

# Segregating inclusive, prompt and non-prompt production of $J/\psi$ at the LHC energies using machine learning



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**Based On:**

S. Prasad, N. Mallick and R. Sahoo, Phys. Rev. D 109, 014005 (2024)

# Outline

- Introduction
- Quarkonia
- Topological production of  $J/\psi$
- Inputs to the machine
- Model parameters
- Model performance
- Results
- Summary

# Outline

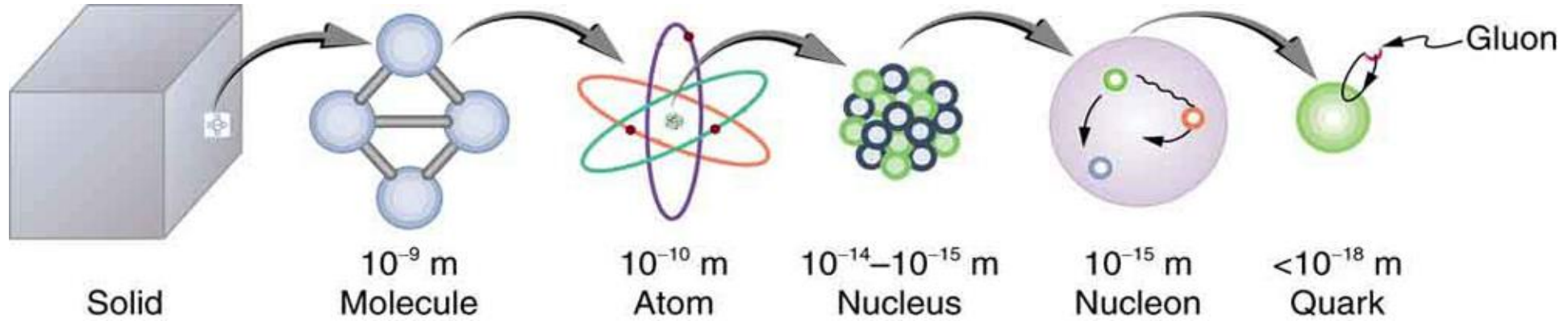
- Introduction
- Quarkonia

## **Big Questions:**

What is the universe made of?  
How does it work?  
How did it evolve?

- Model performance
- Results
- Summary

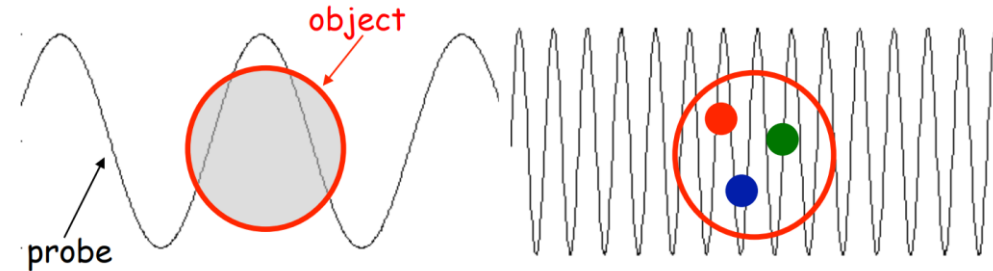
# Constituents of Matter



## Standard Model of Elementary Particles

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> de Higgs
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b><math>\gamma</math></b> photon	
	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b>Z</b> Z boson	
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson	

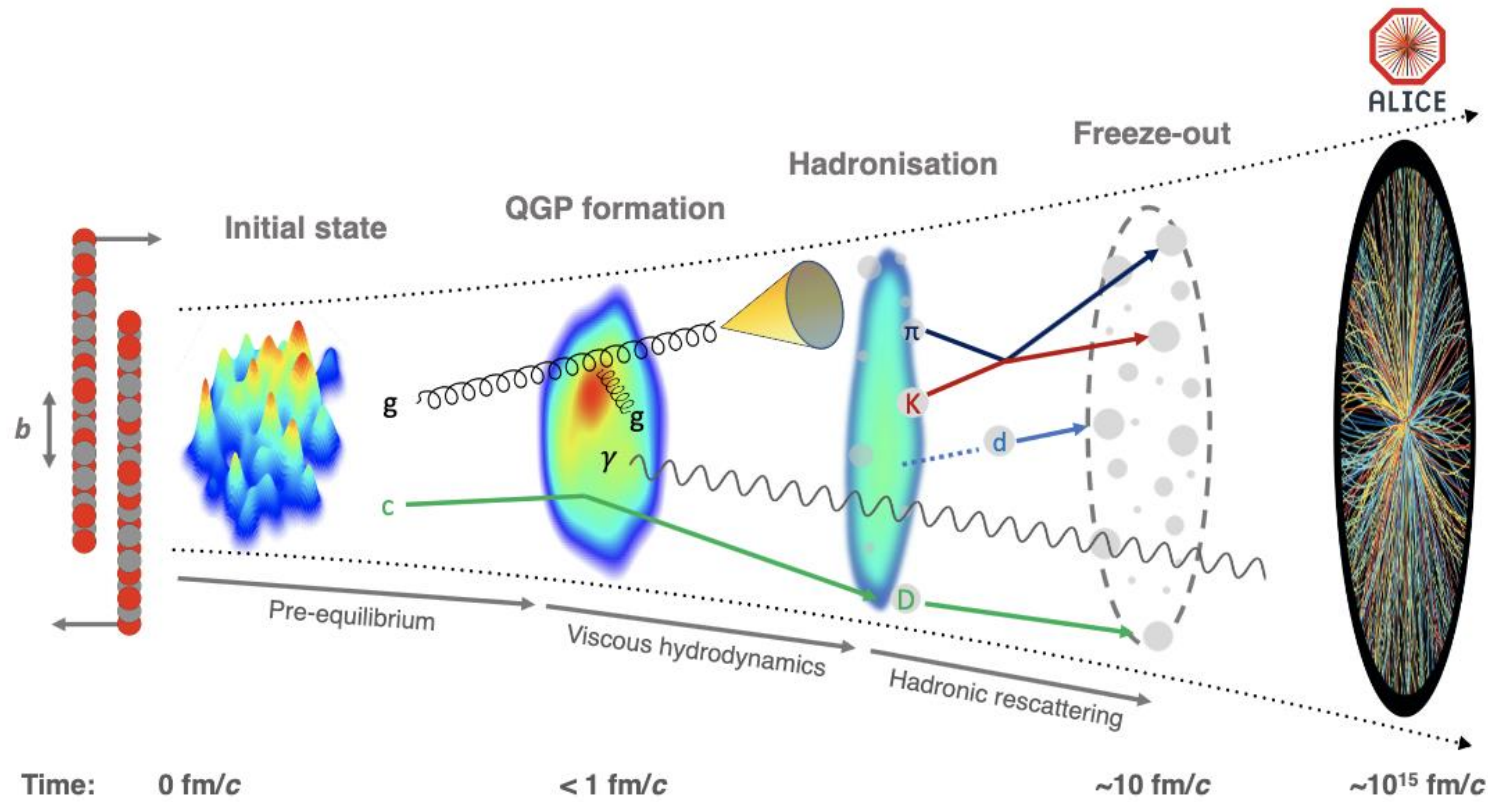
**QUARKS** (left side of fermion table)  
**LEPTONS** (left side of fermion table)  
**SCALAR BOSONS** (right side of boson table)  
**GAUGE BOSONS VECTOR BOSONS** (right side of boson table)



- Energy is related to wavelength by de Broglie's formula:  $p = h/\lambda$
- To probe inside smaller objects, we need higher energy

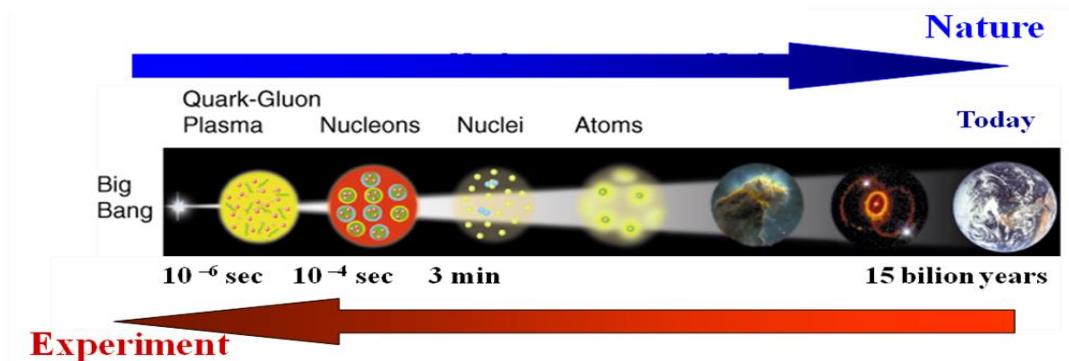
[1] R. Sahoo, "Relativistic Kinematics", [arXiv:1604.02651 [nucl-ex]]

# Space-time evolution in Collider Experiments

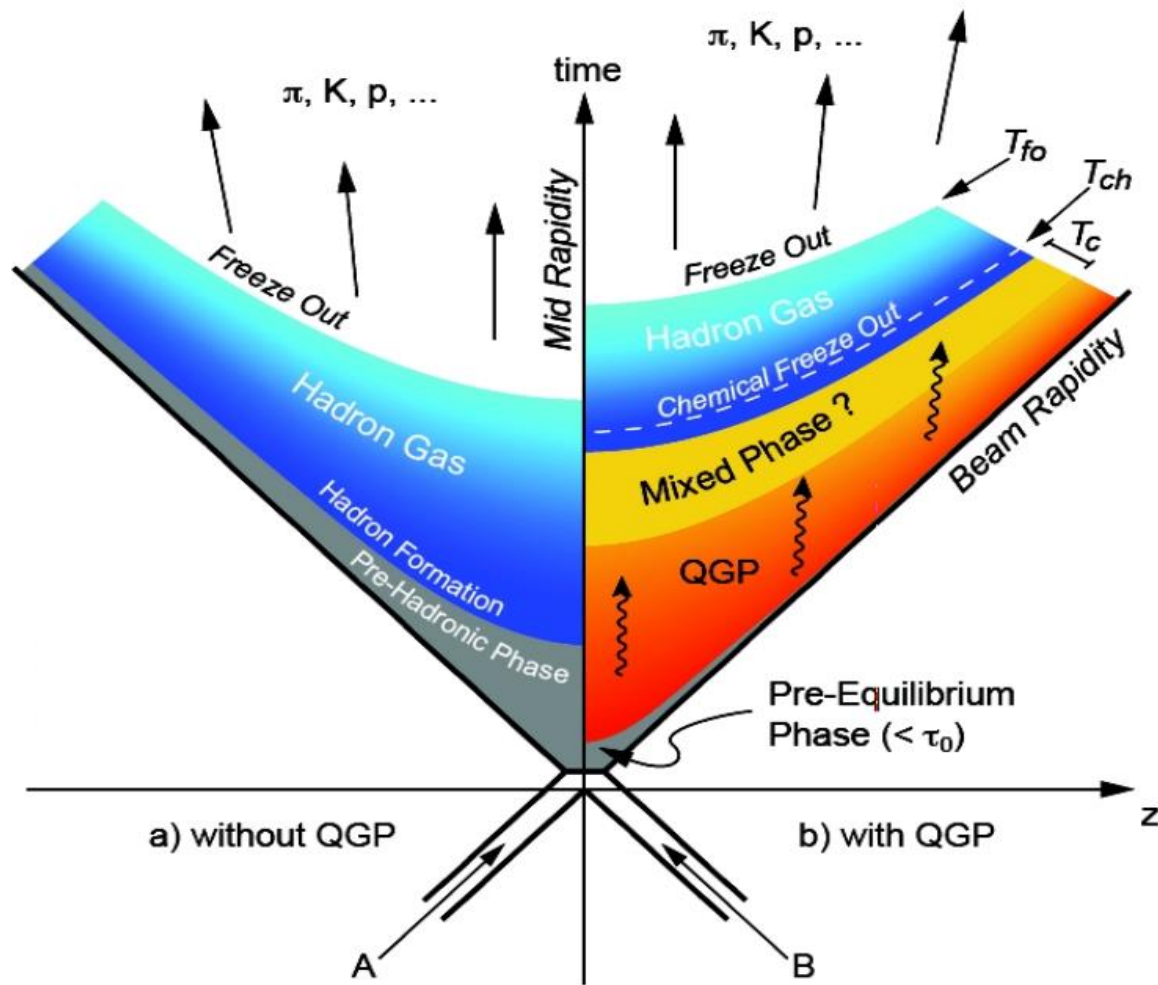


[1] R. Sahoo, AAPPS Bull. 29, 16 (2019).

