

# **Quantum Chromodynamics and the Quark Model**

**Particles & Plasmas Symposium**

**Budapest**

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- ❖ A few historical remarks
  - Quarks (1964) and partons ( $\gtrsim$  1968)
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- ❖ Hadron spectroscopy
- ❖ Hadron reactions
  - Electromagnetic, weak, gravitational, strong
- ❖ Resonance Description
- ❖ Future attempts

# 1964: Quarks

Volume 8, number 3

PHYSICS LETTERS

1 February 1964

## A SCHEMATIC MODEL OF BARYONS AND MESONS \*

M. GELL-MANN

*California Institute of Technology, Pasadena, California*

Received 4 January 1964

Introduced **SU(3)** triplets with fractional charges as fundamental objects: **Quarks**



Murray Gell-Mann,  
1929 – 2019

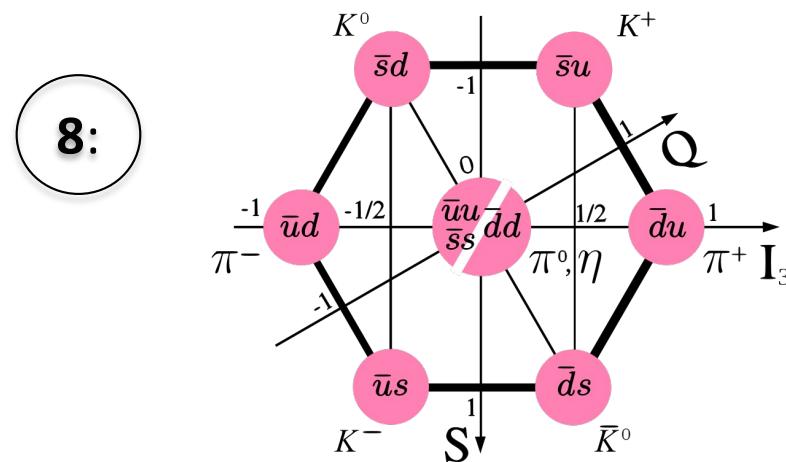
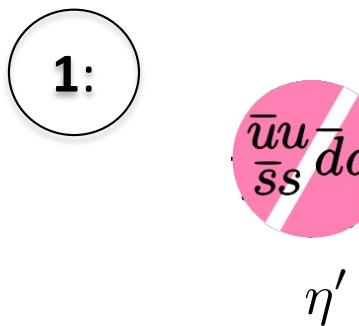
We then refer to the members  $u^{\frac{2}{3}}$ ,  $d^{-\frac{1}{3}}$ , and  $s^{-\frac{1}{3}}$  of the triplet as "quarks" 6) q and the members of the anti-triplet as anti-quarks  $\bar{q}$ . Baryons can now be constructed from quarks by using the combinations  $(qqq)$ ,  $(qqq\bar{q}\bar{q})$ , etc., while mesons are made out of  $(q\bar{q})$ ,  $(q\bar{q}\bar{q}\bar{q})$ , etc. It is assuming that the lowest baryon configuration  $(qqq)$  gives just the representations **1**, **8**, and **10** that have been observed, while the lowest meson configuration  $(q\bar{q})$  similarly gives just **1** and **8**.

6) James Joyce, *Finnegan's Wake* (Viking Press, New York, 1939) p.383.

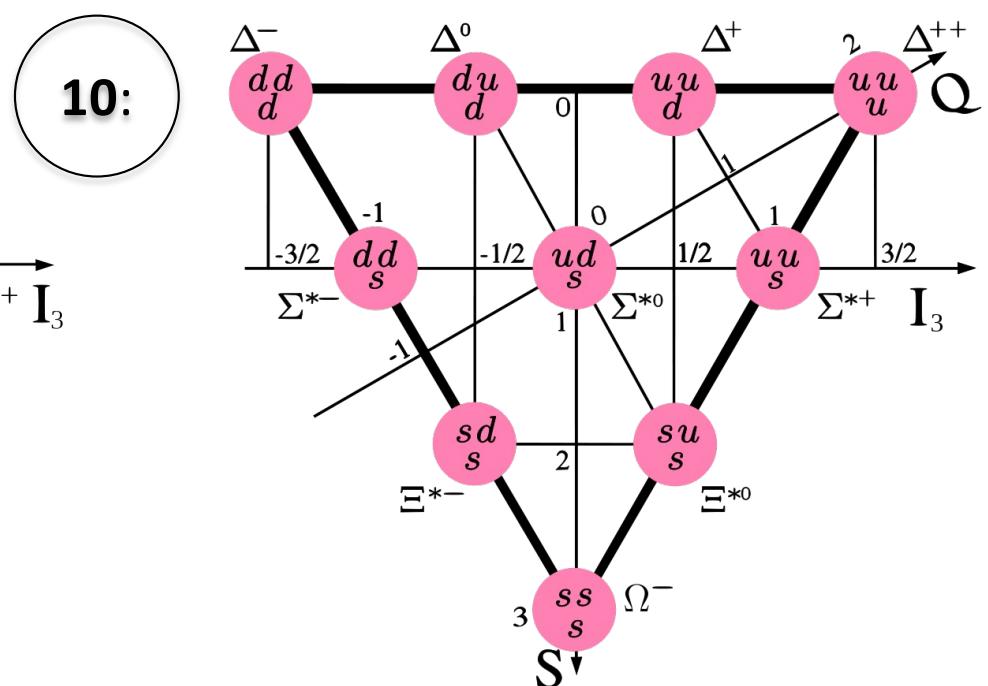
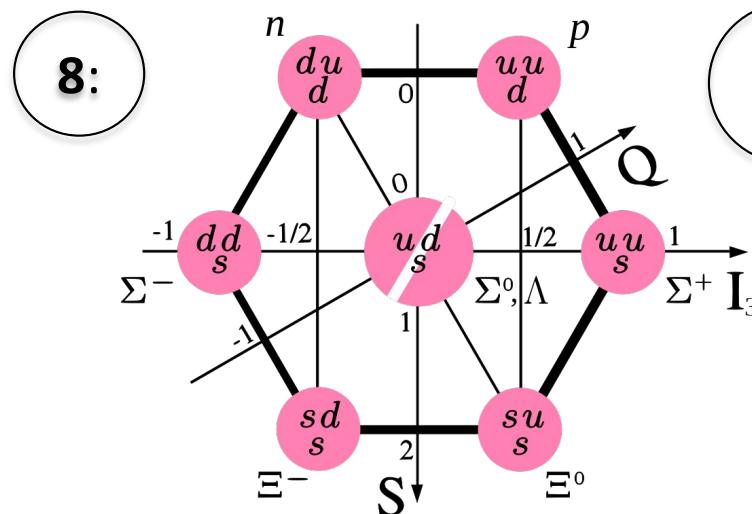
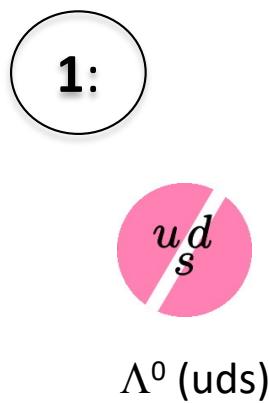
„Three quarks for Muster Mark!  
Sure he has not got much of a bark.  
And sure any he has it's all beside the mark.“

# Hadron Multiplets

## Mesons

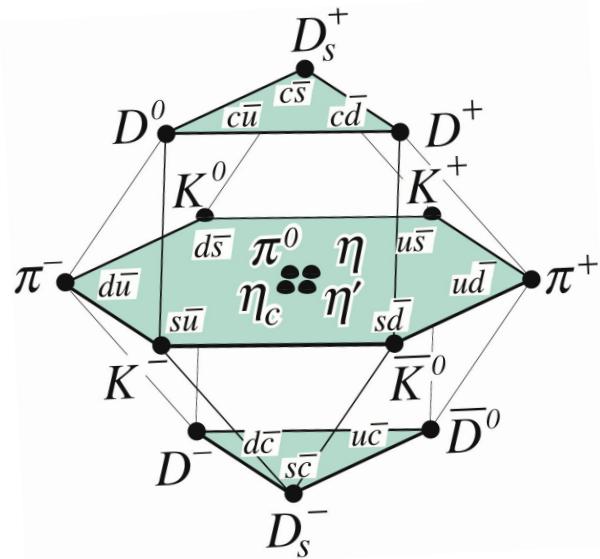


## Baryons

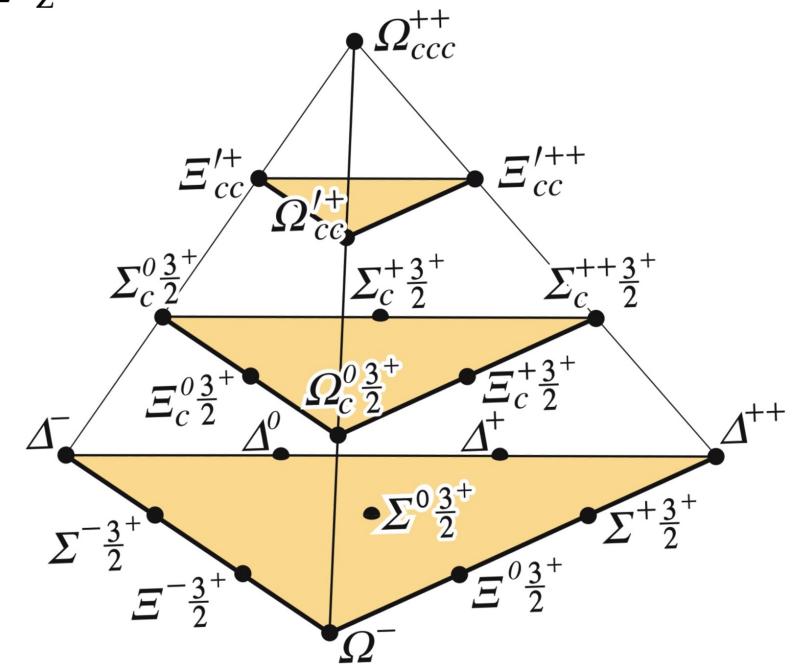
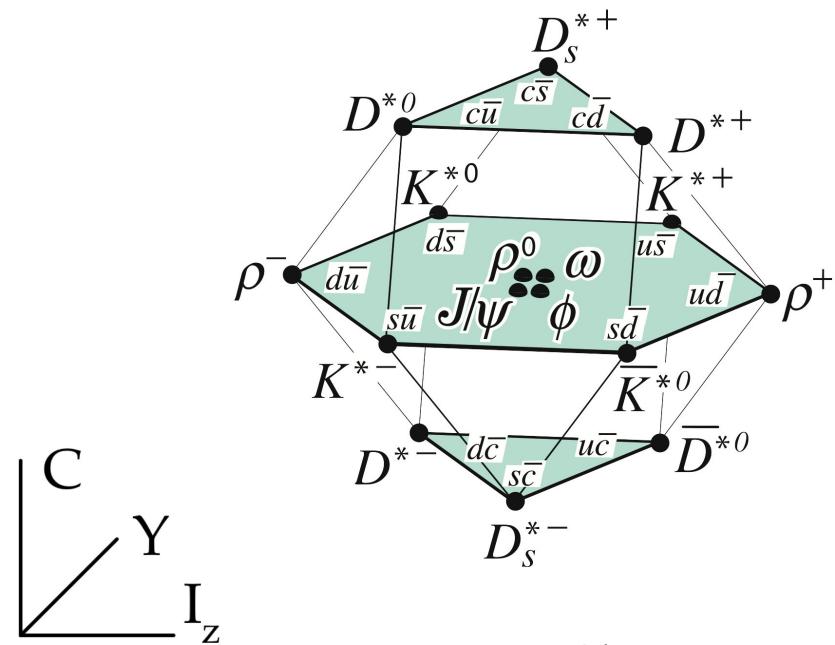
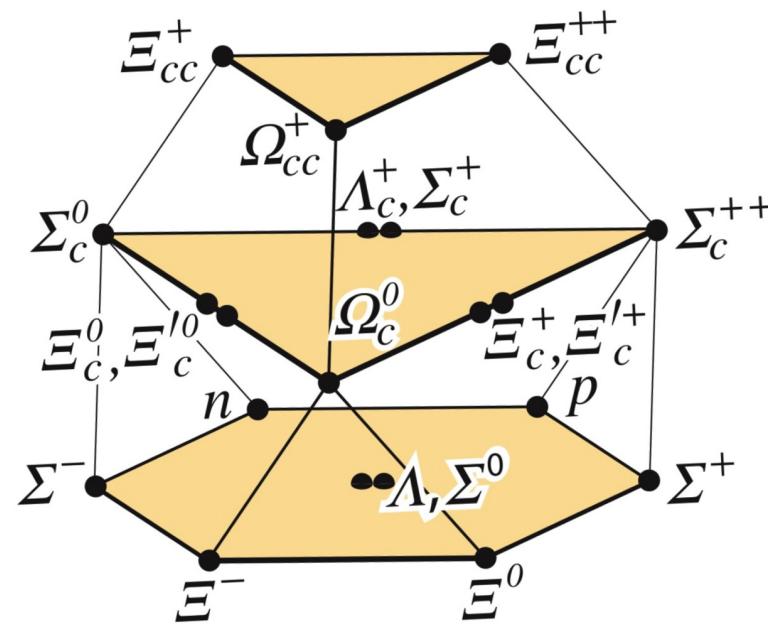


# Hadron Multiplets in $SU(4)$ $u, d, s, c$

## Mesons



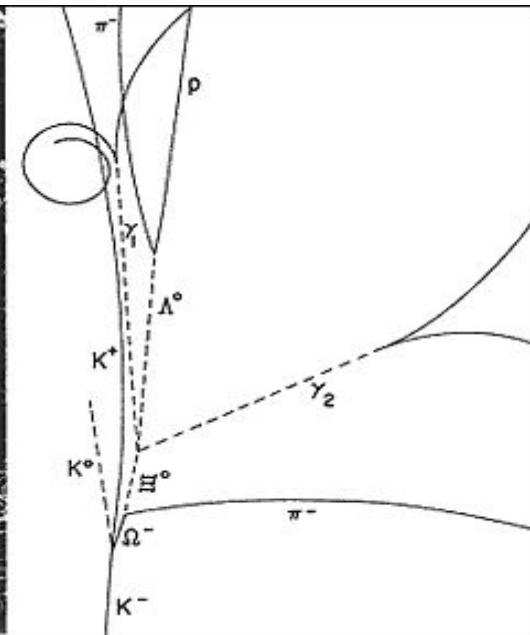
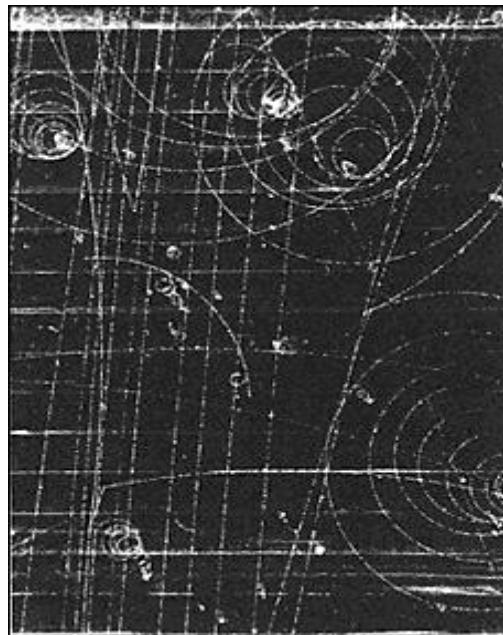
## Baryons



# Quarks – Images of Underlying Symmetries

$SU(3)$  symmetry considerations had led Gell-Mann, Ne'eman, and Zweig independently to manifest hadron multiplets – and to predict the  $\Omega^-$  particle.

Still in 1964 this particle was found by Nicholas Samios et al. at the Brookhaven National Laboratory (BNL):



Nicholas Samios

Murray Gell-Mann received the Nobel Prize in 1969:

*"for his contributions and discoveries concerning the classification of elementary particles and their interactions".*

# George Zweig: “Aces” (1964)



George Zweig @Oberwölz-Symposium  
Austria, 2012

CERN LIBRARIES, GENEVA



CM-P00042883

E S

AN  $SU_3$  MODEL FOR STRONG INTERACTION SYMMETRY AND ITS BREAKING

CERN LIBRARIES, GENEVA

G. Zweig \*)

CERN - Geneva

Both mesons and baryons are constructed from a set of three fundamental particles called aces. The aces break up into an isospin doublet and singlet. Each ace carries baryon number  $\frac{1}{3}$  and is consequently fractionally charged.  $SU_3$  (but not the Eightfold Way) is adopted as a higher symmetry for the strong interactions. The breaking of this symmetry is assumed to be universal, being due to mass differences among the aces. Extensive space-time and group theoretic structure is then predicted for both mesons and baryons, in agreement with existing experimental information. An experimental search for the aces is suggested.

# 1968: Substructure of the Proton

In ~1968 the substructure of the proton was revealed experimentally at the Stanford Linear Accelerator Center (SLAC).

