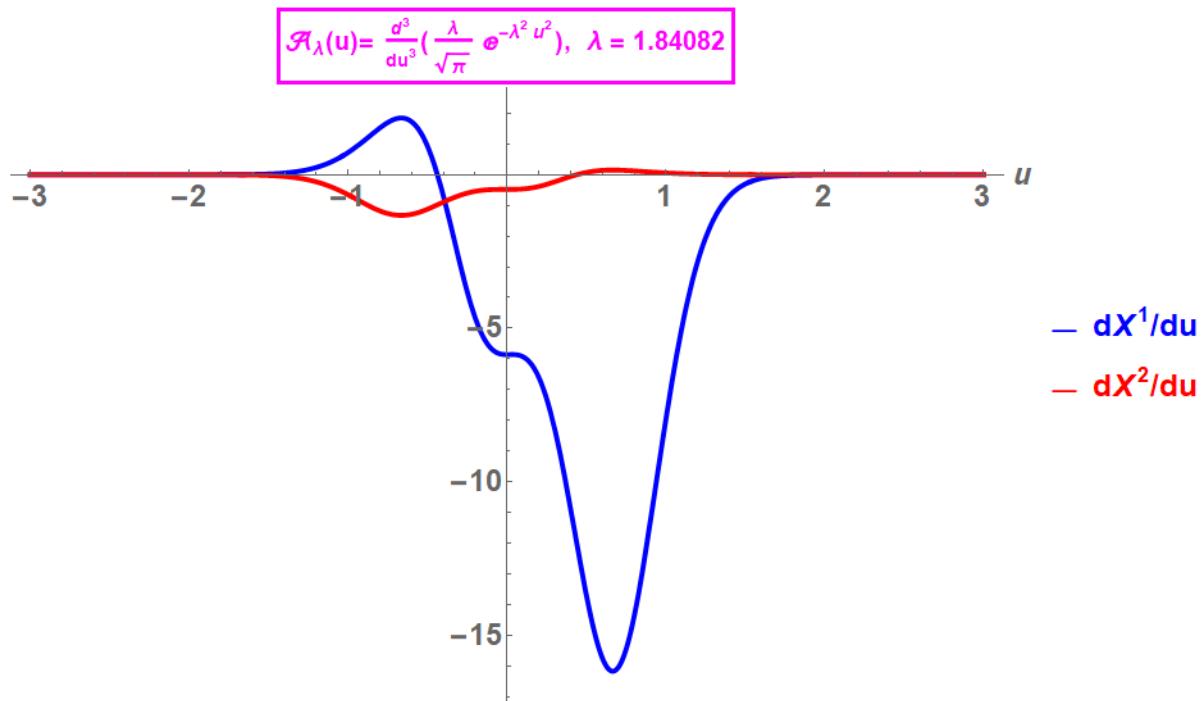
$$\mathcal{A}(U) = \frac{1}{2} \frac{d^3(e^{-U^2})}{dU^3}. \quad (37)$$



$$\mathcal{A}_\lambda(u) = \frac{d^3}{du^3} \left(\frac{\lambda}{\sqrt{\pi}} e^{-\lambda^2 u^2} \right), \quad \lambda = 1.84082$$



velocity:



DM effect in both components

Rule: $d = 0, 2, 4 \dots$ even # of derivations :

$$\mathcal{A}(-U) = \mathcal{A}(U) \Rightarrow$$

one component diverges exponentially \Rightarrow should be killed.

$d = 1, 3 \dots$ odd # of derivations :

$$\mathcal{A}(-U) = -\mathcal{A}(U) \Rightarrow$$

attractive and repulsive components can combine. Velocity of one component $\equiv 0$ other can be fine-tuned to ≈ 0 exponentially.

CONCLUSION: DM possible for

exceptional values of parameters.

~ to integerer # of standing waves

in wave zone