

# A geometry for the nuclear shell model

P. Van Isacker, GANIL, France

A.O. Macchiavelli\*, Lawrence Berkeley National Laboratory, US

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# Independent-particle shell model

Independent motion of individual neutrons and protons in a mean-field potential.

Existence of shell structure with “magic numbers”  
2, 8, 20, 28, 50, 82, 126 of increased stability.

Crucial ingredient: spin-orbit interaction (Fermi).

Nobel prize in 1963:

*Mayer & Jensen: “...for their discoveries concerning shell structure.”*

*Wigner: “...for his contributions to the theory of the atomic nucleus and the elementary particles...”*

# Nuclear shell model

## Ingredients:

*Mean-field potential.*

*Residual interaction between (some of) the nucleons.*

## Difficulties:

*Nucleonic interactions from QCD (EFT).*

*Large-matrix diagonalization.*

## Issues of current interest:

*Changing shell structure and three-body forces in exotic nuclei.*

*Continuum effects (nucleus = open quantum system).*

# Words of warning

Bethe:

*The complexity of the nuclear many-body problem is such that the shell-model wave functions cannot be the true eigenfunctions of the nuclear hamiltonian.*

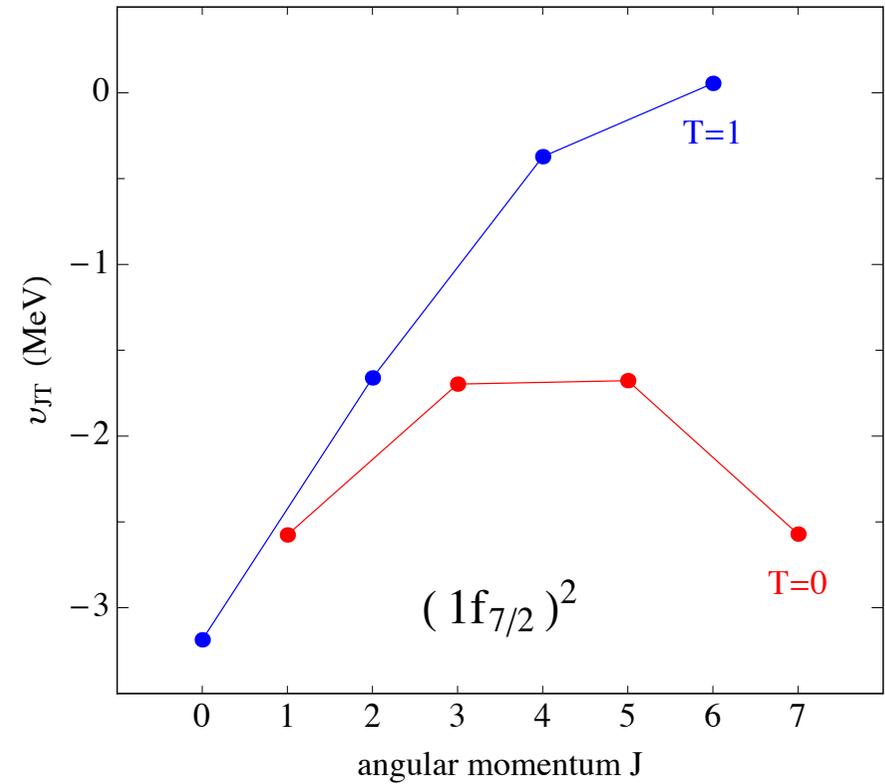
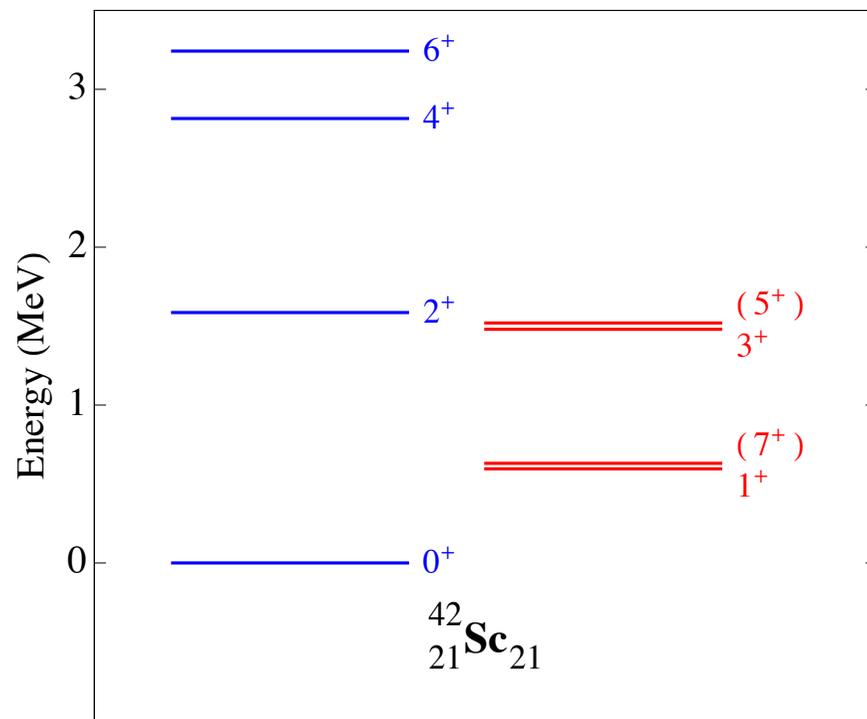
Wigner:

*It is nice to know that the computer understands the problem. But I would like to understand it too.*

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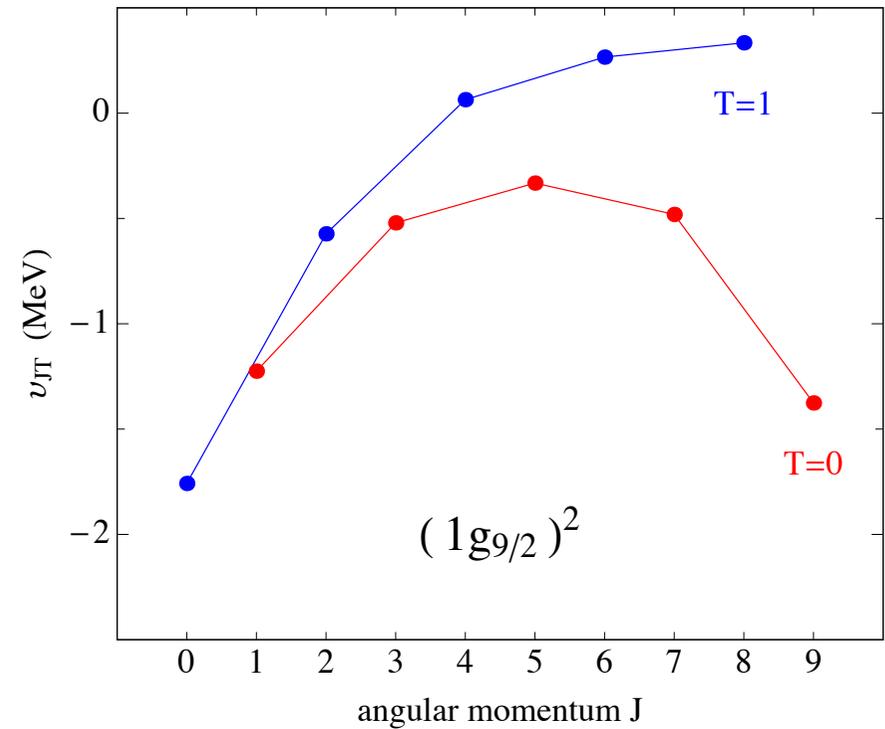
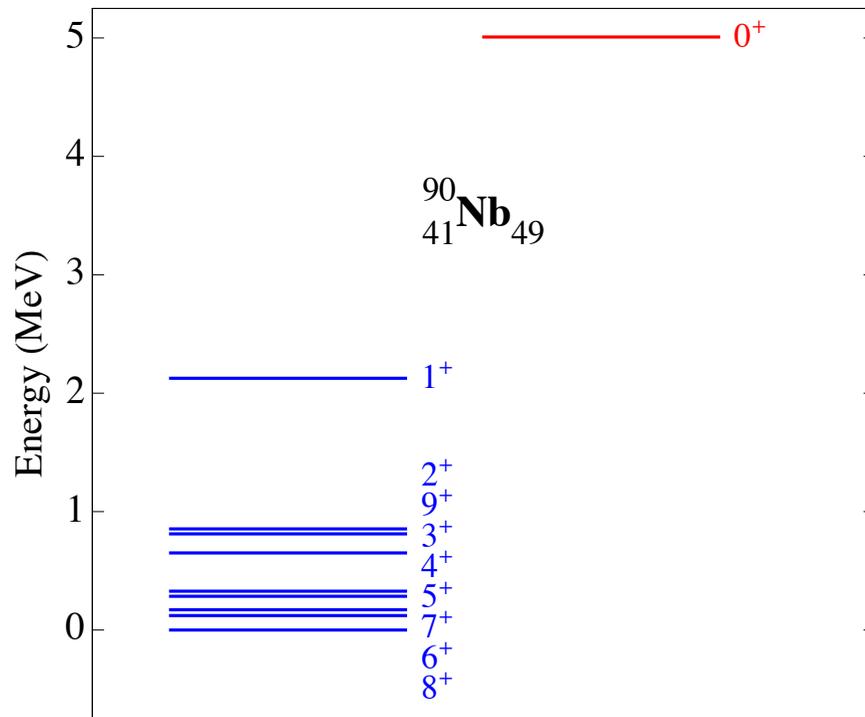


# The effective nn interaction



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# The effective nn interaction



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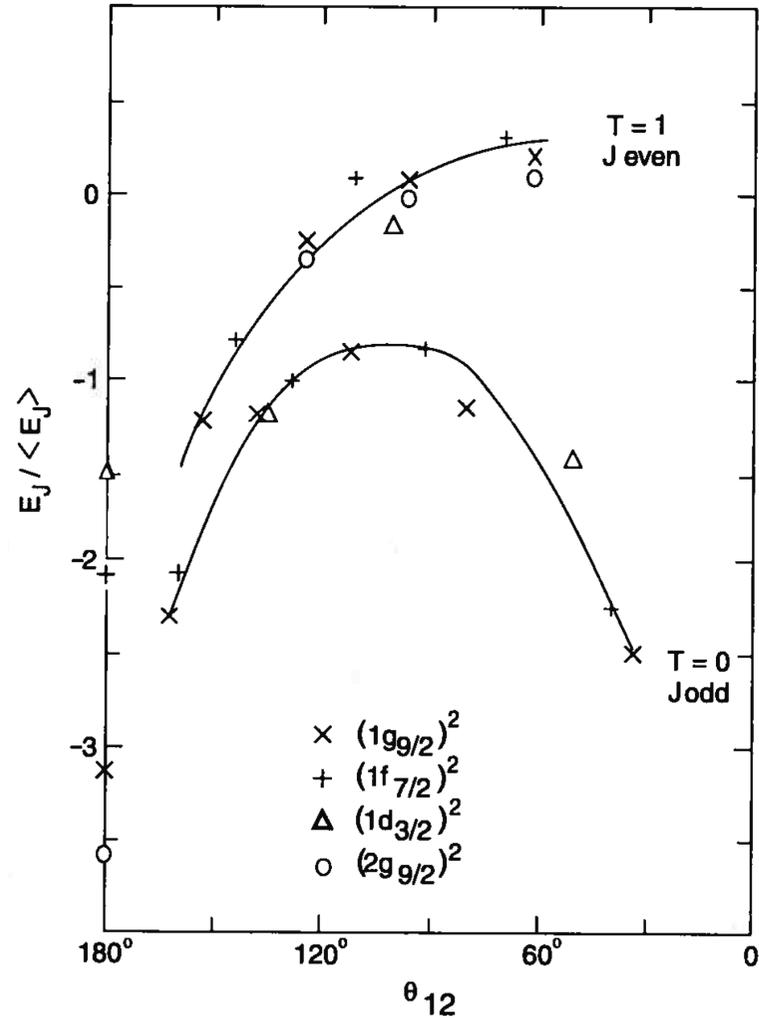
# Geometric interpretation

Introduce the angle between the angular momentum vectors  $j_1$  and  $j_2$  of the two nucleons

$$\theta_{12} = \arccos \frac{J(J+1) - j_1(j_1+1) - j_2(j_2+1)}{2\sqrt{j_1(j_1+1)j_2(j_2+1)}}$$

The effective nn interaction can be represented as a “universal function” of  $\theta_{12}$ .