First public notice @14th Int. Conf. on High-Energy Physics Vienna 1968

Contribution no. 563 “**Inelastic Electron Scattering from Protons**”

by R.E. Taylor (SLAC), J.I. Friedman, H.W. Kendall (MIT) et al. (unpublished)

(532)

Photoproduction of  $\pi^+$  mesons at backward angles  
between 0.9 GeV and 3.0 GeV

*Alvarez R.A., Cooperstein G., Kalata K.,  
Lanza R.C., Luckey D. (MIT)  
(Abstract)*

(563)

Inelastic scattering from protons

*Bloom E., Coward D., DeStaeler H., Drees J.,  
Litt J., Miller G., Mo L., Taylor R.E. (SLAC),  
Breidenbach M., Friedman J.I., Kendall H.W.  
(MIT), Loken S. (Cal. Tech.)  
(Abstract)*

(565)

Multibody photoproduction from hydrogen with 16 GeV  
bremsstrahlung

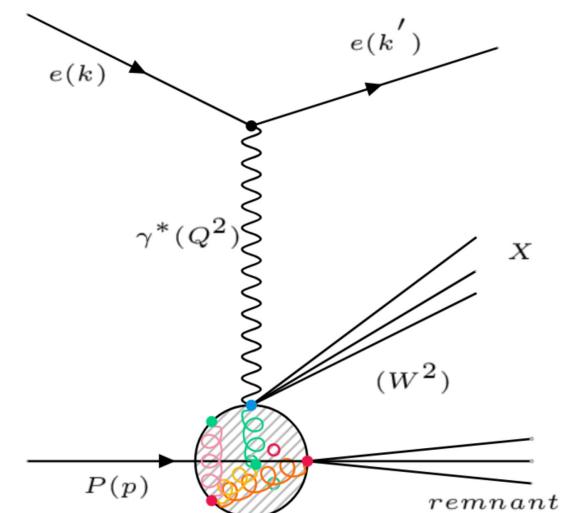
*Davier M., Derado I., Drickey D., Fries D.,  
Mozley R., Odian A., Villa F., Yount D.,  
Zdanis R. (SLAC)*

W.K.H. Panofsky:

Proceedings of the 14<sup>th</sup> Int. Conf. on High-  
Energy Physics, Vienna, 1969

Ed. by J. Prentki and J. Steinberger

CERN Sci. Inf. Service, Geneva, 1968, p. 23



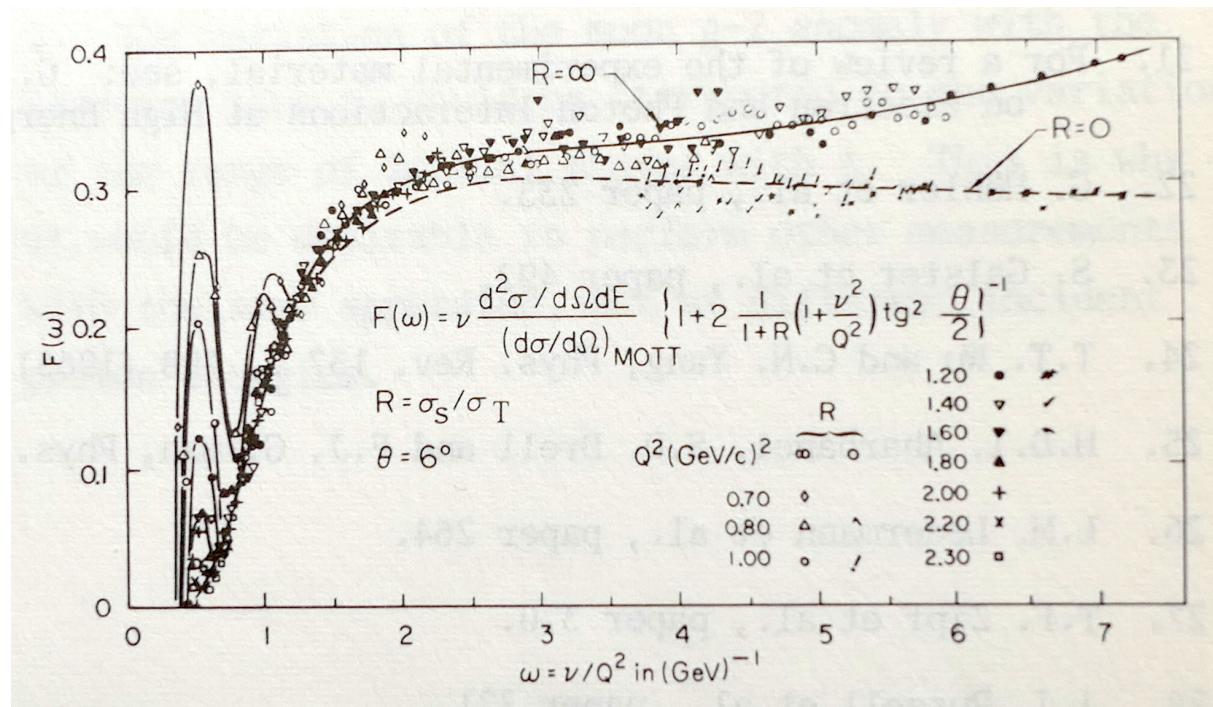
# 1968: Substructure of the Proton

In ~1968 the substructure of the proton was revealed experimentally at the Stanford Linear Accelerator Center (SLAC).

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Rapporteur W.K.H. Panofsky:

Theoretical speculations are focused on the possibility that these data might give evidence on the point-like charged structures within the nucleon.

However a great deal more fundamental experimental material must be developed before a clear picture can emerge.

$$F(\omega) = \nu W_2(q^2, \nu) \text{ as a function of } \omega = \nu/q^2$$

# 1968: Substructure of the Proton – Partons

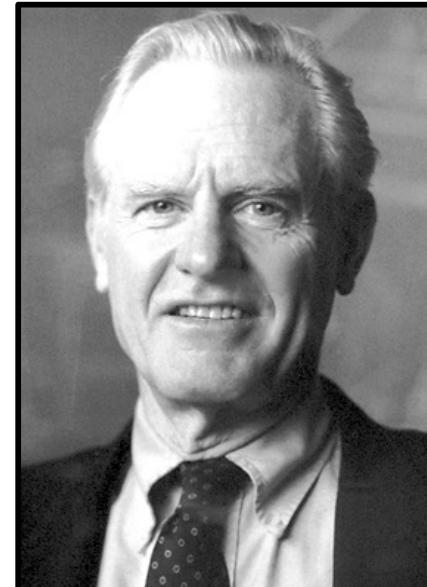
The proton (like all other hadrons) consists of parts, the [partons](#) (R.P. Feynman and J.D. Bjorken).

Nobel Prize in 1990 to: [J.I. Friedman](#), H.W. Kendall and R.E. Taylor:

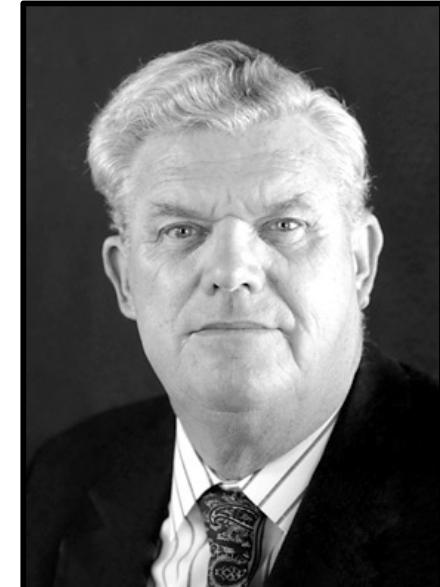
*"for their pioneering investigations concerning deep inelastic scattering of electrons on protons and bound neutrons, which have been of essential importance for the development of the quark model in particle physics".*



J.I. Friedman, 1930 –



H.W. Kendall, 1926 – 1999



R.E. Taylor, 1929 – 2018

# 1964 – 1968 – 1972 What are Quarks?

- Are quarks particles or just images of symmetries?
- If quarks are particles with spin, which statistics do they follow?
- Can particles/quarks with fractional charges be observed?
- If quarks are particles, what are their interactions?
- Which dynamics keeps hadrons together?



M. Gell-Mann @Schladming Winter School 1972:



Current and constituent quarks

# Schladming Winter School 1972



F.l.t.r.: **M. Gell-Mann**, A. Bartl, P. Breitenlohner, **H. Fritzsch**, H. Kleinert, P. Urban

# 1972 – 1973: Emergence of QCD

Current Algebra: Quarks and What Else?

Harald Fritzsch<sup>†</sup>

and

Murray Gell-Mann<sup>\*\*†</sup>

CERN, Geneva, Switzerland

Proceedings of the XVI International Conference on High Energy Physics, Chicago,  
1972. Volume 2, p. 135 (J. D. Jackson, A. Roberts, eds.)

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Volume 47B, number 4

PHYSICS LETTERS

26 November 1973

## ADVANTAGES OF THE COLOR OCTET GLUON PICTURE<sup>★</sup>

H. FRITZSCH\*, M. GELL-MANN and H. LEUTWYLER\*\*

*California Institute of Technology, Pasadena, Calif. 91109, USA*

Received 1 October 1973

It is pointed out that there are several advantages in abstracting properties of hadrons and their currents from a Yang–Mills gauge model based on colored quarks and color octet gluons.

# Colored Quarks and Gluons: QCD

$$L = \bar{q} \left[ i\gamma_\mu (\partial^\mu + ig \frac{\lambda^a}{2} A_a^\mu) - m \right] q - \frac{1}{4} G^a_{\mu\nu} G_a^{\mu\nu}$$

$$G_{\mu\nu}^{\phantom{\mu\nu}a} = \partial_\mu A_\nu^{\phantom{\mu}a} - \partial_\nu A_\mu^{\phantom{\nu}a} + g f_{abc} A_\mu^{\phantom{\mu}b} A_\nu^{\phantom{\nu}c}$$


gluon self-interaction

## ► Non-Abelian gauge theory in $SU(3)_C$

# QCD: Millenium Prize Problem



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## Quantum Young-Mills theory and the mass gap

The laws of quantum physics stand to the world of elementary particles in the way that Newton's laws of classical mechanics stand to the macroscopic world. Almost half a century ago, Yang and Mills introduced a remarkable new framework to describe elementary particles using structures that also occur in geometry. Quantum Yang-Mills theory is now the foundation of most of elementary particle theory, and its predictions have been tested at many experimental laboratories, but its mathematical foundation is still unclear. The successful use of Yang-Mills theory to describe the strong interactions of elementary particles depends on a subtle quantum mechanical property called the "mass gap": the quantum particles have positive masses, even though the classical waves travel at the speed of light. This property has been discovered by physicists from experiment and confirmed by computer simulations, but it still has not been understood from a theoretical point of view. Progress in establishing the existence of the Yang-Mills theory and a mass gap will require the introduction of fundamental new ideas both in physics and in mathematics.



# QCD Properties and Its Solution

**A comprehensive solution of QCD must incorporate the following properties:**

---

- ❖ It must have a **mass gap**, i.e. any vacuum excitation must produce  $\Delta > 0$   
(in order to lead to strong but short-ranged nuclear forces).
- ❖ It must produce **quark confinement**  
(in order to guarantee all hadrons to be color singlets – no free quarks).
- ❖ It must lead to **asymptotic freedom**  
(interaction-free for  $E \rightarrow \infty$ ).
- ❖ It must exhibit (spontaneous) **chiral-symmetry breaking**  
(in order to produce hadrons of non-zero masses)
- ❖ It must, of course, describe all **hadron phenomenology** consistently, at all energies  
(in order to be a practical theory of strong forces).

# Solution Methods for QCD

- ❖ Perturbative QCD (for  $E \rightarrow \infty$ )
- ❖ QCD on a space-time lattice
- ❖ Effective field theories, in particular, chiral perturbation theory
- ❖ Functional methods

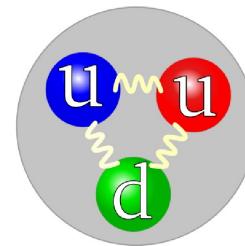
Dyson-Schwinger equations (DSE)

Functional renormalization group (FRG)

- ❖ Effective / Constituent quark models
- ❖ ....

# Low-Energy QCD

- Hadrons consist of **constituent quarks**, e.g. baryons of  $\{QQQ\}$ , such as the (colorless) proton:

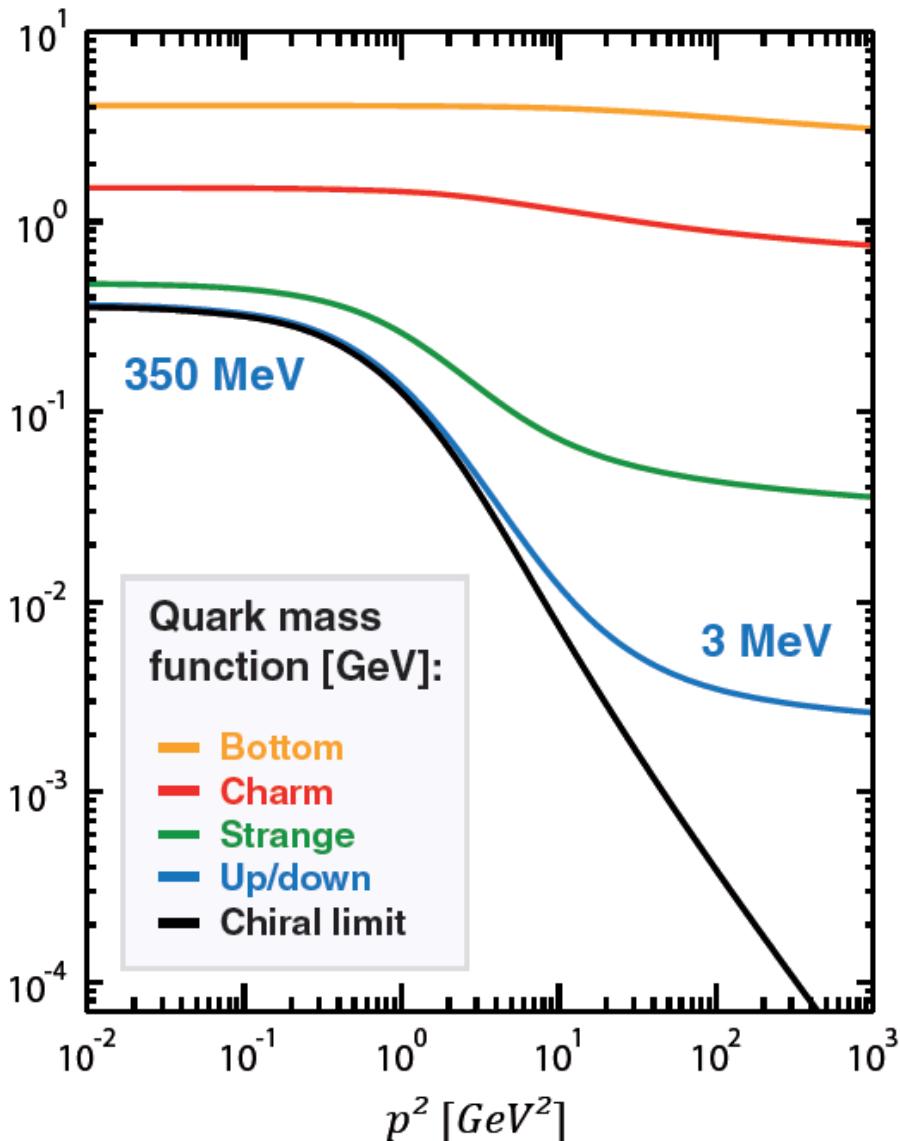


$\{QQQ\}$  are considered as quasiparticles, confined inside hadrons.