[2] U. Heinz, Int. J. Mod. Phys. A 30, 1530011 (2015).



# Space-time evolution in Collider Experiments

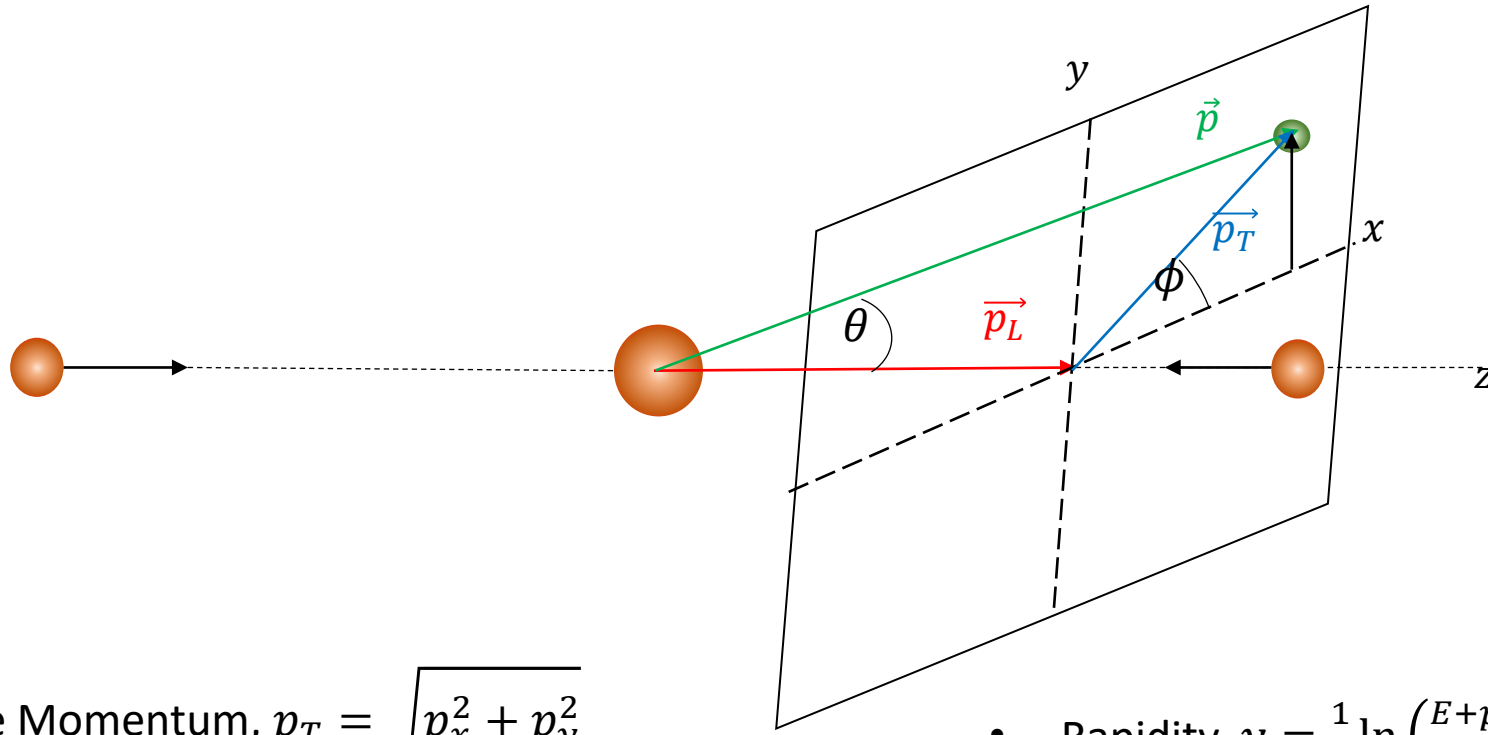


- There are two possible scenarios of the space-time evolution in collider experiments depending upon the system size and the collision energy
- One assumes the formation of a deconfined thermalised state of the deconfined quarks and gluons known as the quark-gluon plasma (QGP) led by the pre-equilibrium phase and followed by a mixed phase and hadron gas phase (larger system size and denser partonic medium)
- Another scenario involves the prehadronic phase followed by the hadron gas phase (small collision scenario)

<https://particlesandfriends.wordpress.com/2016/10/14/evolution-of-collisions-and-qgp/>



# Coordinate System



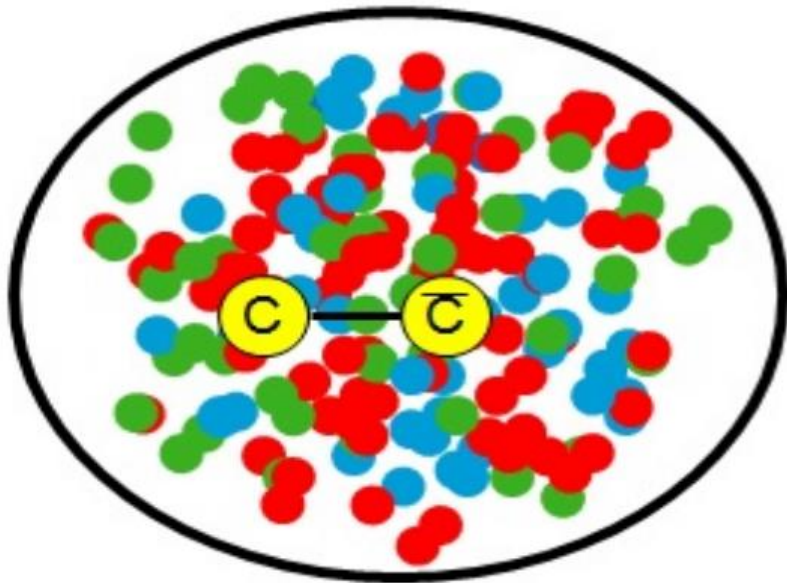
- Transverse Momentum,  $p_T = \sqrt{p_x^2 + p_y^2}$
- Azimuthal Angle,  $\phi = \tan^{-1} \left( \frac{p_y}{p_x} \right)$
- Polar angle,  $\theta = \tan^{-1} \left( \frac{p_T}{p_z} \right)$

- Rapidity,  $y = \frac{1}{2} \ln \left( \frac{E+p_z}{E-p_z} \right)$
- Pseudo-rapidity,  $\eta = -\ln \left( \tan \frac{\theta}{2} \right)$
- Every produced particle is represented in terms of their  $(p_T, \eta, \phi)$

[1] R. Sahoo, "Relativistic Kinematics", [arXiv:1604.02651 [nucl-ex]]

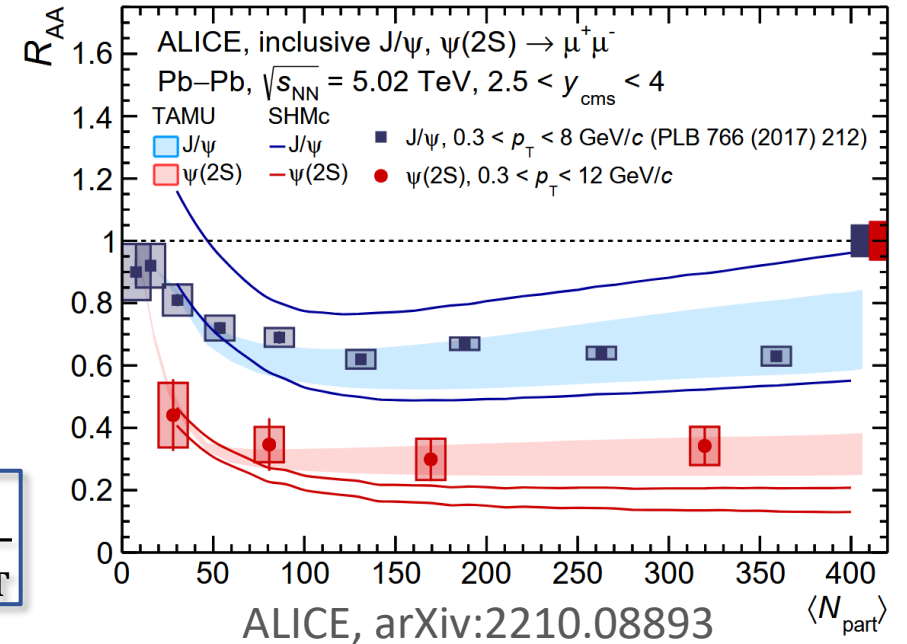
# Quarkonia

- Quarkonia is a bound state of heavy quark and antiquark pairs
- Due to its heavy mass, quarkonia studies in QGP are important as it experiences the whole medium evolution
- Serve as the testing ground for QCD



$$R_{AA} = \frac{d^2 N_{AA} / d\eta dp_T}{\langle N_{coll} \rangle d^2 N_{pp} / d\eta dp_T}$$