# Geometric interpretation



J.P. Schiffer & W.W. True, Rev. Mod. Phys. 48 (1976) 191

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# Short-range nn interaction

Delta interaction:  $V(\bar{r}_1, \bar{r}_2) = a'_T \delta(\bar{r}_1 - \bar{r}_2)$

Its matrix elements are

$$\begin{aligned} & \langle j_1 j_2; JT | a'_T \delta(\bar{r}_1 - \bar{r}_2) | j_1 j_2; JT \rangle / (2j_1 + 1)(2j_2 + 1) \\ &= \frac{a_T}{2(1 + \delta_{j_1 j_2})} \left[ \alpha \begin{pmatrix} j_1 & j_2 & J \\ 1/2 & -1/2 & 0 \end{pmatrix}^2 + \beta \begin{pmatrix} j_1 & j_2 & J \\ 1/2 & 1/2 & -1 \end{pmatrix}^2 \right] \end{aligned}$$

with

$$\alpha = 1 - (-)^{\ell_1 + \ell_2 + J + T}, \quad \beta = 1 + (-)^T$$

$$a_T = \frac{a'_T}{4\pi} \int R_{nl}^4(r) r^2 dr$$

I. Talmi, *Simple Models of Complex Nuclei* (1993)

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# Classical (large $j$ ) limit

Use Wigner's results:

$$\begin{pmatrix} l_1 & l_2 & l_3 \\ 0 & 0 & 0 \end{pmatrix}^2 \approx \frac{1}{4\pi A} = \frac{1}{2\pi l_1 l_2 \sin \theta_{12}}$$

$$\begin{Bmatrix} l_1 & l_2 & l_3 \\ l_4 & l_5 & l_6 \end{Bmatrix}^2 \approx \frac{1}{24\pi V}$$

where  $A$  is the area of a triangle and  $V$  the volume of a tetrahedron with sides of length  $l_{i+1/2}$ .

E.P. Wigner, *Group Theory* (1959)

G. Ponzano & T. Regge, *Group Theoretical Methods in Physics* (1968)

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# Classical (large $j$ ) limit

The matrix element of the delta interaction in the large  $j$  limit equals

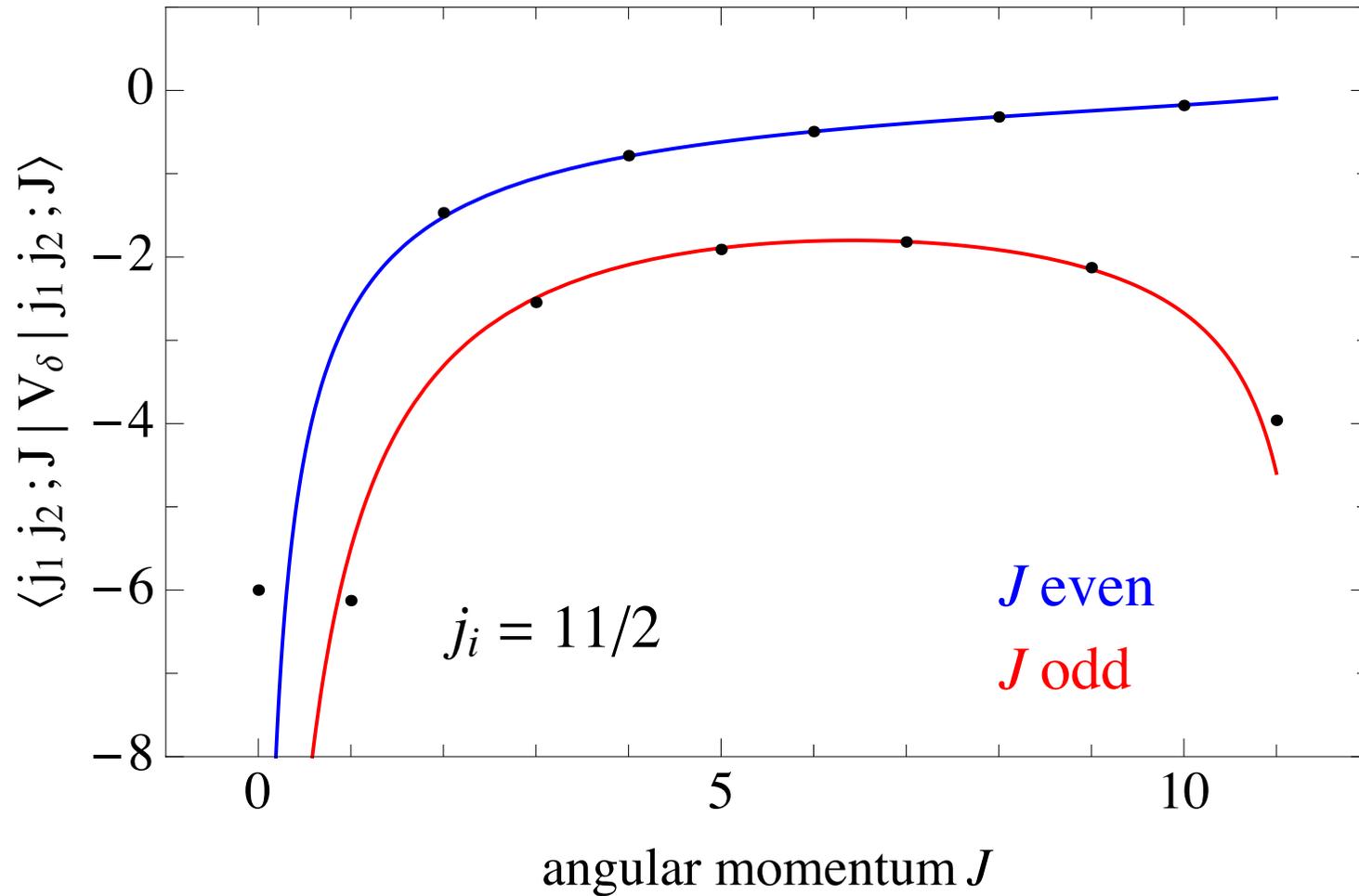
$$\langle j_1 j_2; JT | a'_T \delta(\bar{r}_1 - \bar{r}_2) | j_1 j_2; JT \rangle \approx \frac{s_1}{\pi \sin \theta_{12}} + \frac{t_1}{\pi \tan \theta_{12}}$$

with

$$s_1 = \frac{a_T}{1 + \delta_{j_1 j_2}} \left[ \left( 1 - (-)^{\ell_1 + \ell_2 + J + T} \right) + \left( 1 + (-)^T \right) \left( 1 + (-)^{j_1 + j_2 + J} \right) \right]$$

$$t_1 = \frac{a_T}{1 + \delta_{j_1 j_2}} (-)^{j_1 + j_2 + J} \left( 1 - (-)^{\ell_1 + \ell_2 + J + T} \right)$$

# Classical (large $j$ ) limit



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# Generalized geometry

Assume two neutrons and two protons:

$$|j_\nu j'_\nu (J_\nu) j_\pi j'_\pi (J_\pi); J\rangle \equiv |J_\nu J_\pi; J\rangle$$

How do the energies depend on  $J$ , on the angular momenta of the single-particle orbits and on the angular momenta of the 'blades'?

Take  $j_\nu = j'_\nu$  and  $j_\pi = j'_\pi$ .

# The pp or hh matrix element

Consider a hamiltonian of the generic form

$$\hat{H} = \hat{H}_\nu + \hat{H}_\pi + \hat{V}_{\nu\pi}$$

The relative energies depend only on the neutron-proton interaction:

$$\frac{\langle J_\nu J_\pi; J | \hat{V}_{\nu\pi} | J_\nu J_\pi; J \rangle}{(2J_\nu + 1)(2J_\pi + 1)} = \frac{\langle J_\nu^{-1} J_\pi^{-1}; J | \hat{V}_{\nu\pi} | J_\nu^{-1} J_\pi^{-1}; J \rangle}{(2J_\nu + 1)(2J_\pi + 1)}$$

$$= 4 \sum_R (2R + 1) V_{j_\nu j_\pi, j_\nu j_\pi}^R \begin{bmatrix} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{bmatrix}$$

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# The ph matrix element

The corresponding matrix element for a particle-hole configuration:

$$\frac{\langle J_\nu J_\pi^{-1}; J | \hat{V}_{\nu\pi} | J_\nu J_\pi^{-1}; J \rangle}{(2J_\nu + 1)(2J_\pi + 1)}$$

