

Femtosecond correlated fermion dynamics in finite quantum systems

Michael Bonitz¹

in collaboration with:

Niclas Schlünzen¹, Jan-Philip Joost¹, Maximilian Rasmussen¹, and
Karsten Balzer²

¹Institut für Theoretische Physik und Astrophysik
Christian-Albrechts-Universität zu Kiel, Germany

²Rechenzentrum, Christian-Albrechts-Universität zu Kiel, Germany

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Our main interest: correlated charged particle systems in and out of equilibrium

Methods:

- Kinetic theory for plasmas (PIC-MCC), MD for surface processes
- *ab initio* thermodynamics for warm dense matter (quantum Monte Carlo, avoid sign problem, f_{xc}^{UEG} with 0.3% accuracy)¹, functional available in library *libxc*
- nonequilibrium Green functions (NEGF) approach to inhomogeneous systems

Recent nonequilibrium applications with NEGF:

- Time-resolved photoionization of few-electron atoms and molecules
- Dynamics of finite Hubbard clusters following a confinement quench
- Interaction of low-temperature plasmas with solids: ion stopping, electronic correlation effects, doublon formation
- Photoexcitation dynamics of graphene nanoribbons. Carrier multiplication

¹Schoof *et al.* PRL 2015, Dornheim *et al.*, PRL 2016, Groth *et al.*, PRL 2017, Physics Reports 2018

Fermionic atoms in optical lattices tunable lattice depth and interaction

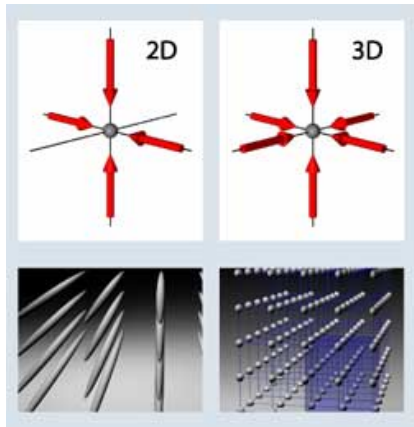
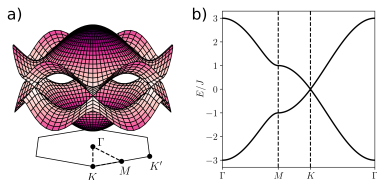
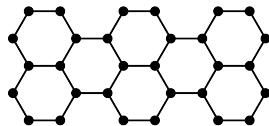


Fig.: M. Greiner (Harvard)

Graphene: high mobility, no bandgap



Graphene nanoribbons: finite tunable bandgap



- 1 Nonequilibrium Green functions (NEGF)
 - Theoretical framework
 - Strong electronic correlations. Hubbard model

- 2 NEGF results for 2D cold atom expansion

Nonequilibrium Green functions

2nd quantization

- Fock space $\mathcal{F} \ni |n_1, n_2 \dots\rangle$, $\mathcal{F} = \bigoplus_{N_0 \in \mathbb{N}} \mathcal{F}^{N_0}$, $\mathcal{F}^{N_0} \subset \mathcal{H}^{N_0}$
- $\hat{c}_i, \hat{c}_i^\dagger$ creates/annihilates a particle in single-particle orbital ϕ_i
- Spin accounted for by canonical (anti-)commutator relations

$$\left[\hat{c}_i^{(\dagger)}, \hat{c}_j^{(\dagger)} \right]_{\mp} = 0, \quad \left[\hat{c}_i, \hat{c}_j^\dagger \right]_{\mp} = \delta_{i,j}$$
- Hamiltonian:
$$\hat{H}(t) = \underbrace{\sum_{k,m} h_{km}^0 \hat{c}_k^\dagger \hat{c}_m}_{\hat{H}_0} + \frac{1}{2} \underbrace{\sum_{k,l,m,n} w_{klmn} \hat{c}_k^\dagger \hat{c}_l^\dagger \hat{c}_n \hat{c}_m}_{\hat{W}} + \hat{F}(t)$$

Particle interaction w_{klmn}

- Coulomb interaction
- electronic correlations

Time-dependent excitation $\hat{F}(t)$

- single-particle type
- em field, quench, particles

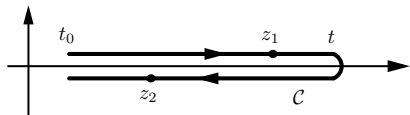
Keldysh Green functions (NEGF)

two times $z, z' \in \mathcal{C}$ ("Keldysh contour"), arbitrary one-particle basis $|\phi_i\rangle$

$$G_{ij}(z, z') = \frac{i}{\hbar} \left\langle \hat{T}_{\mathcal{C}} \hat{c}_i(z) \hat{c}_j^\dagger(z') \right\rangle \quad \text{average with } \rho^N$$

