

# Nuclear Equation of State: from Laboratory to Heavens

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# Equation of State of Nuclear Matter

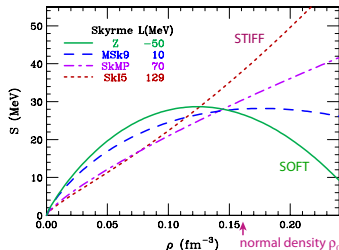
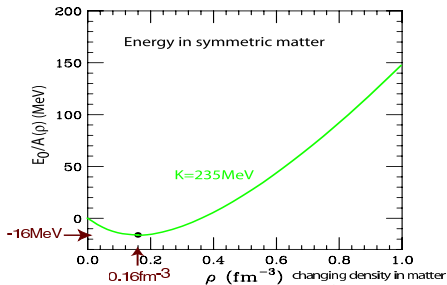
EOS: any nontrivial relation between thermodynamic vbles characterizing the matter, e.g.  $p(\rho, T)$  or  $\frac{E}{A}(\rho_p, \rho_n, T)$

Central Reactions & n-Stars:  $\rho$  changed due to compression

Nuclear Structure:  $\rho$  changes in surface & dynamic oscillations

Energy breakdown in uniform matter, due to charge symmetry:

$$\frac{E}{A}(\rho_n, \rho_p) = \frac{E_0}{A}(\rho) + S(\rho) \left( \frac{\rho_n - \rho_p}{\rho} \right)^2$$



$$\frac{E_0}{A}(\rho) = -a_V + \frac{K}{18} \left( \frac{\rho - \rho_0}{\rho_0} \right)^2 + \dots$$

$$S(\rho) \simeq a_a^V + \frac{L}{3} \frac{\rho - \rho_0}{\rho_0}$$

$a_a^V = ?$   $L = ?$



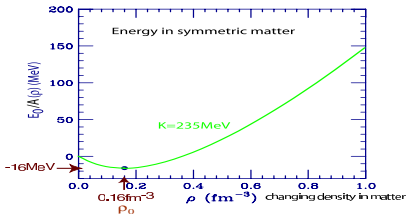
# Pressure and Energy

In cold matter, at  $T = 0$ : 
$$P = \rho^2 \frac{d}{d\rho} \frac{E}{A} \quad \rho = \rho_n + \rho_p$$

Further 
$$\frac{E}{A}(\rho_n, \rho_p) \approx \frac{E_0}{A}(\rho) + S(\rho) \left( \frac{\rho_n - \rho_p}{\rho} \right)^2$$

In neutron matter  $\rho_p \ll \rho_n$ : 
$$\frac{E}{A}(\rho) \approx \frac{E_0}{A}(\rho) + S(\rho)$$

With  $S(\rho) = a_a^V + \frac{L(\rho - \rho_0)}{3\rho_0} + \dots$ , 
$$P \simeq \rho^2 \frac{dS}{d\rho} \simeq \frac{L}{3\rho_0} \rho^2$$



While symmetry-energy effects are strong in neutron matter, in nuclei  $\frac{\rho_n - \rho_p}{\rho} \lesssim 0.3$ ,

so  $S(\rho) \left( \frac{\rho_n - \rho_p}{\rho} \right)^2 \ll \left| \frac{E_0}{A}(\rho) \right|$  & symmetry-energy effects are weak



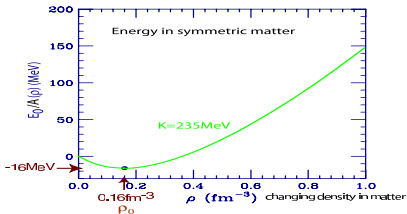
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## Central Reactions in Terms of Boltzmann Eq