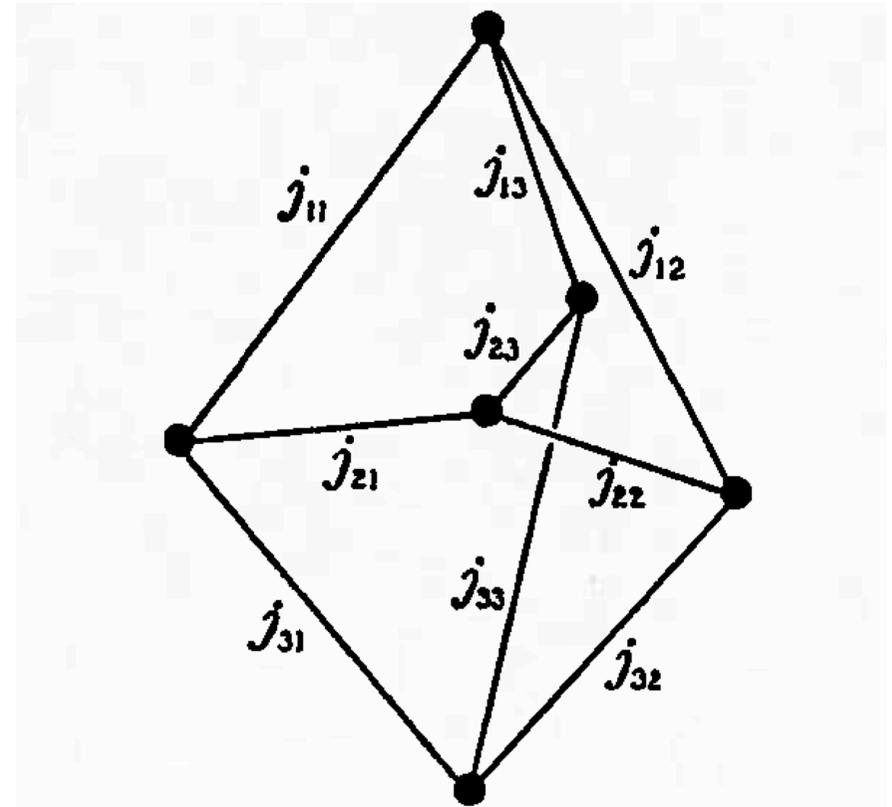
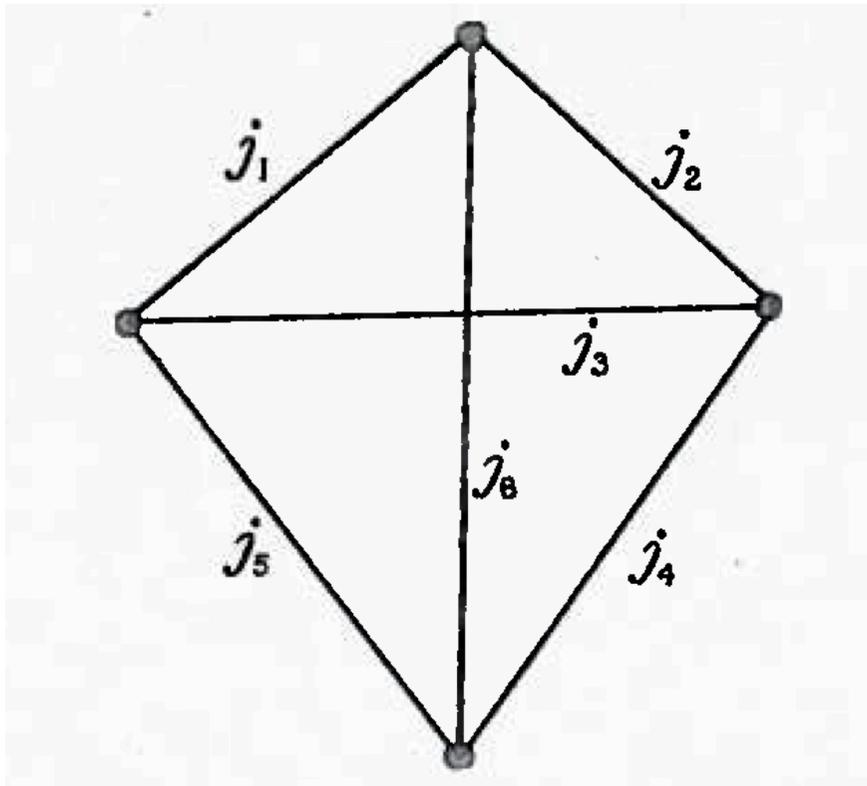
$$= -4 \sum_R (2R + 1) V_{j_\nu j_\pi, j_\nu j_\pi}^R \left\{ \begin{array}{cccc} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{array} \right\}$$

# The large- $j$ limit

Semi-classical expressions are known for Wigner  $(3j)$  and Racah  $(6j)$  coefficients but not for  $3nj$  coefficients with  $n > 2$ .

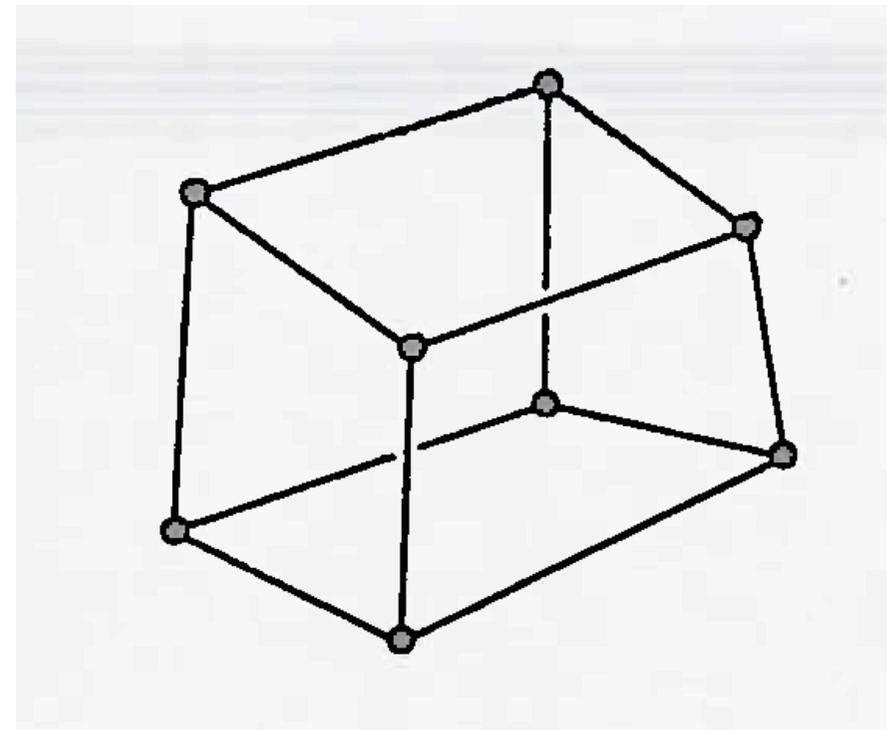
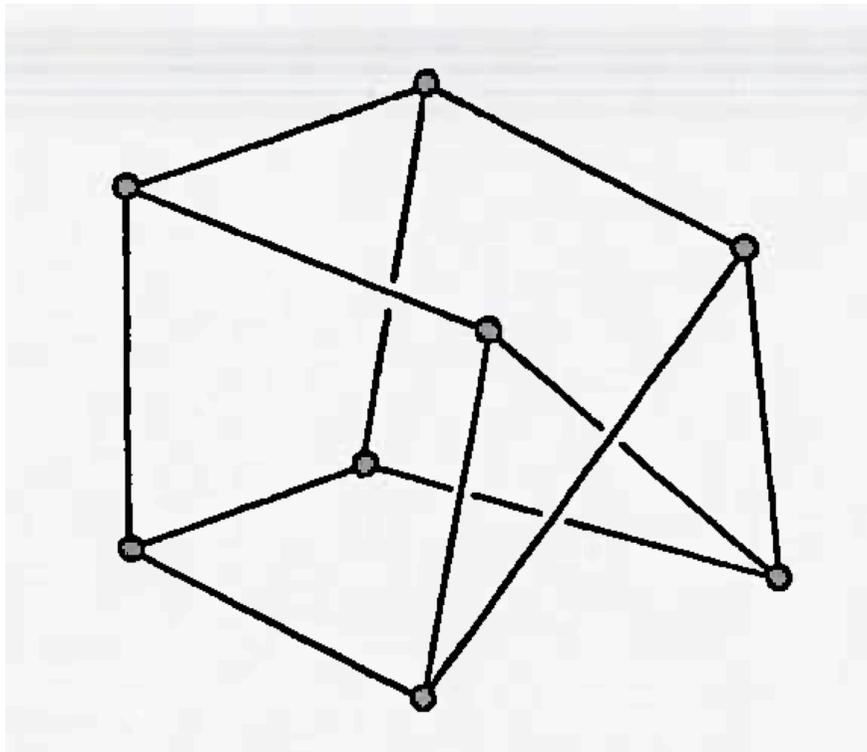
A field of active mathematical research with connections to graph theory, quantum gravity, spin networks...

# $3nj$ coefficients as graphs



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# Two kinds of $12j$ symbols



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# A simple sum

An exact result:

$$\sum_R (2R+1) \left\{ \begin{array}{cccc} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{array} \right\} = \frac{1}{(2J_\nu+1)(2J_\pi+1)}$$

A.P. Yutsis et al., *The Theory of Angular Momentum* (1962)

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# A more complicated sum (1)

An exact result:

$$\begin{aligned}
 S_n &= \sum_R (2R+1) \begin{pmatrix} j_\nu & j_\pi & R \\ \frac{1}{2} & n - \frac{1}{2} & -n \end{pmatrix}^2 \left\{ \begin{matrix} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{matrix} \right\} \\
 &= \sum_{\substack{m_\nu M_\nu \\ m_\pi M_\pi}} \begin{pmatrix} j_\nu & j_\nu & J_\nu \\ \frac{1}{2} & m_\nu & M_\nu \end{pmatrix}^2 \begin{pmatrix} j_\pi & j_\pi & J_\pi \\ -n + \frac{1}{2} & m_\pi & M_\pi \end{pmatrix}^2 \begin{pmatrix} J_\nu & J_\pi & J \\ M_\nu & M_\pi & M \end{pmatrix}^2
 \end{aligned}$$

# A more complicated sum (2)

Wigner's classical approximation:

$$\begin{pmatrix} J_\nu & J_\pi & J \\ M_\nu & M_\pi & M \end{pmatrix}^2 \mapsto \frac{1}{4\pi A}$$

Therefore

$$\begin{aligned} S_n &\approx \frac{1}{4\pi A} \sum \begin{pmatrix} j_\nu & j_\nu & J_\nu \\ \frac{1}{2} & m_\nu & M_\nu \end{pmatrix}^2 \begin{pmatrix} j_\pi & j_\pi & J_\pi \\ -n + \frac{1}{2} & m_\pi & M_\pi \end{pmatrix}^2 \\ &\approx \frac{1}{4\pi (2j_\nu + 1)(2j_\pi + 1) A} \\ &\approx \frac{2}{\pi (2j_\nu + 1)(2j_\pi + 1)(2J_\nu + 1)(2J_\pi + 1) \sin \theta_{\nu\pi}} \end{aligned}$$

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# Another sum

Another approximate result:

$$\bar{S}_0 = \sum_R (-)^R (2R+1) \begin{pmatrix} j_\nu & j_\pi & R \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}^2 \left\{ \begin{matrix} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{matrix} \right\}$$
$$\approx -(-)^{j_\nu + j_\pi} \frac{2}{\pi(2j_\nu + 1)(2j_\pi + 1)(2J_\nu + 1)(2J_\pi + 1) \tan \theta_{\nu\pi}}$$

# Classical 2p-2h matrix element

We obtain for a delta interaction the following classical approximation:

$$\langle J_\nu J_\pi^{-1}; J | a'_T \delta(\bar{r}_1 - \bar{r}_2) | J_\nu J_\pi^{-1}; J \rangle \approx \frac{s_2}{\pi \sin \theta_{\nu\pi}} + \frac{t_2}{\pi \tan \theta_{\nu\pi}}$$