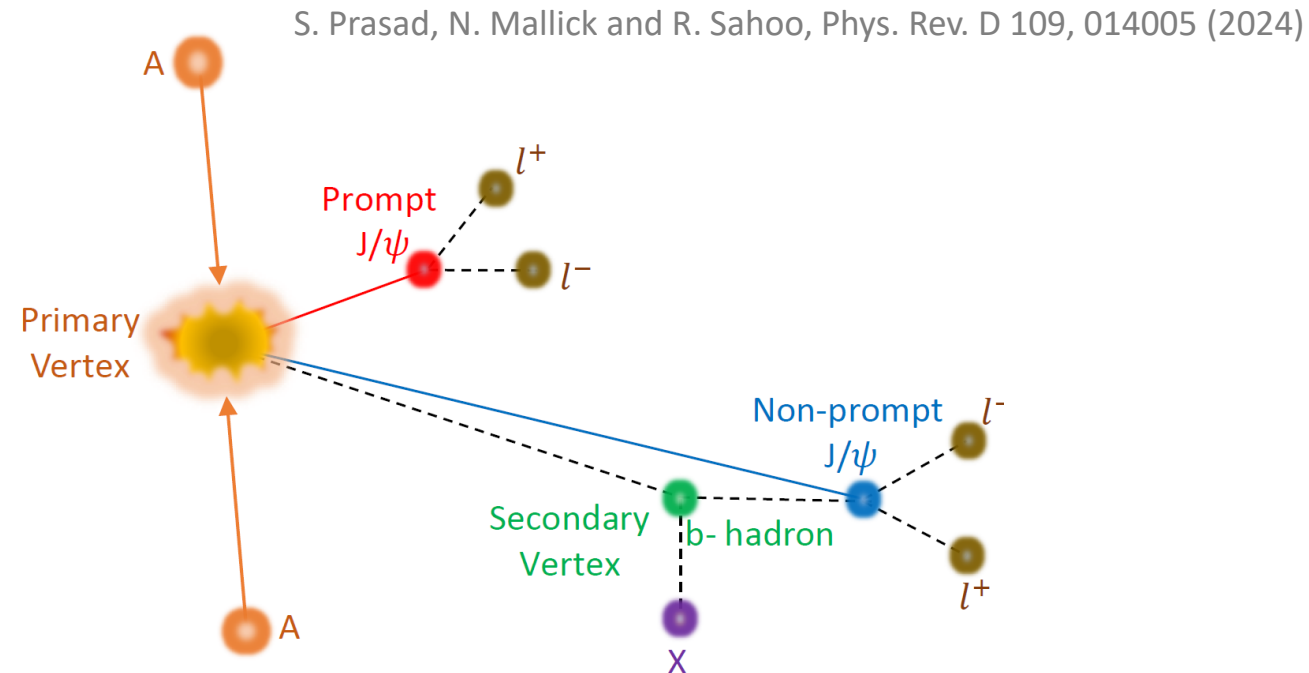
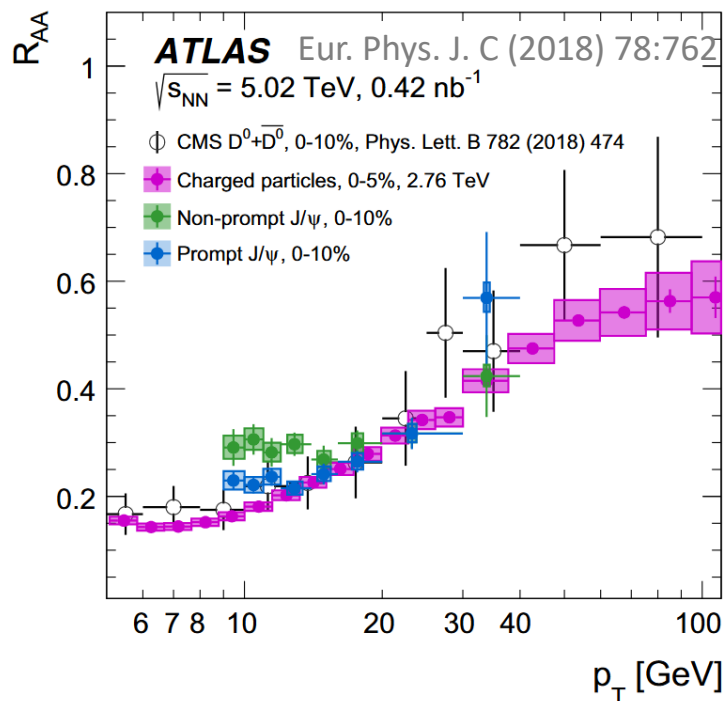
- Charmonia ( $c\bar{c}$ ) and bottomonia ( $b\bar{b}$ )
- Suppression increased towards higher  $\langle N_{part} \rangle$  due to the denser partonic medium: more screening





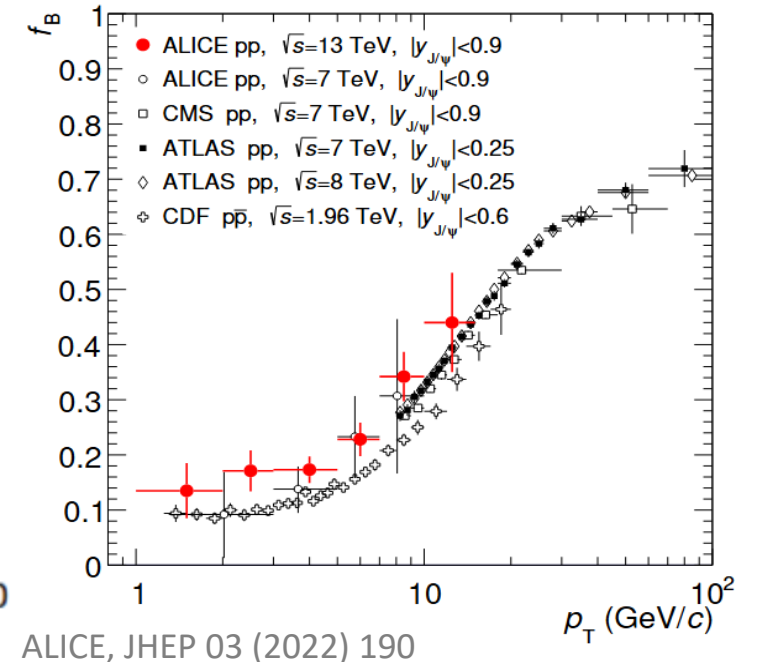
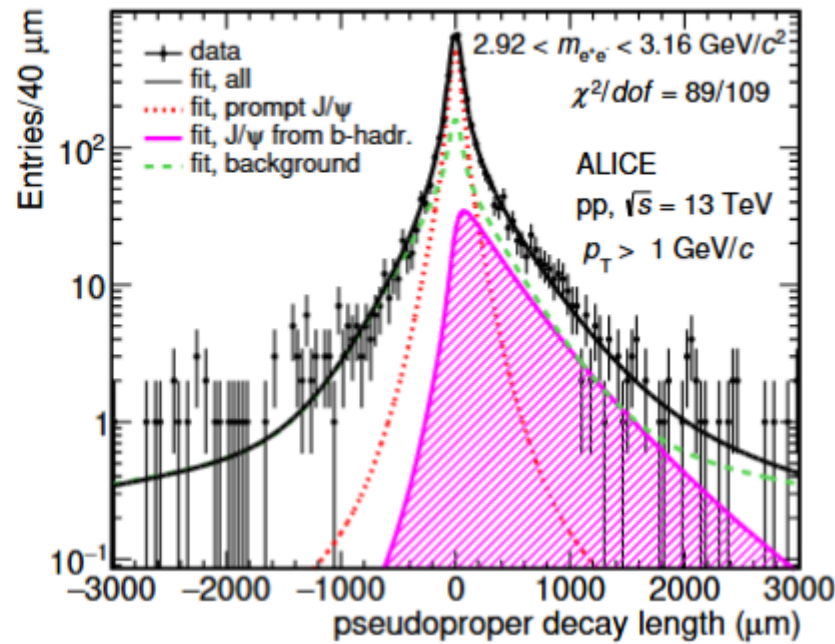
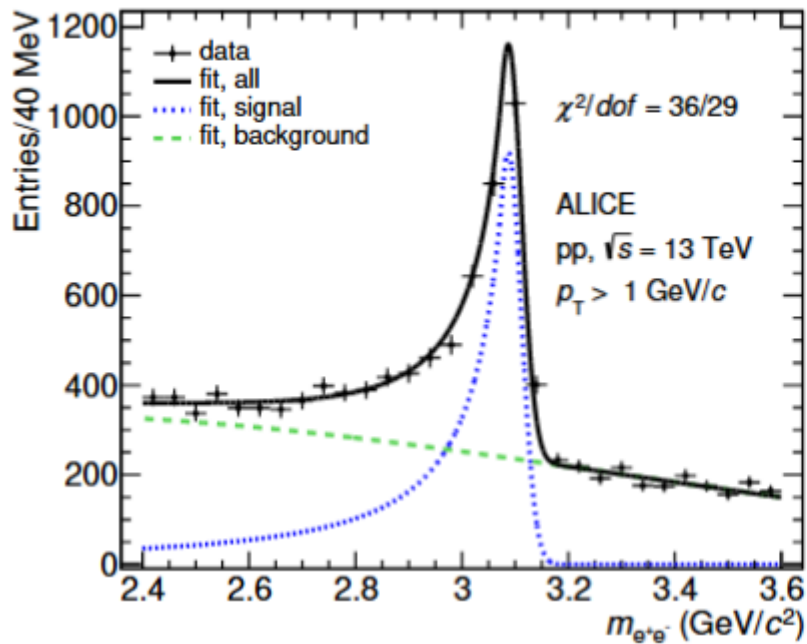
# Topological production of $J/\psi$

- $J/\psi$  meson: Vector charmonium with lightest mass ( $3.096 \text{ GeV}/c^2$ )
- In experiments, dileptonic channels are used to reconstruct  $J/\psi$ . ( $J/\psi \rightarrow \mu^+ + \mu^-$  or  $J/\psi \rightarrow e^+ + e^-$ )
- Prompt Production: Direct production/ decay of heavier charmonium states
- Non-prompt Production: Products of beauty hadron weak decays (Opportunity to study b-hadron)
- Prompt and non-prompt  $J/\psi$  are topologically different thus they both show different values of suppression



# Experimental Procedure

- In experiments, the invariant mass of dilepton pairs are estimated:  $M_{ee} = \sqrt{(E_1 + E_2)^2 - (|\vec{p}_1 + \vec{p}_2|)^2}$
- Using the vertexing information from the detectors, the pseudoproper decay length ( $c\tau$ ) is estimated:  $c\tau = \frac{c m_{J/\psi} \vec{L} \cdot \vec{p}_T}{|\vec{p}_T|^2}$
- One performs a simultaneous fit to the invariant mass signal and pseudoproper decay length to obtain fraction of nonprompt yield ( $f_B$ )
- For the fitting, the PDFs for prompt and non-prompt are usually taken from MC simulations