Central reactions of heavy nuclei described statistically in terms of Boltzmann equation for the Wigner function  $f$  - density of particles in space and momentum:

$$\frac{\partial f}{\partial t} + \frac{\partial \epsilon_{\mathbf{p}}}{\partial \mathbf{p}} \frac{\partial f}{\partial \mathbf{r}} - \frac{\partial \epsilon_{\mathbf{p}}}{\partial \mathbf{r}} \frac{\partial f}{\partial \mathbf{p}} = \int d\mathbf{p}_2 \int d\Omega' v_{12} \frac{d\sigma}{d\Omega'} \times \left( \underbrace{(1-f_1)(1-f_2)f'_1 f'_2}_{\text{gain}} - \underbrace{(1-f'_1)(1-f'_2)f_1 f_2}_{\text{loss}} \right)$$

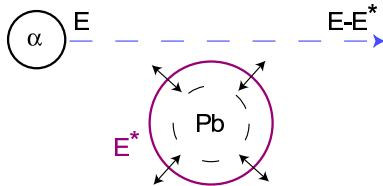
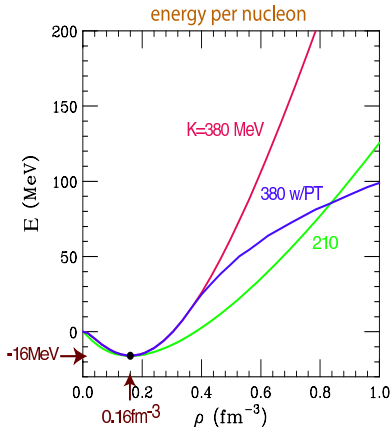
Here,  $\epsilon_p$  - single-particle energy and  $\frac{d\sigma}{d\Omega}$  - nucleon-nucleon scattering cross-section.

System energy specified in terms of the Wigner functions, allowing to consider nonequilibrium situations, while constraining the equilibrium,  $E = E\{f\}$ . Single-ptcle energy:

$$\epsilon(\mathbf{p}) = \frac{\delta E}{\delta f(\mathbf{p})}$$



# Incompressibility from Vibrations?



$$E^* = \hbar\Omega = \hbar \sqrt{\frac{K}{m_N \langle r^2 \rangle_A}}$$

Problem: surface, Coulomb,  
isospin imbalance

⇒ all that in Boltzmann eq.

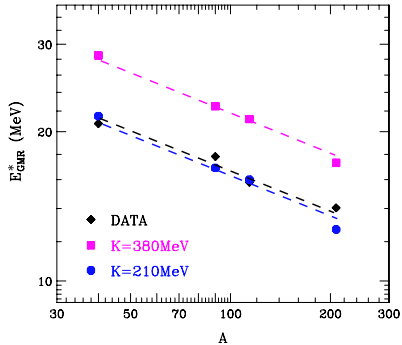
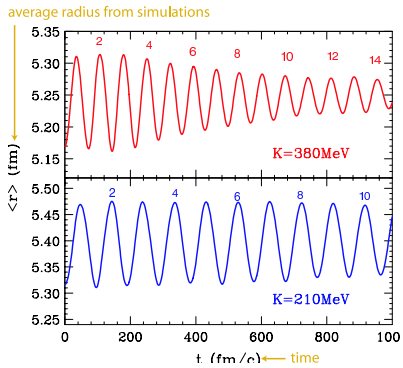
$$K = 9 \rho_0^2 \frac{d^2}{d\rho^2} \left( \frac{E}{A} \right) = R^2 \frac{d^2}{dR^2} \left( \frac{E}{A} \right)$$



# Monopole Oscillations

## Pb Oscillations

$$E_{GMR}^* = \hbar\Omega$$



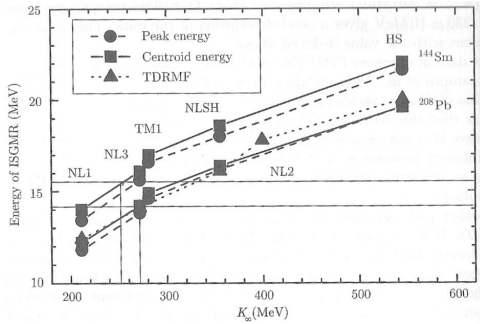
data Youngblood, Garg *et al*

⇒  $K \sim 235\text{ MeV?}$

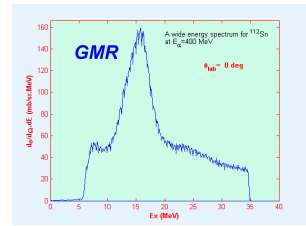


# Some Model Dependence

Relativistic RPA for different Lagrangians (Van Giai et al)



data (Garg et al)