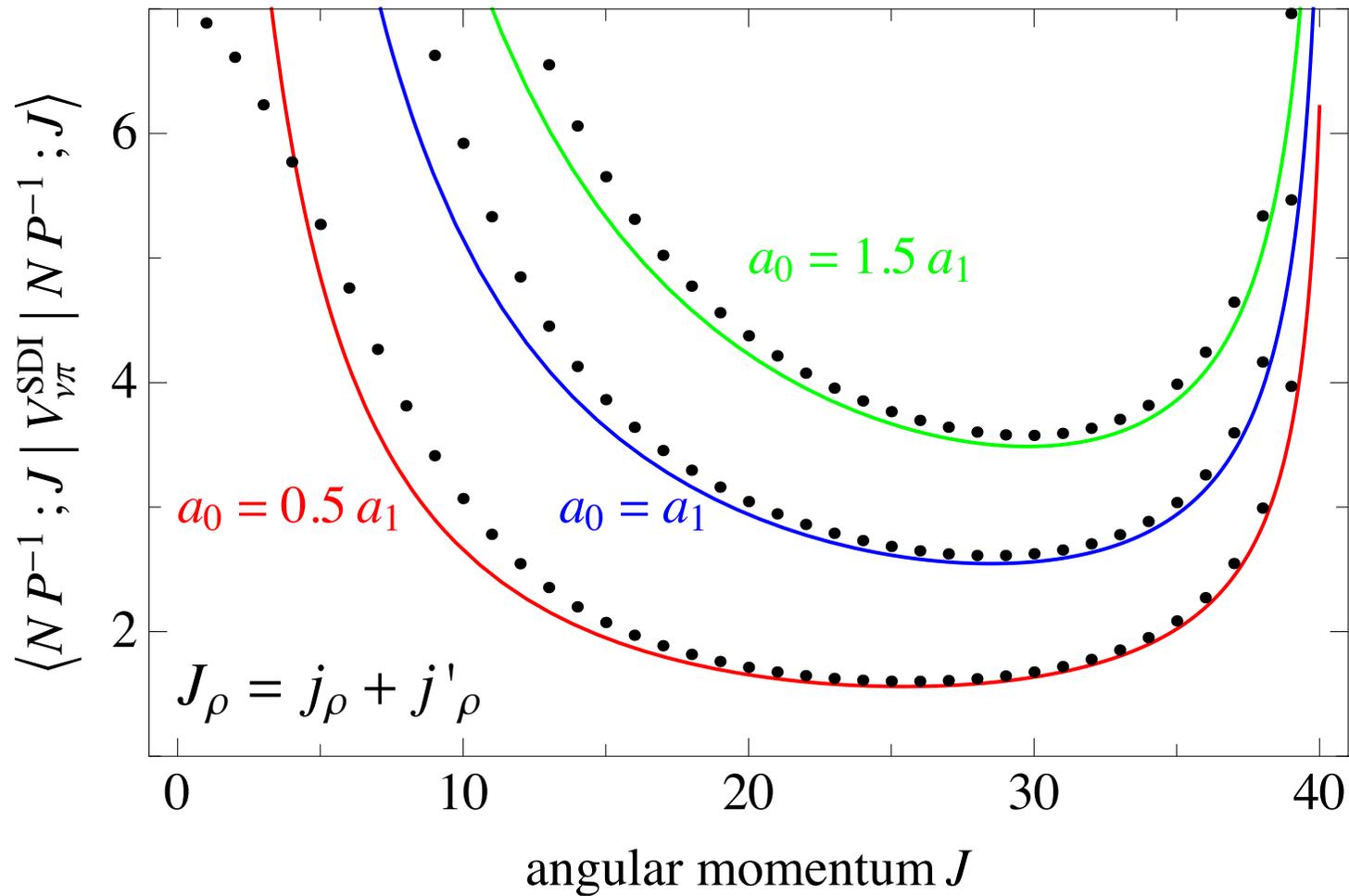
with

$$s_2 = 2(3a_0 + a_1), \quad t_2 = 2(a_0 - a_1)\varphi$$

$$\varphi = \frac{1}{4}(\varphi_\nu \varphi_\pi + \varphi_\nu \varphi'_\pi + \varphi'_\nu \varphi_\pi + \varphi'_\nu \varphi'_\pi)$$

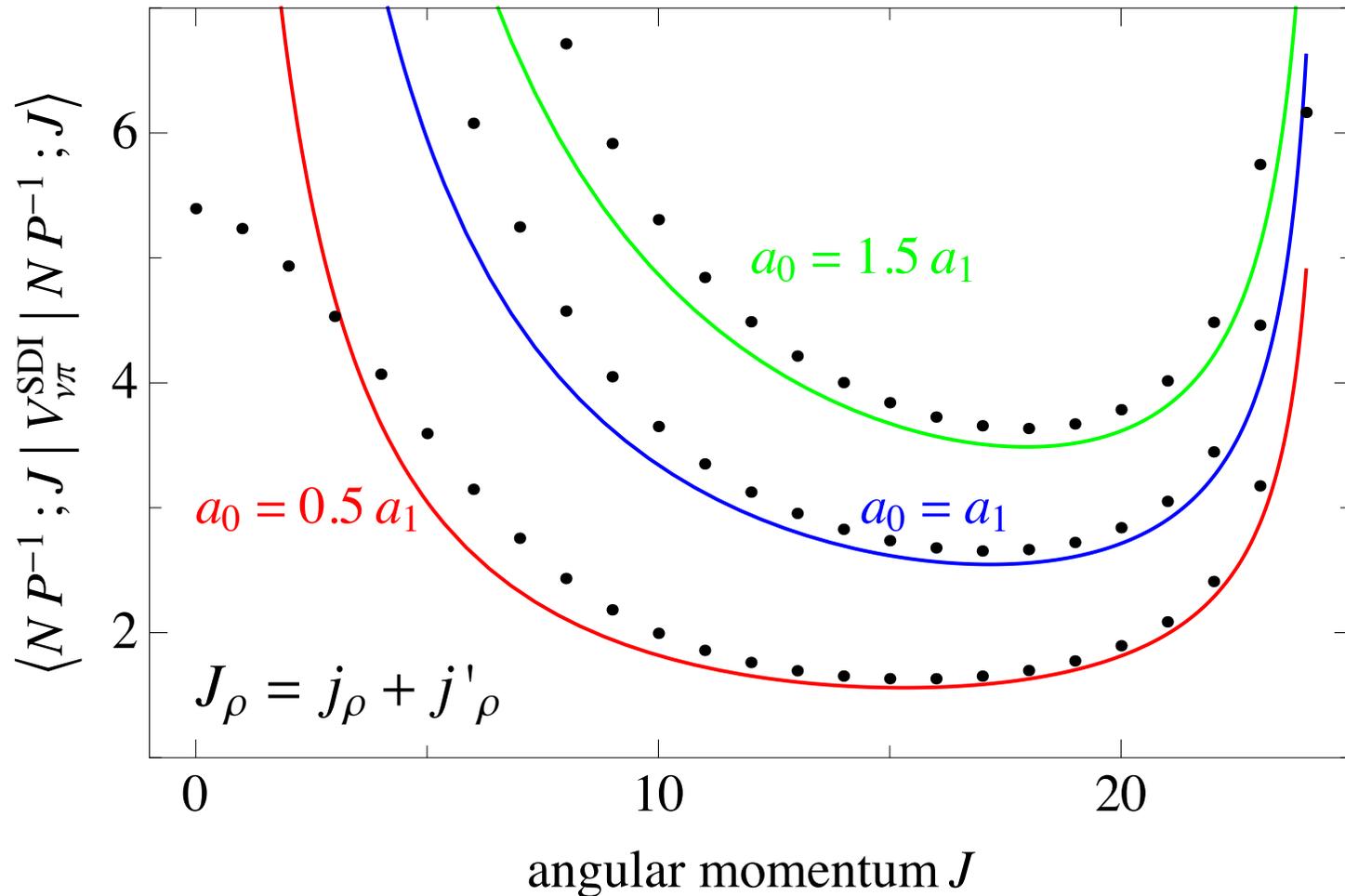
$$\varphi_\rho = (-)^{\ell_\rho + j_\rho}, \quad \varphi'_\rho = (-)^{\ell'_\rho + j'_\rho}$$

$$j_{\rho} = 19/2 \quad \& \quad j'_{\rho} = 21/2 \quad \& \quad J_{\rho} = 20$$



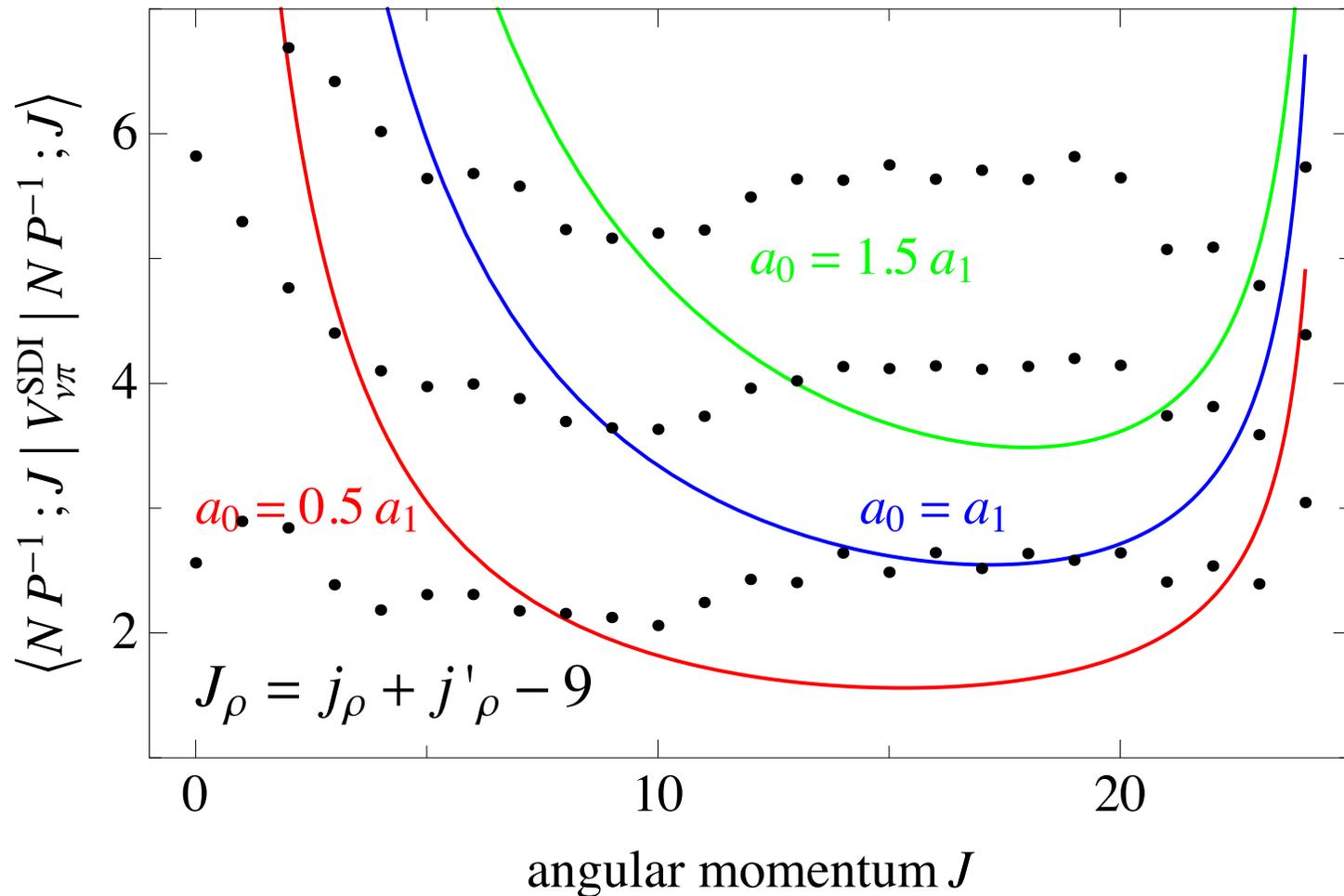
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$$j_Q = 11/2 \quad \& \quad j'_Q = 13/2 \quad \& \quad J_Q = 12$$



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$$j_{\rho} = 21/2 \quad \& \quad j'_{\rho} = 21/2 \quad \& \quad J_{\rho} = 12$$



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# Conjecture

Assume *near-aligned* neutrons and *near-aligned* protons:

$$|J_\nu\rangle \equiv |j_\nu j'_\nu j''_\nu \dots; J_\nu\rangle \quad \& \quad |J_\pi^{-1}\rangle \equiv |j_\pi^{-1} j'^{-1}_\pi j''^{-1}_\pi \dots; J_\pi\rangle$$

The nuclear force has an interaction energy in the coupled state which can be approximated as

$$\langle J_\nu J_\pi^{-1}; J | a'_T \delta(\bar{r}_1 - \bar{r}_2) | J_\nu J_\pi^{-1}; J \rangle \approx \frac{s_k}{\pi \sin \theta_{\nu\pi}} + \frac{t_k}{\pi \tan \theta_{\nu\pi}}$$

The coefficients  $s_k$  and  $t_k$  depend on the isoscalar and isovector interaction strengths.