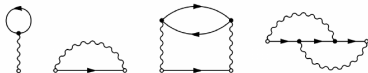
Keldysh–Kadanoff–Baym equations (KBE) on \mathcal{C} (2×2 matrix):

$$\sum_k \left\{ i\hbar \frac{\partial}{\partial z} \delta_{ik} - h_{ik}(z) \right\} G_{kj}(z, z') = \delta_{\mathcal{C}}(z, z') \delta_{ij} - i\hbar \sum_{klm} \int_{\mathcal{C}} d\bar{z} w_{iklm}(z^+, \bar{z}) G_{lmjk}^{(2)}(z, \bar{z}; z', \bar{z}^+)$$



- $\int_{\mathcal{C}} w G^{(2)} \rightarrow \int_{\mathcal{C}} \Sigma G$, Selfenergy
- Nonequilibrium Diagram technique
 Example: Hartree–Fock + Second Born selfenergy

KBE: first equation of Martin–Schwinger hierarchy for $G, G^{(2)} \dots G^{(n)}$



- Contour Green function mapped to real-time matrix Green function

$$\mathbf{G}_{ij} = \begin{pmatrix} G_{ij}^R & G_{ij}^< \\ 0 & G_{ij}^A \end{pmatrix}$$

$$G_{ij}^<(t_1, t_2) = \mp i \langle \hat{c}_j^\dagger(t_2) \hat{c}_i(t_1) \rangle$$

$$G_{ij}^>(t_1, t_2) = -i \langle \hat{c}_i(t_1) \hat{c}_j^\dagger(t_2) \rangle$$

- Propagators (spectral properties)

$$G^{R/A}(t_1, t_2) = \pm \theta [\pm(t_1 - t_2)] \{G^>(t_1, t_2) - G^<(t_1, t_2)\}$$

- Correlation functions G^{\cong} (statistical properties) obey real-time KBE

$$\begin{aligned}
 [i\partial_{t_1} - h_0(t_1)] G^<(t_1, t_2) &= \int dt_3 \Sigma^R(t_1, t_3) G^<(t_3, t_2) + \int dt_3 \Sigma^<(t_1, t_3) G^A(t_3, t_2), \\
 G^<(t_1, t_2) [-i\partial_{t_2} - h_0(t_2)] &= \int dt_3 G^R(t_1, t_3) \Sigma^<(t_3, t_2) + \int dt_3 \Sigma^A(t_1, t_3) G^<(t_3, t_2)
 \end{aligned}$$

Time-dependent single-particle operator expectation value

$$\langle \hat{O} \rangle(t) = \mp i \int dx [o(x't) G^<(xt, x't)]_{x=x'}$$

- Particle density

$$\langle \hat{n}(x, t) \rangle = n(1) = \mp i G^<(1, 1)$$

- Density matrix

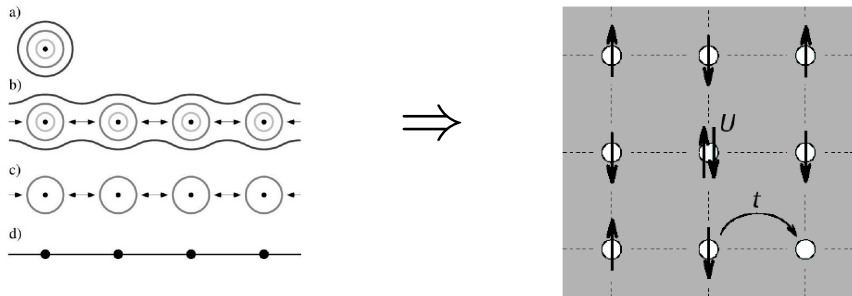
$$\rho(x_1, x'_1, t) = \mp i G^<(1, 1') \Big|_{t_1=t'_1}$$

- Current density: $\langle \hat{j}(1) \rangle = \mp i \left[\left(\frac{\nabla_1}{2i} - \frac{\nabla_{1'}}{2i} + A(1) \right) G^<(1, 1') \right]_{1'=1}$