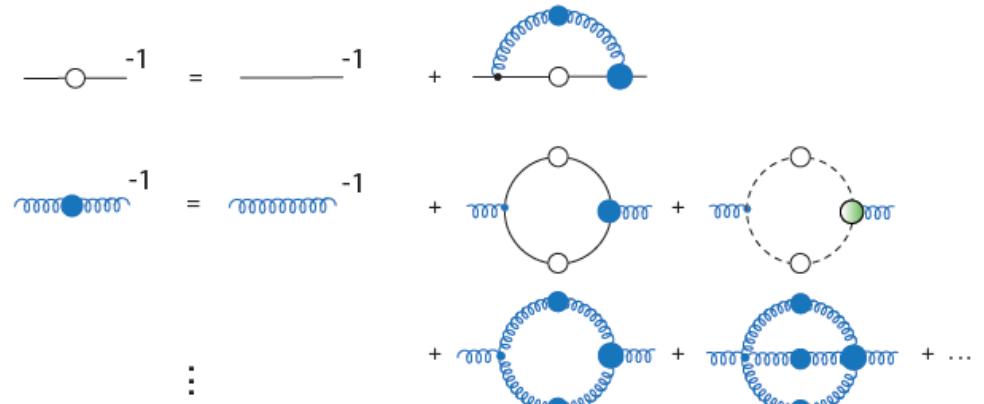
- The  $\{Q-Q\}$  interaction is furnished by the low-energy d.o.f. of QCD, resulting from the **spontaneous breaking of chiral symmetry** ( $S\!B\chi S$ ), i.e. for  $N_F$  flavors  $SU(N_F)_L \times SU(N_F)_R \rightarrow SU(N_F)_V$ , leading to the appearance of Goldstone bosons.
- Construct a **Poincaré-invariant interacting mass operator** based on

$$\mathcal{L}_{\text{int}} \sim ig\bar{\psi}\gamma_5\vec{\lambda}^F \cdot \vec{\phi}\psi$$

# Dynamical Mass Generation



Dynamical quark masses generated by Dyson-Schwinger equations (DSE):



# Relativistic Quantum Mechanics

## Relativistic quantum mechanics (RQM)

i.e. **quantum theory** respecting **Poincaré invariance**

(theory on a Hilbert space  $\mathcal{H}$  corresponding to a finite number of particles, not a field theory)

### Invariant mass operator

$$\hat{M} = \hat{M}_{\text{free}} + \hat{M}_{\text{int}}$$

### Eigenvalue equations

$$\hat{M} |P, J, \Sigma\rangle = M |P, J, \Sigma\rangle , \quad \hat{M}^2 = \hat{P}^\mu \hat{P}_\mu$$

$$\hat{P}^\mu |P, J, \Sigma\rangle = P^\mu |P, J, \Sigma\rangle , \quad \hat{P}^\mu = \hat{M} \hat{V}^\mu$$

# Relativistic Constituent Quark Model (RCQM)

## Interacting mass operator

$$\begin{aligned}\hat{M} &= \hat{M}_{\text{free}} + \hat{M}_{\text{int}} \\ \hat{M}_{\text{free}} &= \sqrt{\hat{H}_{\text{free}}^2 - \hat{\vec{P}}_{\text{free}}^2} \\ \hat{M}_{\text{int}}^{\text{rest frame}} &= \sum_{i < j}^3 \hat{V}_{ij} = \sum_{i < j}^3 [\hat{V}_{ij}^{\text{conf}} + \hat{V}_{ij}^{\text{hf}}]\end{aligned}$$

fulfilling the **Poincaré algebra**

$$\begin{array}{lll} [\hat{P}_i, \hat{P}_j] = 0, & [\hat{J}_i, \hat{H}] = 0, & [\hat{P}_i, \hat{H}] = 0, \\ [\hat{K}_i, \hat{H}] = -i\hat{P}_i & [\hat{J}_i, \hat{J}_j] = i\epsilon_{ijk}\hat{J}_k & [\hat{J}_i, \hat{K}_j] = i\epsilon_{ijk}\hat{K}_k, \\ [\hat{J}_i, \hat{P}_j] = i\epsilon_{ijk}\hat{P}_k, & [\hat{K}_i, \hat{K}_j] = -i\epsilon_{ijk}\hat{J}_k, & [\hat{K}_i, \hat{P}_j] = -i\delta_{ij}\hat{H} \end{array}$$

$\hat{H}, \hat{P}_i$  ... time and space translations,  
 $\hat{J}_i$  ... rotations,  $\hat{K}_i$  ... Lorentz boosts

# Universal Goldstone-Boson-Exchange RCQM

Phenomenologically, baryons with 5 flavors:  $u, d, s, c, b$

$$\Rightarrow H_{\text{free}} = \sum_{i=1}^3 \sqrt{m_i^2 + \vec{k}_i^2}$$

$$V^{\text{conf}}(\vec{r}_{ij}) = B + C r_{ij}$$

$$V^{\text{hf}}(\vec{r}_{ij}) = \left[ V_{24}(\vec{r}_{ij}) \sum_{f=1}^{24} \lambda_i^f \lambda_j^f + V_0(\vec{r}_{ij}) \lambda_i^0 \lambda_j^0 \right] \vec{\sigma}_i \cdot \vec{\sigma}_j$$

- i.e., for  $N_f = 5$ , we have the exchange of a **24-plet** plus a **singlet** of Goldstone bosons.

L.Ya. Glozman, W. Plessas, K. Varga, and R.F. Wagenbrunn: Phys. Rev. D 58, 094030 (1998)

J.P. Day, K.-S. Choi, and W. Plessas: arXiv:1205.6918

J.P. Day, K.-S. Choi, and W. Plessas: Few-Body Syst. 54, 329 (2013)

# UGBE RCQM Parametrization

$$V^{conf}(\vec{r}_{ij}) = B + C r_{ij}$$

$$\begin{aligned} V_\beta(\vec{r}_{ij}) &= \frac{g_\beta^2}{4\pi} \frac{1}{12m_i m_j} \left\{ \mu_\beta^2 \frac{e^{-\mu_\beta r_{ij}}}{r_{ij}} - 4\pi \delta(\vec{r}_{ij}) \right\} \\ &= \frac{g_\beta^2}{4\pi} \frac{1}{12m_i m_j} \left\{ \mu_\beta^2 \frac{e^{-\mu_\beta r_{ij}}}{r_{ij}} - \Lambda_\beta^2 \frac{e^{-\Lambda_\beta r_{ij}}}{r_{ij}} \right\} \end{aligned}$$

$$B = -402 \text{ MeV}, \quad C = 2.33 \text{ fm}^{-2}$$

$$\beta = 24 : \quad \frac{g_{24}^2}{4\pi} = 0.7, \quad \mu_{24} = \mu_\pi = 139 \text{ MeV}, \quad \Lambda_{24} = 700.5 \text{ MeV}$$

$$\beta = 0 : \quad \left( \frac{g_0}{g_{24}} \right)^2 = 1.5, \quad \mu_0 = \mu_{\eta'} = 958 \text{ MeV}, \quad \Lambda_0 = 1484 \text{ MeV}$$

$$\begin{aligned} m_u = m_d &= 340 \text{ MeV}, & m_s &= 480 \text{ MeV}, \\ m_c &= 1675 \text{ MeV}, & m_b &= 5055 \text{ MeV} \end{aligned}$$

# Solution of Mass-Operator Eigenvalue Problem

$$\begin{aligned}\hat{M}|P, J, \Sigma, F_{abc}\rangle &= M|P, J, \Sigma, F_{abc}\rangle \\ &= M|M, V, J, \Sigma, F_{abc}\rangle\end{aligned}$$

→ baryon wave functions (initially in rest frame)

$$\Psi_{PJ\Sigma F_{abc}}(\vec{\xi}, \vec{\eta}) = \langle \vec{\xi}, \vec{\eta} | P, J, \Sigma, F_{abc} \rangle ,$$

where  $\vec{\xi}$  and  $\vec{\eta}$  are the usual Jacobi coordinates and

$P$  ..... momentum eigenvalues

$(M, V$  ..... mass resp. velocity eigenvalues)

$J$  ..... intrinsic spin  $\triangleq$  total angular momentum)

$\Sigma$  ..... z-component of  $J$

$F_{abc}$  ..... flavor content

# Advanced Few-Body Methods: A) SVM

## A) Stochastic Variational Method (SVM)

$$\Psi_{PJ\Sigma F_{abc}}(\mathbf{x}) = \sum_i c_i \left\{ e^{-\frac{1}{2}\tilde{\mathbf{x}}A\mathbf{x}} [\Theta_{LM_L}(\hat{\mathbf{x}})\chi_s]_{J\Sigma} \phi_{F_{abc}} \right\}_i$$

with linear and nonlinear variational parameters

$$c_i, \quad A = \{\beta, \delta, \nu, n, \lambda, I, L, s, S, F_{abc}, d\}$$

searched by a generalized Rayleigh-Ritz principle through a  
**stochastic selection** of basis states

V.I. Kukulin and V.M. Krasnopol'sky: J. Phys. G 3, 795 (1977)

Y. Suzuki and K. Varga: *Stochastic Variational Approach to Quantum-Mechanical Few-Body Problems*  
(Springer, Berlin, 1998)

# Advanced Few-Body Methods: B) FIE

## B) Modified Faddeev Integral Equations (FIE)

$$\begin{aligned} H &= H_0 + v_\alpha + v_\beta + v_\gamma = \\ &H_0 + v_\alpha^{\text{conf}} + v_\beta^{\text{conf}} + v_\gamma^{\text{conf}} + \tilde{v}_\alpha + \tilde{v}_\beta + \tilde{v}_\gamma = \\ &H^{\text{conf}} + \tilde{v}_\alpha + \tilde{v}_\beta + \tilde{v}_\gamma, \end{aligned}$$

with  $H^{\text{conf}} = H_0 + v_\alpha^{\text{conf}} + v_\beta^{\text{conf}} + v_\gamma^{\text{conf}}$

$$\begin{aligned} \Psi_{PJ\Sigma F_{abc}}(\mathbf{k}) &= \left( \tilde{\psi}_\alpha + \tilde{\psi}_\beta + \tilde{\psi}_\gamma \right)_{PJ\Sigma F_{abc}}(\mathbf{k}) \\ \tilde{\psi}_\alpha &= G_\alpha^{\text{conf}}(E) \tilde{v}_\alpha \left( \tilde{\psi}_\beta + \tilde{\psi}_\gamma \right) \\ G_\alpha^{\text{conf}}(E) &= (E - H^{\text{conf}} - \tilde{v}_\alpha)^{-1} \end{aligned}$$

Z. Papp: Few-Body Syst. **26**, 99 (1999)

Z. Papp, A. Krassnigg, and W. Plessas: Phys. Rev. C **62**, 044004 (2000)

J. McEwen, J. Day, A. Gonzalez, Z. Papp, and W. Plessas: Few-Body Syst. **47**, 225 (2010)

# Solution Accuracy FIE vs. SVM

| Baryon          | $J^P$           | Faddeev |      | SVM  |      | Experiment |
|-----------------|-----------------|---------|------|------|------|------------|
|                 |                 | GBE     | OGE  | GBE  | OGE  |            |
| N(939)          | $\frac{1}{2}^+$ | 939     | 940  | 939  | 939  | 938-940    |
| N(1440)         | $\frac{1}{2}^+$ | 1459    | 1578 | 1459 | 1577 | 1420-1470  |
| N(1520)         | $\frac{3}{2}^-$ | 1520    | 1521 | 1519 | 1521 | 1515-1525  |
| N(1535)         | $\frac{1}{2}^-$ | 1520    | 1521 | 1519 | 1521 | 1525-1545  |
| N(1650)         | $\frac{1}{2}^-$ | 1646    | 1686 | 1647 | 1690 | 1645-1670  |
| N(1675)         | $\frac{5}{2}^-$ | 1646    | 1686 | 1647 | 1690 | 1670-1680  |
| $\Delta(1232)$  | $\frac{3}{2}^+$ | 1240    | 1229 | 1240 | 1231 | 1231-1233  |
| $\Delta(1600)$  | $\frac{3}{2}^+$ | 1718    | 1852 | 1718 | 1854 | 1550-1700  |
| $\Delta(1620)$  | $\frac{1}{2}^-$ | 1640    | 1618 | 1642 | 1621 | 1600-1660  |
| $\Delta(1700)$  | $\frac{3}{2}^-$ | 1640    | 1618 | 1642 | 1621 | 1670-1750  |
| $\Lambda(1116)$ | $\frac{1}{2}^+$ | 1133    | 1127 | 1136 | 1113 | 1116       |
| $\Lambda(1405)$ | $\frac{1}{2}^-$ | 1561    | 1639 | 1556 | 1628 | 1401-1410  |
| $\Lambda(1520)$ | $\frac{3}{2}^-$ | 1561    | 1639 | 1556 | 1628 | 1519-1521  |
| $\Lambda(1600)$ | $\frac{1}{2}^+$ | 1607    | 1749 | 1625 | 1747 | 1560-1700  |
| $\Lambda(1670)$ | $\frac{1}{2}^-$ | 1672    | 1723 | 1682 | 1734 | 1660-1680  |
| $\Lambda(1690)$ | $\frac{3}{2}^-$ | 1672    | 1723 | 1682 | 1734 | 1685-1695  |

Z. Papp, A. Krassnigg, and W. Plessas: Phys. Rev. C 62, 044004 (2000)

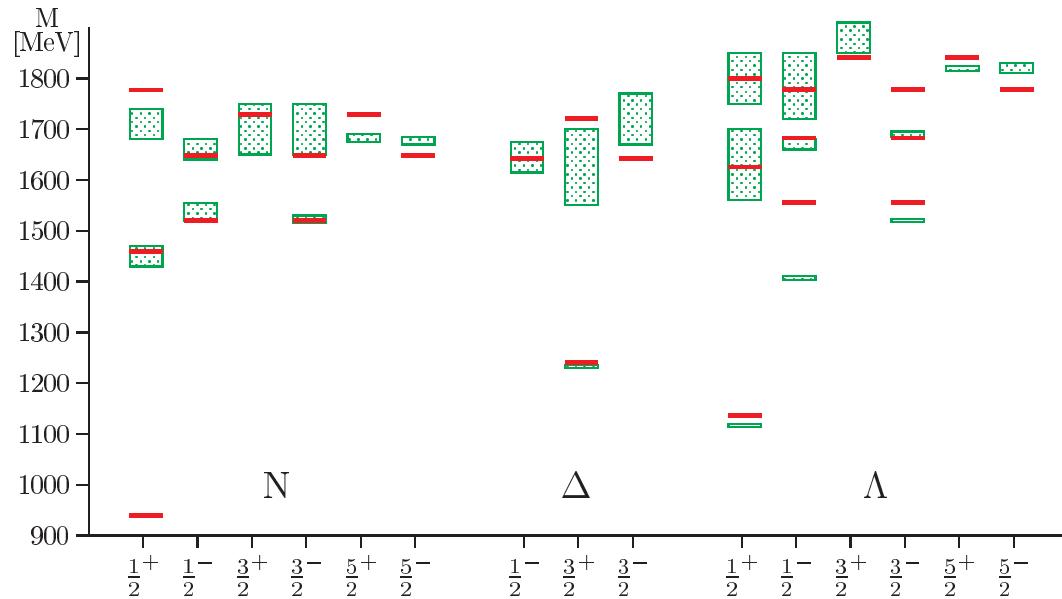
J.P. Day: PhD Thesis, Univ. Graz (2013)

# Spectroscopy of Baryons

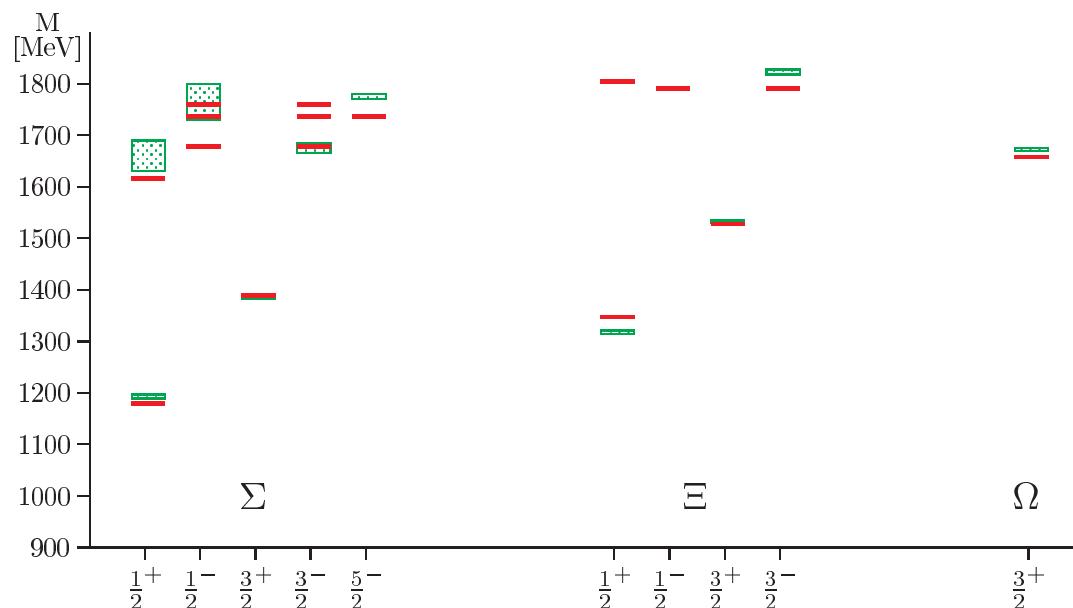
Excitation Spectra  
of Baryons with **ALL** Flavors