# Conclusions and outlook

The geometry of the effective  $nn$  interaction is generalized to more complex configurations.

This idea can be applied to shears-band states in nuclei (e.g.  $^{199}\text{Pb}$ ).

Outlook:

*Proof of the  $np$ - $nh$  conjecture.*

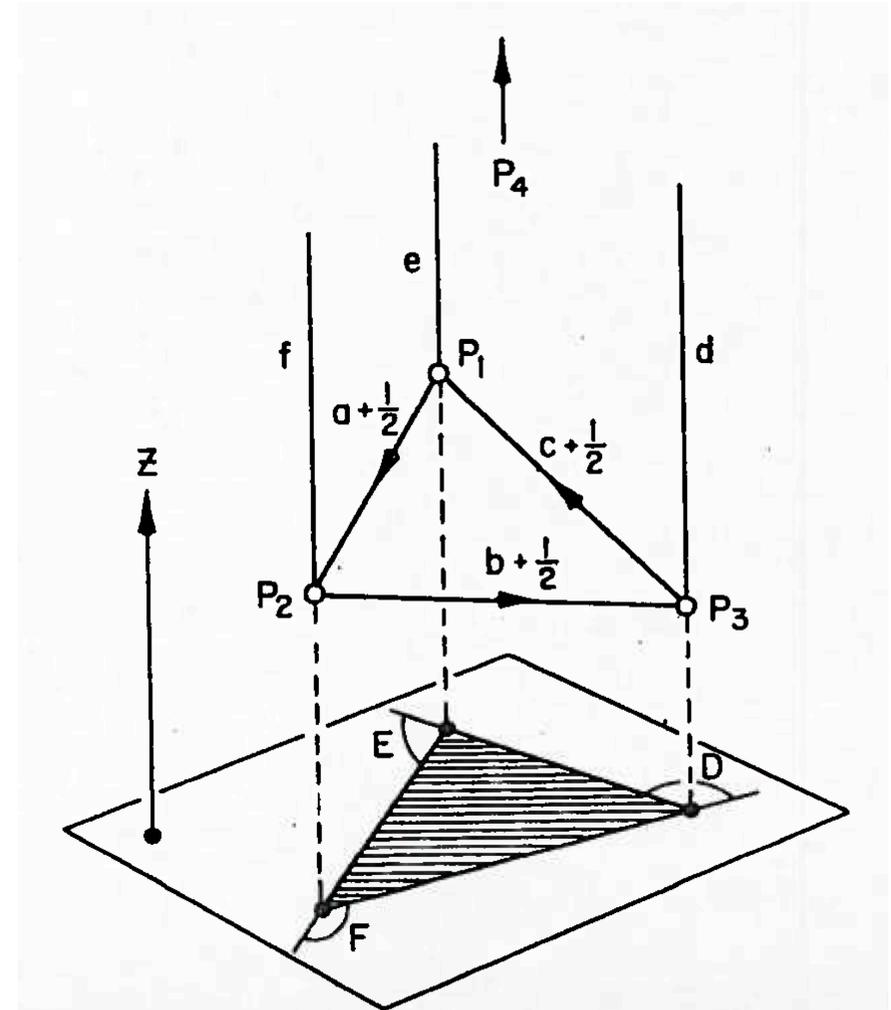
*Analysis of other interactions (tensor...).*

*Treatment of mixed configurations.*

# 3j as the limit of 6j

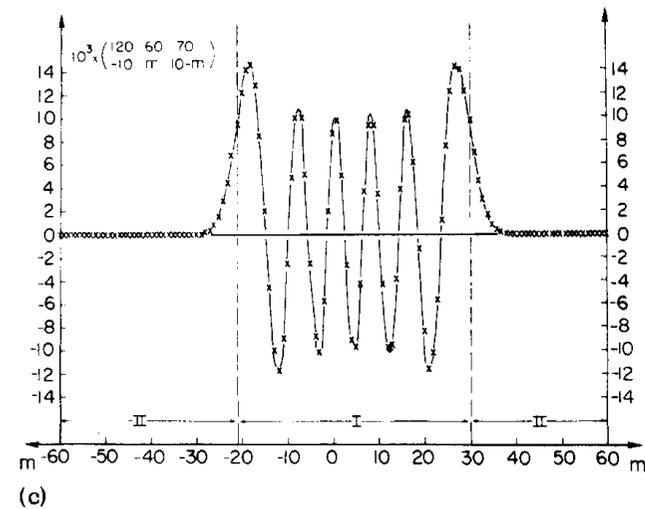
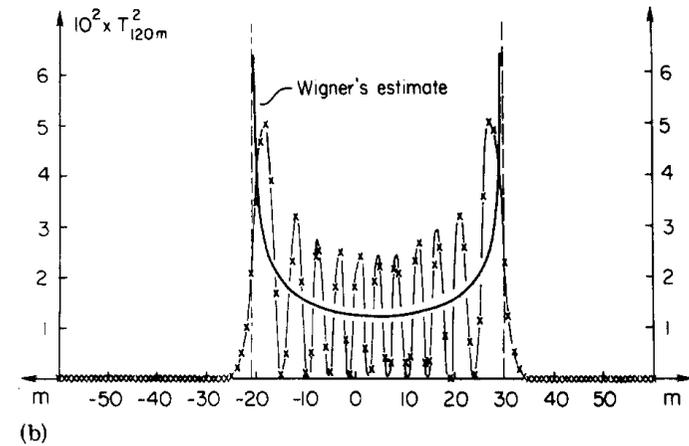
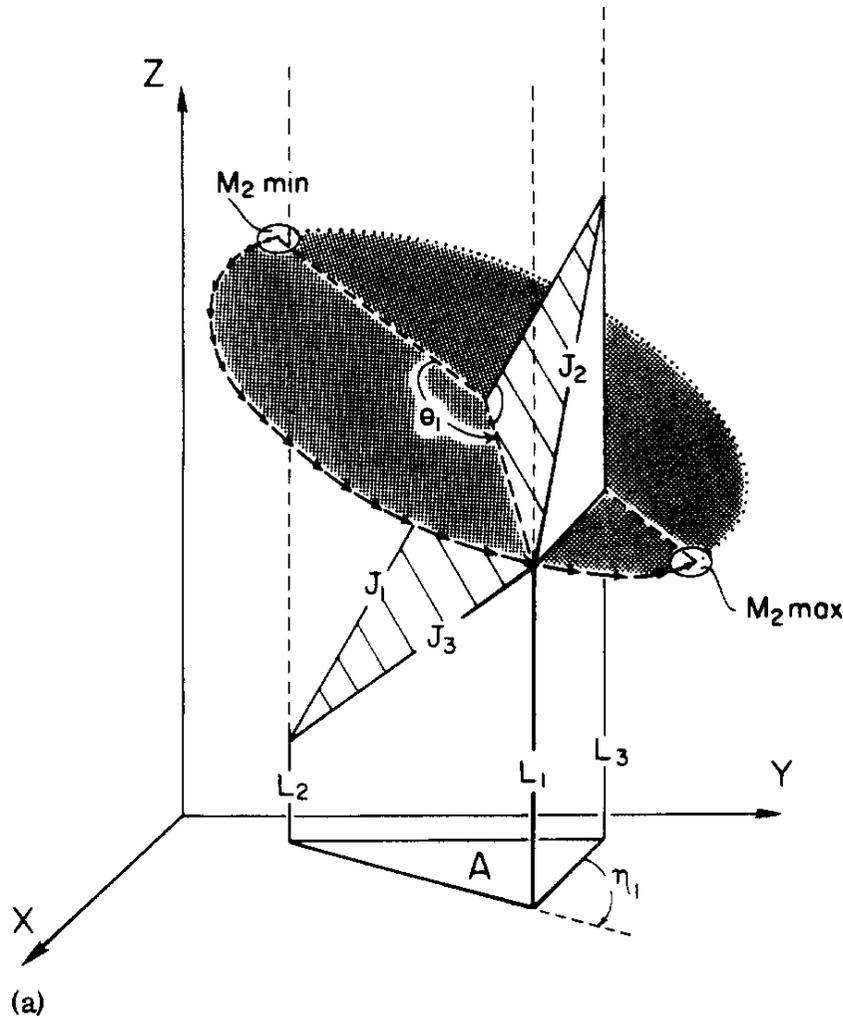
The asymptotic formula:

$$\begin{aligned} & \left\{ \begin{array}{ccc} a & b & c \\ d+R & e+R & f+R \end{array} \right\} \\ & \xrightarrow{R \rightarrow \infty} \frac{(-)^{a+b+c+2(d+e+f)}}{\sqrt{2R}} \\ & \times \begin{pmatrix} a & b & c \\ e-f & f-d & d-e \end{pmatrix} \end{aligned}$$



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# (Semi-)classical approximations



K. Schulten & R.G. Gordon, J. Math. Phys. 16 (1975) 1961 & 1971

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# Matrix elements of MSDI

Modified surface delta interaction:

$$\hat{V}^{\text{MSDI}}(i, j) = -4\pi a'_T \delta(\bar{r}_i - \bar{r}_j) \delta(r_i - R_0) + b' \bar{\tau}_i \cdot \bar{\tau}_j + c'$$

Its matrix elements are

$$-\frac{(2j_\nu + 1)(2j_\pi + 1)}{2} \left[ a_{01} \begin{pmatrix} j_\nu & j_\pi & R \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}^2 + a_0 \begin{pmatrix} j_\nu & j_\pi & R \\ \frac{1}{2} & \frac{1}{2} & -1 \end{pmatrix}^2 \right] - b + c$$

with

$$a_{01} = \frac{a_0 + a_1}{2} - (-)^{\ell_\nu + \ell_\pi + R} \frac{a_0 - a_1}{2}$$

$$a_T = a'_T C(R_0), \quad b = b' C(R_0), \quad c = c' C(R_0)$$

# 1p-1h matrix element

Recall the well-known classical interpretation of a short-range nuclear matrix element.