$\Rightarrow K = (230 - 285) \text{ MeV}$





## Central Reactions

Reaction plane: plane in which the centers of initial nuclei lie

Spectators: nucleons in the reaction periphery, little disturbed by the reaction

Participants: nucleons that dive into compressed excited matter

Nuclear EOS deduced from the features of collective flow in reactions of heavy nuclei

Collective flow: motion characterized by significant space-momentum correlations, deduced from momentum distributions of particles emitted in the reactions

Euler eq. in  $\vec{v} = 0$  frame:

$$m_N \rho \frac{\partial}{\partial t} \vec{v} = -\vec{\nabla} p$$



## EOS and Flow Anisotropies

EOS assessed through reaction plane anisotropies  
characterizing particle collective motion

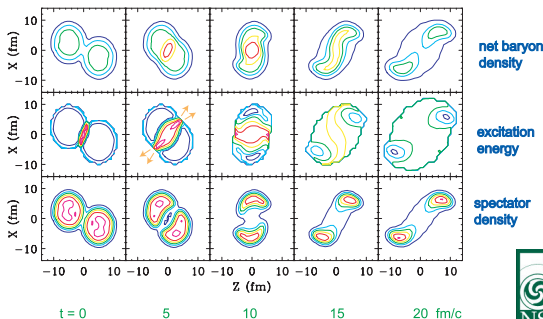
Hydro? Euler eq. in  $\vec{v} = 0$  frame:  $m_N \rho \frac{\partial}{\partial t} \vec{v} = -\vec{\nabla} p$

where  $p$  - pressure From features of  $v$ , knowing  $\Delta t$ , we may  
learn about  $p$  in relation to  $\rho \Delta t$  fixed by spectator motion

For high  $p$ , expansion  
rapid and much  
affected by spectators

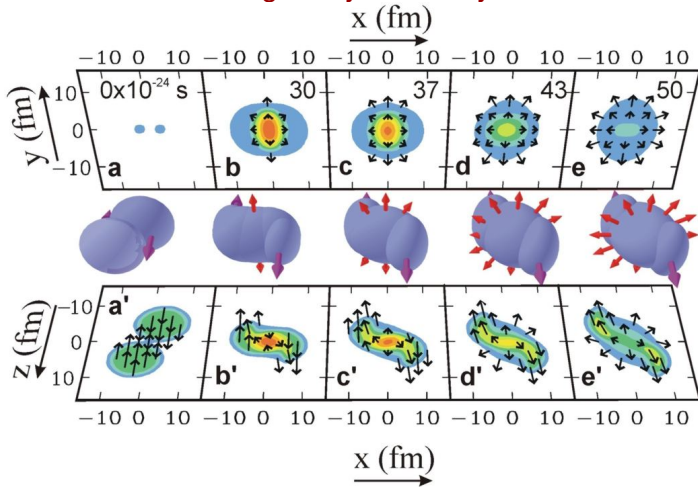
For low  $p$ , expansion  
sluggish and  
completes after  
spectators gone

Simulation by L. Shi



# Medium-Energy Collisions of Heavy Nuclei

Thermalized matter at high baryon density! 2 GeV/u Au+Au



Top panels: pressure  $\perp$  to beam axis (up to 90 MeV/fm<sup>3</sup>) + flow

Bottom panels: density (up to 3 $\rho_0$ ) in reaction plane + flow



# Sideward Flow Systematics

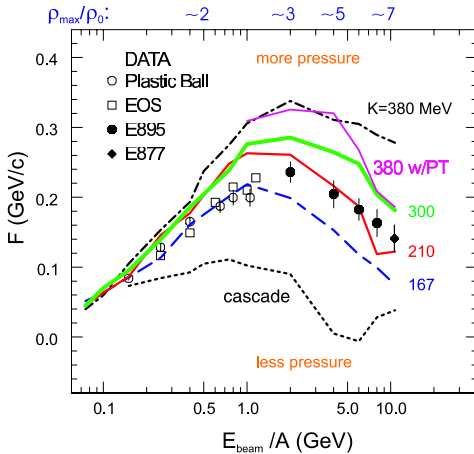
Deflection of forwards and backwards moving particles away from the beam axis, within the reaction plane