*u, d, s, c, b*

# Excitation Spectra of Baryons with $u, d, s$ Flavors



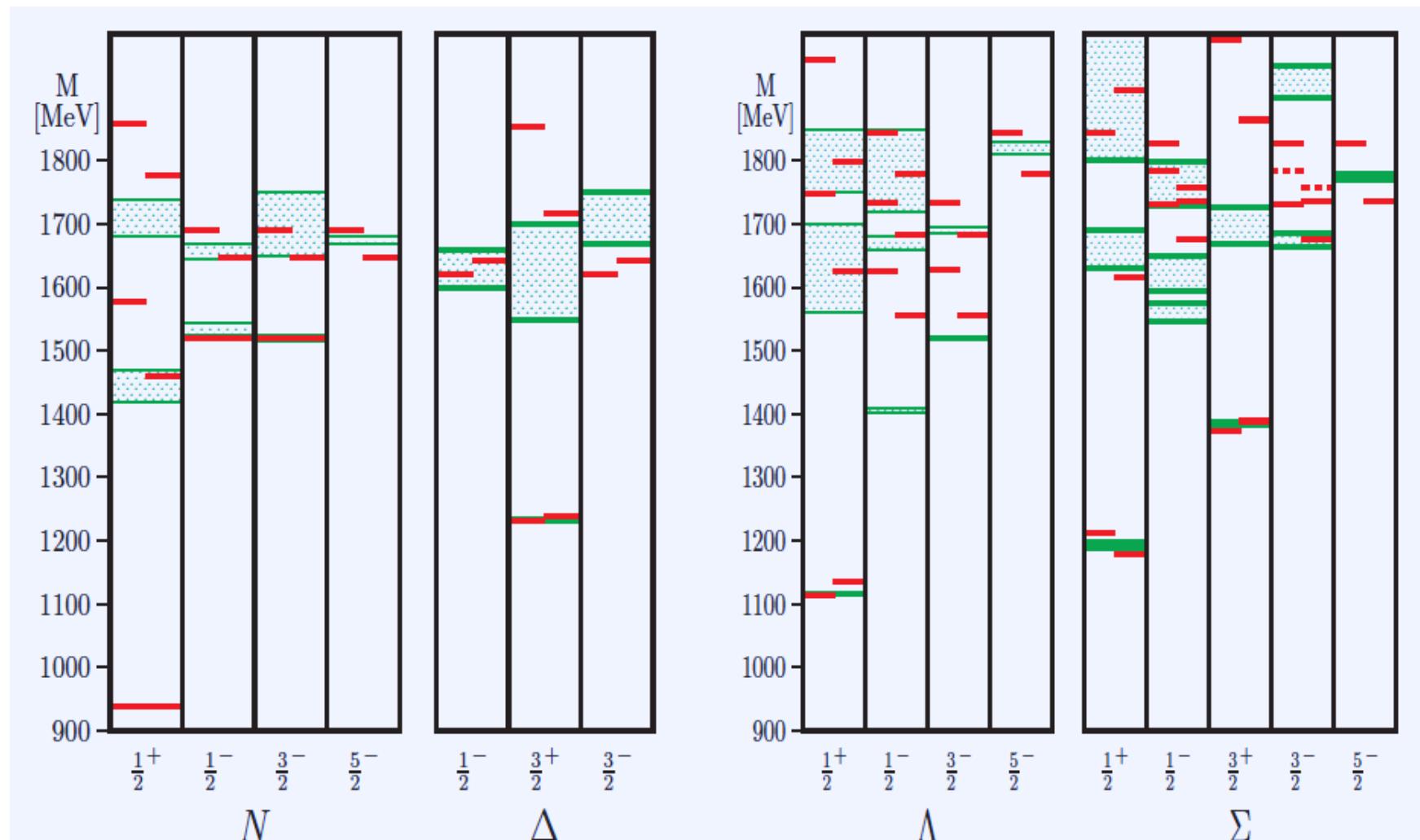
red levels = theoretical prediction



green boxes = exp. data  
with uncertainties

L.Ya. Glozman, W. Plessas, K. Varga, and  
R.F. Wagenbrunn:  
Phys. Rev. D 58, 094030 (1999)

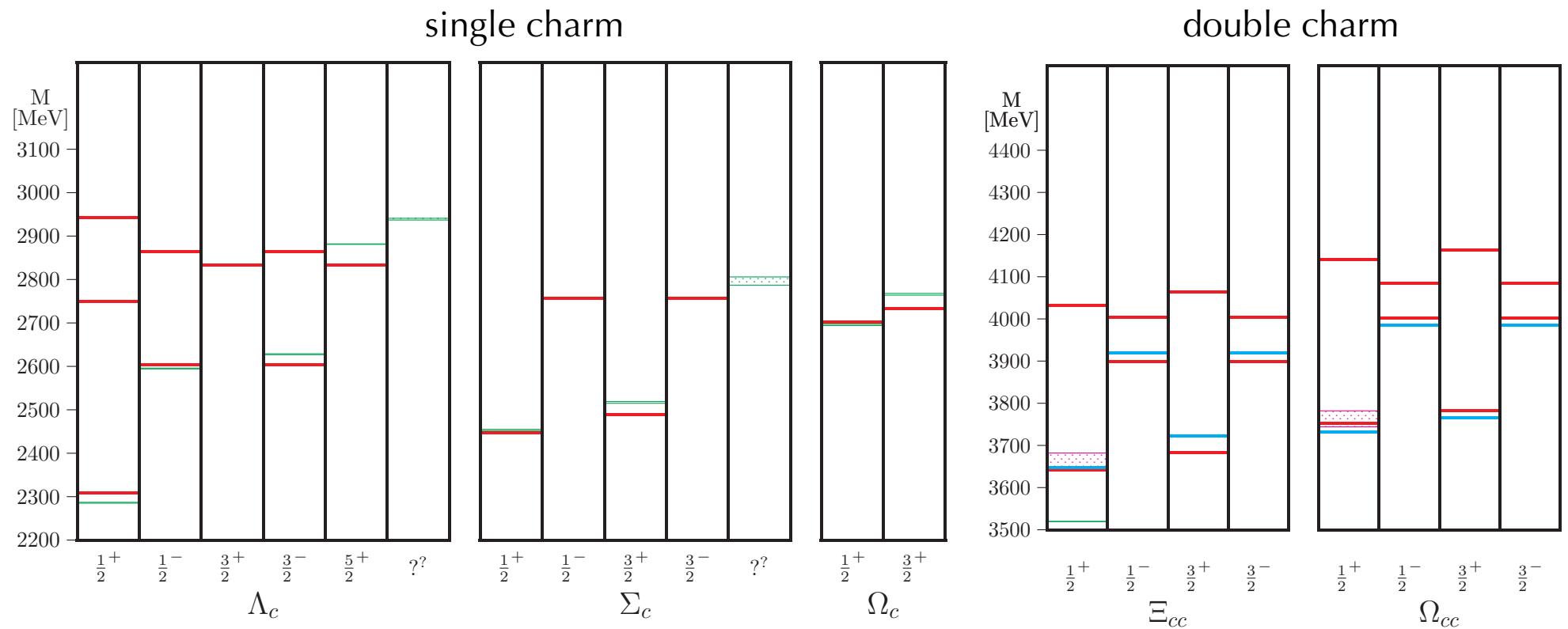
# Comparison of $N$ and $\Lambda$ Level Orderings



left **levels:** One-gluon-exchange RCQM

right **levels:** Goldstone-boson-exchange RCQM

# Excitation Spectra of Charm Baryons



## Left panel – single charm:

**red** Universal GBE RCQM prediction

**green** PDG 2013 (experiment)

↑ our value  $m(\Xi_{cc}) = 3642$  MeV

## Right panel – double charm:

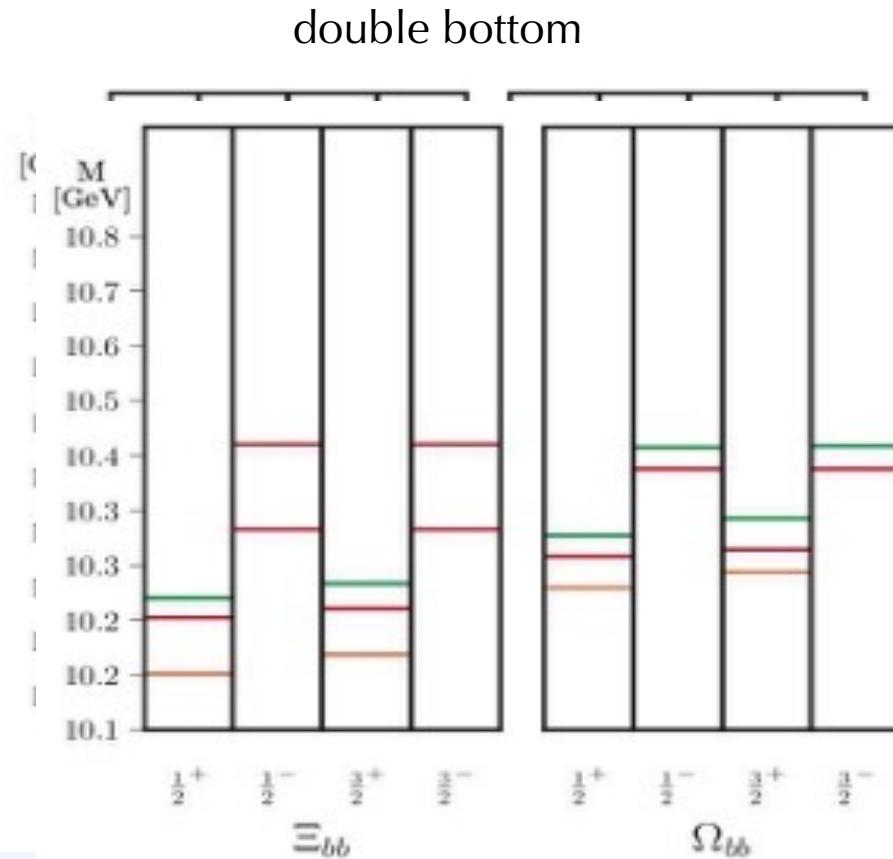
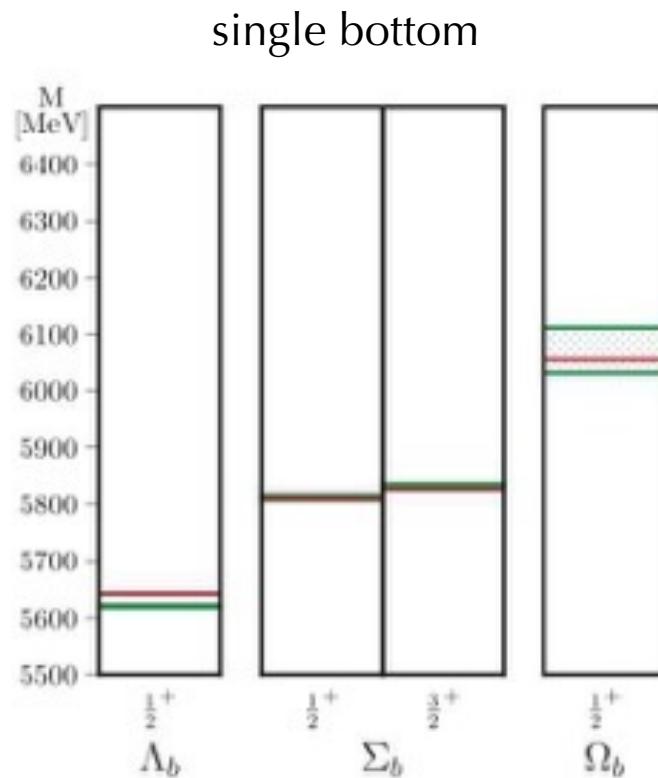
**green** M. Mattson et al.: Phys. Rev. Lett. 89 (2002) 112001 (SELEX experiment)

**New datum from LHCb 2017:**  $m(\Xi_{cc}) = 3621.40 \pm 0.72(\text{stat.}) \pm 0.27(\text{syst.}) \pm 0.14(\Lambda_c)$  MeV

**cyan** S. Migura, D. Merten, B. Metsch, and H.-R. Petry: Eur. Phys. J. A 28 (2006) 41 (Bonn RCQM)

**magenta** L. Liu et al.: Phys. Rev. D 81 (2010) 094505 (Lattice QCD)

# Excitation Spectra of Bottom Baryons



Left panel – single bottom:

**red** Universal GBE RCQM prediction

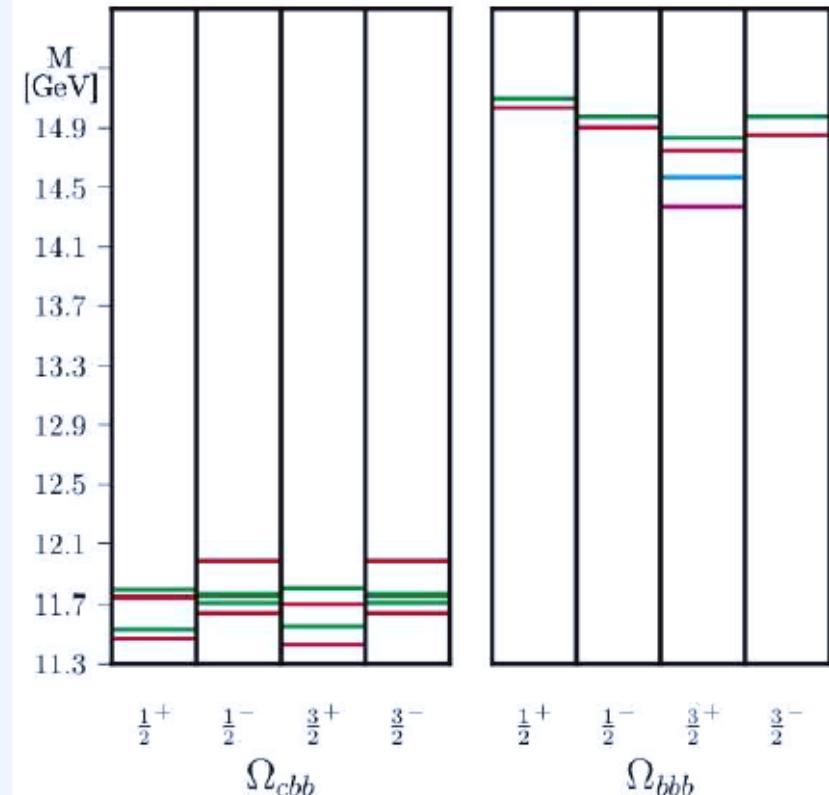
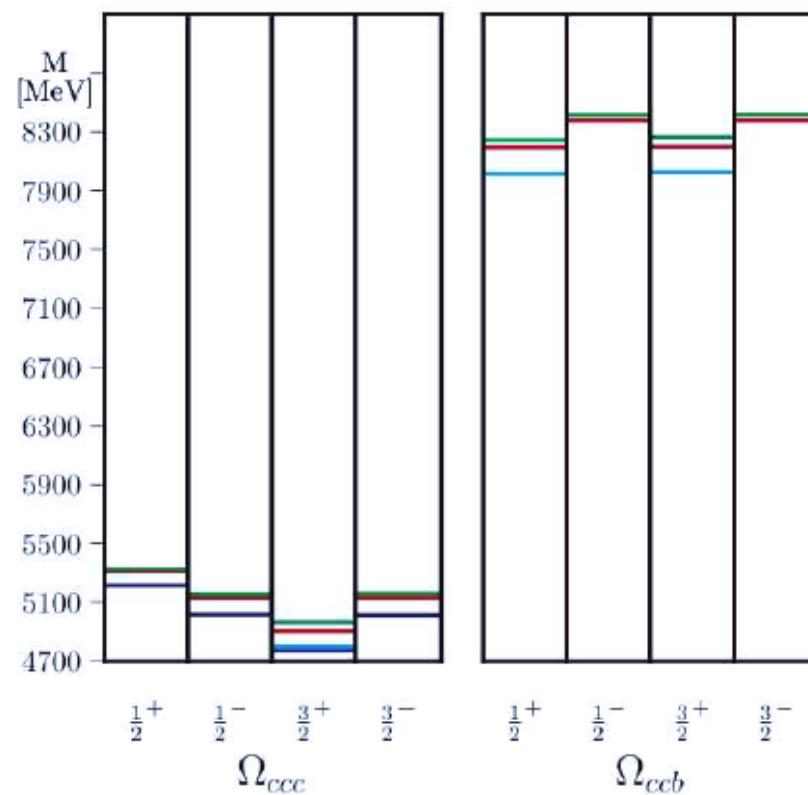
**green** PDG 2013 (experiment)

Right panel – double bottom:

**green** W. Roberts and M. Pervin: Int. J. Mod. Phys. A 23 (2008) 2817 (nonrel. one-gluon-exchange CQM)

**orange** D. Ebert, R.N. Faustov, V.O. Galkin, and A.P. Martynenko: Phys. Rev. D 66 (2002) 014008 (RCQM)

# Triple-Heavy Baryon Spectra



**red** Universal GBE RCQM

**green** W. Roberts and M. Pervin: Int. J. Mod. Phys. A 23 (2008) 2817  
(nonrelativistic one-gluon-exchange CQM)

**blue** S. Migura, D. Merten, B. Metsch, and H.-R. Petry: Eur. Phys. J. A 28 (2006) 41 (Bonn RCQM)

**cyan** A.P. Martynenko: Phys. Lett. B 663 (2008) 317 (RCQM)

**magenta** S. Meinel: Phys. Rev. D 82 (2010) 114502 (lattice QCD)

# Rest-Frame Baryon States

## Mass operator eigenstates

$$\hat{M} |P, J, \Sigma, T, M_T\rangle = M |P, J, \Sigma, T, M_T\rangle$$

represented in configuration space

$$\langle \vec{\xi}, \vec{\eta} | P, J, \Sigma, T, M_T \rangle = \Psi_{PJ\Sigma TM_T}(\vec{\xi}, \vec{\eta})$$