For MSDI:

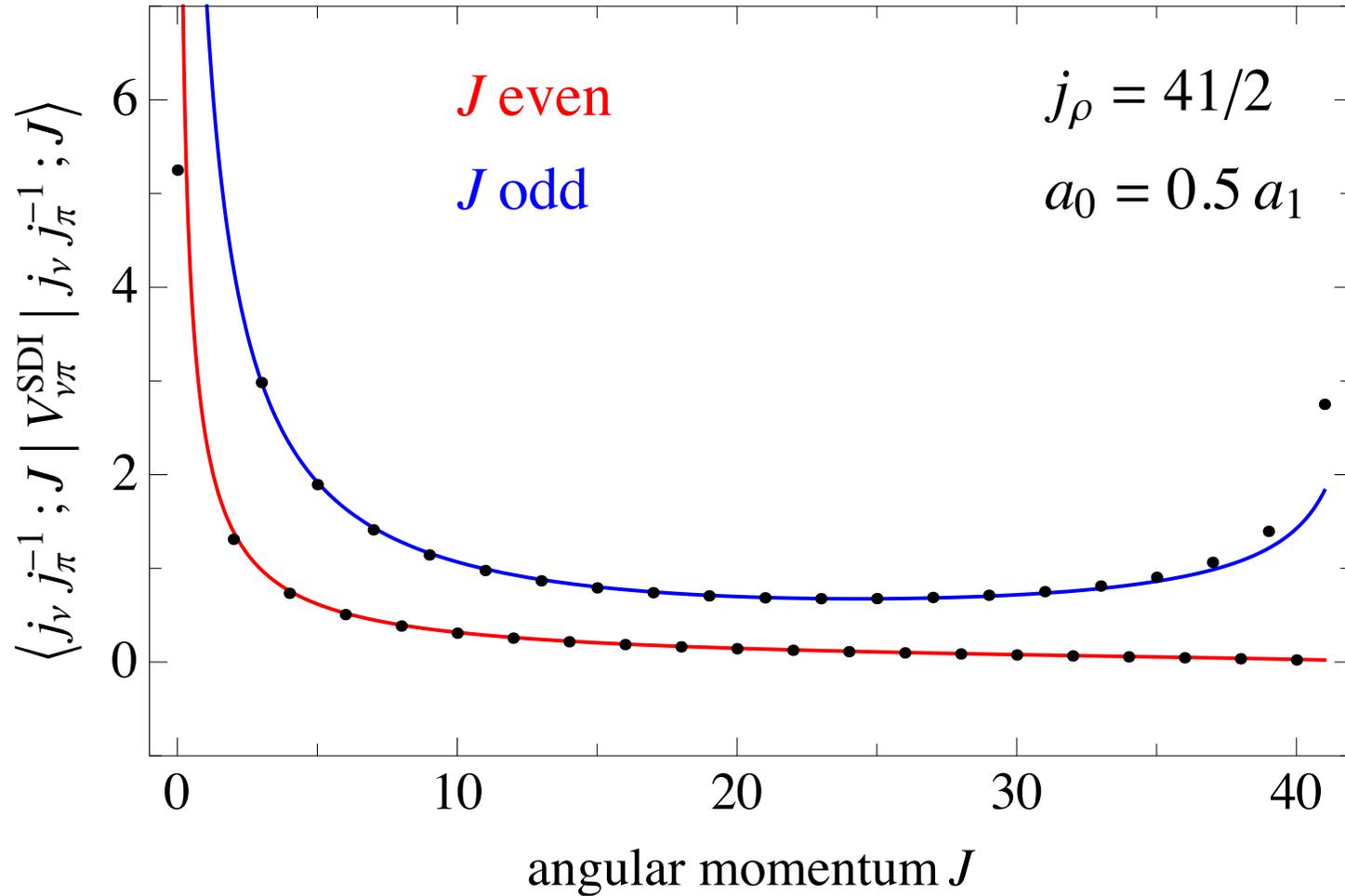
$$\langle j_\nu j_\pi^{-1}; J | \hat{V}_{\nu\pi}^{\text{MSDI}} | j_\nu j_\pi^{-1}; J \rangle \approx (b - c) + \frac{\alpha_s}{2\pi \sin \theta_{\nu\pi}} + \frac{\alpha_t}{2\pi \tan \theta_{\nu\pi}}$$

with

$$\alpha_s = (a_0 + a_1) \left[ 1 + (-)^{j_\nu + j_\pi + J} \right] + 2a_0 + (-)^{\ell_\nu + \ell_\pi + J} (a_0 - a_1)$$

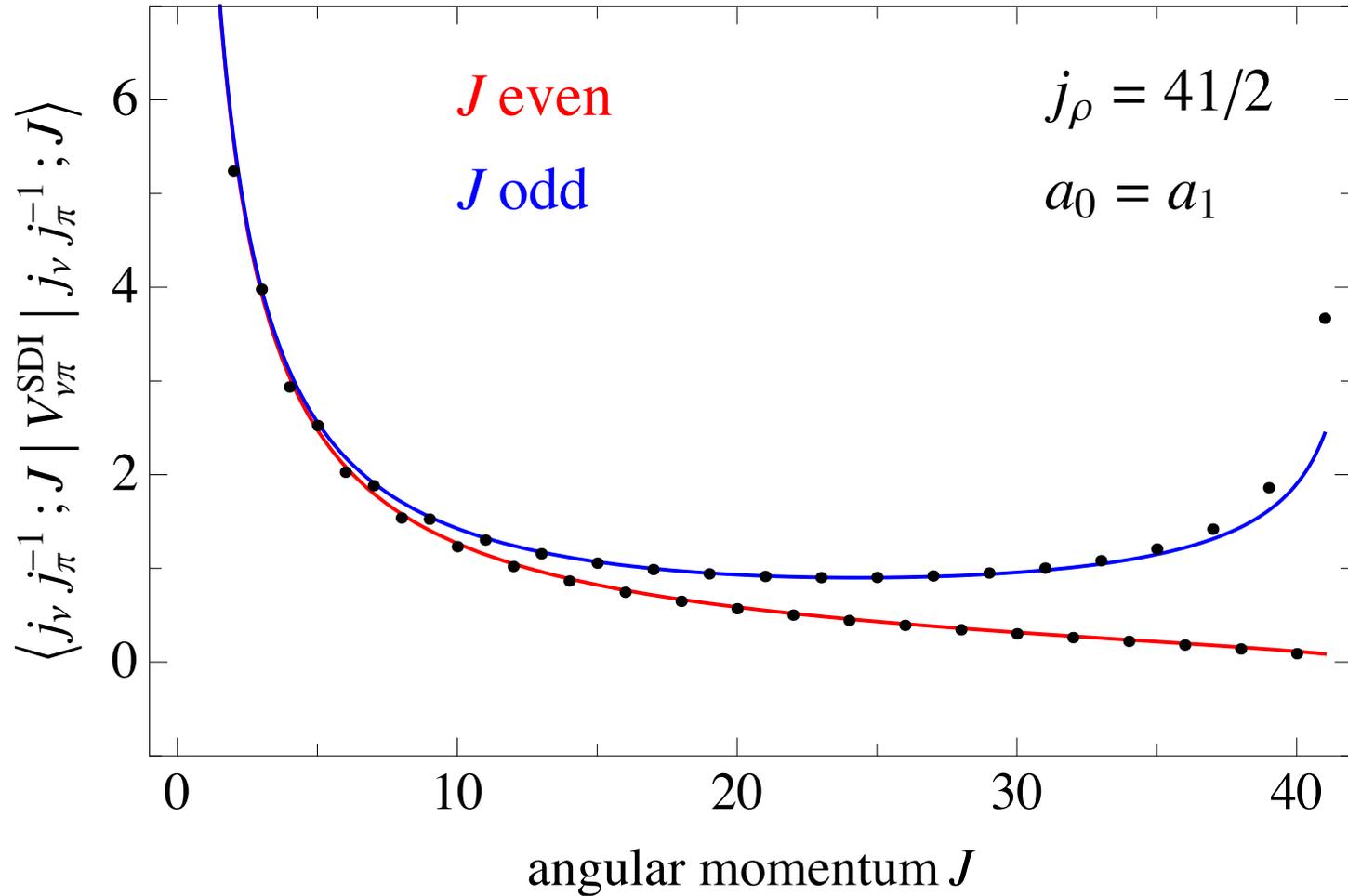
$$\alpha_t = 2(-)^{j_\nu + j_\pi + J} a_0 + (-)^{\ell_\nu + \ell_\pi + j_\nu + j_\pi} (a_0 - a_1)$$

# 1p-1h matrix element



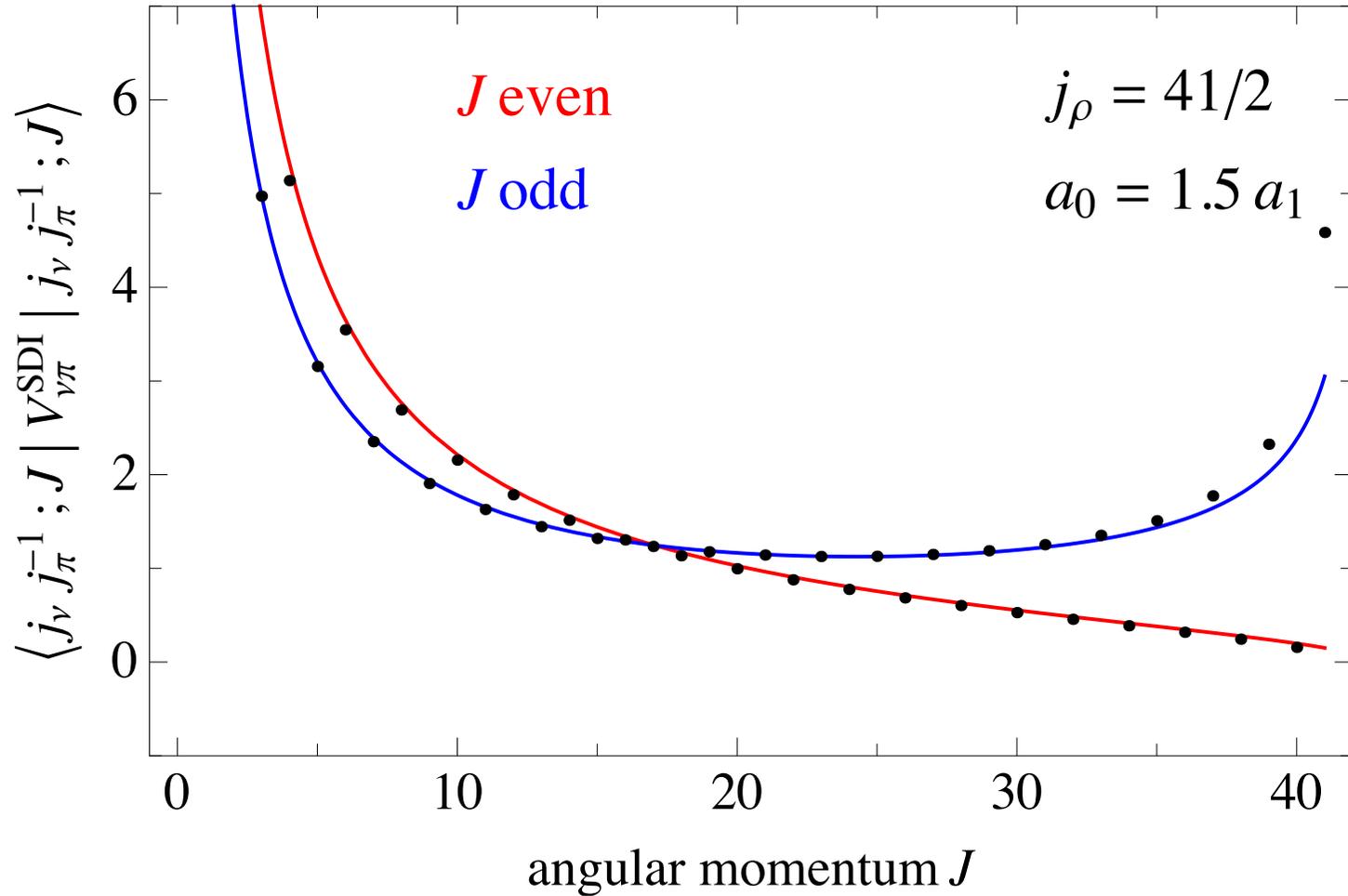
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# 1p-1h matrix element