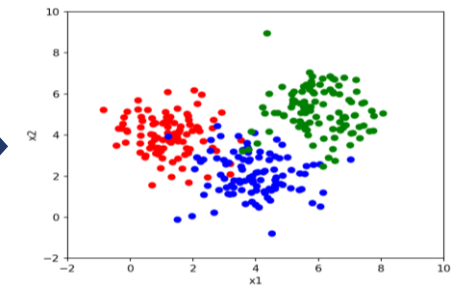
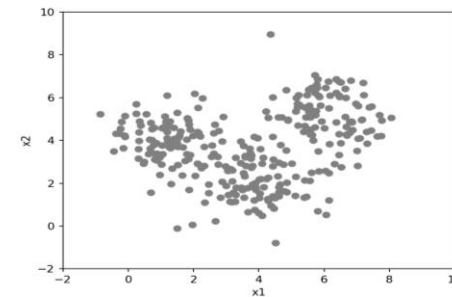
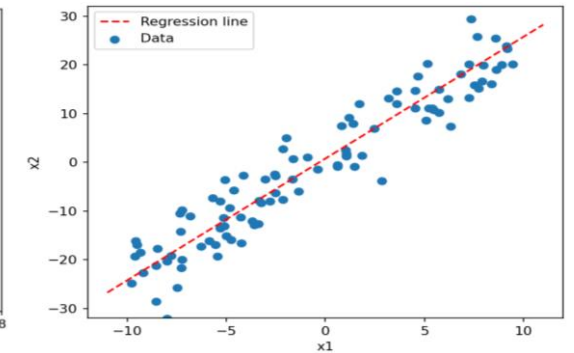
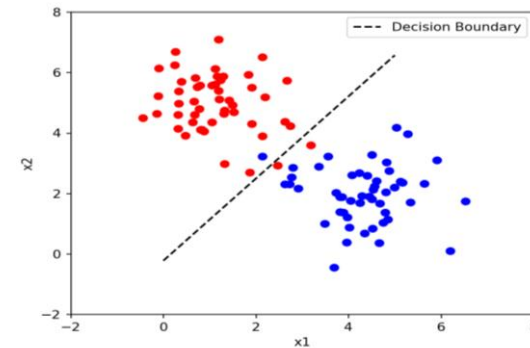
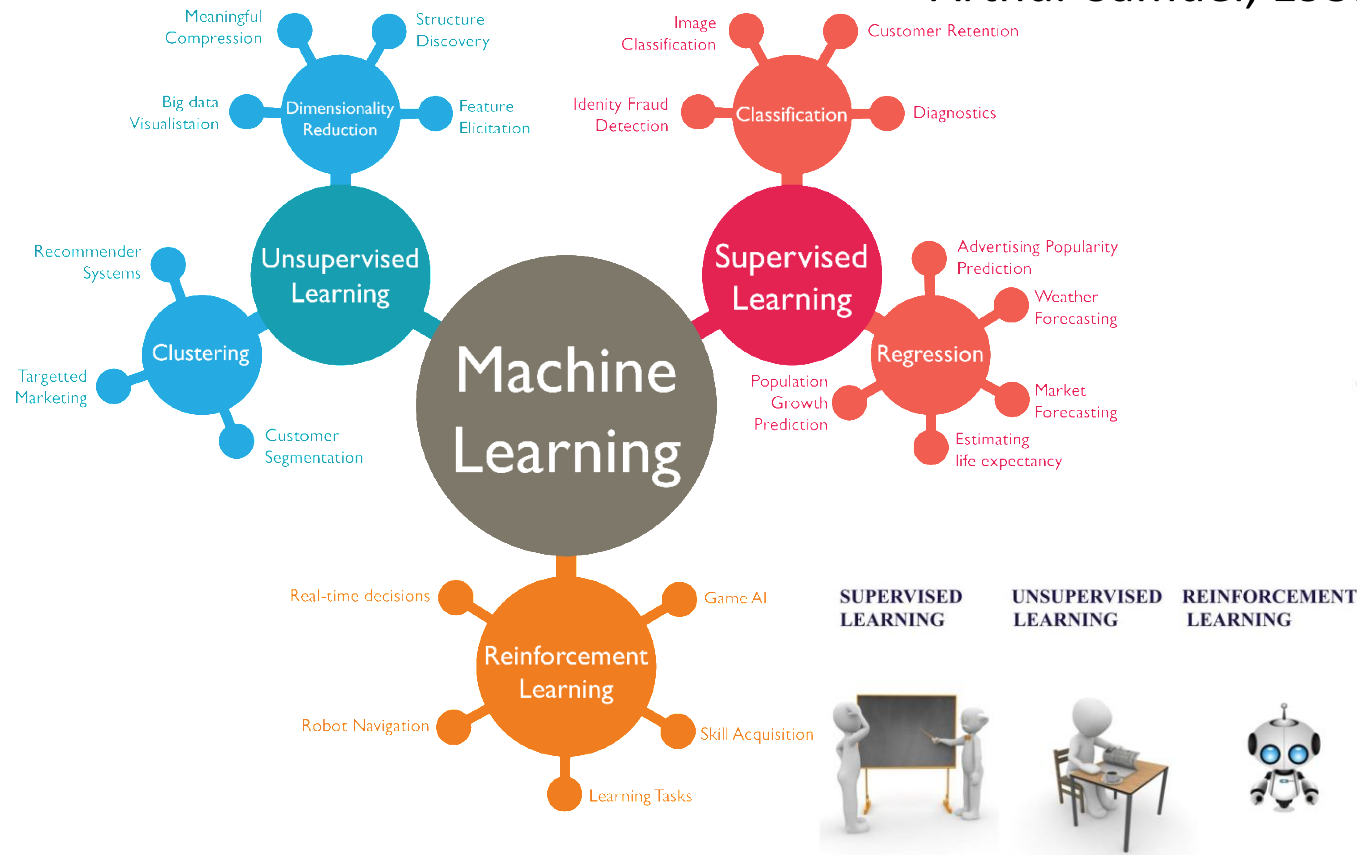
# Machine Learning

“Machine learning is the field of study that gives computers the ability to learn without being explicitly programmed.”

-Arthur Samuel, 1959

## What it needs?

- Big data
- Smart algorithm (BDT, DNN, GNN etc.)
- Knowledge from data
- Tune the parameters (Optimise the model)
- Predict!!



# Preparing the inputs

- PYTHIA8 is used as the MC generator to generate 20 billion minimum bias events for pp collisions at  $\sqrt{s} = 13$  TeV using 4C-tune
- The coordinates of the primary vertex are randomised following a Gaussian distribution
- $J/\psi \rightarrow \mu^+ + \mu^-$  channel is used to reconstruct invariant mass ( $m_{\mu\mu}$ ), transverse momentum ( $p_{T,\mu\mu}$ ), pseudorapidity ( $\eta_{\mu\mu}$ ) and rapidity ( $y_{\mu\mu}$ ) of the dimuons
- Pseudoproper decay length ( $c\tau$ ) of the reconstructed dimuon pairs along with  $m_{\mu\mu}$ ,  $p_{T,\mu\mu}$ , and  $\eta_{\mu\mu}$  are taken as inputs

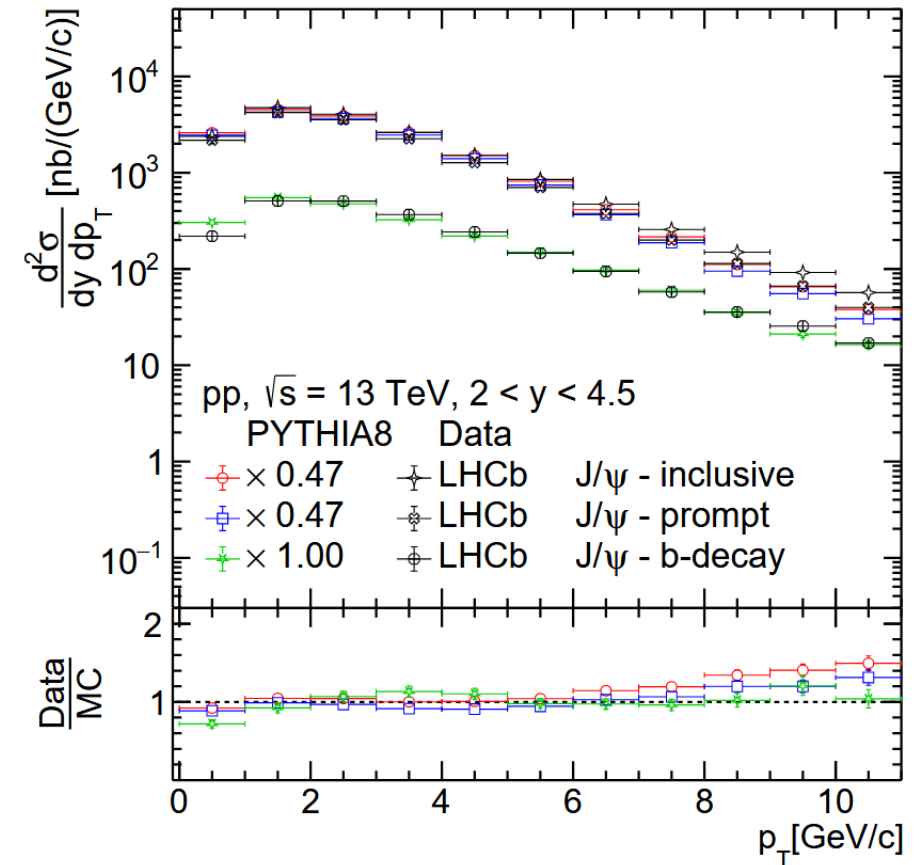
$$c\tau = \frac{c m_{J/\psi} \vec{L} \cdot \vec{p}_T}{|\vec{p}_T|^2}$$

$$\vec{L} = \vec{S} - \vec{V}$$

$\vec{V}$  = Primary Vertex

$\vec{S}$  = Reconstructed  $J/\psi$  decay vertex

$$S_x = \frac{(t_1 + x_1 m_1 / p_{x,1}) - (t_2 + x_2 m_2 / p_{x,2})}{m_1 / p_{x,1} - m_2 / p_{x,2}}$$



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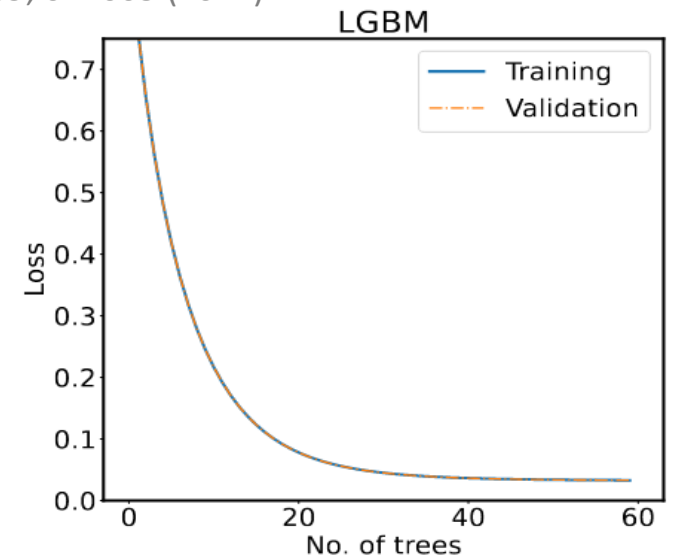
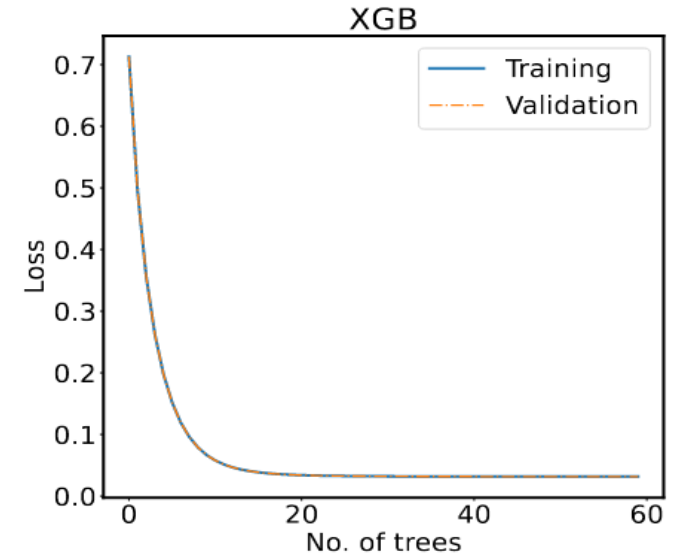
# Model parameters

- Background : Prompt : Non-prompt = 20 : 10 : 1
- Classification models required to be trained on similar number of training instances → oversampling of data is done
- Dataset for Training : Testing : Validation = 81 : 10 : 9
- Parameters are chosen through a grid search method (Making an array of all possible parameters and training to find the parameter values for minimum loss)