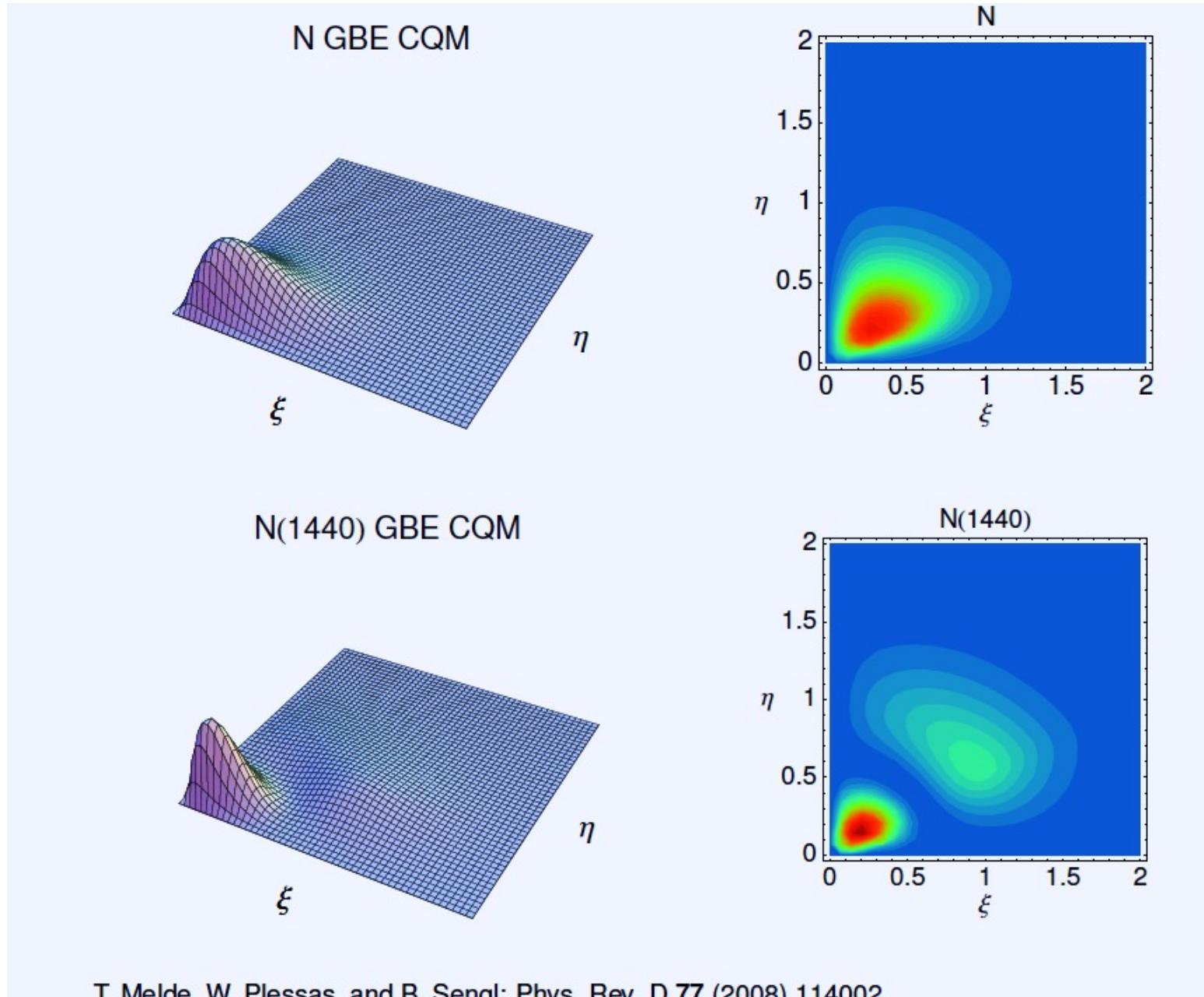
with  $\vec{\xi}$  and  $\vec{\eta}$  the usual Jacobi coordinates.

Picture the baryon wave functions through  
**spatial probability density distributions**

$$\rho(\xi, \eta) = \xi^2 \eta^2 \int d\Omega_\xi d\Omega_\eta$$

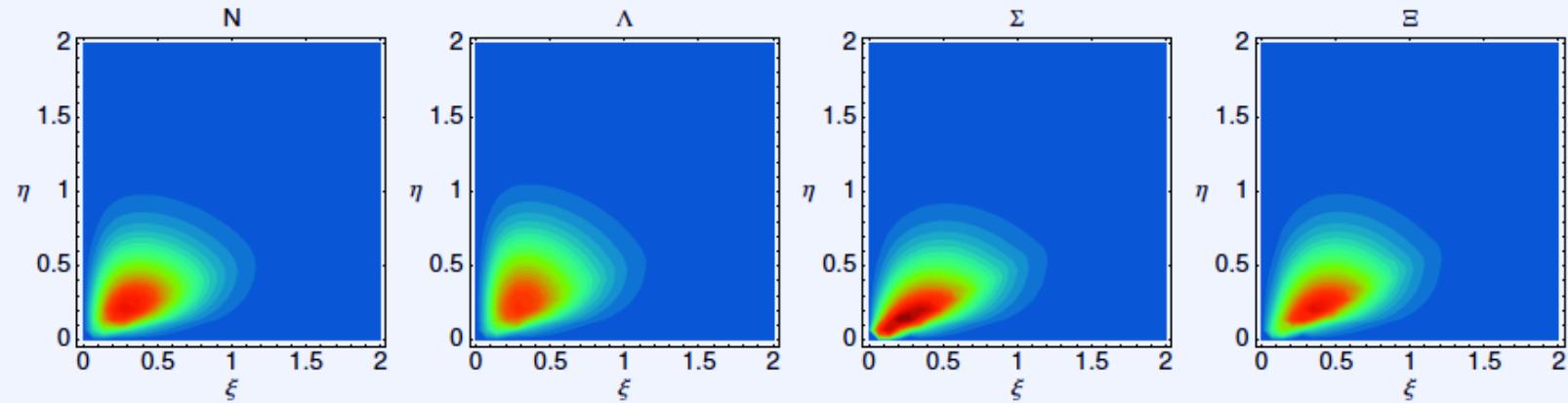
$$\Psi_{PJ\Sigma TM_T}^*(\xi, \Omega_\xi, \eta, \Omega_\eta) \Psi_{PJ\Sigma TM_T}(\xi, \Omega_\xi, \eta, \Omega_\eta)$$

# Spatial Probability Density Distributions

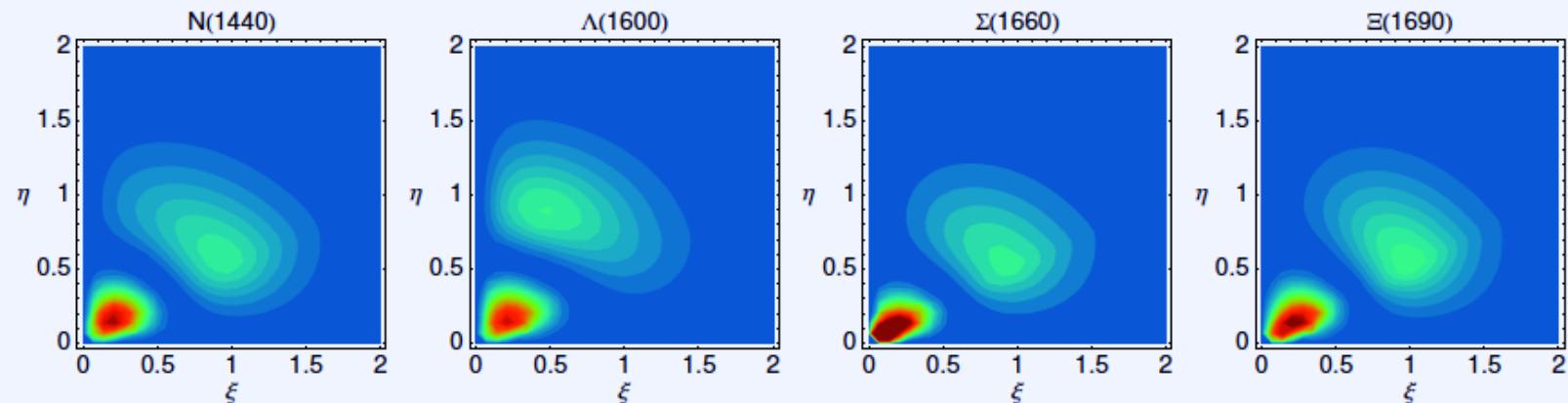


# Spatial Probability Density Distributions

$\rho(\xi, \eta)$  for the  $\frac{1}{2}^+$  octet baryon ground states  $N(939)$ ,  $\Lambda(1116)$ ,  $\Sigma(1193)$ ,  $\Xi(1318)$ :

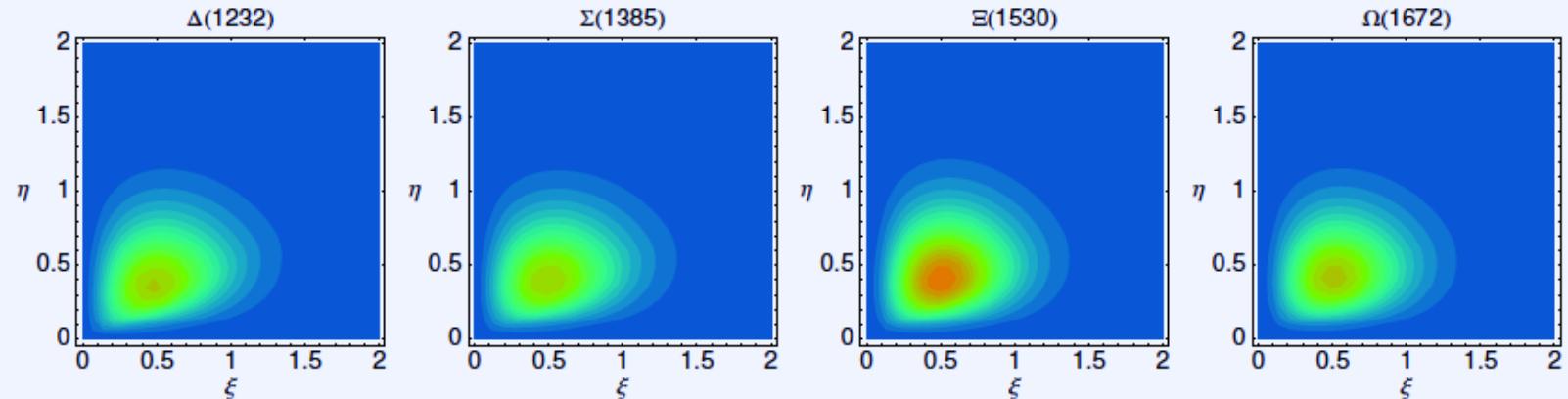


$\rho(\xi, \eta)$  for the  $\frac{1}{2}^+$  octet baryon states  $N(1440)$ ,  $\Lambda(1600)$ ,  $\Sigma(1660)$ ,  $\Xi(1690)$ :

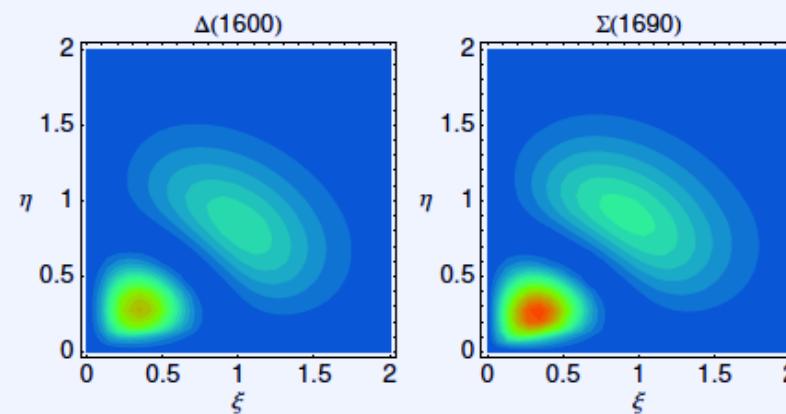


# Spatial Probability Density Distributions

$\rho(\xi, \eta)$  for the  $\frac{3}{2}^+$  decuplet baryon states  $\Delta(1232)$ ,  $\Sigma(1385)$ ,  $\Xi(1530)$ ,  $\Omega(1672)$ :



$\rho(\xi, \eta)$  for the  $\frac{3}{2}^+$  decuplet baryon states  $\Delta(1600)$ ,  $\Sigma(1690)$ :

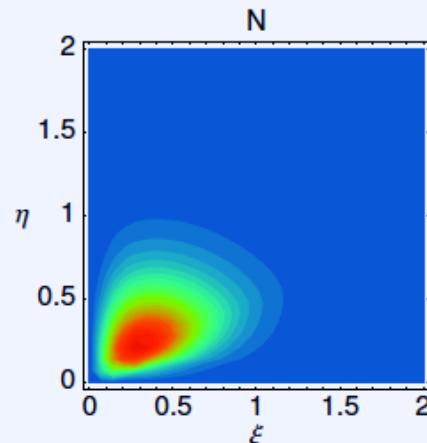


# Electric Radii vs. Root-Mean-Square Radii

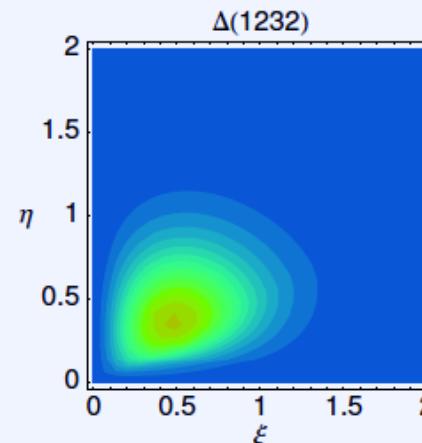
The **root-mean-square radius** (in the rest frame):

$$r_{\text{rms}} = \sqrt{\langle r_i^2 \rangle} = \left( \int d^3 r_i \langle P = 0, J, \Sigma | \hat{r}_i^2 | P = 0, J, \Sigma \rangle \right)^{\frac{1}{2}}$$

Is NOT an **observable!** Is NOT **relativistically invariant!**  
→ Idea about the **spatial distribution** of constituent quarks.



$$r_{\text{rms}}^N = 0.304 \text{ fm}$$



$$r_{\text{rms}}^\Delta = 0.390 \text{ fm}$$

---

Exp.:  $r_E^p \sim 0.88 \text{ fm}$   
 $(r_E^n)^2 \sim -0.12 \text{ fm}^2$

$r_E^{\Delta^{++}} = r_E^{\Delta^+} = r_E^{\Delta^-} = 0.656 \text{ fm}$   
 $r_E^{\Delta^0} = 0 \text{ fm}$

# Calculation of Covariant Observables

Matrix elements of a transition operator  $\hat{O}$  between baryon eigenstates  $|P, J, \Sigma, T, T_3, Y\rangle$

$$\langle P', J', \Sigma', T', T'_3, Y' | \hat{O} | P, J, \Sigma, T, T_3, Y \rangle$$

- |           |                                 |   |
|-----------|---------------------------------|---|
| $\hat{O}$ | $\dots \hat{J}_{\text{em}}^\mu$ | $\rightarrow$ electromagnetic FF's      |
| $\dots$   | $\hat{A}_{\text{axial}}^\mu$    | $\rightarrow$ axial FF's                |
| $\dots$   | $\hat{S}$                       | $\rightarrow$ scalar FF                 |
| $\dots$   | $\hat{\Theta}^{\mu\nu}$         | $\rightarrow$ gravitational/tensor FF's |
| $\dots$   | $\hat{D}_\lambda^\mu$           | $\rightarrow$ hadronic decays           |

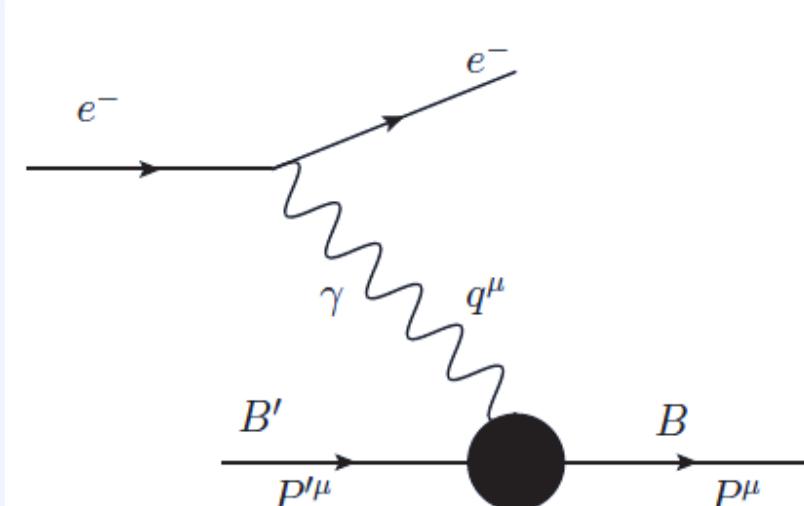
To be calculated from microscopic three-quark ME's

$$\langle p'_1, p'_2, p'_3; \sigma'_1, \sigma'_2, \sigma'_3; f_{i'_1}, f_{i'_2}, f_{i'_3} | \hat{O} | p_1, p_2, p_3; \sigma_1, \sigma_2, \sigma_3; f_{i_1}, f_{i_2}, f_{i_3} \rangle$$