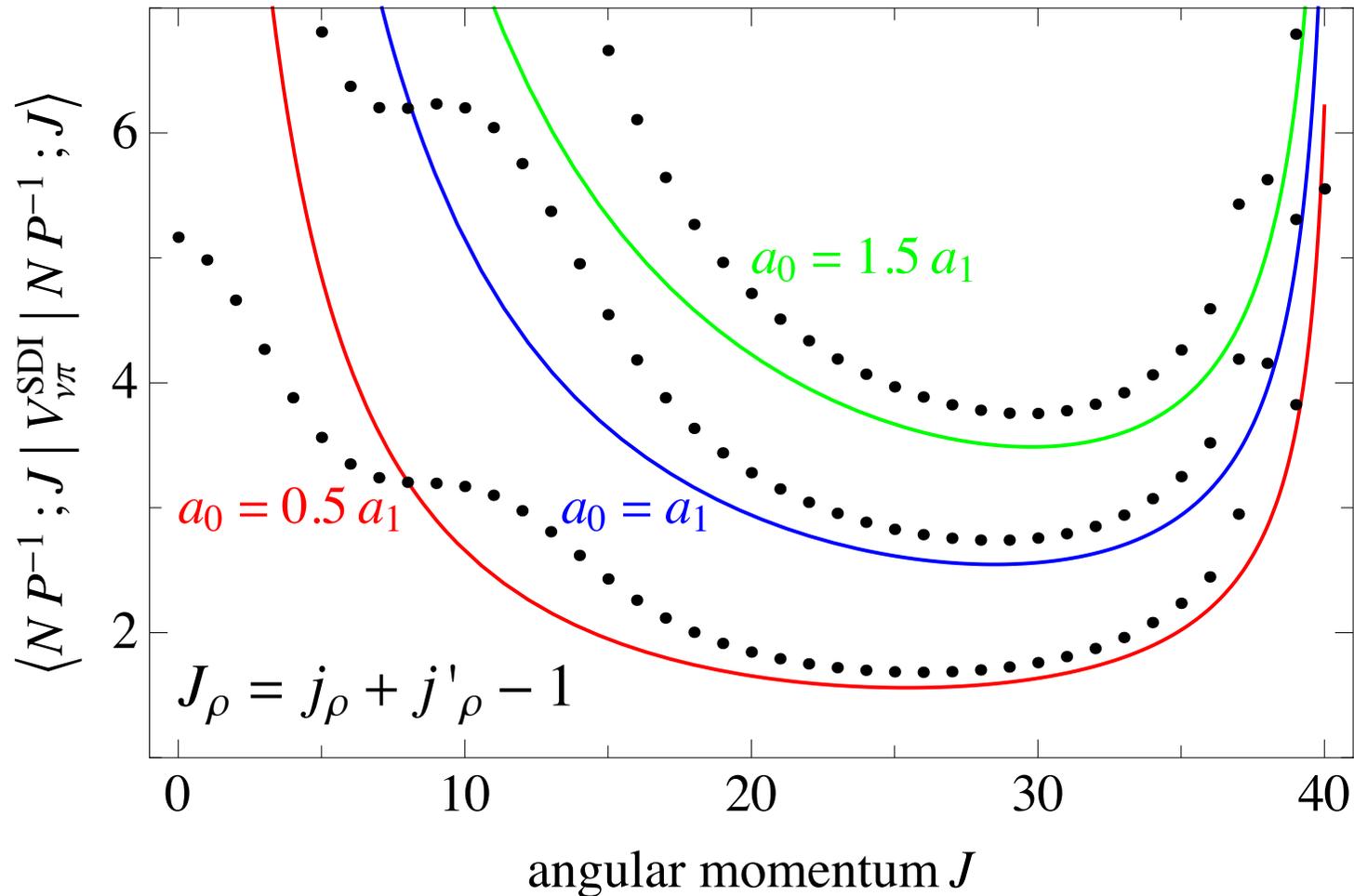
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# 1p-1h matrix element



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$$j_{\rho} = 21/2 \quad \& \quad j'_{\rho} = 21/2 \quad \& \quad J_{\rho} = 20$$



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# Bands without deformation

Regular sequences of levels (bands) are usually associated with nuclear collective behaviour.

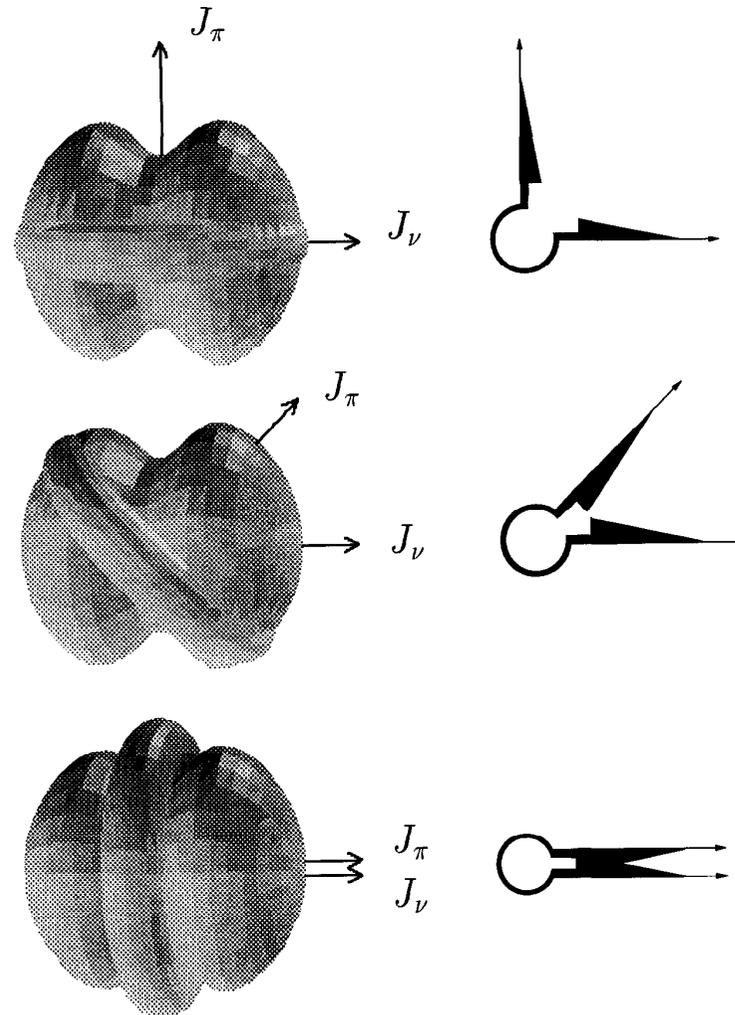
In several regions of the nuclear chart in the neighbourhood of closed-shells nuclei regular bands are observed.

# Shears bands

*Question:* How can sequences of levels appear rotational when deformation is weak?

*Answer:* Through the shears mechanism. This implies strong in-band M1 transitions.

# The shears mechanism



S. Frauendorf *et al.*, Nucl. Phys. A 601 (1996) 41

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# A shell-model configuration

Assume a shears band in terms of two neutron particles and two proton holes:

$$|N\rangle \equiv |j_\nu j'_\nu; J_\nu\rangle \quad \& \quad |P^{-1}\rangle \equiv |j_\pi^{-1} j'_\pi^{-1}; J_\pi\rangle \Rightarrow |NP^{-1}; J\rangle$$

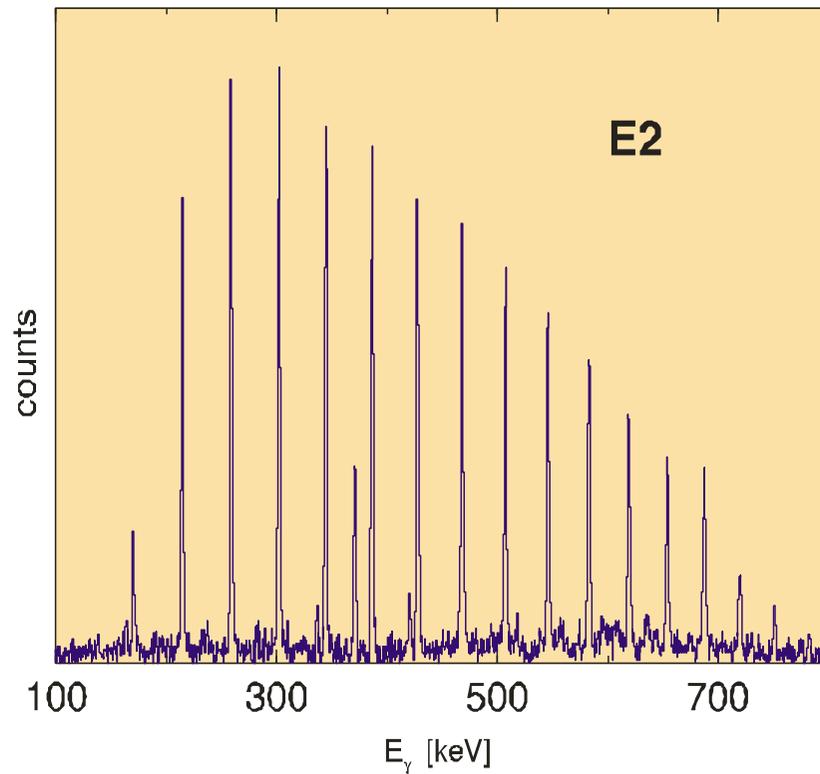
How do the energies of these states evolve as a function of  $J$  ?

How does this evolution depends on the angular momenta of the single-particle orbits and on the angular momenta of the 'blades' ?

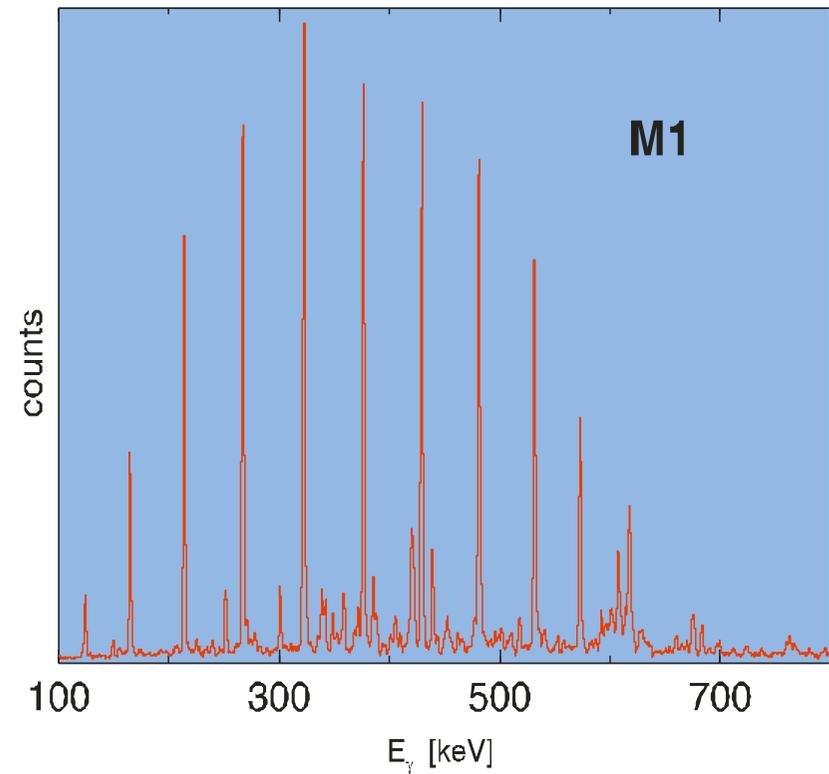
Take  $j_\nu = j'_\nu$  and  $j_\pi = j'_\pi$ .