	XGB	LGBM
Learning rate	0.3	0.1
Sub-sample	1.0	1.0
No. of trees	60	60
Maximum depth	3	3
Objective	<i>softmax</i>	<i>softmax</i>
Metric	<i>mlogloss</i>	<i>multilogloss</i>

S. Prasad, N. Mallick and R. Sahoo, Phys. Rev. D 109, 014005 (2024)

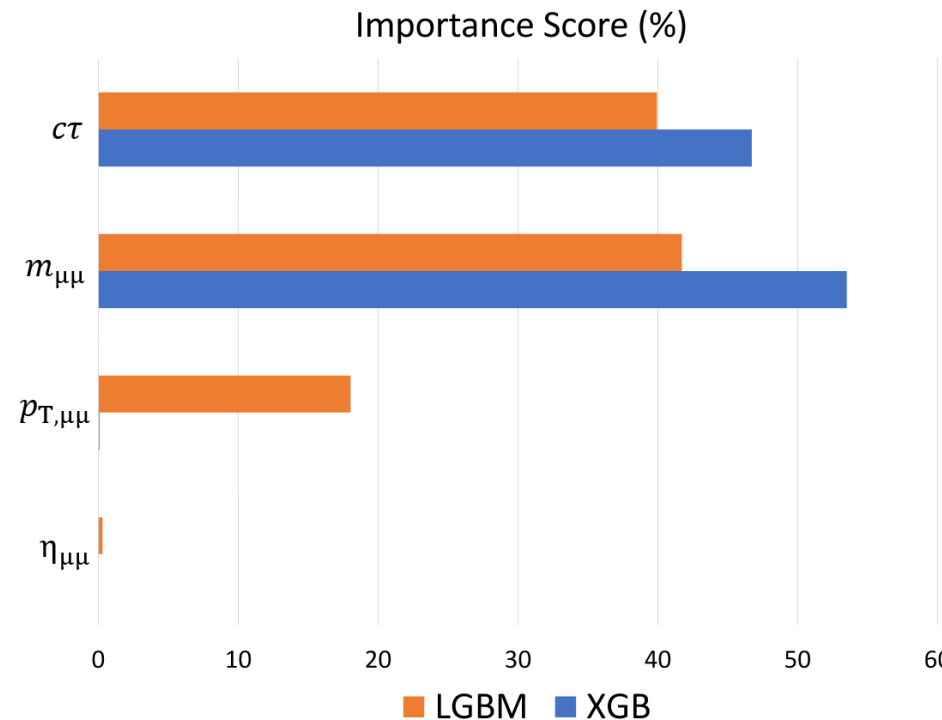
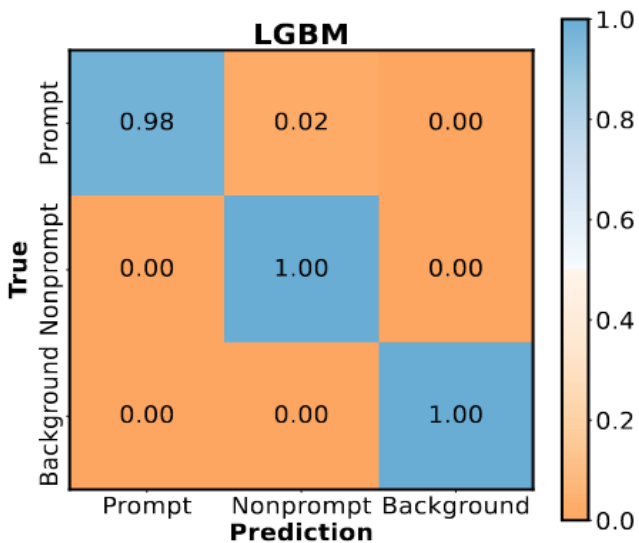
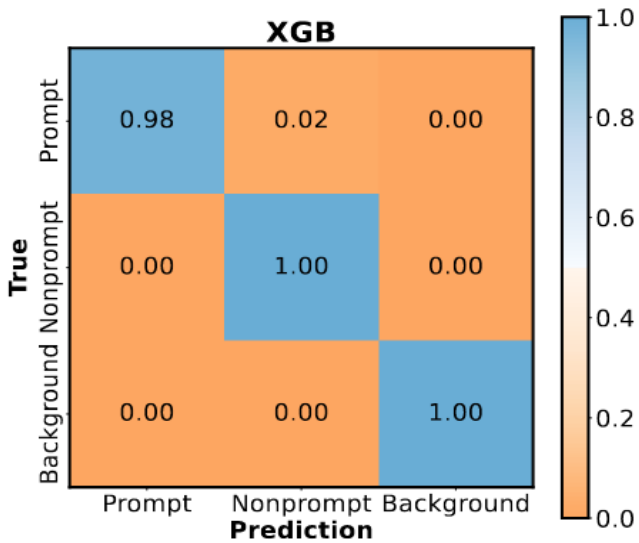
- Loss saturates around 25 trees and 45 trees for XGB and LGBM
- Training and validation curves are on top of each other → No overfitting/underfitting



# Model performance

- Confusion Matrix talks about the mispredictions given by the model for each class
- Both XGB and LGBM perfectly separates the inclusive  $J/\psi$  from the uncorrelated background pairs
- Both models mispredict 2% of prompt  $J/\psi$  as the non-prompt  $\rightarrow$  Raises non-prompt yield

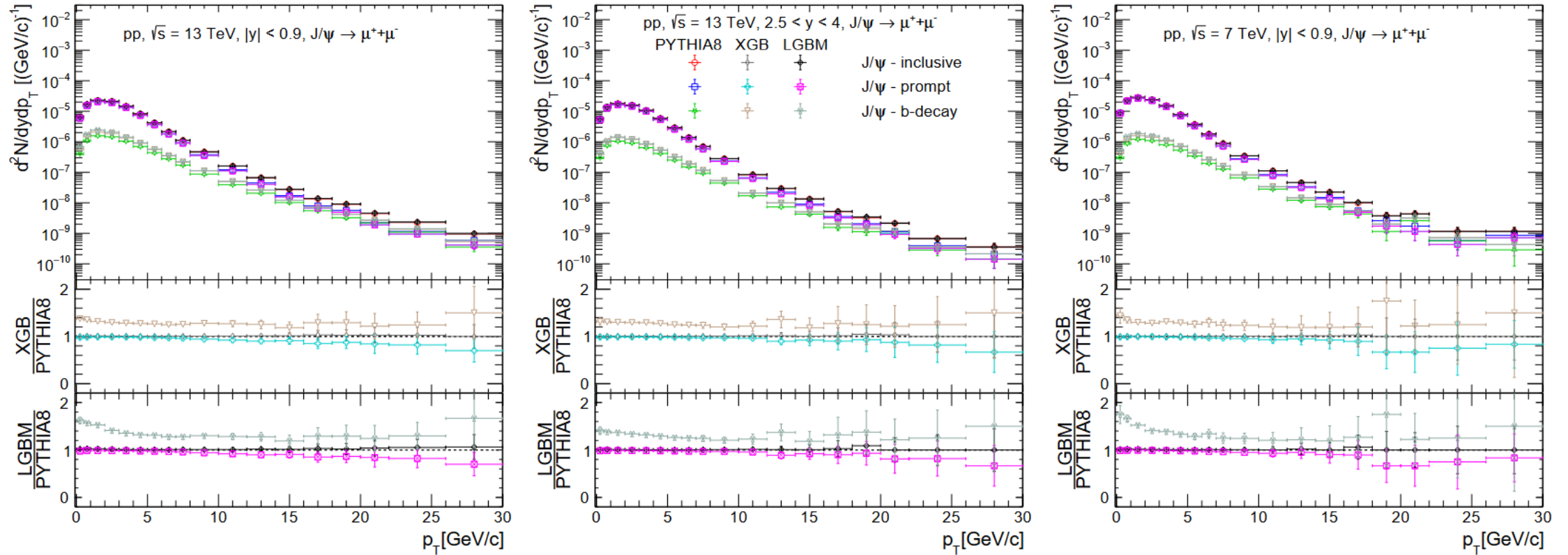
S. Prasad, N. Mallick and R. Sahoo, Phys. Rev. D 109, 014005 (2024)



- Importance score tells how important a feature for a decision making of the models
- The importance score of invariant mass of dimuons is highest for both the models
- $c\tau$  contributes to decision making of the models significantly

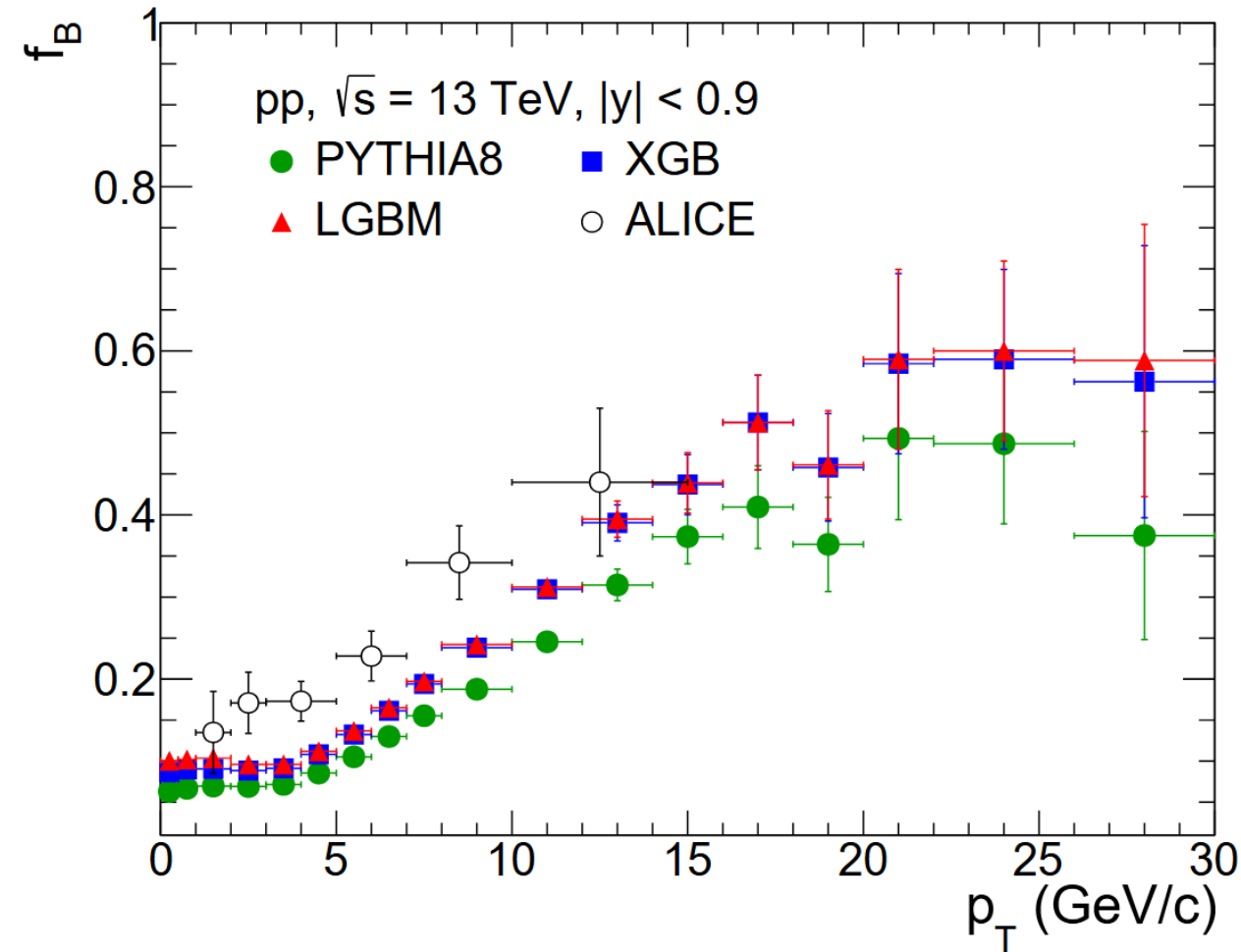


# Results: Transverse momentum spectra



- Both XGB and LGBM give accurate predictions for  $p_T$ -spectra for inclusive and prompt- $J/\psi$  both in mid and forward rapidity in pp collisions at  $\sqrt{s} = 13 \text{ TeV}$  and  $7 \text{ TeV}$  S. Prasad, N. Mallick and R. Sahoo, Phys. Rev. D 109, 014005 (2024)
- The ML models overpredict the non-prompt  $J/\psi$  throughout the  $p_T$  spectra for both the collision energy and rapidity  
 → Expected from the confusion matrix