Au + Au Flow  
Excitation Function

Note:  $K$  used as a label

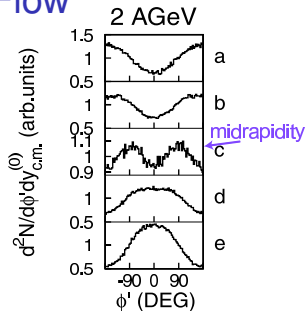
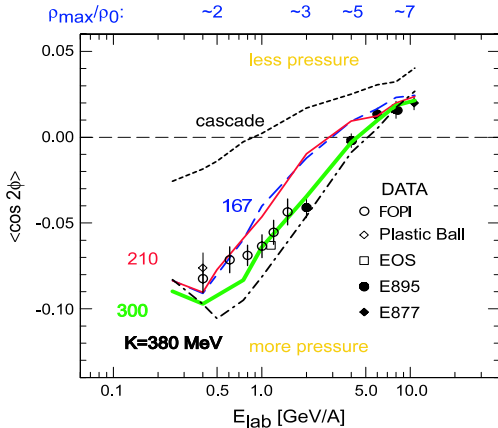
PD, Lacey & Lynch

The sideward-flow observable results from dynamics that spans a  $\rho$ -range varying with the incident energy



## 2<sup>nd</sup>-Order or Elliptic Flow

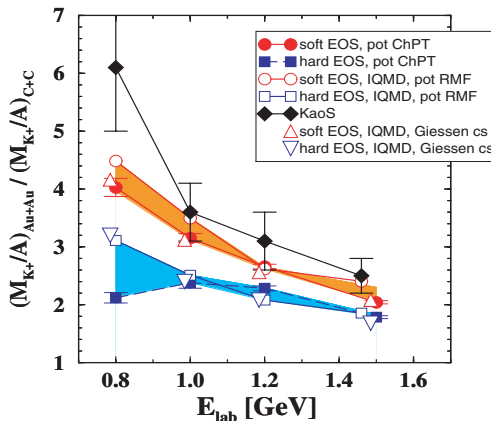
Another anisotropy, studied at midrapidity:  
 $v_2 = \langle \cos 2\phi \rangle$ , where  $\phi$  is azimuthal angle  
 relative to reaction plane



Au+Au  $v_2$   
 Excitation Function



## Subthreshold Meson ( $K/\pi$ ) Production



Ratio of kaons per participant nucleon in Au+Au collisions to kaons in C+C collisions vs beam energy

filled diamonds: KaoS data

open symbols: theory  
*Fuchs et al*

Kaon yield sensitive to EOS because multiple interactions needed for production, testing density

The data suggest a relatively soft EOS



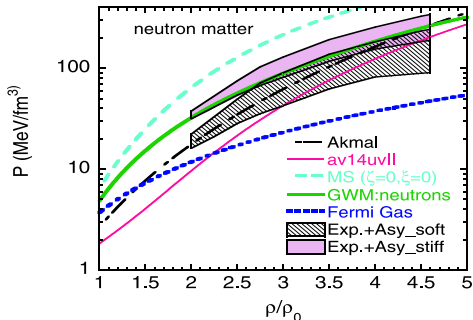
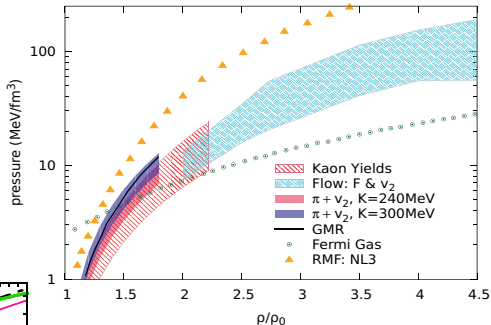
# Constraints from Flow on EOS