Interaction energy (two-particle observable, [Baym/Kadanoff])

$$\langle \hat{V}_{12} \rangle(t) = \pm i \frac{\mathcal{V}}{4} \int \frac{d\vec{p}}{(2\pi\hbar)^3} \left\{ (i\partial_t - i\partial_{t'}) - \frac{p^2}{m} \right\} G^<(\vec{p}, t, t') \Big|_{t=t'}$$

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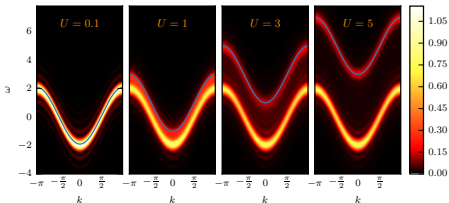


$$\hat{H}(t) = J \sum_{ij, \alpha} h_{ij} \hat{c}_{i\alpha}^\dagger \hat{c}_{j\alpha} + U \sum_i \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\uparrow} \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} + \sum_{ij, \alpha\beta} f_{ij, \alpha\beta}(t) \hat{c}_{i\alpha}^\dagger \hat{c}_{j\beta}$$

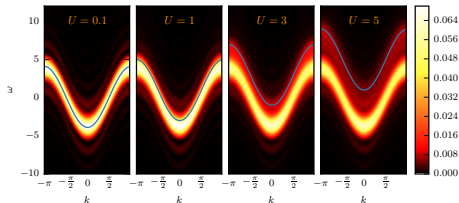
$h_{ij} = -\delta_{\langle i, j \rangle}$ and $\delta_{\langle i, j \rangle} = 1$, if (i, j) nearest neighbors, $\delta_{\langle i, j \rangle} = 0$ otherwise;
 on-site repulsion ($U > 0$) or attraction ($U < 0$), U favors *doublons* (correlations)

- f : **excitation** (1-particle hamiltonian): EM field, quench, particle impact etc.
- finite inhomogeneous system, size and geometry dependence

1D:



2D:



- spectral function (peaks yield single-particle dispersion)

$$A(\omega, \mathbf{k}) = \frac{i\hbar}{N_s N_t} \sum_{\mathbf{s}\mathbf{s}'t't'} e^{-i\mathbf{k}(\mathbf{s}-\mathbf{s}')} e^{-i\omega(t-t')} [G_{\mathbf{s}\mathbf{s}'}^>(t, t') - G_{\mathbf{s}\mathbf{s}'}^<(t, t')]$$

- upper band: doublons, upshifted by $\sim U$
- KBE result for local doublon occupation (two-particle quantity):

$$d_i(t) = \langle \hat{n}_{i\uparrow}(t) \hat{n}_{i\downarrow}(t) \rangle = -\frac{i\hbar}{U} \sum_k \int_{\mathcal{C}} ds \Sigma_{ik}(t, s) G_{ki}(s, t)$$

$$d_i^{\text{HF}}(t) = n_{i\uparrow}(t) n_{i\downarrow}(t)$$

- correlations: clearly visible in deviations from Hartree-Fock

Choice depends on coupling strength, density (filling)

Hartree-Fock (HF, mean field):

$$\sim w^1$$

Second Born (2B): $\sim w^2$

GW: ∞ bubble summation,
 dynamical screening effects

particle-particle T -matrix (TPP):

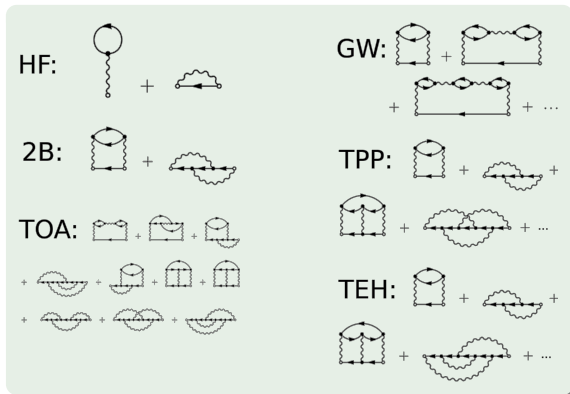
∞ ladder sum in pp channel

electron-hole T -matrix (TEH):

∞ ladder sum in ph channel

FLEX (GW+TPP+TEH)

3rd order approx. (TOA): $\sim w^3$



²Conserving, nonequilibrium $\Sigma(t, t')$, applies for ultra-short to long times