↑ boosted 3-body states      ↑ boosted 3-body states

# $e^-$ Scattering – Electromagnetic Form Factors

Elastic electron scattering:



Invariant form factors:

$$F_{\Sigma'\Sigma}^\nu(Q^2) = \langle P', J, \Sigma', T, M_T | \hat{J}_{\text{em}}^\nu | P, J, \Sigma, T, M_T \rangle$$

$$\text{with } Q^2 = -q^2; \quad q^\mu = P^\mu - P'^\mu$$

# Electromagnetic Sachs Form Factors

**Spin- $\frac{1}{2}$  baryons:**

$$G_E^B(Q^2) = \frac{1}{2M} F_{\frac{1}{2} \frac{1}{2}}^{\nu=0}(Q^2)$$

$$G_M^B(Q^2) = \frac{1}{Q} F_{\frac{1}{2} - \frac{1}{2}}^{\nu=1}(Q^2)$$

**Spin- $\frac{3}{2}$  baryons:**

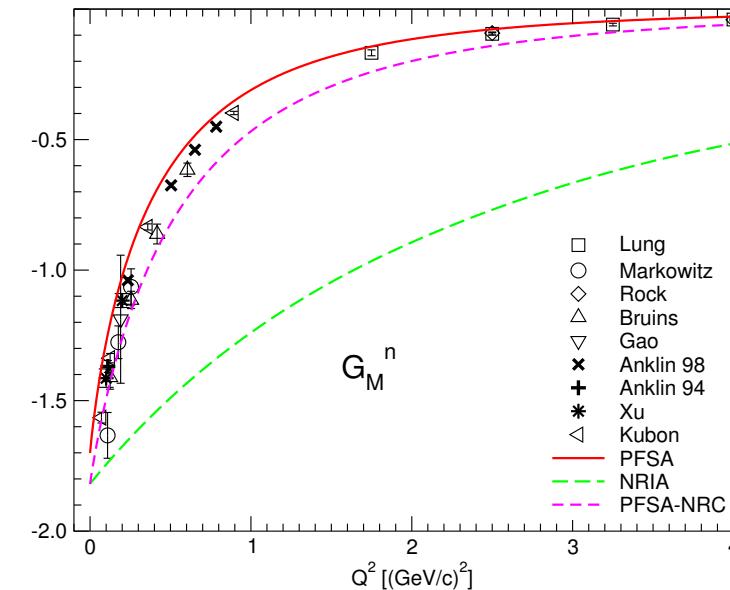
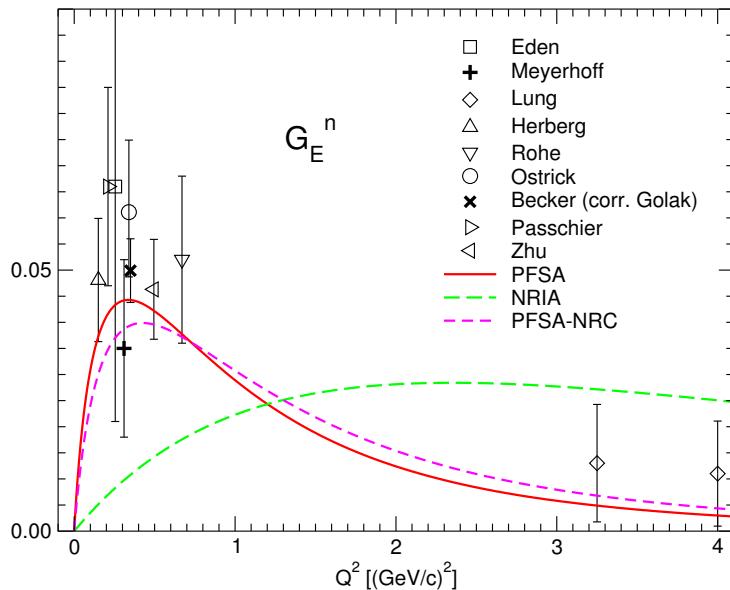
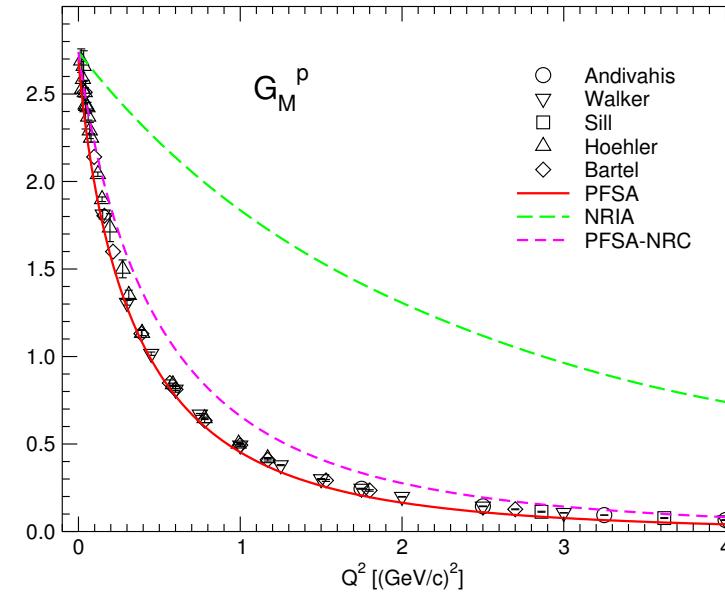
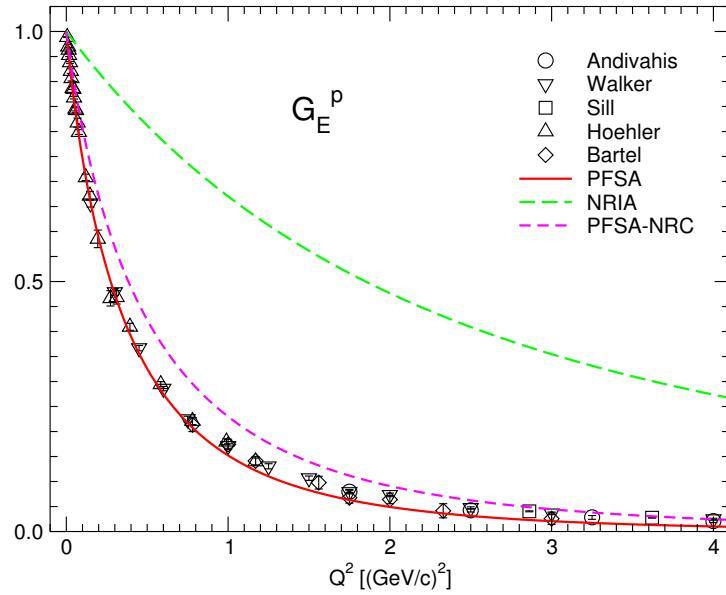
$$G_E^B(Q^2) = \frac{1}{4M} [F_{\frac{1}{2} \frac{1}{2}}^{\nu=0}(Q^2) + F_{\frac{3}{2} \frac{3}{2}}^{\nu=0}(Q^2)]$$

$$G_M^B(Q^2) = \frac{3}{5Q} [F_{\frac{1}{2} - \frac{1}{2}}^{\nu=1}(Q^2) + \sqrt{3} F_{\frac{3}{2} \frac{1}{2}}^{\nu=1}(Q^2)]$$

**Electric/charge radius  $r_E$ :**

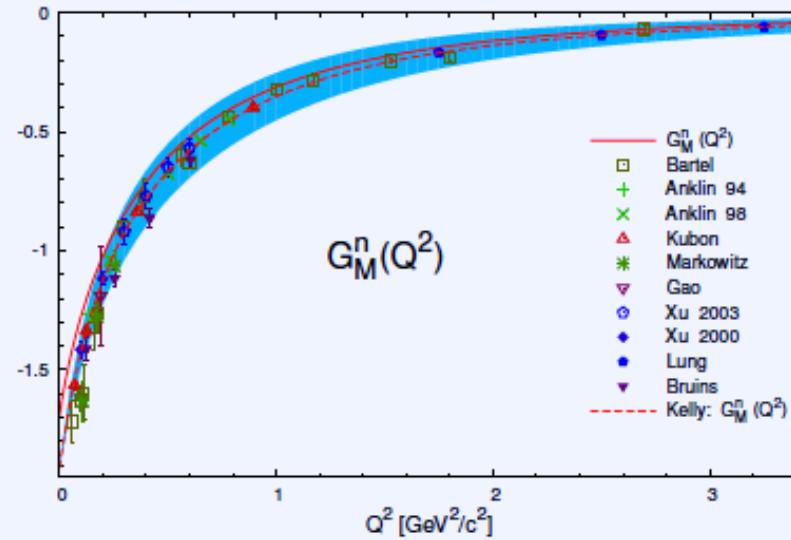
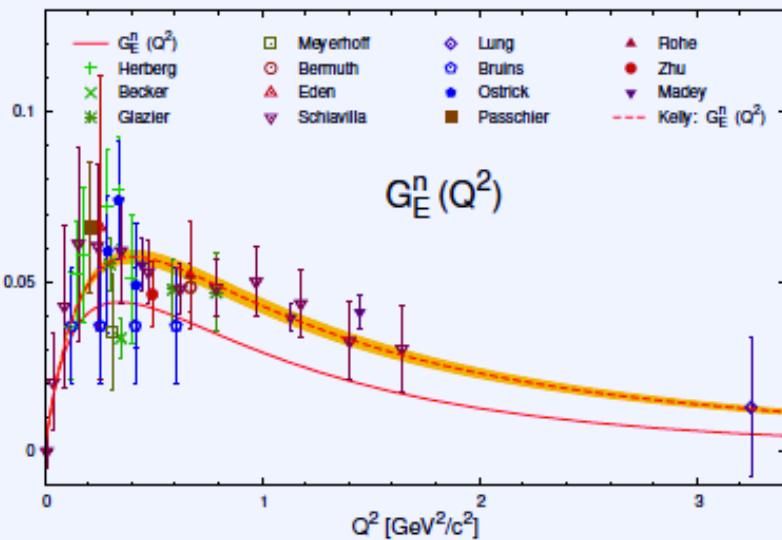
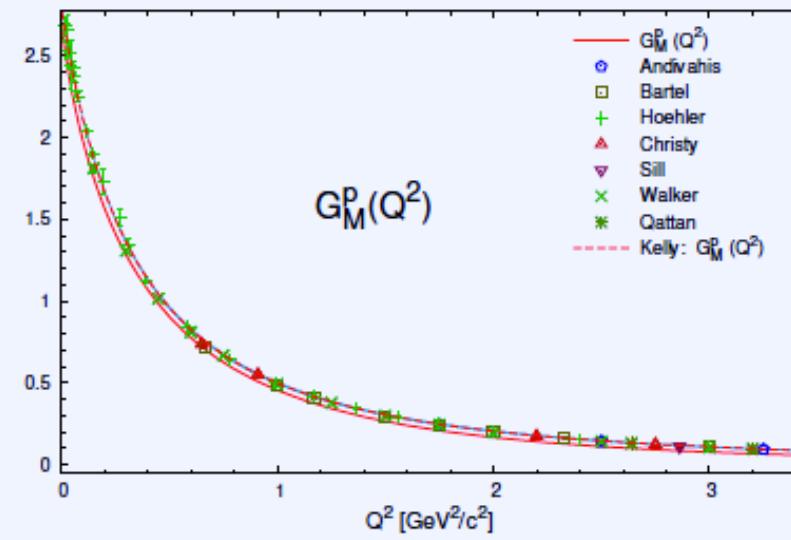
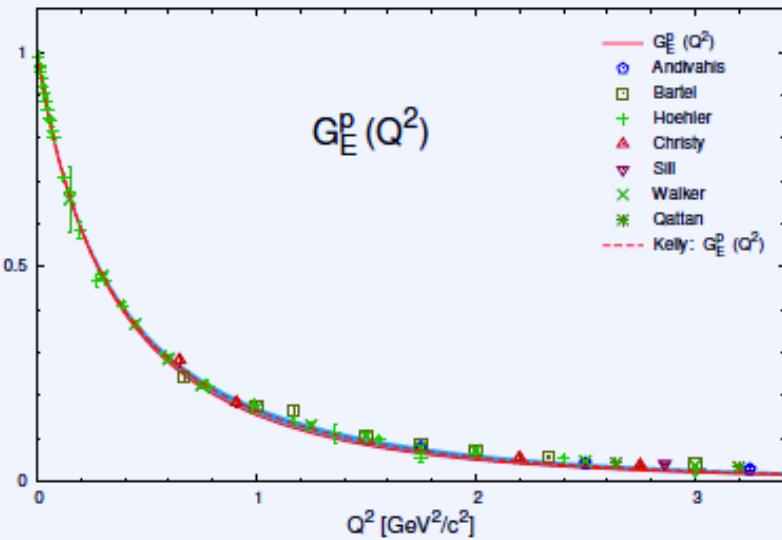
$$r_E^2 = -6 \frac{d}{d Q^2} G_E(Q^2) \Big|_{Q^2=0}$$

# Electromagnetic Nucleon Form Factors

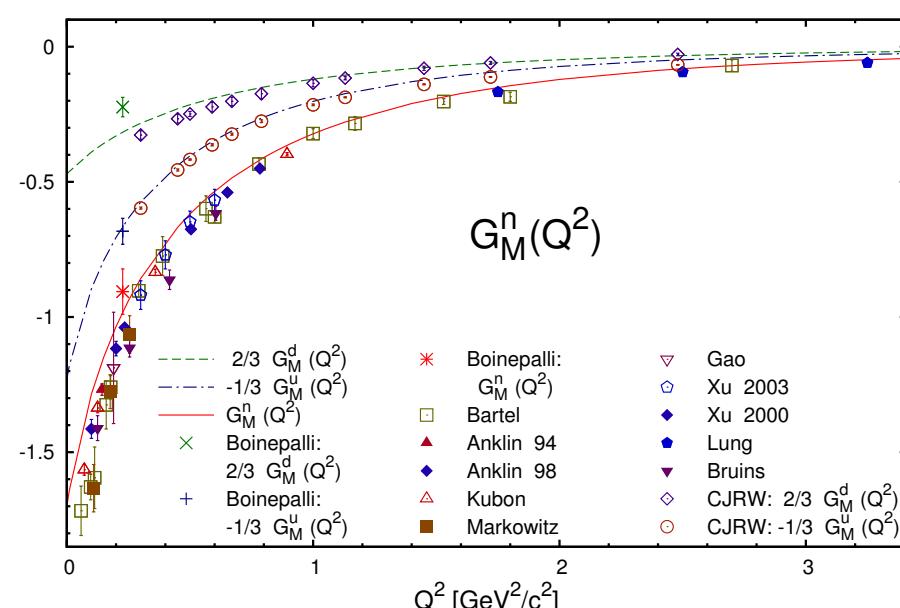
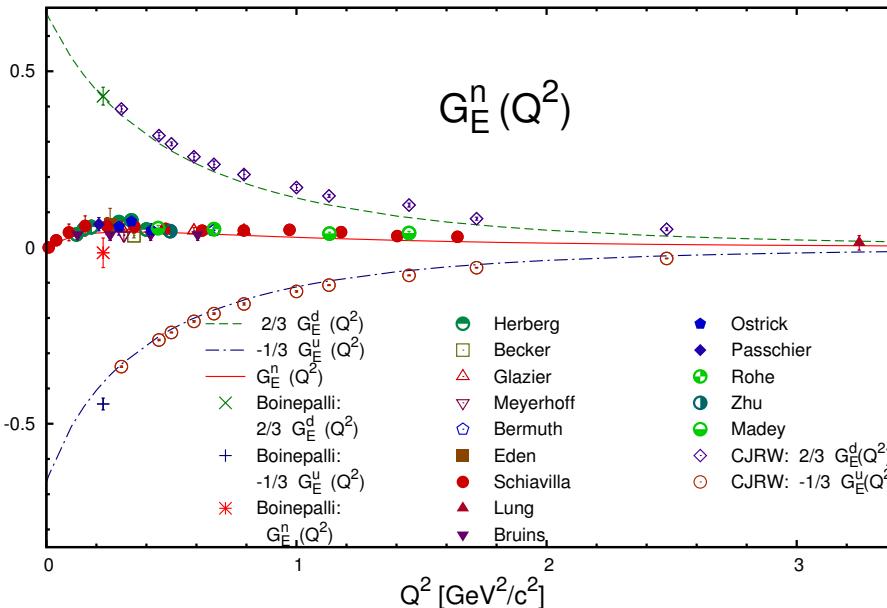
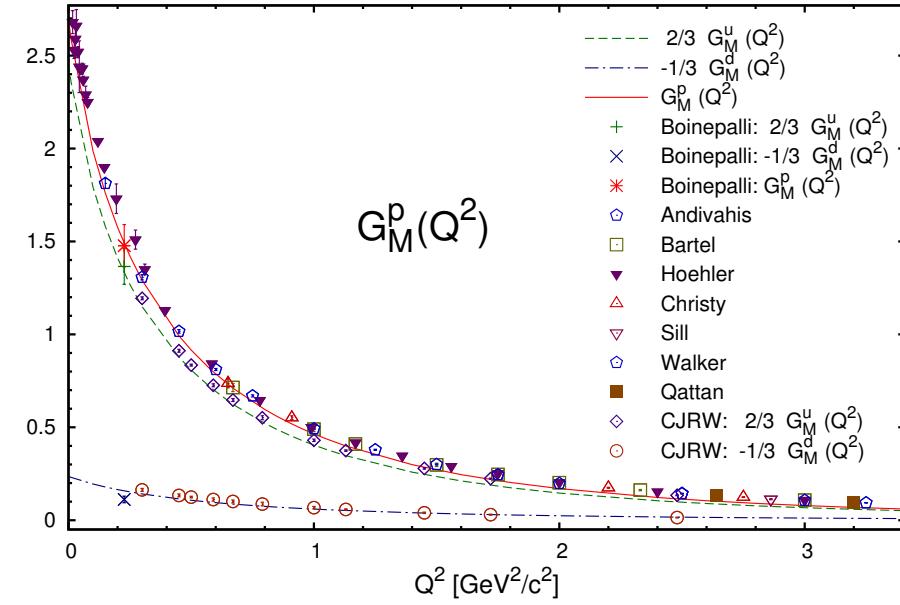
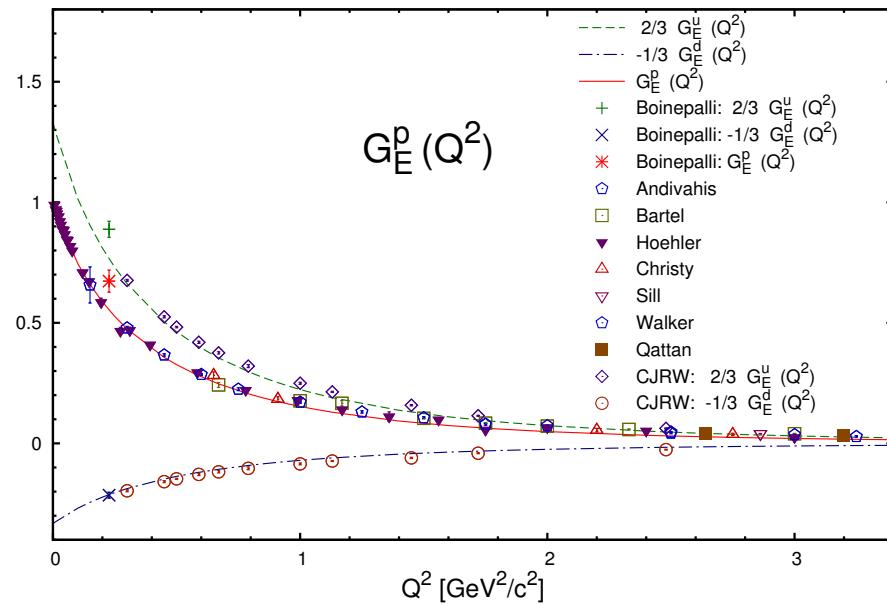