Au+Au flow anisotropies:

$$\rho \simeq (2 - 4.6)\rho_0$$

No one EOS yields both flows right. Discrepancies: inaccuracy of theory

Most extreme models for EOS can be eliminated



PD, Lacey & Lynch  
+ Fuchs + Hong + others

Neutron Matter:  
Uncertainty in  
symmetry energy



## Nuclear Mass Formula & Charge Invariance

Symmetry-energy details in nuclear mass-formula intertwined with details of other terms: Coulomb, Wigner & pairing + even those asymmetry-independent, due to  $(N - Z)/A - A$  correlations along stability line (PD)!

Best would be to study the symmetry energy in isolation from the rest of mass-formula! Absurd?!

Charge invariance to rescue: lowest nuclear states characterized by different isospin values ( $T, T_z$ ),  
 $T_z = (Z - N)/2$ . Nuclear energy scalar in isospin space:

sym energy 
$$E_a = a_a(A) \frac{(N - Z)^2}{A} = 4 a_a(A) \frac{T_z^2}{A}$$

$$\rightarrow E_a = 4 a_a(A) \frac{T^2}{A} = 4 a_a(A) \frac{T(T + 1)}{A}$$





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# Symmetry Coefficient Nucleus-by-Nucleus

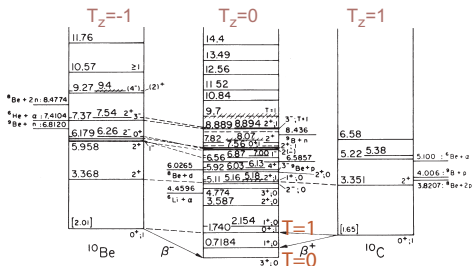
Mass formula generalized to the lowest state of a given  $T$ :

$$E(A, T, T_z) = E_0(A) + 4a_a(A) \frac{T(T+1)}{A} + E_{\text{mic}} + E_{\text{Coul}}$$

In the ground state  $T$  takes on the lowest possible value

$T = |T_z| = |N - Z|/2$ . Through '+1' most of the Wigner term absorbed.

?Lowest state of a given  $T$ : isobaric analogue state (IAS) of some neighboring nucleus ground-state.



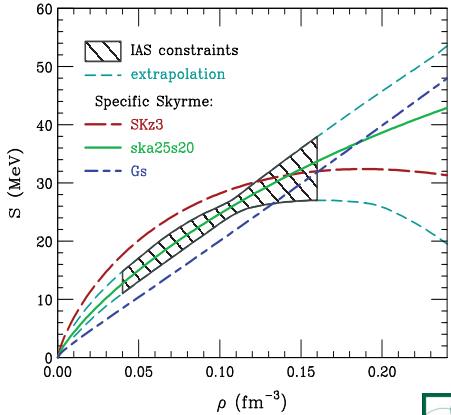
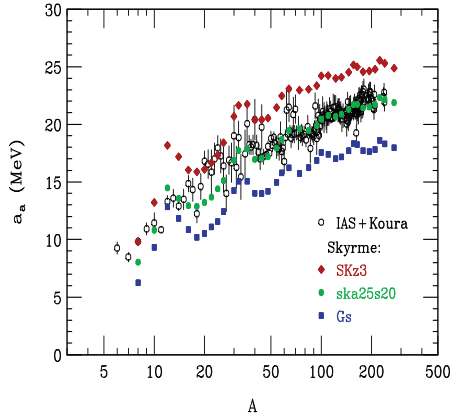
Study of changes in the symmetry term possible nucleus by nucleus

$$E_{\text{IAS}}^* = \Delta E = a_a \frac{\Delta [T(T+1)]}{A} + \Delta E_{\text{mic}}$$