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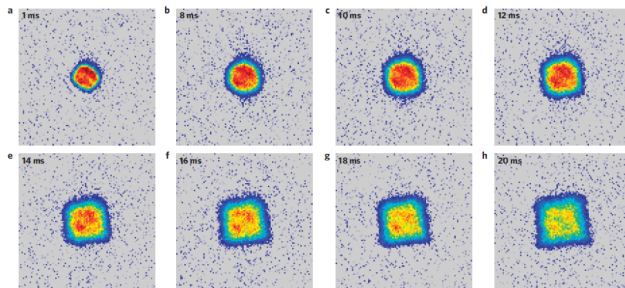
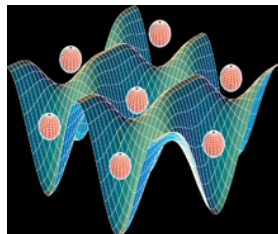
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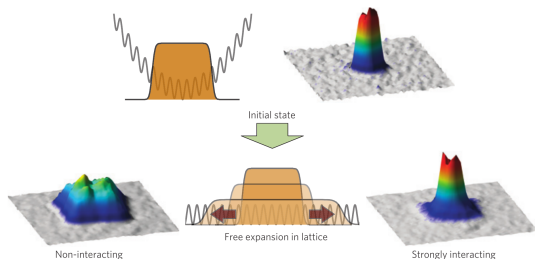
PUBLISHED ONLINE: 15 JANUARY 2012 | DOI:10.1038/NPHYS2205

Fermionic transport and out-of-equilibrium dynamics in a homogeneous Hubbard model with ultracold atoms

Ulrich Schneider^{1,2,*}, Lucia Hackermüller^{1,3}, Jens Philipp Ronzheimer^{1,2}, Sebastian Will^{1,2}, Simon Braun^{1,2}, Thorsten Best¹, Immanuel Bloch^{1,2,4}, Eugene Demler⁵, Stephan Mandt⁶, David Rasch⁶ and Achim Rosch⁶



- 2D optical lattice, ca. 200 000 atoms
- atom-atom interaction strength tuned (via Feshbach resonance)
- $t < 0$: confinement in trap center, doubly occupied lattice sites
- $t = 0$: confinement rapidly removed (“quench”):
system far from equilibrium \Rightarrow start of diffusion, equilibration



- at strong coupling: center (“core”) does not expand due to **doublon** formation

Quote from Schneider *et al.*, (p. 216):

“Although the expansion can be modelled in 1D (...) using DMRG methods (...), so far no methods are available to calculate the dynamics quantum-mechanically in higher dimensions”

Similar claims in many experimental papers, for example:

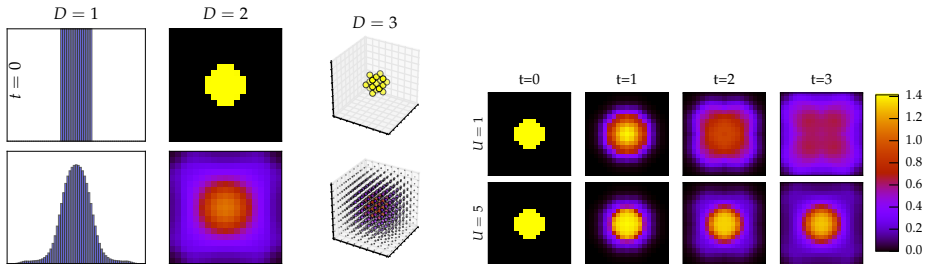
“Quantengase unter dem Mikroskop”, M. Greiner, I. Bloch, Phys. Journal Okt. 2015:

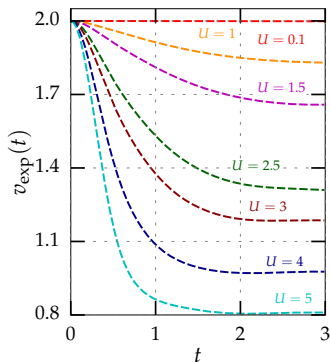
“Ein anderes Gebiet, in dem Experimente schon heute leistungsfähiger als Computersimulationen sind, ist die Untersuchung von Nichtgleichgewichtsprozessen in Quanten-Vielteilchensystemen ... bisherige Algorithmen auf eindimensionale Systeme beschränkt sind und meistens nur die Dynamik für sehr kurze Zeiten berechnen können.”

Not exactly true...