# Electromagnetic Nucleon Form Factors

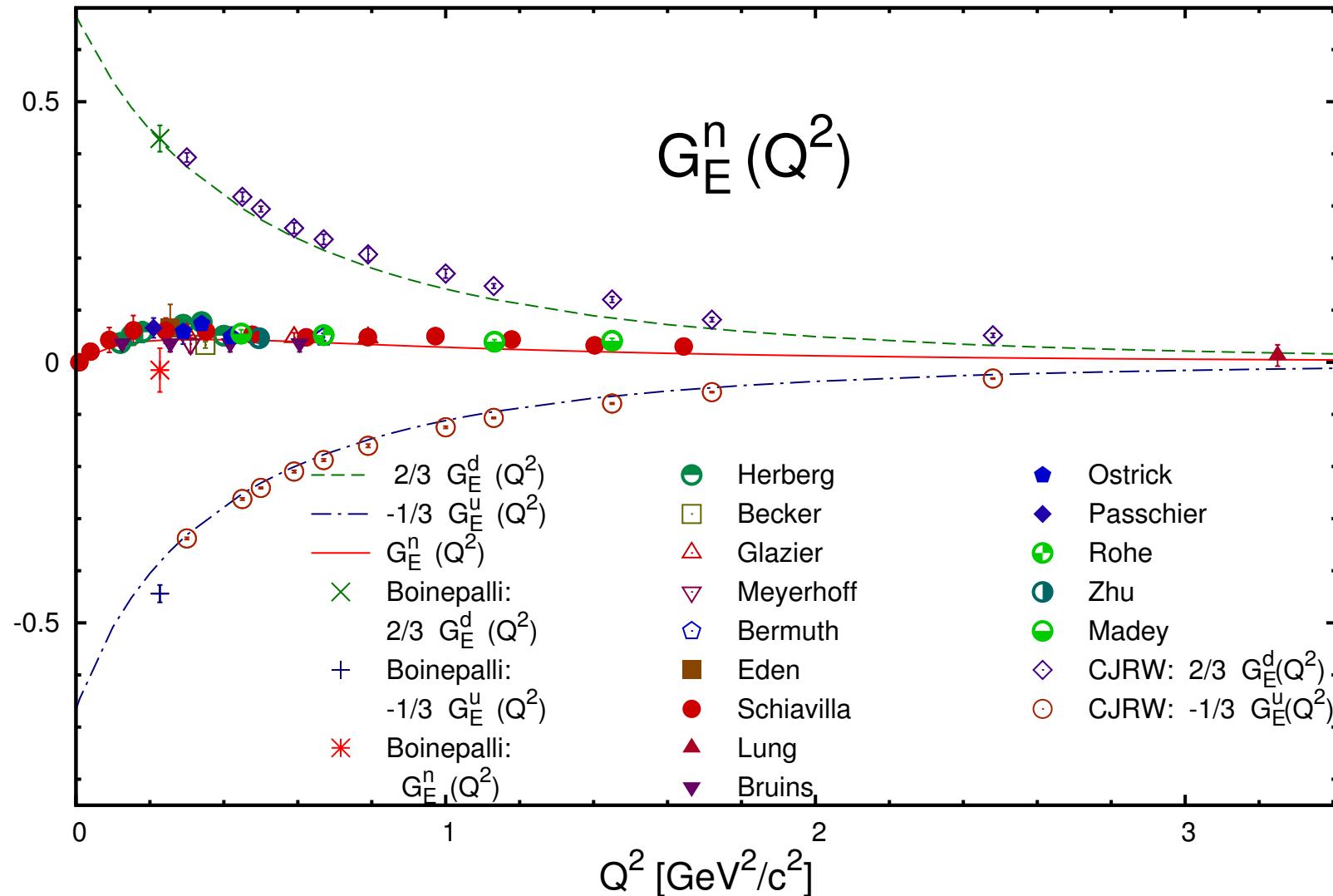
## Covariant predictions of the GBE CQM:



# Flavor Decomposition of Nucleon Form Factors



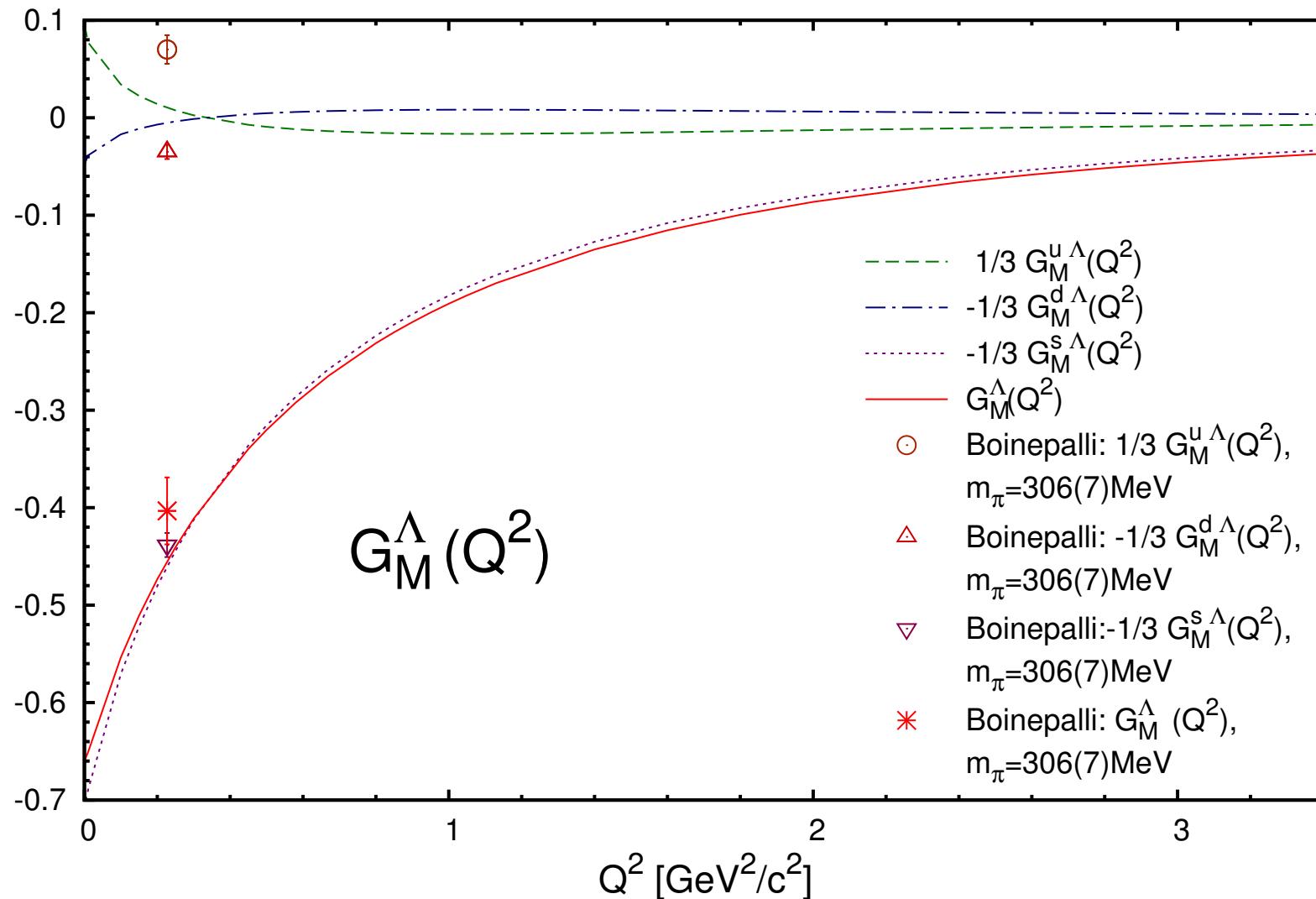
# Flavor Decomposition of Neutron $G_E(Q^2)$



M. Rohrmoser, K.-S. Choi, and W. Plessas: Few-Body Syst. 58 (2017) 83

Lattice QCD: S. Boinepalli et al.: Phys. Rev. D74 (2006) 093005

# Flavor Components in $\Lambda(1116)$ Magnetic FF $G_M(Q^2)$



M. Rohrmoser, K.-S. Choi, and W. Plessas: Few-Body Syst. 58 (2017) 83

Lattice QCD: S. Boinepalli et al.: Phys. Rev. D74 (2006) 093005

# Electric Radii and Magnetic Moments

Electric radii  $r_E^2$  [fm $^2$ ]

| Baryon | <b>GBE PFSM</b> | Experiment               |
|--------|-----------------|--------------------------|
| $p$    | 0.82            | $0.7692 \pm 0.0123^1)$   |
|        |                 | $0.70870 \pm 0.00113^2)$ |
| $n$    | -0.13           | $-0.1161 \pm 0.0022$     |

<sup>1)</sup> CODATA value (PDG)

<sup>2)</sup> Pohl et al.: Nature 466 (2010) 213

Magnetic moments  $\mu$  [n.m.]

| Baryon | <b>GBE PFSM</b> | Experiment  |
|--------|-----------------|-------------|
| $p$    | 2.70            | 2.792847356 |
|        | -1.70           | -1.9130427  |

# Electric Radii and Magnetic Moments – Nonrelativistic !!

Electric radii  $r_E^2$  [fm $^2$ ]

| Baryon | GBE PFSM | GBE NRIA | Experiment               |
|--------|----------|----------|--------------------------|
| $p$    | 0.82     | 0.10     | $0.7692 \pm 0.0123^1)$   |
| $n$    | -0.13    | -0.01    | $0.70870 \pm 0.00113^2)$ |

<sup>1)</sup> CODATA value (PDG)

<sup>2)</sup> Pohl et al.: Nature 466 (2010) 213

Magnetic moments  $\mu$  [n.m.]

| Baryon | GBE PFSM | GBE NRIA | Experiment  |
|--------|----------|----------|-------------|
| $p$    | 2.70     | 2.74     | 2.792847356 |
| $n$    | -1.70    | -1.82    | -1.9130427  |

# Baryon Electric Radii and Magnetic Moments

Electric radii  $r_E^2$  [fm $^2$ ]

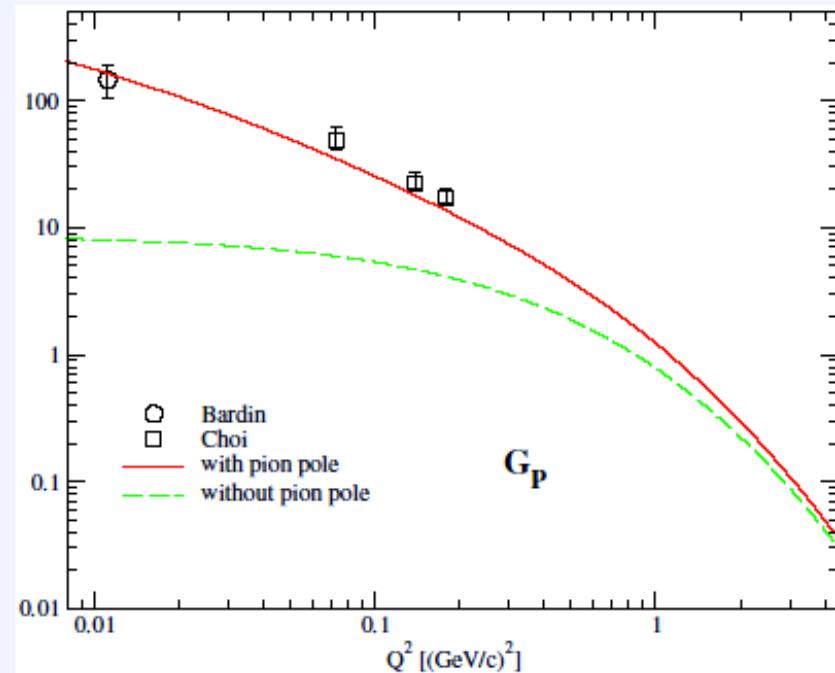
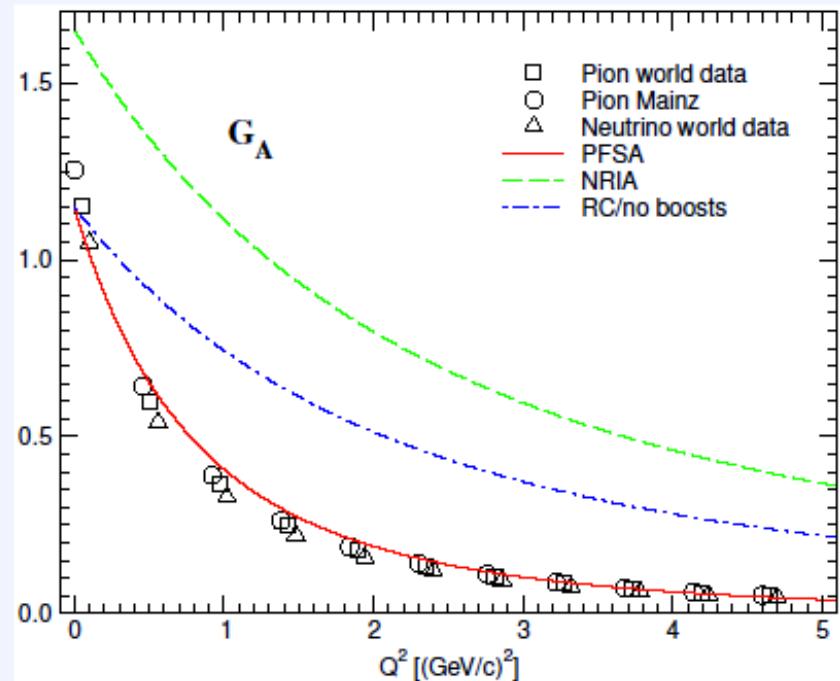
| Baryon     | <b>GBE PFSM</b> | Experiment               |
|------------|-----------------|--------------------------|
| $p$        | 0.82            | $0.7692 \pm 0.0123$      |
| $n$        | -0.13           | $-0.1161 \pm 0.0022$     |
| $\Sigma^-$ | 0.72            | $0.61 \pm 0.12 \pm 0.09$ |