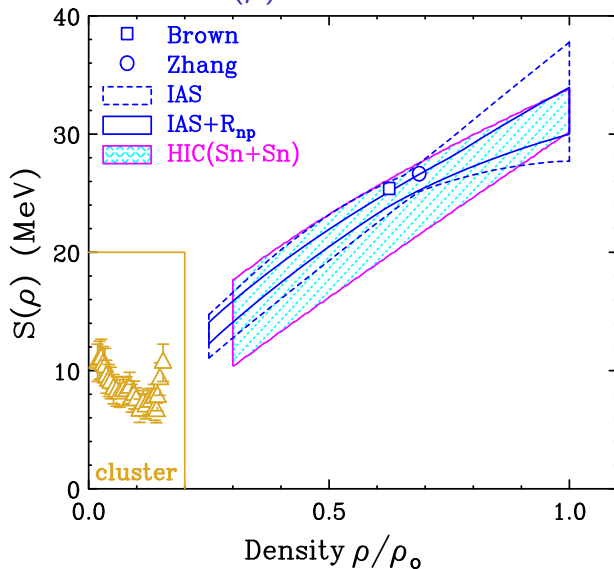
# From $a_a(A)$ to $S(\rho)$

Strong  $a_a(A)$  dependence (PD & Lee NPA922(14)1):  
 lower  $A \Rightarrow$  more surface  $\Rightarrow$  lower  $\rho \Rightarrow$  lower  $S$

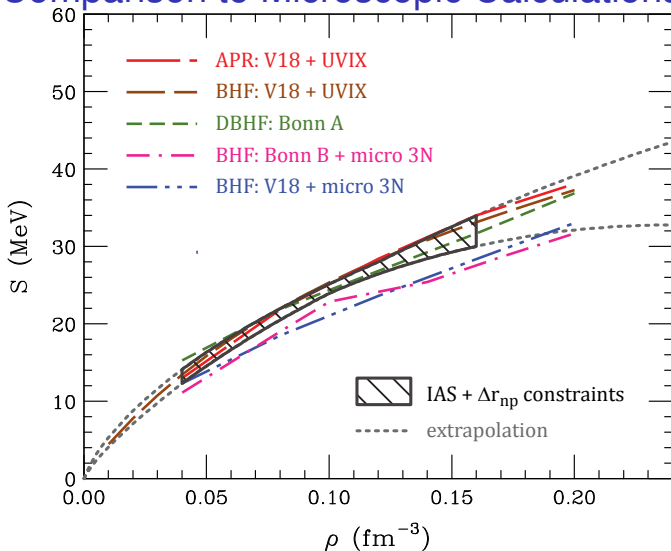


$a_a(A)$  from IAS give rise to constraints on  $S(\rho)$  in Skyrme-Hartree-Fock calculations



Subnormal  $S(\rho)$  from Different Data

# Comparison to Microscopic Calculations

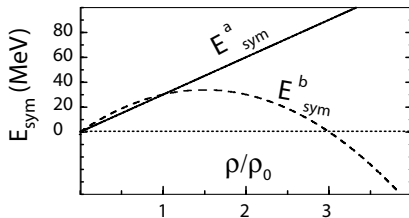


Microscopic results from *Baldo et al*

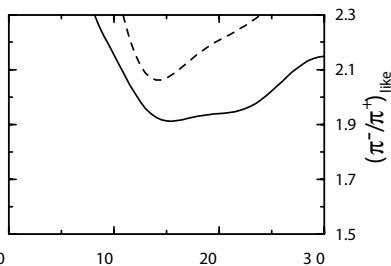
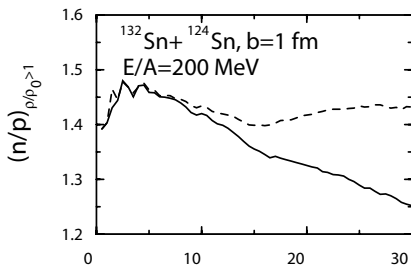


# Pions as Probe of High- $\rho$ Symmetry Energy

B-A Li PRL88(02)192701:  $S(\rho > \rho_0) \Rightarrow n/p_{\rho > \rho_0} \Rightarrow \pi^-/\pi^+$



Pions originate from high  $\rho$



## Dedicated Experimental Efforts

**SAMURAI-TPC Collaboration** (8 countries and 43 researchers): comparisons of near-threshold  $\pi^-$  and  $\pi^+$  and also  $n$ - $p$  spectra and flows at RIKEN, Japan.