# Results: Fraction of non-prompt $J/\psi$ yield

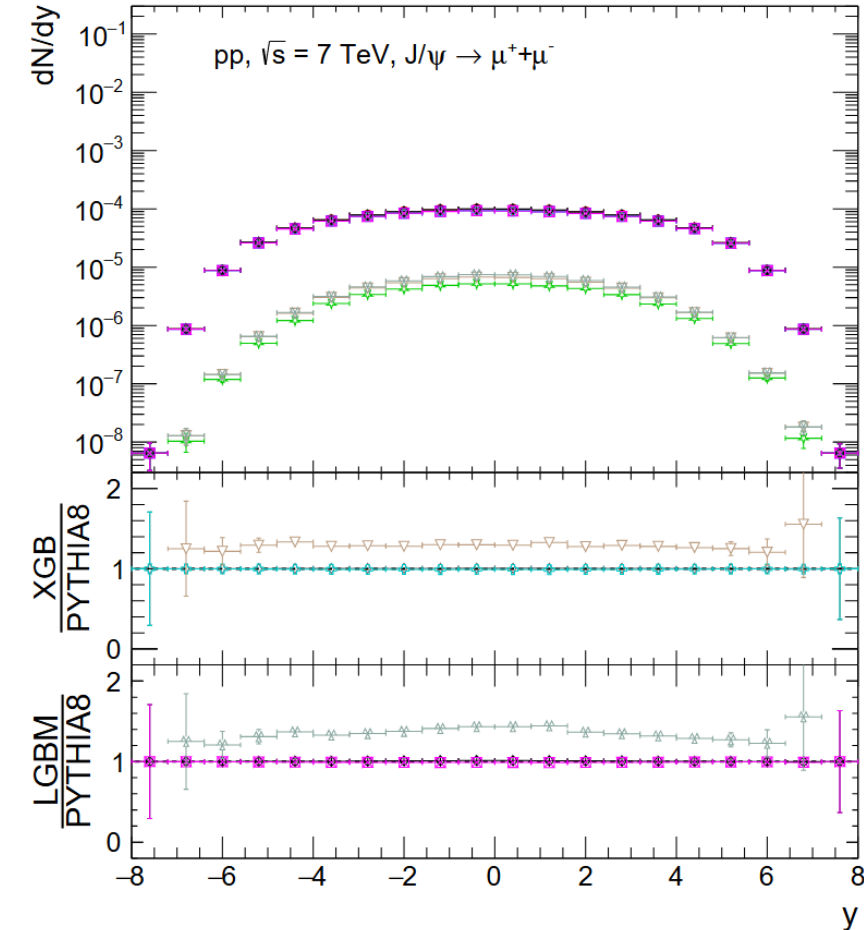
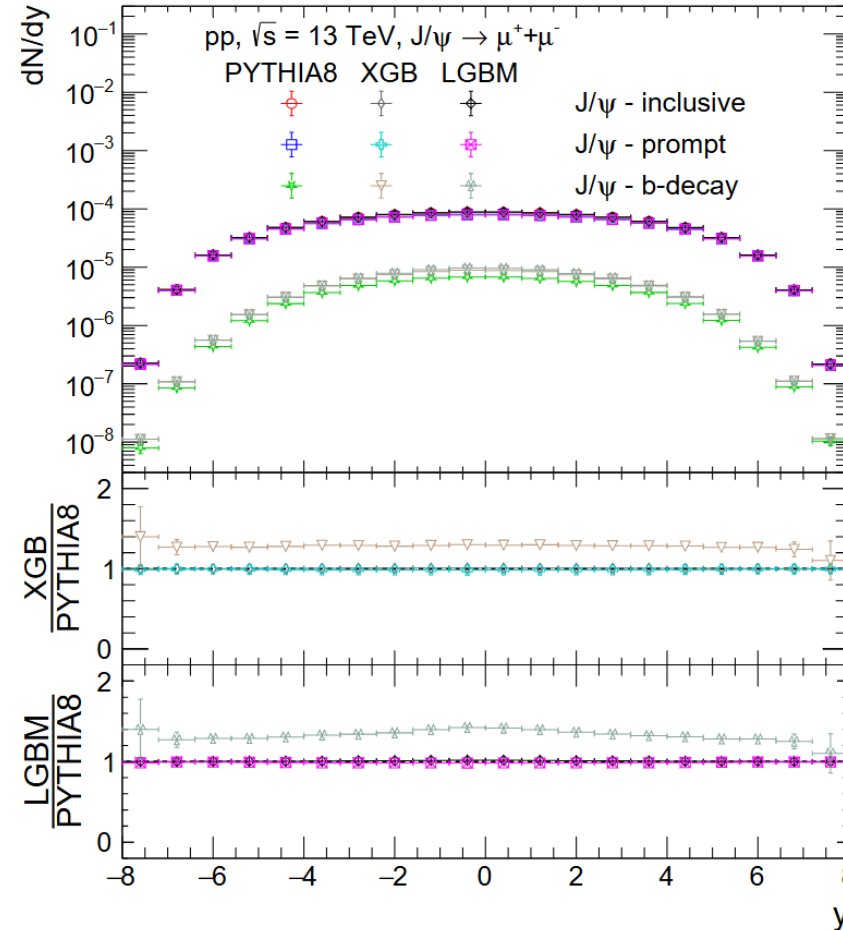


- $f_B$  is the fraction of the non-prompt production (B-hadron decays)
- $f_B$  increases with increase in  $p_T \rightarrow$  The b-hadron production is favoured towards higher  $p_T$  compared to low  $p_T$
- PYTHIA8 underestimates the experimental data following the similar trend
- Both XGB and LGBM overestimate PYTHIA8
- As this method does not require fitting, thus it can be used in both low and high statistics without affecting its efficiency

S. Prasad, N. Mallick and R. Sahoo, Phys. Rev. D 109, 014005 (2024)

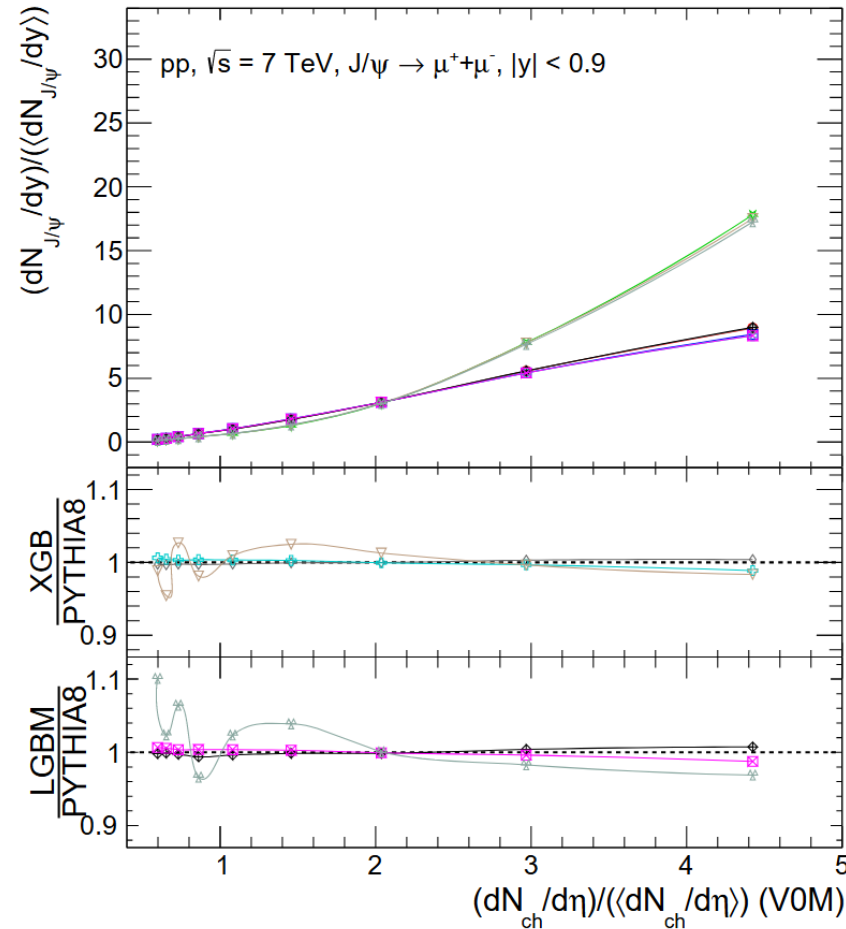
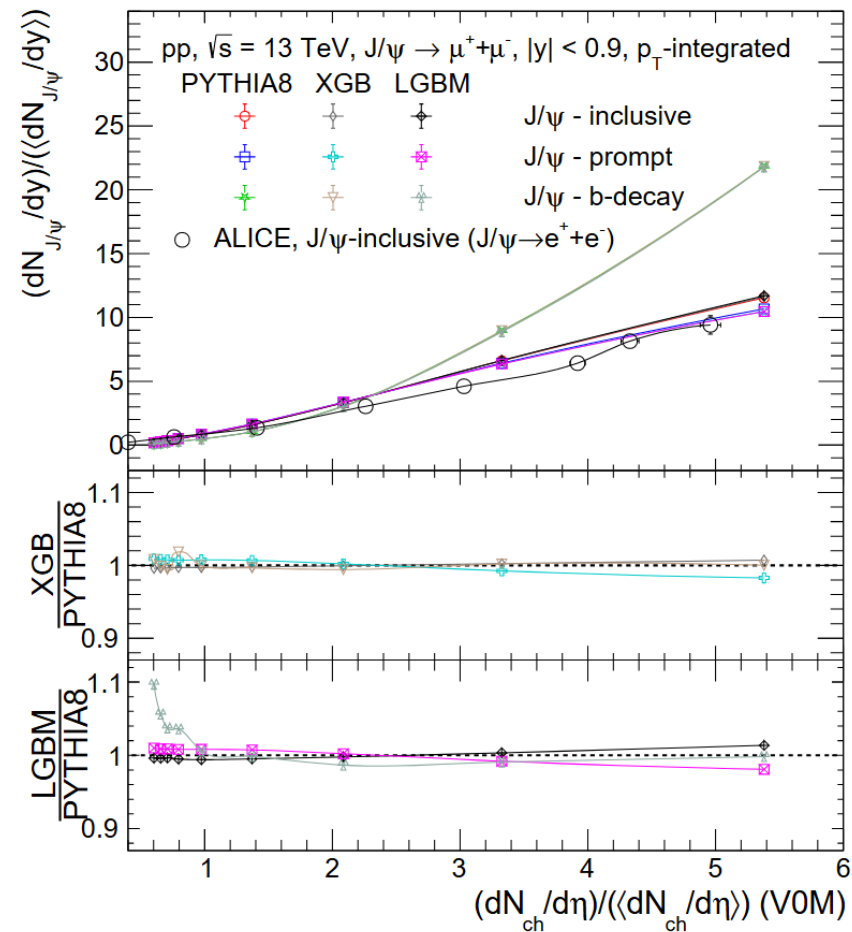
# Results: Rapidity spectra