# Regular sequences

rotational band in superdeformed  $^{194}\text{Pb}$



magnetic rotation in spherical  $^{199}\text{Pb}$



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# In terms of the shears angle

The shears angle is the angle between the angular momentum vectors of neutron particles and the proton holes:

$$\theta_{\nu\pi} = \arccos \frac{J(J+1) - J_\nu(J_\nu+1) - J_\pi(J_\pi+1)}{2\sqrt{J_\nu(J_\nu+1)J_\pi(J_\pi+1)}}$$

We have

$$S_n \approx \frac{2}{\pi(2j_\nu+1)(2j_\pi+1)(2J_\nu+1)(2J_\pi+1)\sin\theta_{\nu\pi}}$$

# Semi-classical interpretation

Schematic model in terms of the coupling of two vectors  $J_\nu$  and  $J_\pi$  and a 'shears' angle

$$\theta_{\nu\pi} = \arccos \frac{J(J+1) - J_\nu(J_\nu+1) - J_\pi(J_\pi+1)}{2\sqrt{J_\nu(J_\nu+1)J_\pi(J_\pi+1)}}$$

An effective interaction of the form

$$V(\theta_{\nu\pi}) = V_0 + V_2 P_2(\cos \theta_{\nu\pi}) + \dots$$

→ Can this geometry of the shears mechanism be derived from the spherical shell model?

A.O. Macchiavelli *et al.*, Phys. Rev. C **57** (1998) R1073

A.O. Macchiavelli *et al.*, Phys. Rev. C **58** (1998) R621

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# A simple application

Let's accept the expression for the shears energy

$$E(J) = \frac{\alpha_s}{2\pi \sin \theta_{v\pi}} + \frac{\alpha_t}{2\pi \tan \theta_{v\pi}}$$

The head of the shears band follows from

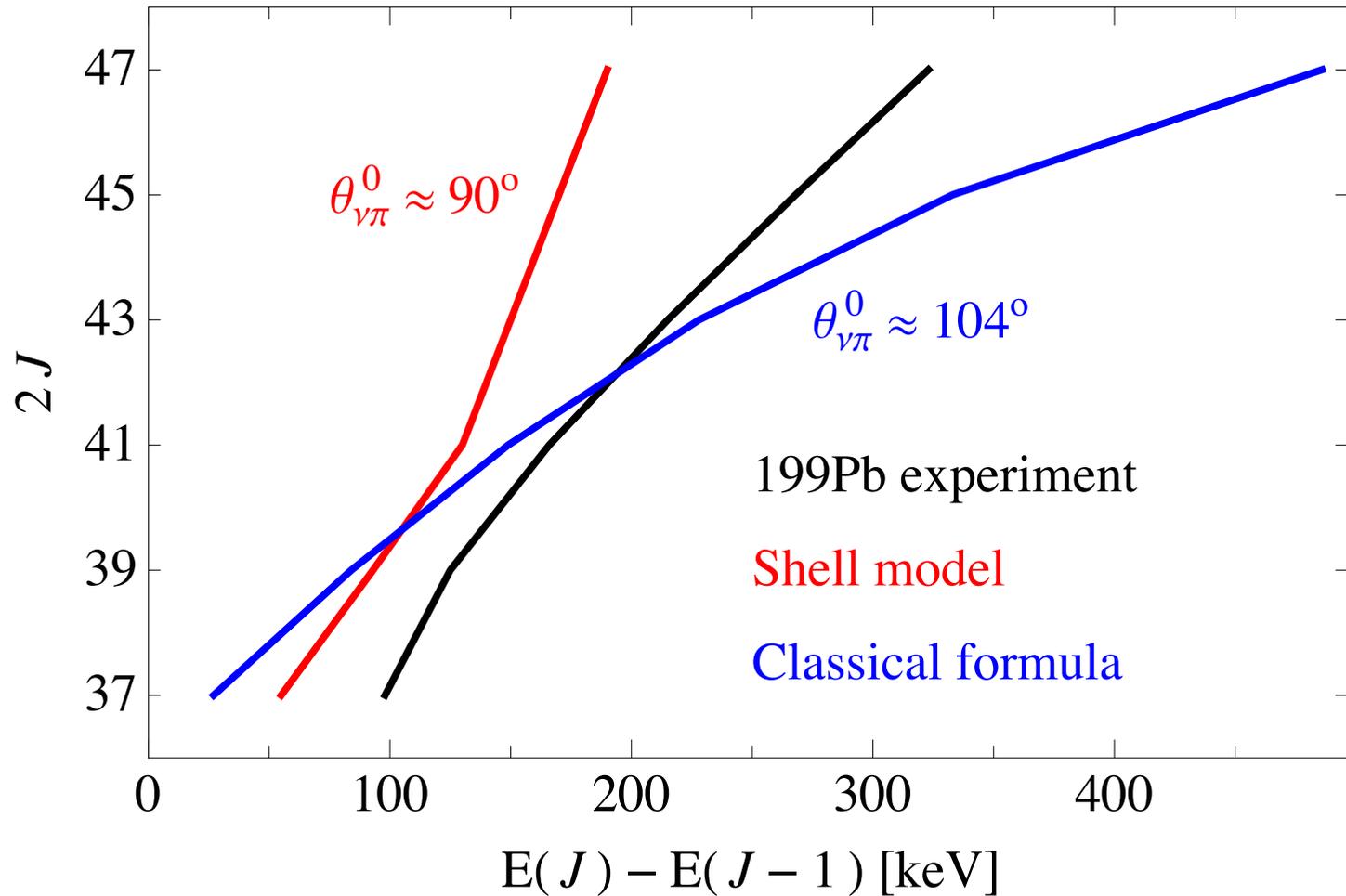
$$\left. \frac{\partial E}{\partial \theta_{v\pi}} \right|_{\theta_{v\pi} = \theta_{v\pi}^0} = 0 \Rightarrow \cos \theta_{v\pi}^0 = -\frac{\alpha_t}{\alpha_s} \quad \left( = \frac{a_0 - a_1}{3a_0 + a_1} \right)$$

The excitation energies of the shears-band members are given as

$$E_x(J) = \frac{\alpha_s}{2\pi \sin \theta_{v\pi}} \left( 1 - \cos \theta_{v\pi}^0 \cos \theta_{v\pi} \right) - \frac{\alpha_s \sin \theta_{v\pi}^0}{2\pi}$$

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# A simple application: $^{199}\text{Pb}$



S. Frauendorf *et al.*, Nucl. Phys. A 601 (1996) 41

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# M1 transitions

Exact result for  $np-nh$  configurations:

$$B(\text{M1}; J \rightarrow J-1) = \frac{3}{4\pi} (g_{J_\nu} - g_{J_\pi})^2 \frac{(C'+1)(C'-2J_\nu)(C'-2J_\pi)(C'-2J+1)}{4J(2J+1)}$$

with  $C' = J_\nu + J_\pi + J$ .

Classical approximation:

$$B(\text{M1}; J \rightarrow J-1) \approx \frac{3}{4\pi} (g_{J_\nu} - g_{J_\pi})^2 \frac{(2J_\nu+1)^2 (2J_\pi+1)^2}{16J(2J+1)} \sin^2 \theta_{\nu\pi}$$

# M1 transitions in $^{199}\text{Pb}$

Proposed configuration of states in band 1:

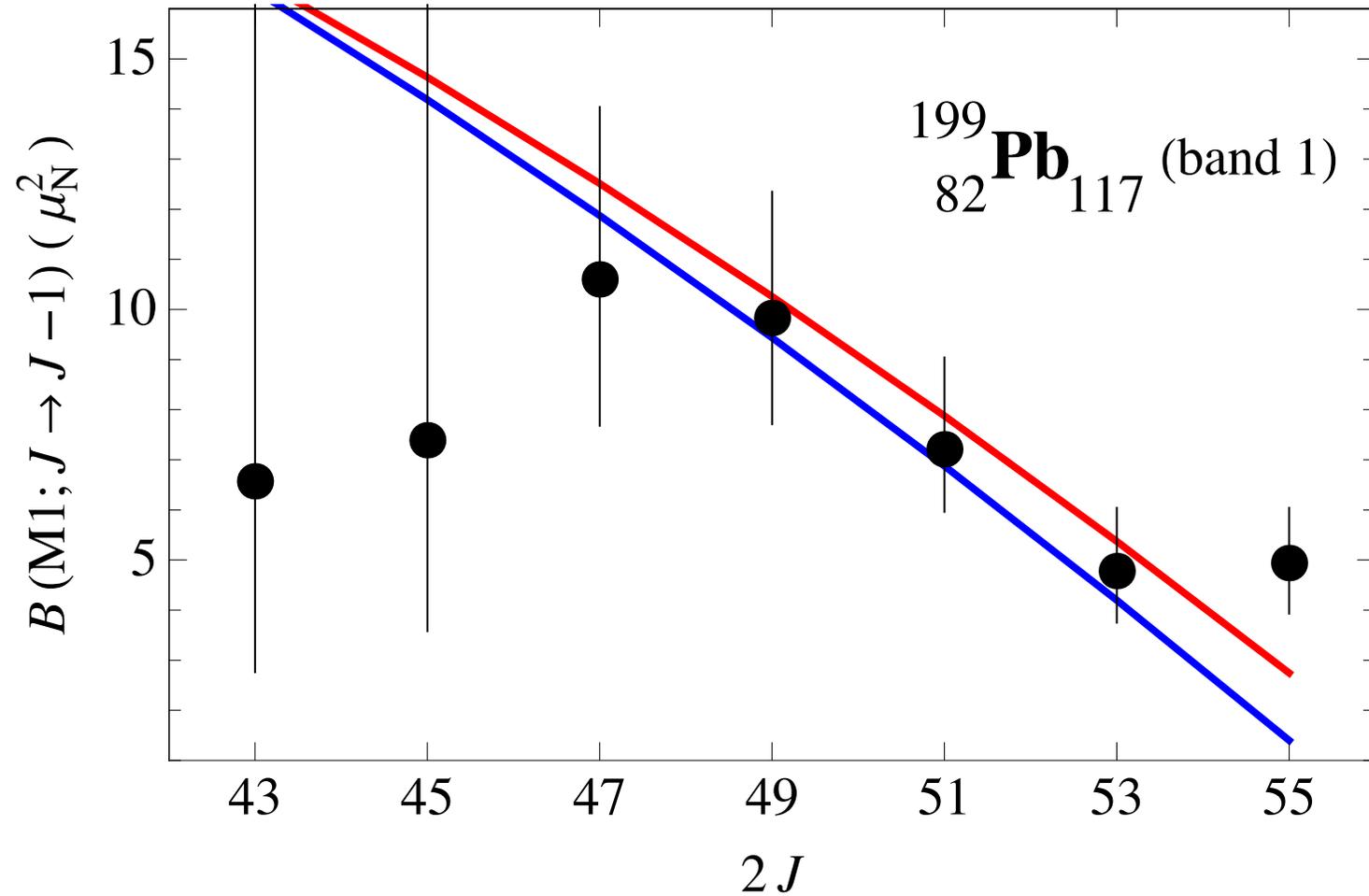
$$\left[ \nu \left( 1i_{13/2}^{-3} \right)^{33/2} \times \pi \left( 1h_{9/2} 1i_{13/2} \right)^{11} \right]^{(J)}$$

Calculation of  $g$  factors:

$$\nu \left( 1i_{13/2}^{-3} \right)^{33/2} : g_{J_\nu} = g_{1i_{13/2}}^\nu = -0.29$$

$$\pi \left( 1h_{9/2} 1i_{13/2} \right)^{(11)} : g_{J_\pi} = \frac{9}{22} g_{1h_{9/2}}^\pi + \frac{13}{22} g_{1i_{13/2}}^\pi = 1.03$$

# M1 transitions in $^{199}\text{Pb}$



*Wigner-111, Budapest, November 2013*