Magnetic moments  $\mu$  [n.m.]

| Baryon        | <b>GBE PFSM</b> | Experiment                        |
|---------------|-----------------|-----------------------------------|
| $p$           | 2.70            | 2.792847356                       |
| $n$           | -1.70           | -1.9130427                        |
| $\Lambda$     | -0.64           | $-0.613 \pm 0.004$                |
| $\Sigma^+$    | 2.38            | $2.458 \pm 0.010$                 |
| $\Sigma^-$    | -0.93           | $-1.160 \pm 0.025$                |
| $\Xi^0$       | -1.25           | $-1.250 \pm 0.014$                |
| $\Xi^-$       | -0.70           | $-0.6507 \pm 0.0025$              |
| $\Delta^+$    | 2.08            | $2.7^{+1.0}_{-1.3} \pm 1.5 \pm 3$ |
| $\Delta^{++}$ | 4.17            | $3.7 - 7.5$                       |
| $\Omega^-$    | -1.59           | $-2.020 \pm 0.05$                 |

# Axial Nucleon Form Factors

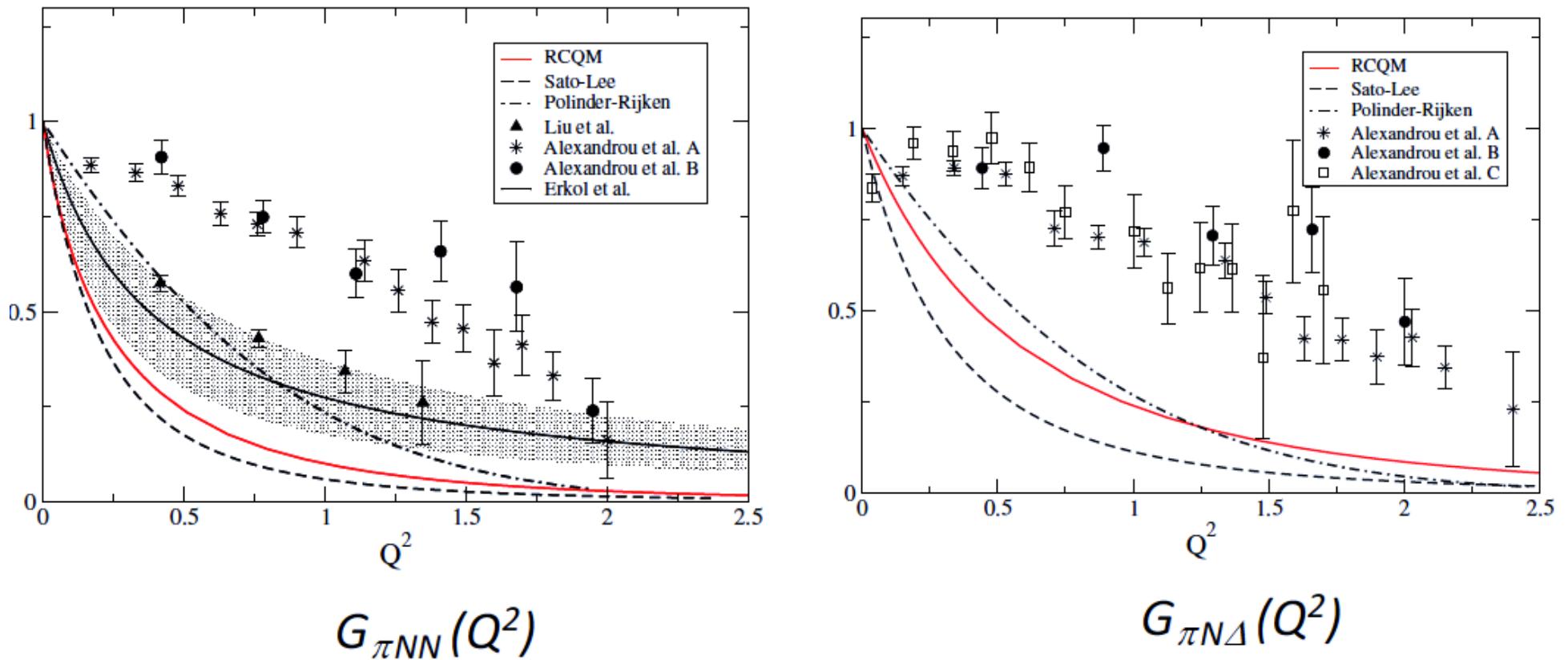
## Covariant predictions of the GBE RCQM:



$$g_A^{GBE} = 1.15 \quad \text{vs.}$$

$$g_A^{\text{exp}} = 1.2695 \pm 0.0029$$

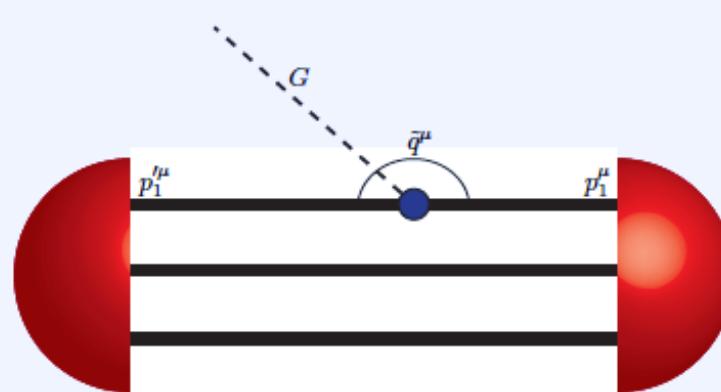
# Meson-Baryon Vertices – Strong FFs



First genuine microscopic predictions of the  $\pi NN$  and  $\pi N\Delta$  strong-interaction vertices  
from the relativistic Goldstone-boson-exchange constituent quark model

T. Melde, L. Canton, and W. Plessas: Phys. Rev. Lett. 102 (2009) 132002

# Gravitational Nucleon Form Factors

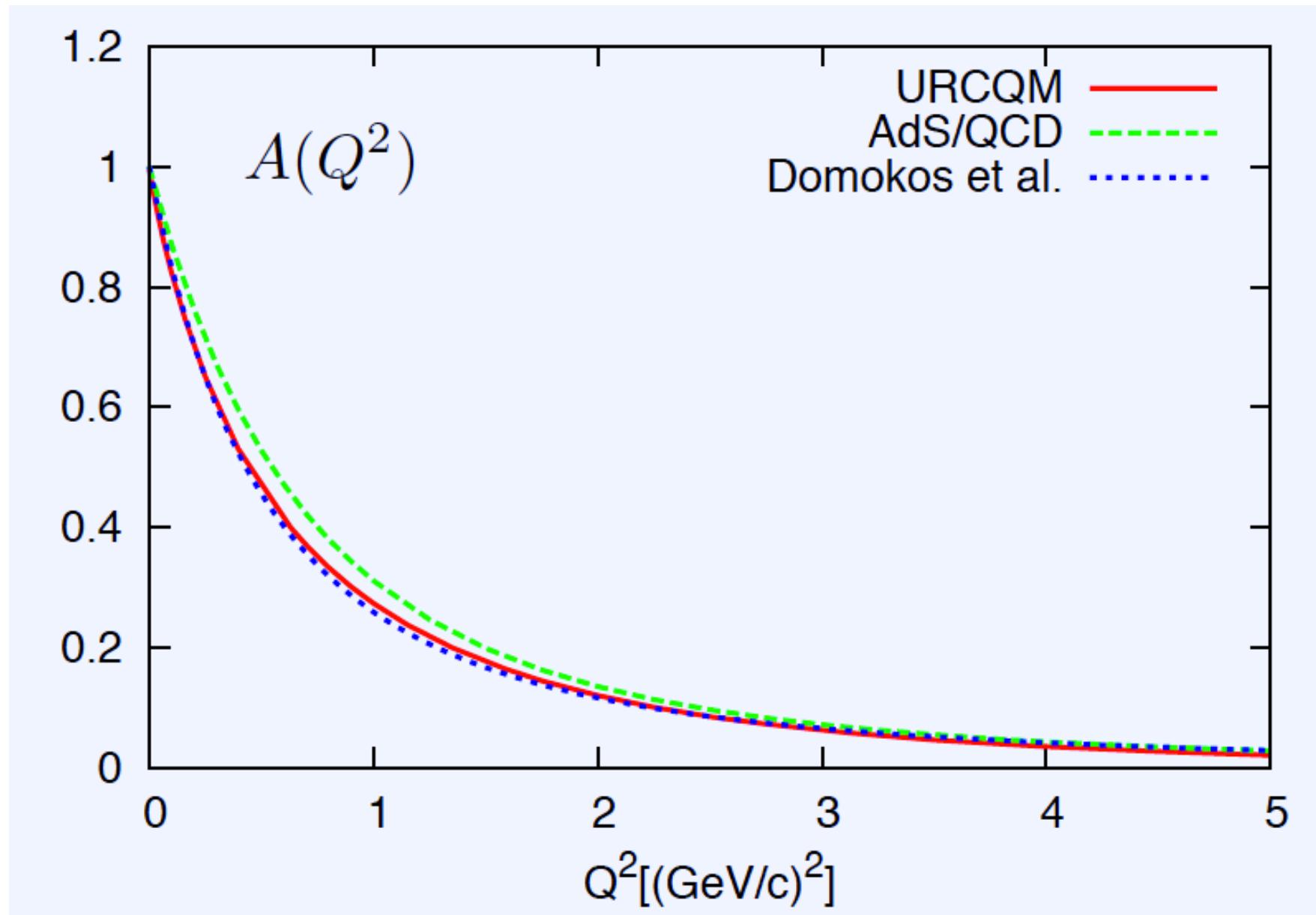


Invariant ME of **energy-momentum tensor**  $\hat{\Theta}^{\mu\nu}$ :

$$\langle P' J \Sigma' | \hat{\Theta}^{\mu\nu} | P J \Sigma \rangle = \bar{U}(P') \left[ \gamma^{(\mu} \bar{P}^{\nu)} A(Q^2) + \frac{i}{2M} \bar{P}^{(\mu} \sigma^{\nu)} B(Q^2) + \frac{q^\mu q^\nu - q^2 g^{\mu\nu}}{M} C(Q^2) \right] U(P)$$

$$A(Q^2) \sim \langle P' J \Sigma' | \Theta^{00} | P J \Sigma \rangle$$

# Gravitational Nucleon Form Factor $A(Q^2)$



# Hadronic Resonance Decays

| $N^*, \Delta^*$<br>$\rightarrow N\pi$ | Experiment<br>[MeV]        | Relativistic |      | Nonrel.    |      |
|---------------------------------------|----------------------------|--------------|------|------------|------|
|                                       |                            | GBE          | OGE  | EEM<br>GBE | OGE  |
| $N(1440)$                             | $(227 \pm 18)^{+70}_{-59}$ | 30           | 59   | 7          | 27   |
| $N(1520)$                             | $(66 \pm 6)^{+9}_{-5}$     | 21           | 23   | 38         | 37   |
| $N(1535)$                             | $(67 \pm 15)^{+28}_{-17}$  | 25           | 39   | 559        | 1183 |
| $N(1650)$                             | $(109 \pm 26)^{+36}_{-3}$  | 6.3          | 9.9  | 157        | 352  |
| $N(1675)$                             | $(68 \pm 8)^{+14}_{-4}$    | 8.4          | 10.4 | 13         | 16   |
| $N(1700)$                             | $(10 \pm 5)^{+3}_{-3}$     | 1.0          | 1.3  | 2.2        | 2.7  |
| $N(1710)$                             | $(15 \pm 5)^{+30}_{-5}$    | 19           | 21   | 8          | 6    |

| $N \rightarrow N\eta$ | Experiment<br>[MeV]               | Relativistic |      | Nonrel.    |      |
|-----------------------|-----------------------------------|--------------|------|------------|------|
|                       |                                   | GBE          | OGE  | EEM<br>GBE | OGE  |
| $N(1520)$             | $(0.28 \pm 0.05)^{+0.03}_{-0.01}$ | 0.1          | 0.1  | 0.04       | 0.04 |
| $N(1535)$             | $(64 \pm 19)^{+28}_{-28}$         | 27           | 35   | 127        | 236  |
| $N(1650)$             | $(10 \pm 5)^{+4}_{-1}$            | 50           | 74   | 283        | 623  |
| $N(1675)$             | $(0 \pm 1.5)^{+0.3}_{-0.1}$       | 1.5          | 2.4  | 1.1        | 1.8  |
| $N(1700)$             | $(0 \pm 1)^{+0.5}_{-0.5}$         | 0.5          | 0.9  | 0.2        | 0.3  |
| $N(1710)$             | $(6 \pm 1)^{+11}_{-4}$            | 0.02         | 0.06 | 2.9        | 9.3  |

With theoretical masses

# Coupled-Channels RCQM with Explicit Mesons

**Coupled-channels mass-operator eigenvalue equation**  
for  $\pi$ -dressing of a given bare  $\{\widetilde{QQQ}\}$  cluster state

$$\begin{pmatrix} M_{\widetilde{QQQ}} & K_{\pi\widetilde{QQQ}} \\ K_{\pi\widetilde{QQQ}}^\dagger & M_{\widetilde{QQQ}+\pi} \end{pmatrix} \begin{pmatrix} |\psi_{QQQ}\rangle \\ |\psi_{QQQ+\pi}\rangle \end{pmatrix} = m \begin{pmatrix} |\psi_{QQQ}\rangle \\ |\psi_{QQQ+\pi}\rangle \end{pmatrix},$$

where  $M_{\widetilde{QQQ}}$  is the  $\{\widetilde{QQQ}\}$  mass operator with confinement.

After Feshbach elimination of the  $|\psi_{QQQ+\pi}\rangle$  channel:

$$[M_{\widetilde{QQQ}} + \underbrace{K_{\pi\widetilde{QQQ}}(m - M_{\widetilde{QQQ}+\pi})^{-1} K_{\pi\widetilde{QQQ}}^\dagger}_{V_{opt}}] |\psi_{QQQ}\rangle = m |\psi_{QQQ}\rangle.$$

It is an exact eigenvalue equation for  $|\psi_{QQQ}\rangle$ , yielding in general a complex eigenvalue  $m$  of the  $\pi$ -dressed  $\{QQQ\}$  system.

# $\pi$ -Dressing Effects on $N$ and $\Delta$

## Predictions of the CC RCQM

|                                      | CC    | RCQM   | SL   | KNLS | PR Gauss | PR Multipole |
|--------------------------------------|-------|--------|------|------|----------|--------------|
| $\frac{f_{\pi N \tilde{N}}^2}{4\pi}$ | 0.071 | 0.0691 | 0.08 | 0.08 | 0.013    | 0.013        |
| $m_N$                                | 939   | 939    | 939  | 939  | 939      | 939          |
| $m_{\tilde{N}}$                      | 1096  | 1067   | 1031 | 1037 | 1025     | 1051         |
| $m_N - m_{\tilde{N}}$                | -157  | -128   | -92  | -98  | -86      | -112         |

|   | CC    | RCQM  | SL         | KNLS  | PR Gauss | PR Multipole |
|---|-------|-------|------------|-------|----------|--------------|
| $\frac{f_{\pi \tilde{N} \Delta}^2}{4\pi}$ | 0.239 | 0.188 | 0.334      | 0.126 | 0.167    | 0.167        |
| $m_N$                                     | 939   | 939   | 939        | 939   | 939      | 939          |
| $Re[m_\Delta]$                            | 1232  | 1232  | 1232       | 1232  | 1232     | 1232         |
| $m_{\tilde{\Delta}}$                      | 1327  | 1309  | 1288       | 1261  | 1329     | 1347         |
| $Re[m_\Delta] - m_{\tilde{\Delta}}$       | -95   | -77   | -56        | -29   | -96      | -115         |
| $2 Im[m_\Delta] = \Gamma$                 | 67    | 47    | 64         | 27    | 52       | 52           |
| $\Gamma_{exp}(\Delta \rightarrow \pi N)$  |       |       | $\sim 117$ |       |          |              |

(all values in MeV)

# Summary and Some Open Problems

- ❖ Baryon spectroscopy of ALL flavors can be consistently described in a universal relativistic constituent quark model (URCQM)
- ❖ The nonrelativistic constituent quark model must be discarded
- ❖ The covariant structures of the baryon ground states ( $N, \Delta, \Lambda, \Xi, \dots$  form factors) at low momentum transfers result in agreement with experimental observables
- ❖ Beyond that the results agree with (reliable) lattice QCD data
- ❖ Strong baryon resonance decays fail with  $\{QQQ\}$  d.o.f. only
- ❖ A realistic description of hadron resonances still represents a formidable challenge (for all QCD-based approaches)
- ❖ Inclusion of explicit meson d.o.f. can be achieved in a coupled-channels relativistic constituent quark model
- ❖ Calculation of baryon properties in a medium will be an interesting task

The End

Thank you very much for your attention!