NSCL/MSU, Texas A&M U

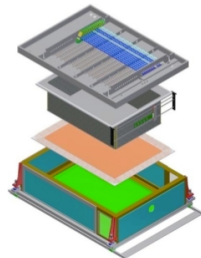
Western Michigan U, U of Notre Dame

GSI, Daresbury Lab, INFN/LNS

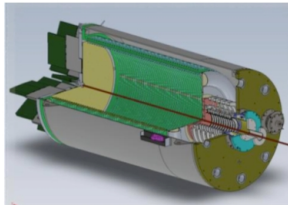
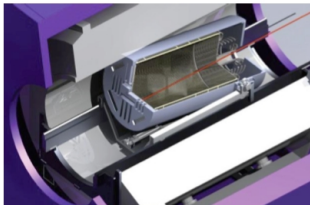
U of Budapest, SUBATECH, GANIL

China IAE, Brazil, RIKEN, Rikkyo U

Tohoku U, Kyoto U



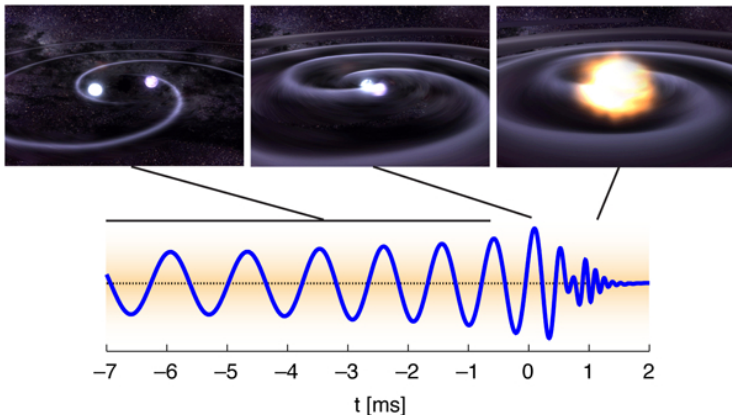
**AT-TPC Collaboration** (US & France)





# Neutron-Star Merger

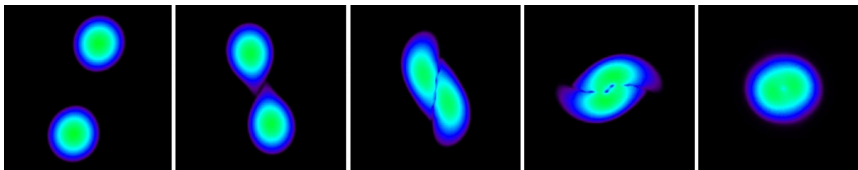
Gravitational-wave signal informs on history of merger



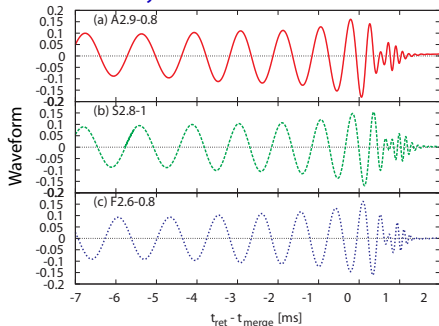
Spero, *Physics* 3(10)29; Kiuchi *et al.* *PRL*104(10)141101



# Deformation Changes Quadrupole Moment



### Gravity Waveforms for Different EOS



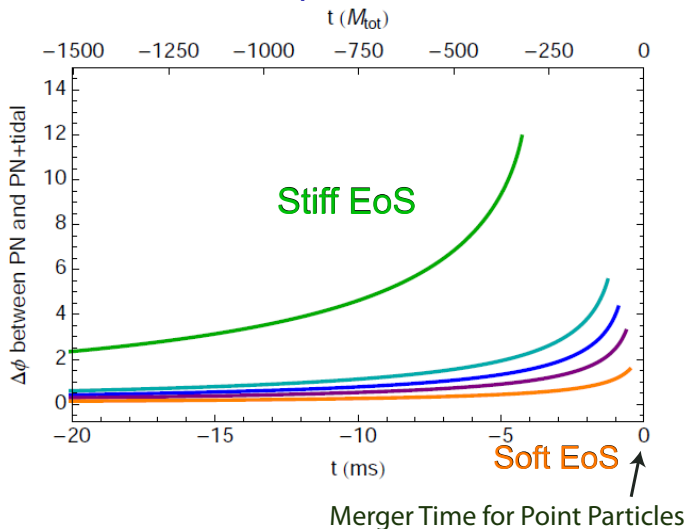
Deformation depends on star size and latter on equation of state (EOS)