- Both XGB and LGBM give accurate predictions for rapidity spectra for inclusive and prompt- $J/\psi$  in pp collisions at  $\sqrt{s} = 13$  TeV and 7 TeV
- The ML models overpredict the non-prompt  $J/\psi$  throughout rapidity region for both the collision energies



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# Results: Normalised $J/\psi$ yield



- The normalised yield for inclusive  $J/\psi$  from PYTHIA8 matches qualitatively with the ALICE results
- Both XGB and LGBM reproduce the PYTHIA8 results very precisely for inclusive and prompt  $J/\psi$
- The predictions for non-prompt  $J/\psi$  from both XGB and LGBM matches PYTHIA8 findings within 10% uncertainty

S. Prasad, N. Mallick and R. Sahoo, Phys. Rev. D 109, 014005 (2024)

# Summary

- We have used BDT based ML models such as XGBoost and LGBM to segregate the prompt, non-prompt and inclusive  $J/\psi$  production in pp collisions at  $\sqrt{s} = 13$  TeV
- The models use the parameters, such as, pseudo-proper decay length ( $c\tau$ ), invariant mass ( $m_{\mu\mu}$ ), transverse momentum ( $p_{T,\mu\mu}$ ), pseudorapidity ( $\eta_{\mu\mu}$ ) of the dimuons as the input, which are accessible in the experiments
- The model almost achieves 99% overall accuracy
- The estimations for the prompt and inclusive  $J/\psi$  from the ML models match with the PYTHIA8 for the inclusive and prompt  $J/\psi$
- Using this models, track label identification is possible, and it avoids the necessity of fitting of spectra
- The model is expected to work throughout the energy regime from RHIC to LHC and in heavy-ion collisions

S. Prasad, N. Mallick and R. Sahoo, Phys. Rev. D 109, 014005 (2024)

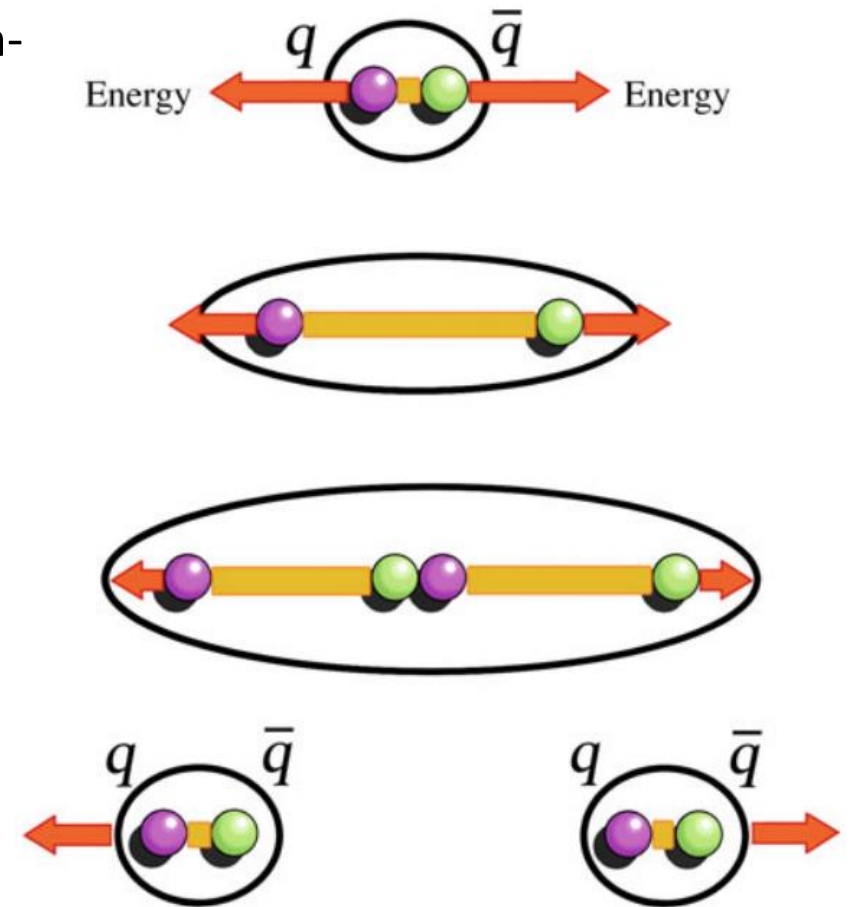
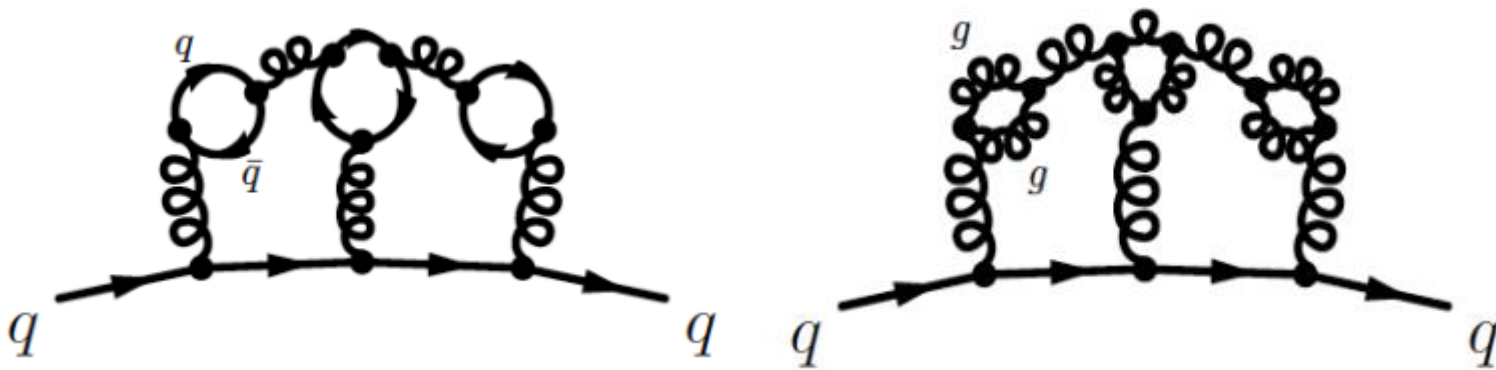
Thank you  
for your  
attention



# Backup

# Strong Interaction

- Unlike QED, in QCD gluons have a color charge, which permits gluon-gluon interaction
- Color charges can't freely exist: Color confinement
- At high energies,  $\alpha_s$  becomes smaller: Asymptotic freedom



Obertelli, A., Sagawa, H. (2021). Nuclear Physics and Standard Model of Elementary Particles. In: Modern Nuclear Physics. UNITEXT for Physics. Springer, Singapore

# Gradient Boosting Machine

- Trees are structures that take recursive decisions
- Built in a top-down approach
- **Root node:** The starting point

**Internal nodes:** further decision points

**Leaf nodes:** End points (target class or values)

- **Criteria of splitting:**

Classification: Minimise the node impurity

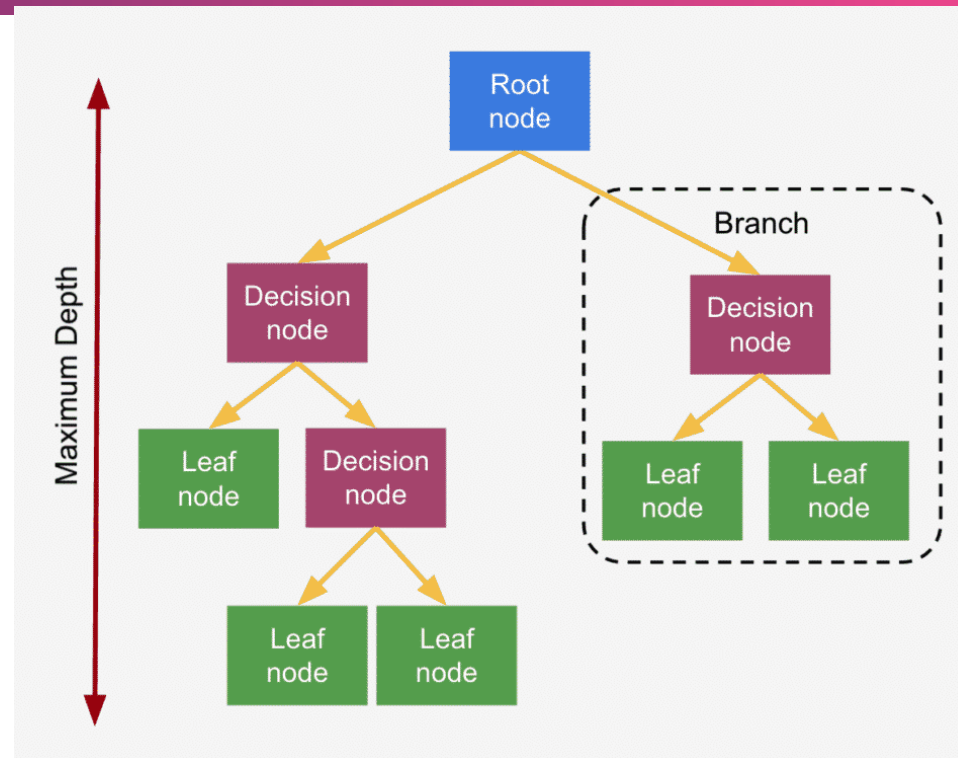
Regression: Minimise the MSE, MAE

MSE: Mean Squared Error

MAE: Mean Absolute Error

- Splitting continues till a preset (`max_depth`)
- **Boosting:** Building an additive forward staged model by combining the outcomes of all previous ones
- Boosting compensates the shortcomings
- Shortcomings are identified as the gradients
- Extreme Gradient Boosting (XGB): Advance version of Gradient Boosting that supports parallel tree boosting → Faster