NEGF results for fermion expansion

- $t = 0$: central array of doubly occupied sites
- confinement quench initiates expansion
- expansion speed, dynamics time-dependent, depend on
 - dimensionality D , interaction strength U , particle number N





Diffusion quantities

- **mean squared displacement**

$$R^2(t) = \frac{1}{N} \sum_s n_s(t) [s - s_0]^2$$

s_0 : center of the system

- **rescaled cloud diameter**

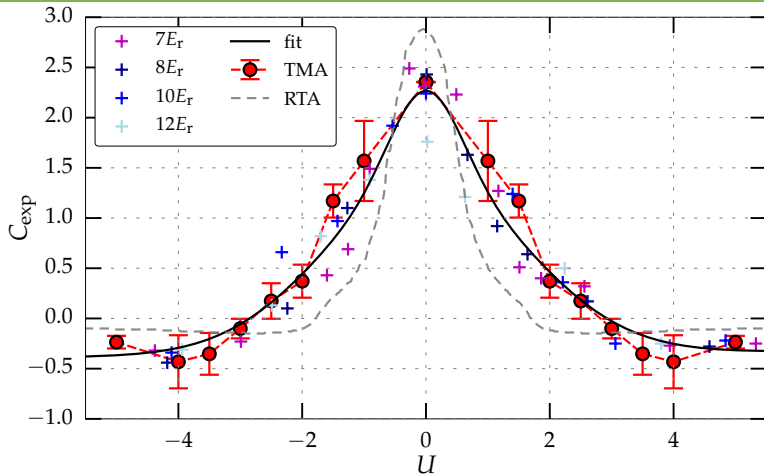
$$d(t) = \sqrt{R^2(t) - R^2(0)}$$

- **expansion velocity** $v_{\text{exp}}(t) = \frac{d}{dt} d(t)$
- **asymptotic expansion velocity**

$$v_{\text{exp}}^{\infty} = \lim_{t \rightarrow \infty} v_{\text{exp}}(t)$$

- example: $N = 58$ doubly occupied sites in 2D
- perform extrapolation with respect to N
- similar procedure for “core expansion velocity” (\sim FWHM)

³N. Schlünzen, S. Hermanns, M. Bonitz, and C. Verdozzi, Phys. Rev. B **93**, 035107 (2016)



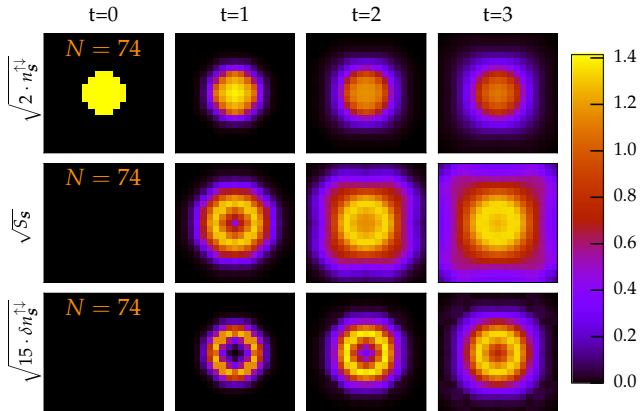
- agreement with measurements for the *final stage* of the dynamics
- in addition: NEGF predict early stages, correlation dynamics etc.

⁴2-time T-matrix, N. Schlünzen, S. Hermanns, M. Bonitz, and C. Verdozzi, Phys. Rev. B **93**, 035107 (2016)

⁵U. Schneider *et al.*, Nature Physics **8**, 213-218 (2012)

Site-resolved evolution of correlations

- double occupation $n_s^{\uparrow\downarrow}$
- local entanglement entropy S_s
- pair correlation function $\delta n_s^{\uparrow\downarrow} = n_s^{\uparrow\downarrow} - n_s^{\uparrow}n_s^{\downarrow}$



- insights into the early expansion phase
- measurable in quantum atom microscopes

- first correlated quantum dynamics simulations in 2D. Excellent agreement with experiments
- **NEGF** well suited to describe **nonequilibrium dynamics in correlated finite (inhomogeneous) systems**, quantitatively reliable, predictive power
- controlled choice of selfenergy: dictated by filling and interaction strength, presently accurate up to $U \simeq$ bandwidth
- NEGF not restricted by geometry, dimensionality or ensemble. Approximately cubic scaling with N_B, T
- Current applications: **2D graphene clusters, nanoribbons**: promising electronic and optical properties due to correlations: ion stopping, carrier multiplication, photon sidebands

⁶M. Bonitz, *Quantum Kinetic Theory*, 2nd ed. Springer 2016
K. Balzer, M. Bonitz, *Nonequilibrium Green Functions Approach to Inhomogeneous systems*, Springer 2013
www.itap.uni-kiel.de/theo-physik/bonitz