Andreas Bauswein;

Kiuchi *et al.* PRL104(10)141101



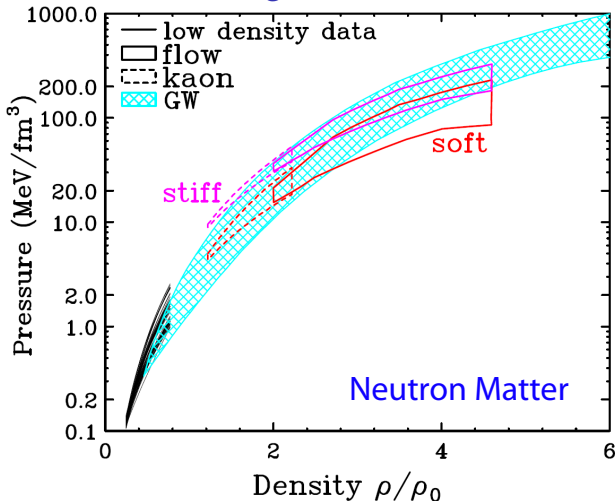
# Phase Shift Compared to Point Masses



Andreas Bauswein



# Pressure from Merger & Nuclear Collisions

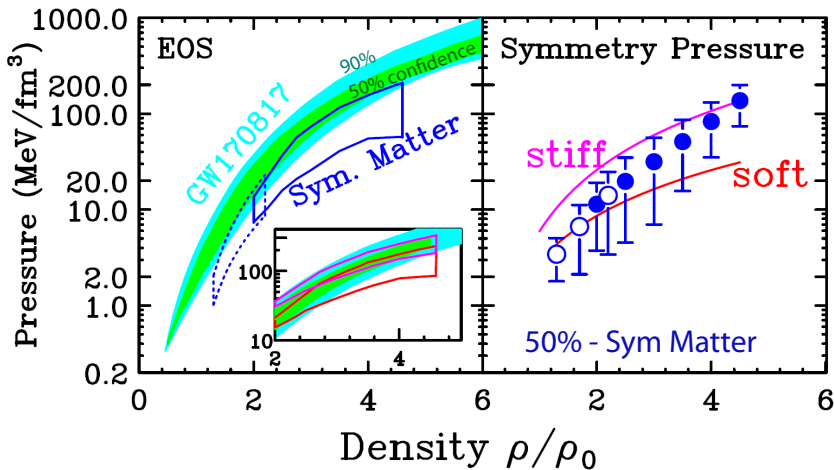


Merger: Abbott *et al.* PRL121(18)161101

Collisions: PD *et al.* Science 298(02)1592 & arXiv:1807.06571



# Merger-Collision Subtraction: Symmetry Pressure



Tsang *et al.* arXiv:1901.07673



# Conclusions

- Excitations of giant collective resonances constrain incompressibility of symmetric matter to  $K = (230-285)$  MeV
- Collective flow + threshold meson production in central heavy-ion reactions constrain nuclear pressure at densities  $\rho = (1.2-4.5)\rho_0$ . Most extreme model EOS eliminated
- Convergence on symmetry energy at  $\rho \lesssim \rho_0$ , from variety of data, isospin diffusion, isobaric analog states etc., and from microscopic calcs testing mostly 2-body ints
- Gravitational-wave data from neutron-star merger yield neutron-matter EOS consistent with inferences from nuclear collisions. Subtraction constrains symmetry-energy pressure at  $\rho > \rho_0$ .

Supported by US Department of Energy under Grant US DE-SC0019209



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