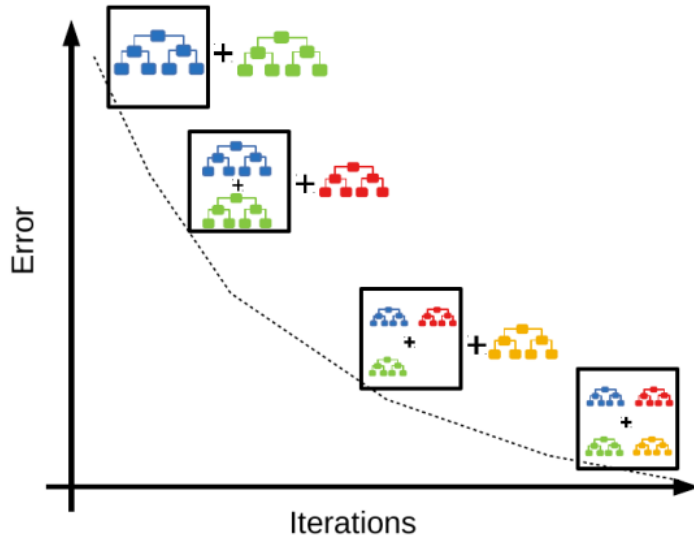
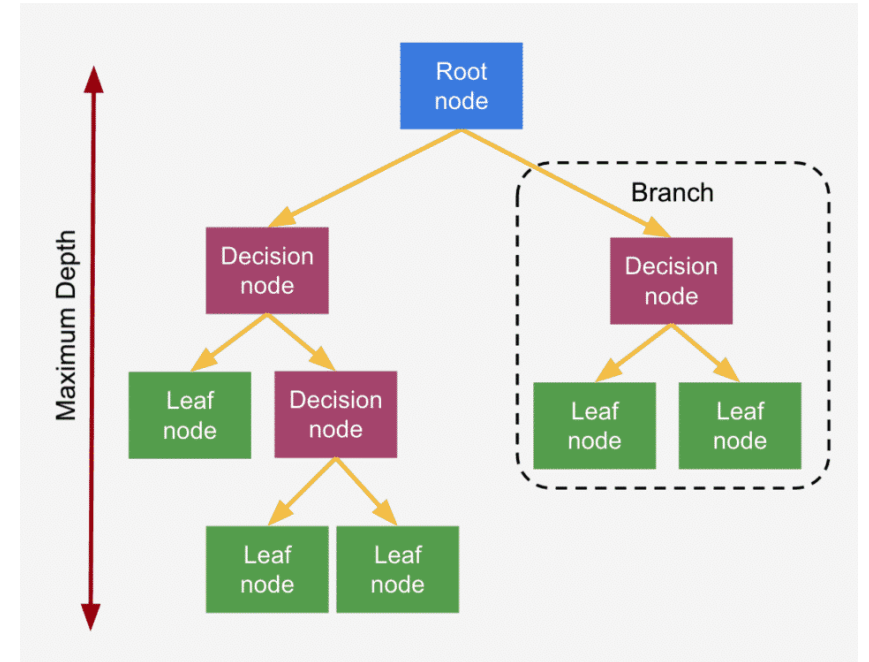
<https://xgboost.readthedocs.io/en/stable/>  
<https://lightgbm.readthedocs.io/en/stable/>



- Light Gradient Boosting Machine (LGBM): Leafwise splitting of tree, low memory use and supports parallel boosting

# Gradient Boosting Machine

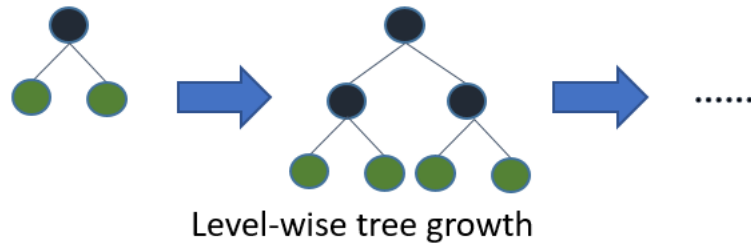
- **Root Node:** It is the topmost node in the tree, which represents the complete dataset. It is the starting point of the decision-making process.
- **Decision/Internal Node:** A node that symbolizes a choice regarding an input feature. Branching off of internal nodes connects them to leaf nodes or other internal nodes.
- **Leaf/Terminal Node:** A node without any child nodes that indicates a class label or a numerical value



- Two Methods for making an ensemble of decision trees: Boosting and bagging
- **Bagging** method builds models in parallel using a random subset of data (sampling with replacement) and aggregates predictions of all models
- **Boosting** method builds models in sequence using the whole data, with each model improving on the previous model's error
- Gradient Boosting: Gradient descent + boosting
- Gradient descent: Minima finding algorithm

# XGBoost

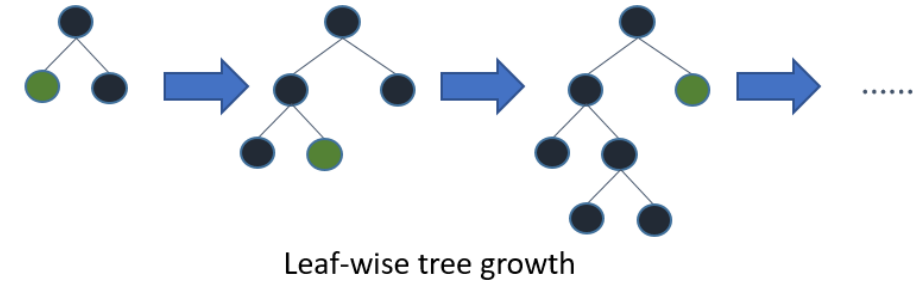
- Extreme Gradient Boosting



- Faster and memory efficient compared to GBDT
- Supports CPU parallelization

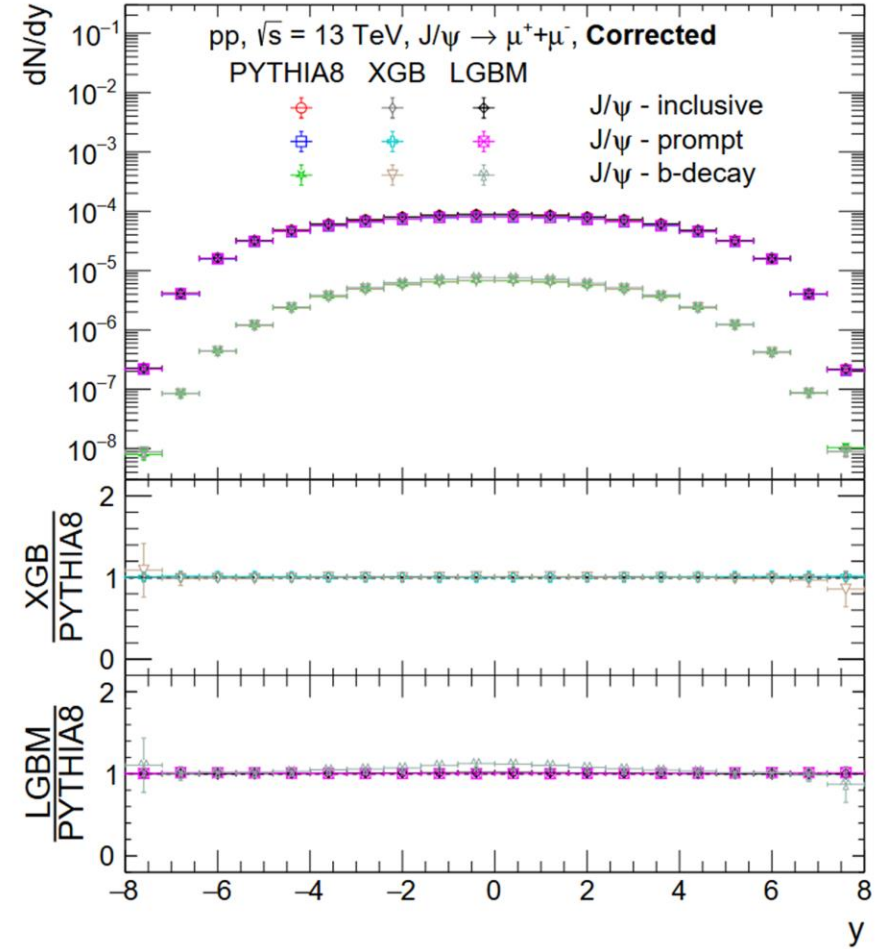
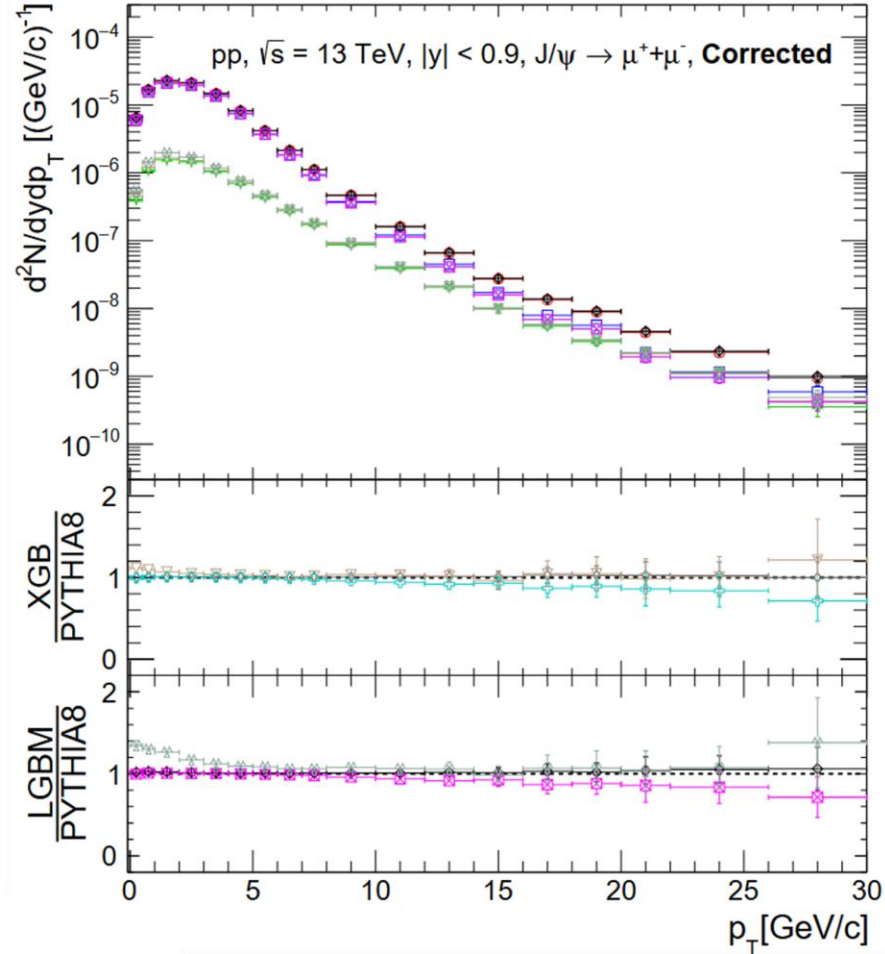
# LightGBM

- Light Gradient Boosting Machine



- Faster and very light in memory compared to GBDT and XGB
- Supports CPU and GPU parallelization

# Corrections in the Predictions



$$Y_{p,i}^{\text{corr}} = \frac{Y_{p,i}^{\text{uncorr}}}{1 - f}$$

$$Y_{np,i}^{\text{corr}} = Y_{np,i}^{\text{uncorr}} - \frac{f}{1 - f} \frac{Y_{np,i}^{\text{uncorr}} Y_p^{\text{uncorr}}}{Y_{np}^{\text{uncorr}}}$$

S. Prasad, N. Mallick and R. Sahoo, arXiv:2308.00329 [hep-ph]